

# Cristian Pira

## Plasma Electrolytic Polishing as a promising treatment replacement of Electropolishing in the Cu and Nb substrate preparation **for SRF**



July 1, 2021

THOTEV06

Work supported by the INFN CSNV experiment TEFEN



# Introduction and motivation

Is there a better option than EP?



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## Is there a better option to EP?

- Nb SRF cavity polishing requires hydrofluoric acid (HF)
  - HF is an extremely dangerous and poisoning acid
  - Serious workers hazard risks
  - Expensive procurement and disposal
  - Expensive infrastructure for safe handling



# Introduction and motivation

## Is there a better option to EP?

- Nb SRF cavity polishing requires hydrofluoric acid (HF)
- EP without HF has been explored with different levels of success

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**Is there a better option to EP?**

- Nb SRF cavity polishing requires hydrofluoric acid (HF)
- EP without HF has been explored with different levels of success

**Plasma Electrolytic Polishing (PEP)**

# Outline

PEP  
introduction

PEP - EP  
comparison

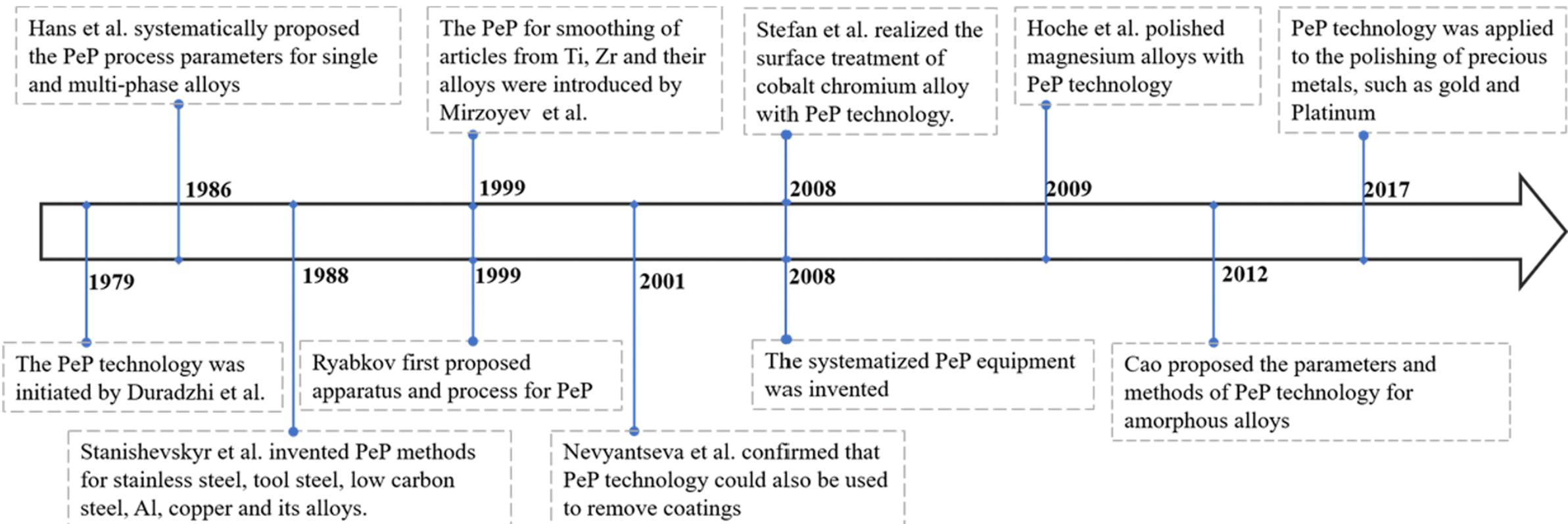
Results  
on Nb

Results  
on Cu

Scalability

Conclusion

# Hystorical overview



Huang, Y. et al., Int J Adv Manuf Technol 114, 2021

# Applications

In principle any metal can be treated

Commonly polished, available recipes



Stainless steel alloys  
Brass, Bronze  
Cr, Ni, Co, Mo, W, Zn alloys

Not well studied, challenging applications



**Nb**  
**Cu** and its alloys  
V, Ti and its alloys

CoCr knee cap



*Henning Zeidler et al. / Procedia CIRP 49 (2016)*



Stainless ste

Brass



*Klaus Nestler et al. / Procedia CIRP 42 (2016)*



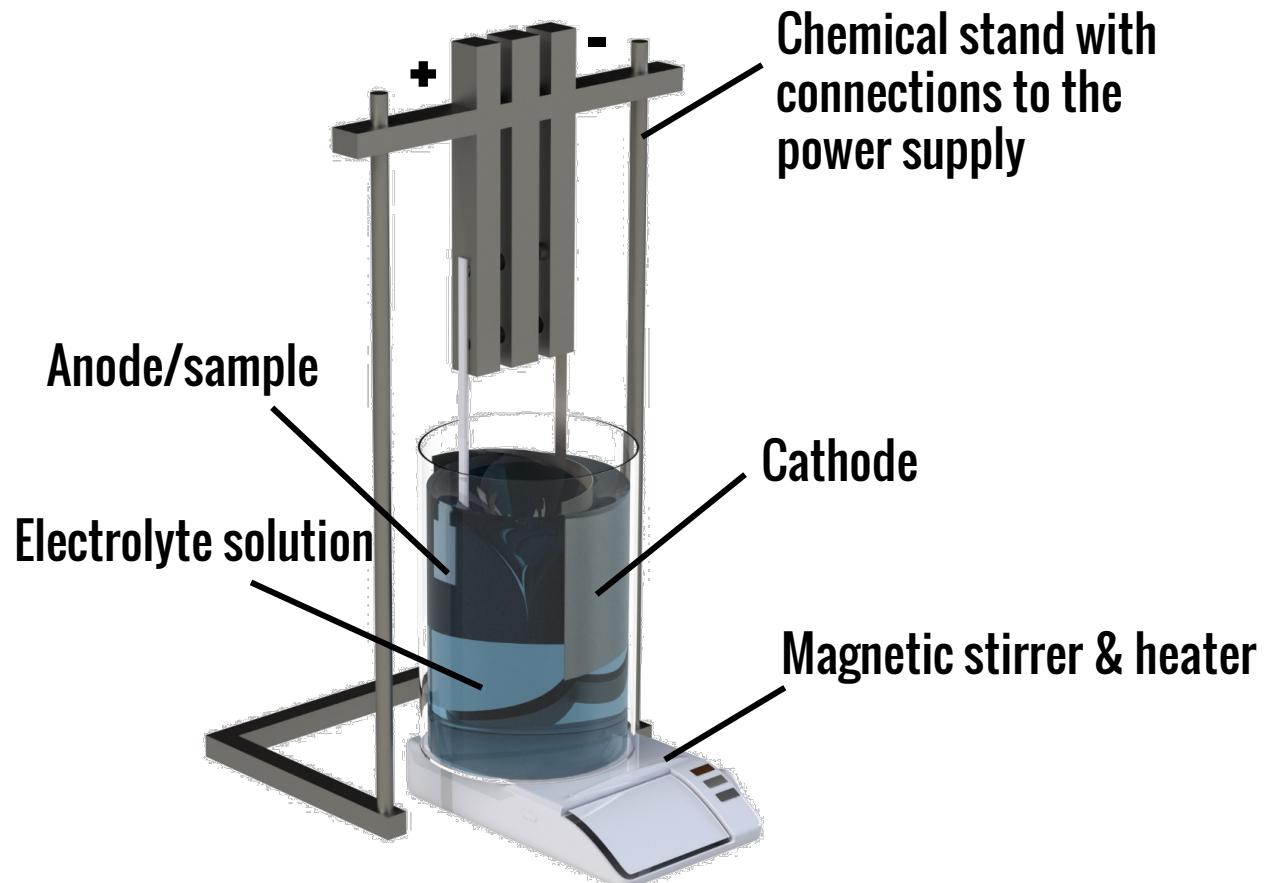
Ti alloy  
(Grade 5)

*Yu. G. Aliakseyeu et al., Nauka i Tekhnika, 2018*

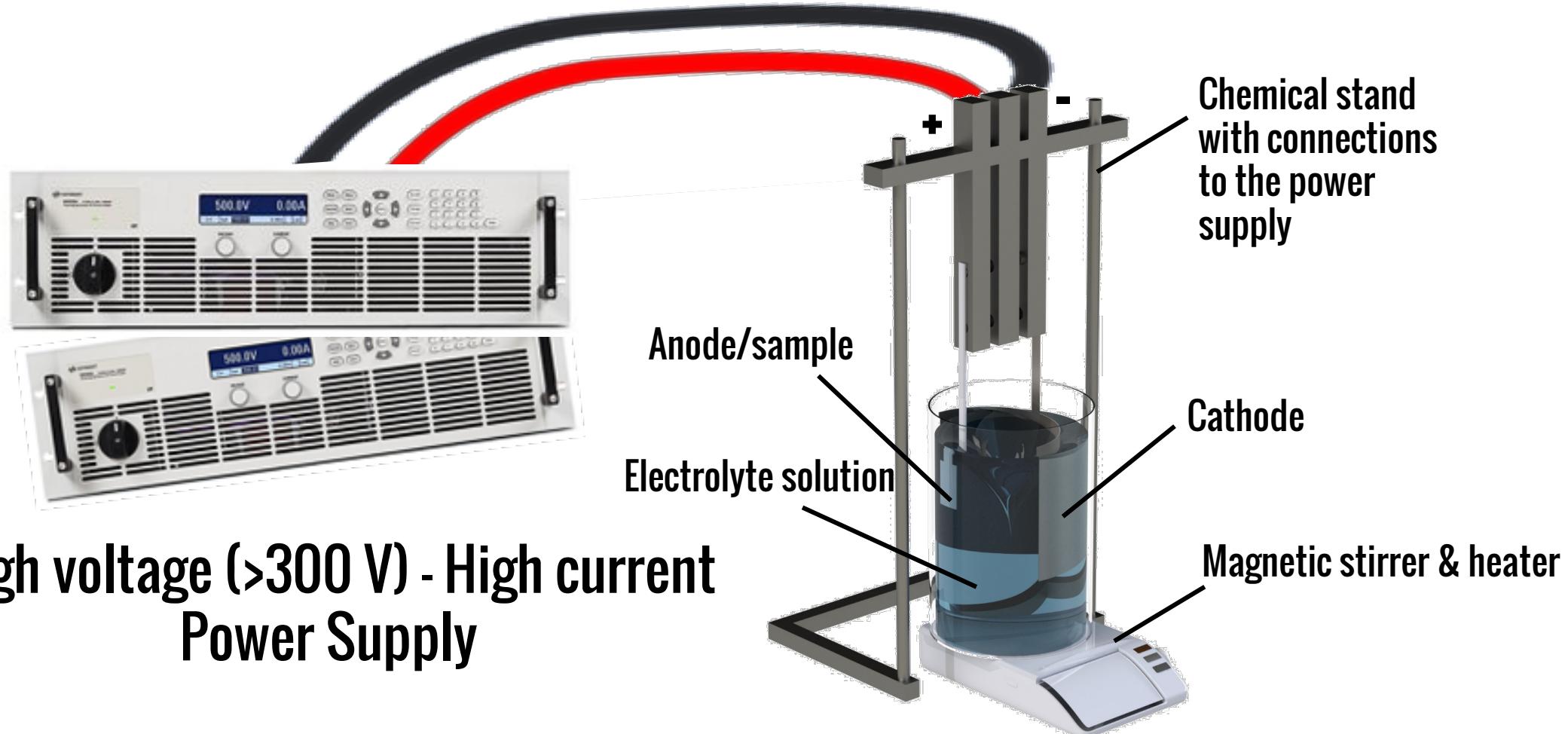
# Plasma Electrolytic Polishing set-up

**Identical EP set-up**

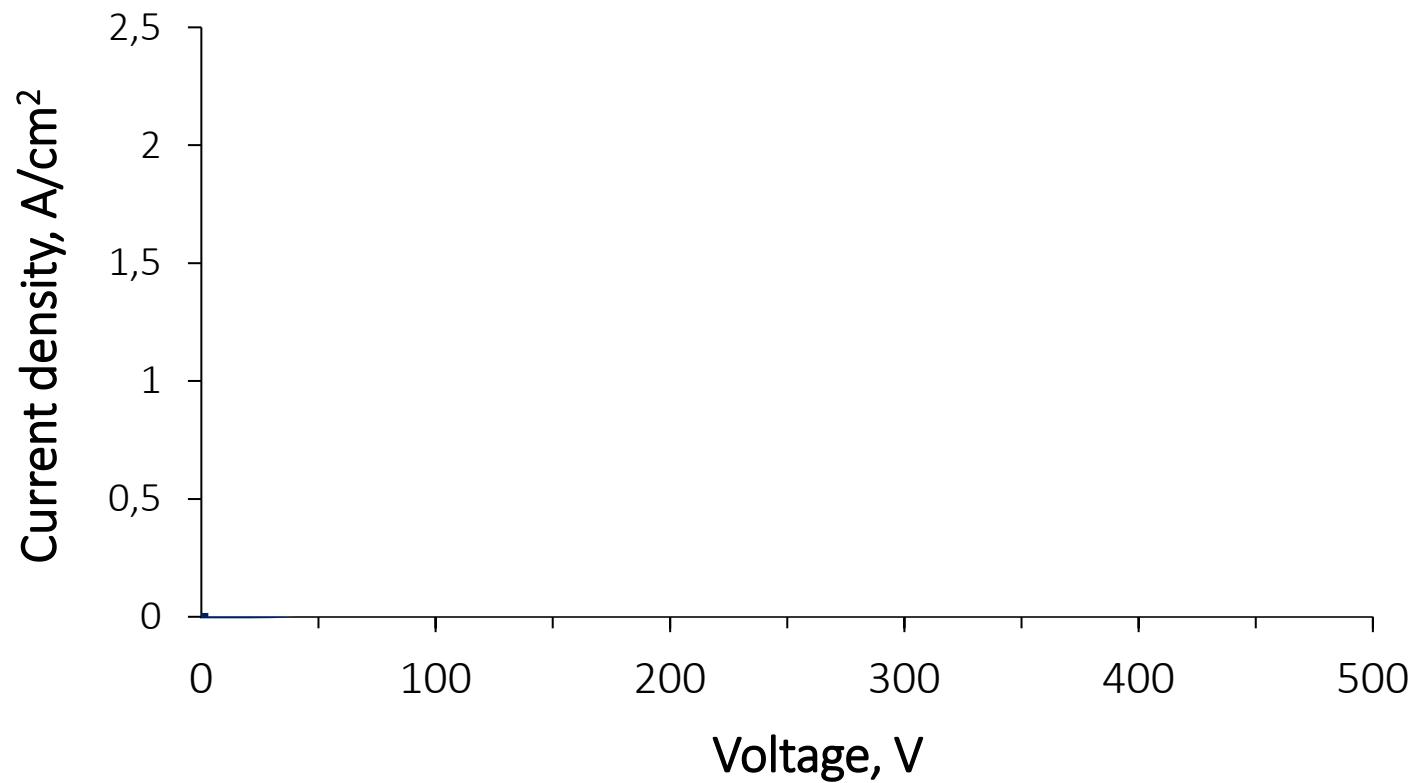
**Except for...**



# Plasma Electrolytic Polishing set-up

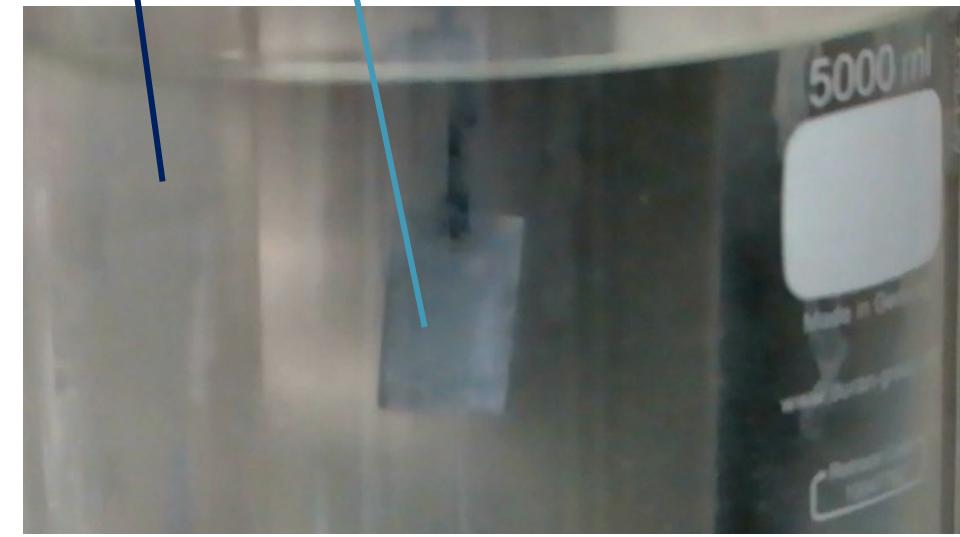


# Current-voltage characteristics

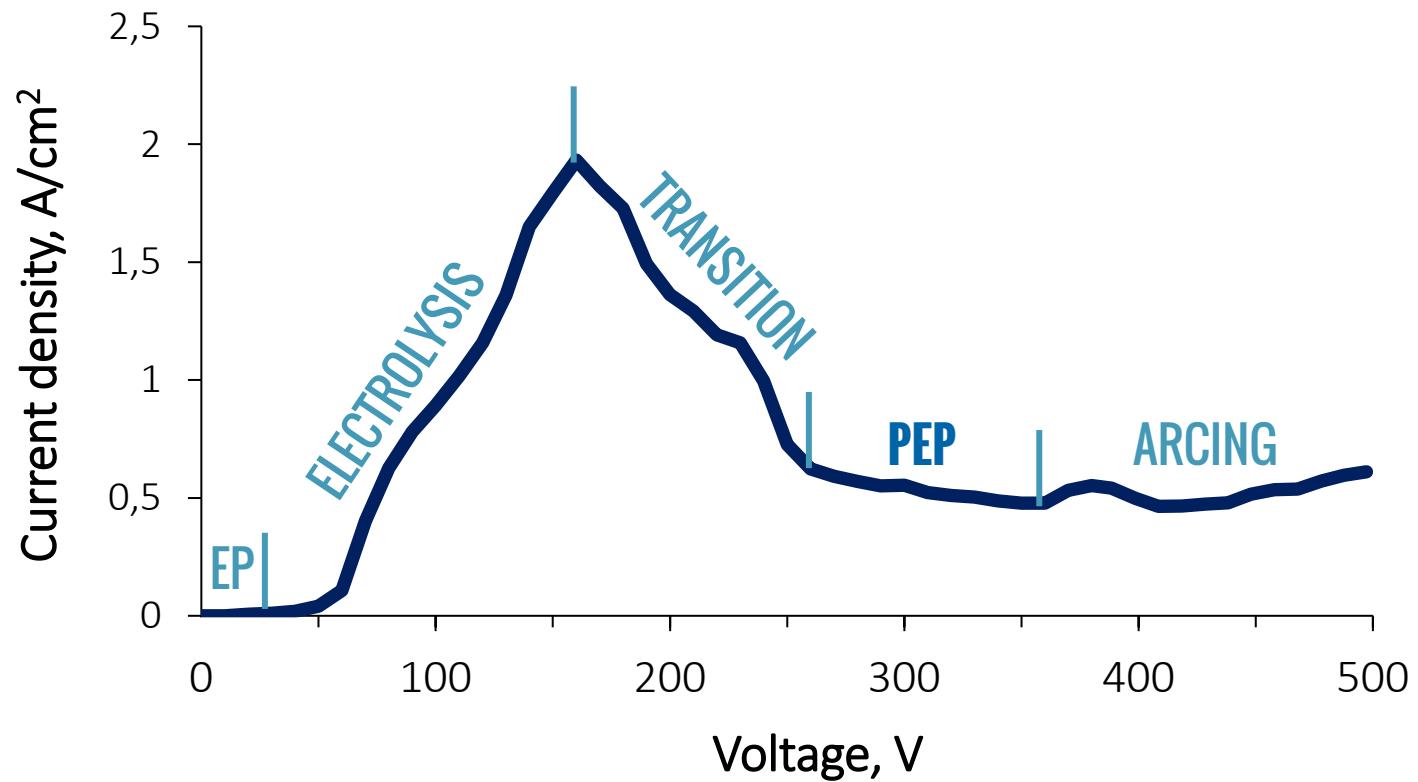


Cathode

Anode/  
sample

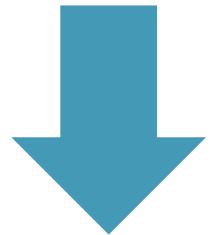


# Current-voltage characteristic

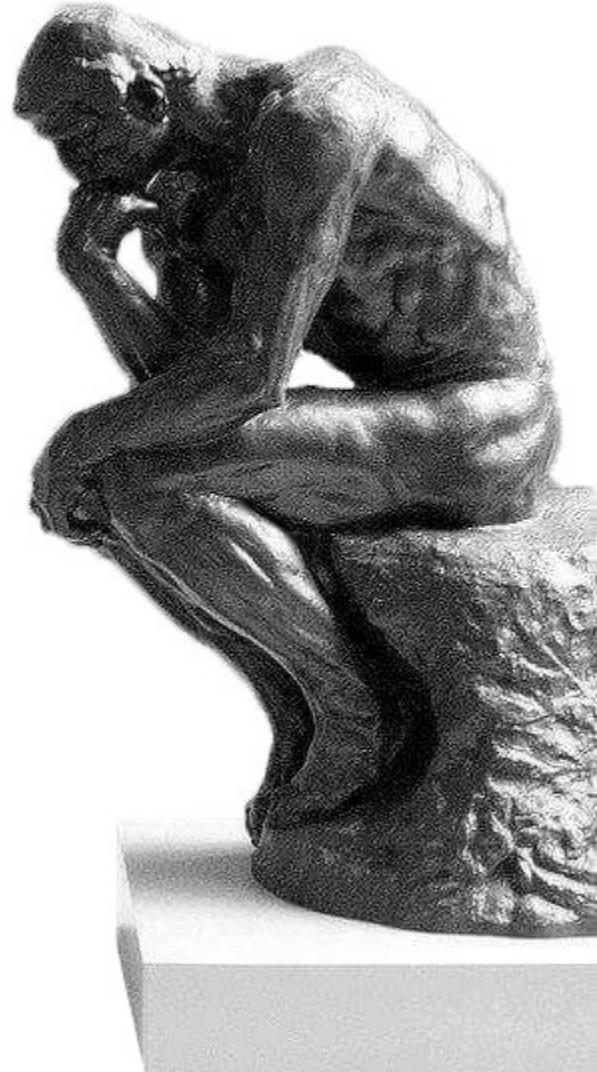


# PEP Mechanism

Not fully understood yet

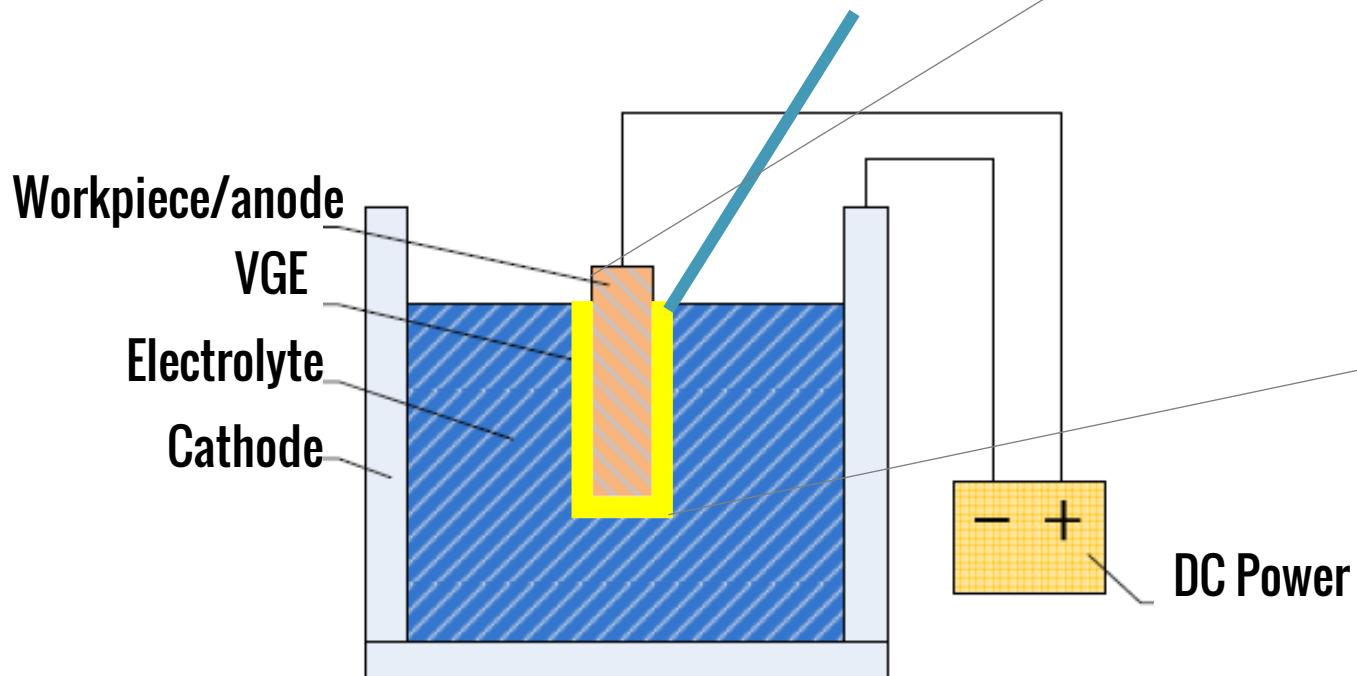


Different models proposed

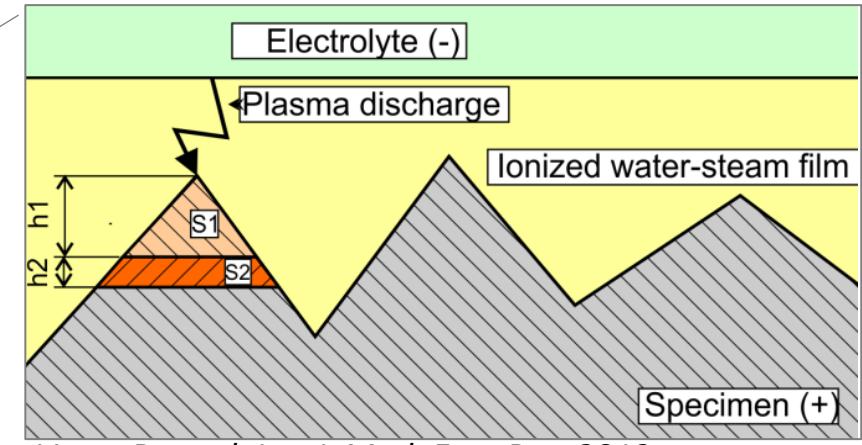


# PEP Mechanism

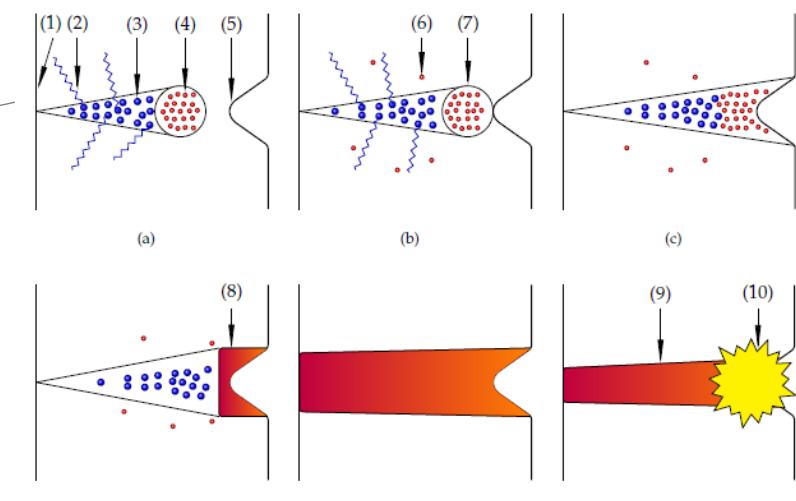
**Formation of a Vapor Gas Envelope (VGE)  
also called Ionized Water-Steam film**



J. Wang et al., AMR, 2012



Vana, D et. al, Int. J. Mod. Eng. Res. 2013



M. Cornelsen et. al, Metals, 2018

# Risk assessment comparison

BCP 1:1:2	EP Nb 1:9	PEP Nb
Quantity of chemicals (w. %)		
79 %	93 %	~5%
Acids, harsh chemicals		
3 Acids: $\text{HF}:\text{HNO}_3:\text{H}_3\text{PO}_4$	2 Acids: $\text{HF}:\text{H}_2\text{SO}_4$	No acids! Only salts

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Risk $\propto$ Probability $\propto$ Process Time (Time to remove 200 $\mu$ m)		
~4 h	~6-10 h	~1 h

# Comparison EP VS PEP

Parameters	Conventional EP	Plasma Electrolytic Polishing
Pre-treatment	In some cases mechanical pre-treatment	Not required
Roughness	0.2-0.1 $\mu\text{m}$	Achievable up to 0.01 $\mu\text{m}$
Cathode	Should follow the shape of the piece	Shape is not important
Electrolytes	Harsh electrolytes, concentrated acids	Greener, diluted water based salts (1-10%)
Time to remove 100 $\mu\text{m}$	3 h - 7 h	15-30 min
Voltage	2-60 V	260-360 V
Temperature	Room temperature	> 65°C
Current density	0,01-0,04 A/cm <sup>2</sup>	0,2-0,6 A/cm <sup>2</sup>

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Pre-treatment	In some cases mechanical pre-treatment	Not required
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Results  
on Nb

Results  
on Cu

# Trials and optimization

Almost 30 different solutions tested



No satisfying results with solutions in literature for Nb and Cu

1 original Solution for Nb and 2 for Cu have been optimized  
*(currently under patenting evaluation)*

Parameters studied:  
voltage, conductivity, working temperature, cathode shape

# Processes parameters comparison

Process / parameters	BCP (1:1:2)	EP (1:9)
Solution composition	HF:HNO <sub>3</sub> :H <sub>3</sub> PO <sub>4</sub>	HF:H <sub>2</sub> SO <sub>4</sub>
Voltage	-	18 V
Current density	-	0.025 A/cm <sup>2</sup>
Power density	-	0.45 W/cm <sup>2</sup>
Removing rate	1 µm/min (15 °C)	0.3 µm/min (30 °C)

# Processes parameters comparison

Process / parameters	BCP (1:1:2)	EP (1:9)	PEP
Solution composition	HF:HNO <sub>3</sub> :H <sub>3</sub> PO <sub>4</sub>	HF:H <sub>2</sub> SO <sub>4</sub>	Diluted salts
Voltage	-	18 V	300 V
Current density	-	0.025 A/cm <sup>2</sup>	0.4-0.6 A/cm <sup>2</sup>
Power density	-	0.45 W/cm <sup>2</sup>	~150 W/cm <sup>2</sup>
Removing rate	1 µm/min (15 °C)	0.3 µm/min (30 °C)	3.5 µm/min (78 °C)



**3.5 times faster than BCP**

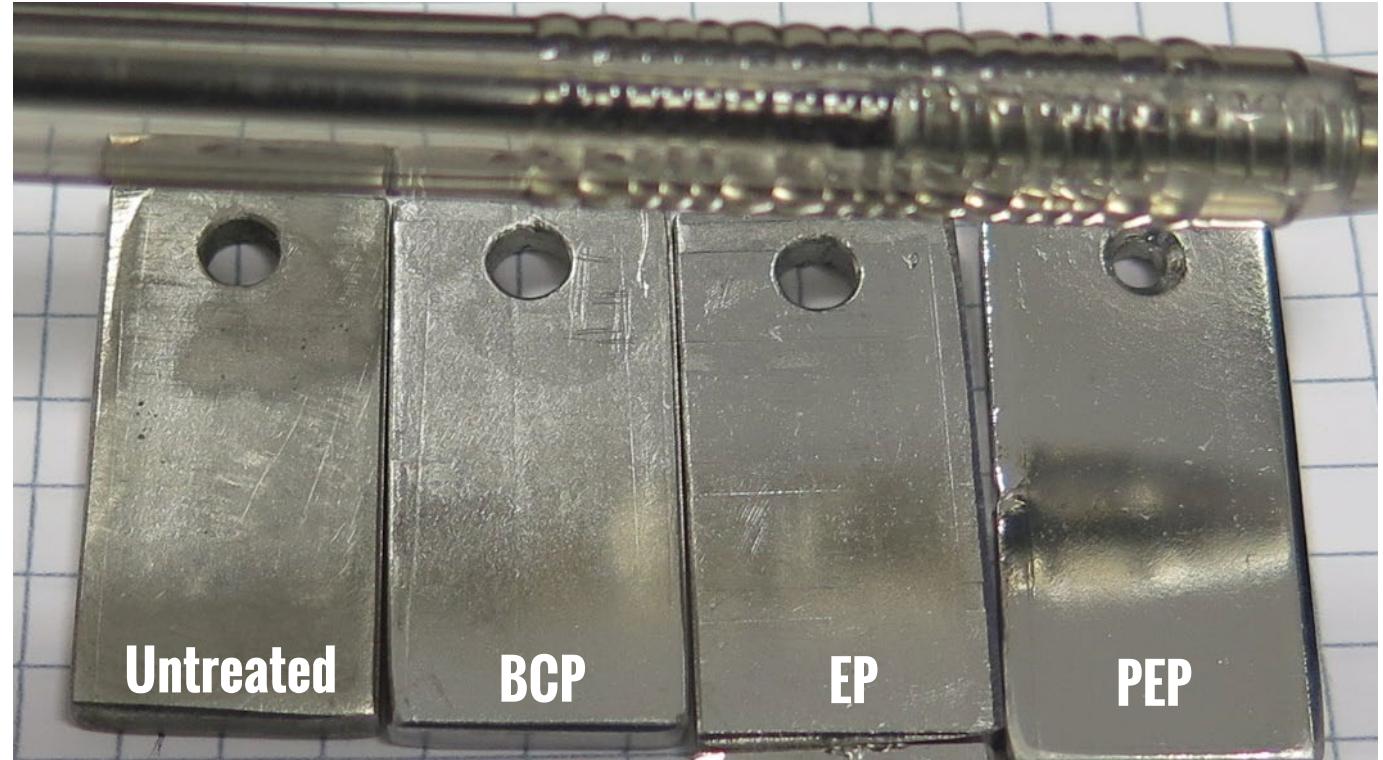


**>10 times faster than EP**

# Fast polishing test



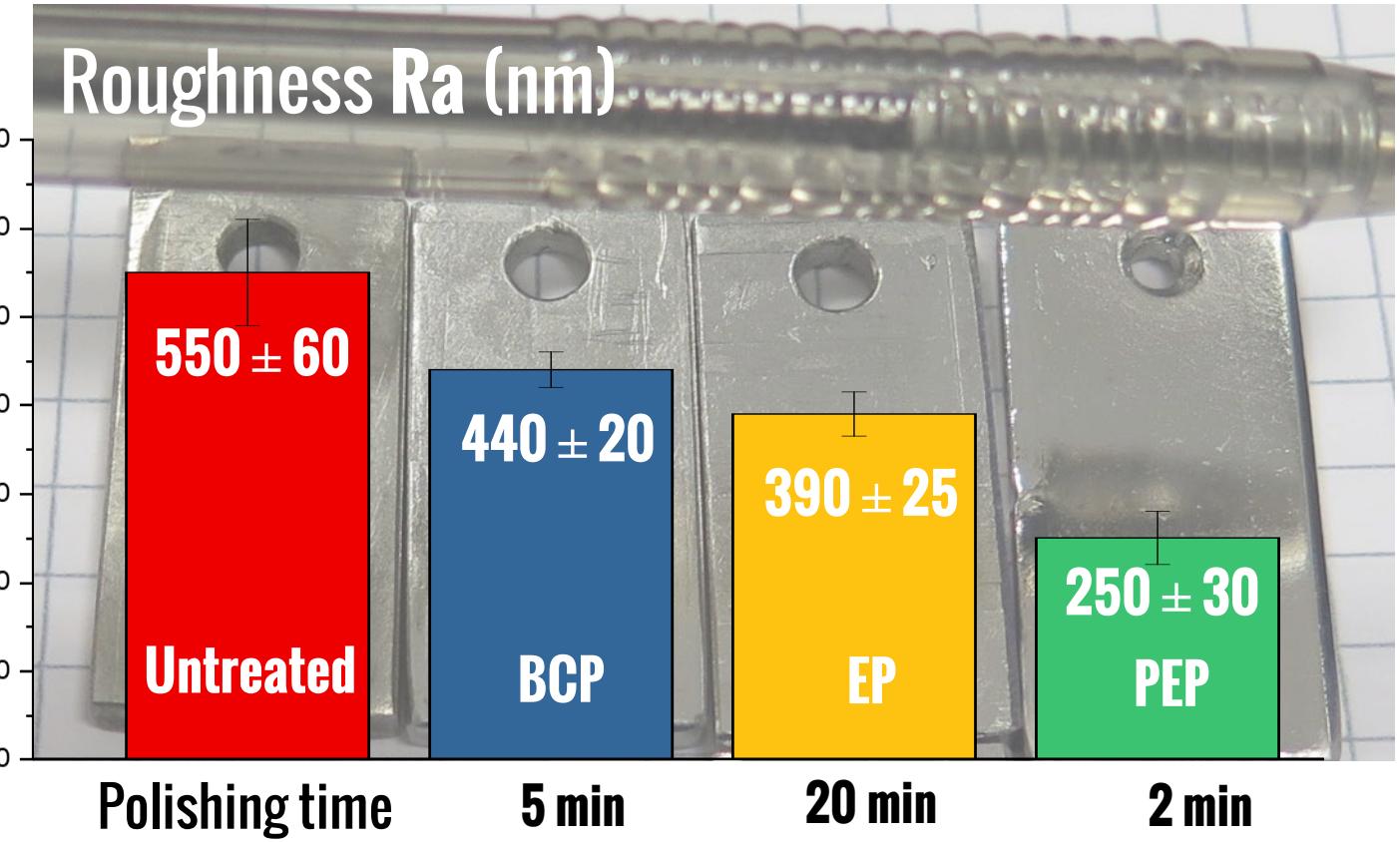
$6.5 \pm 0.5 \mu\text{m}$   
removed



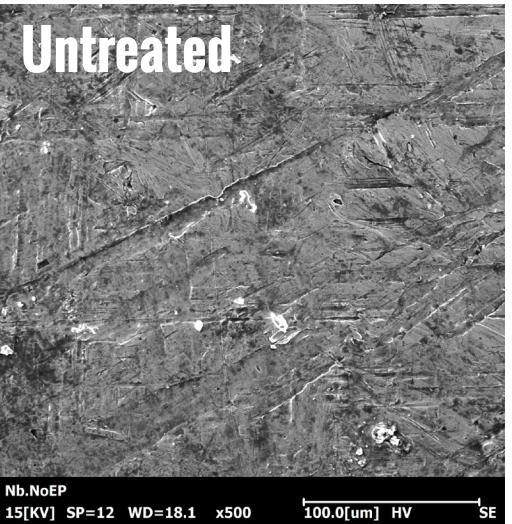
# Fast polishing test



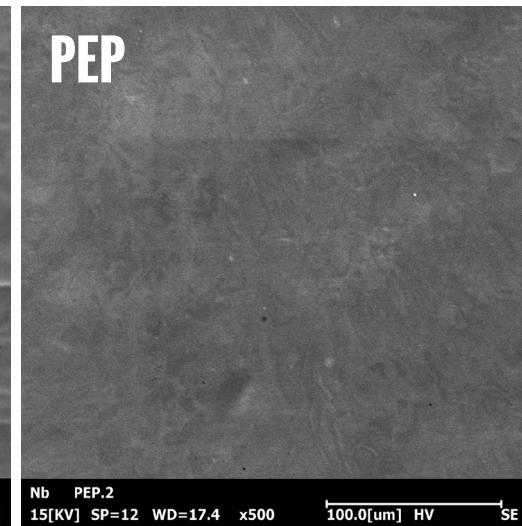
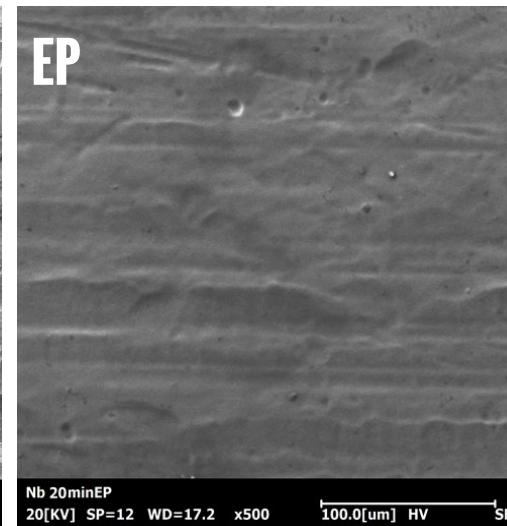
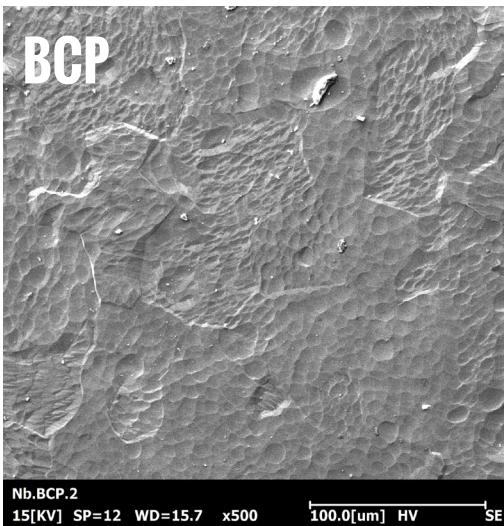
$6.5 \pm 0.5 \mu\text{m}$   
removed



# Fast polishing test



**$6.5 \pm 0.5 \mu\text{m}$  removed**



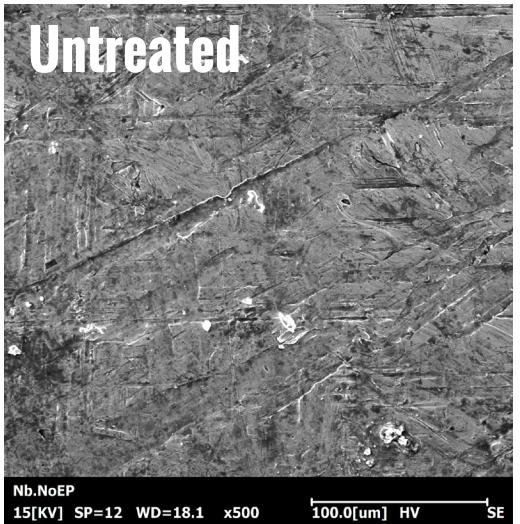
**Polishing time**

**5 min**

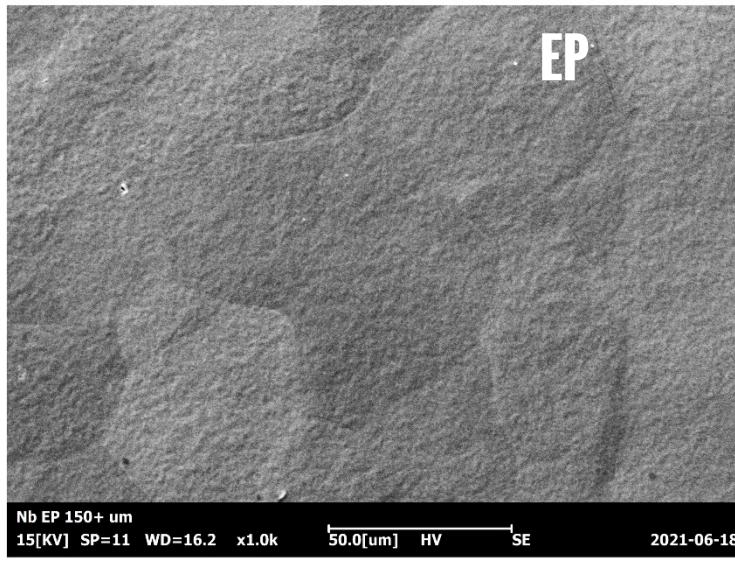
**20 min**

**2 min**

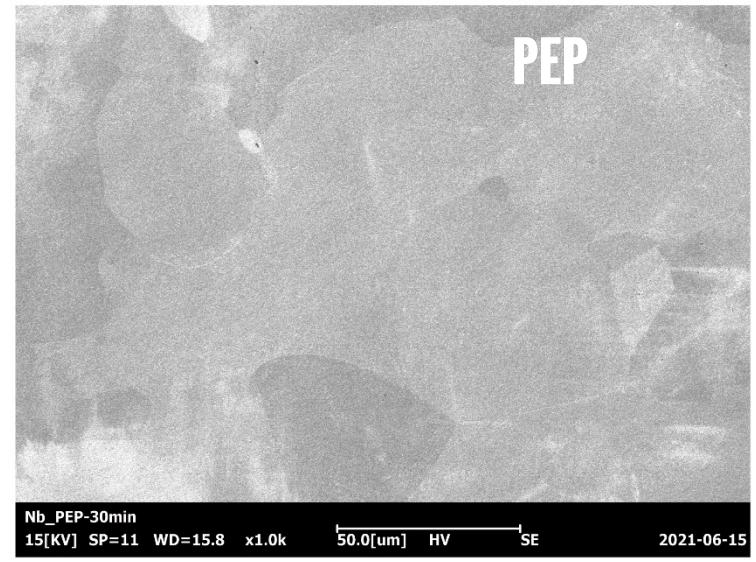
# Long polishing test



~150  $\mu\text{m}$   
removed

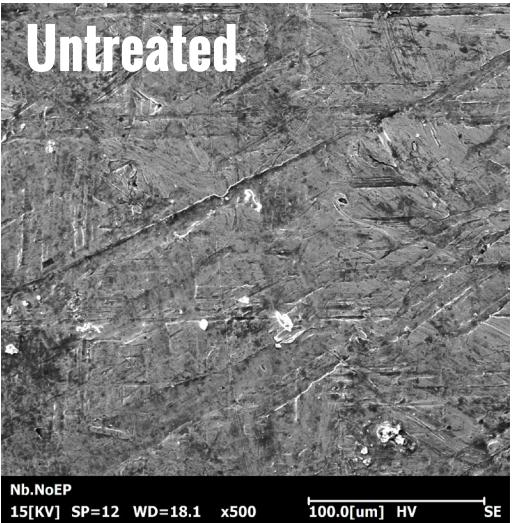


150 $\mu\text{m}$  ~ 5 h

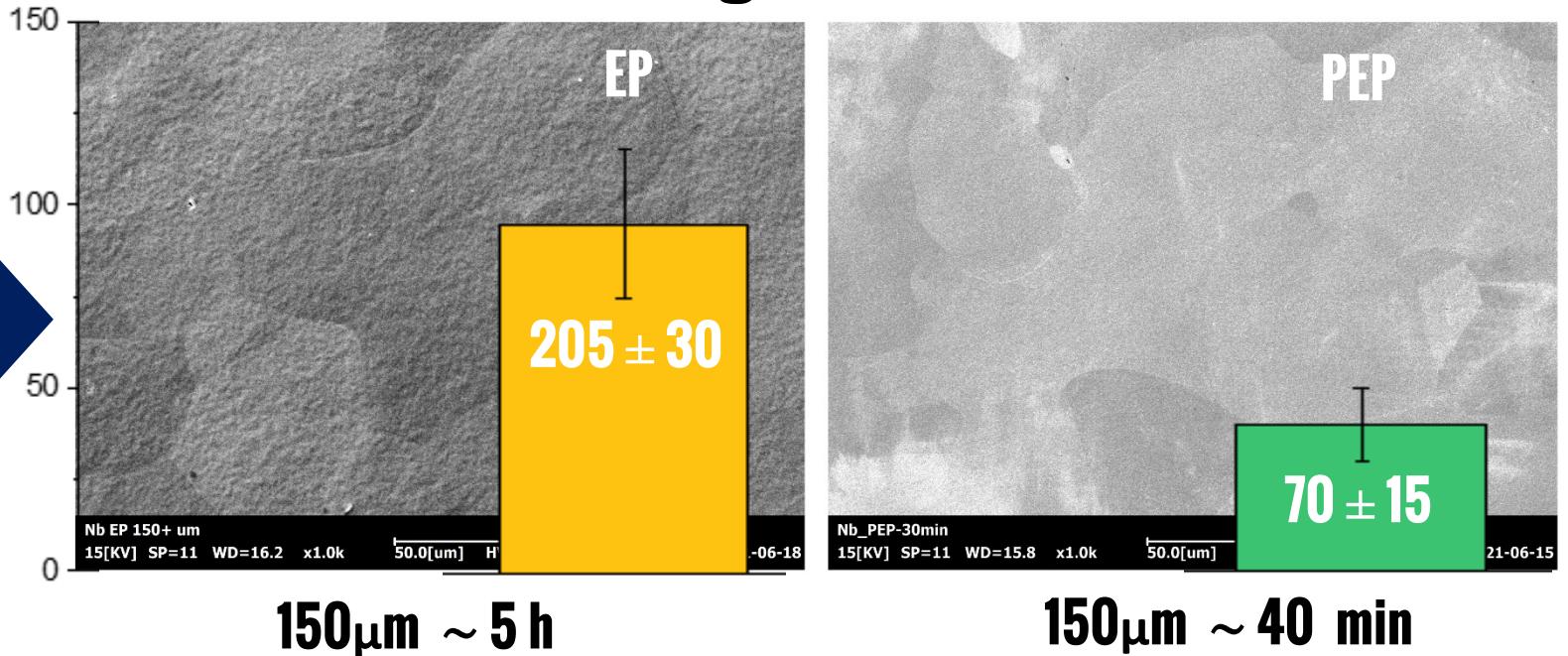


150 $\mu\text{m}$  ~ 40 min

# Long polishing test



~150  $\mu\text{m}$   
removed



# Processes parameters comparison

Process / parameters	SUBU5	EP (3:2)
<b>Solution composition</b>	Sulfamic acid 5 g/l; NH <sub>4</sub> -citrate 1 g/l Butanol 50 ml/l; H <sub>2</sub> O <sub>2</sub> 50 ml/l	85 % H <sub>3</sub> PO <sub>4</sub> : n-Butanol
<b>Voltage</b>	-	2-6 V
<b>Current density</b>	-	0,01 - 0,03 A/cm <sup>2</sup>
<b>Power draw</b>	-	0,12 W/cm <sup>2</sup>
<b>Removing rate</b>	1,5 µm/min (70 ± 2 °C)	0,15-0,5 µm/min (25 °C)

# Processes parameters comparison

Process / parameters	SUBU5	EP (3:2)	PEP
<b>Solution composition</b>	Sulfamic acid 5 g/l; NH <sub>4</sub> -citrate 1 g/l Butanol 50 ml/l; H <sub>2</sub> O <sub>2</sub> 50 ml/l	85 % H <sub>3</sub> PO <sub>4</sub> : n-Butanol	Diluted salts
<b>Voltage</b>	-	2-6 V	300 V
<b>Current density</b>	-	0,01 - 0,03 A/cm <sup>2</sup>	0,35-0,45 A/cm <sup>2</sup>
<b>Power draw</b>	-	0,12 W/cm <sup>2</sup>	135 W/cm <sup>2</sup>
<b>Removing rate</b>	1,5 µm/min (70 ± 2 °C)	0,15-0,5 µm/min (25 °C)	3-10 µm/min (70-80 °C)

PEP

~ 3 times faster than SUBU5

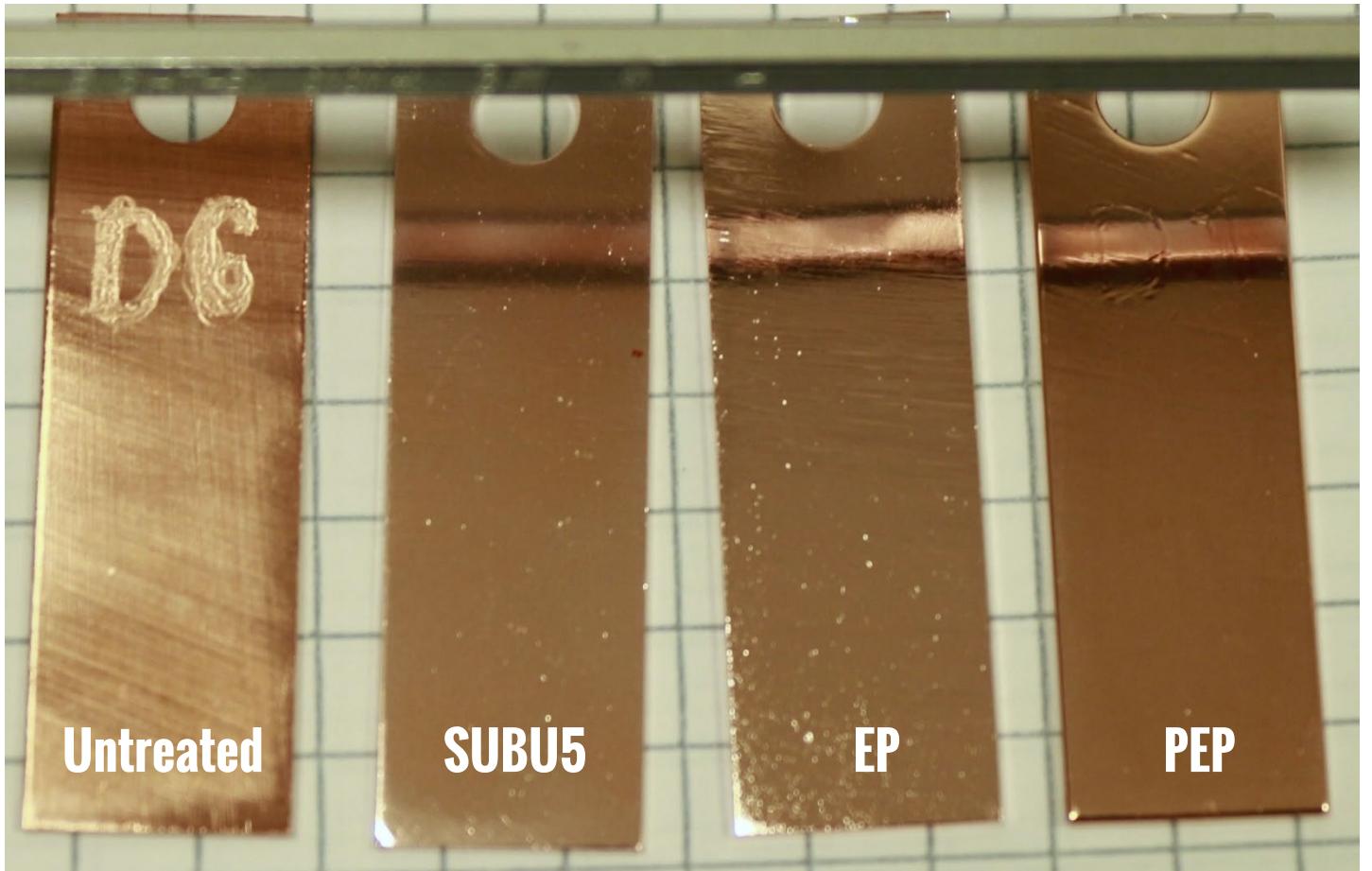
PEP

10-20 times faster than EP

# Fast polishing test



$8 \pm 0.5 \mu\text{m}$   
removed

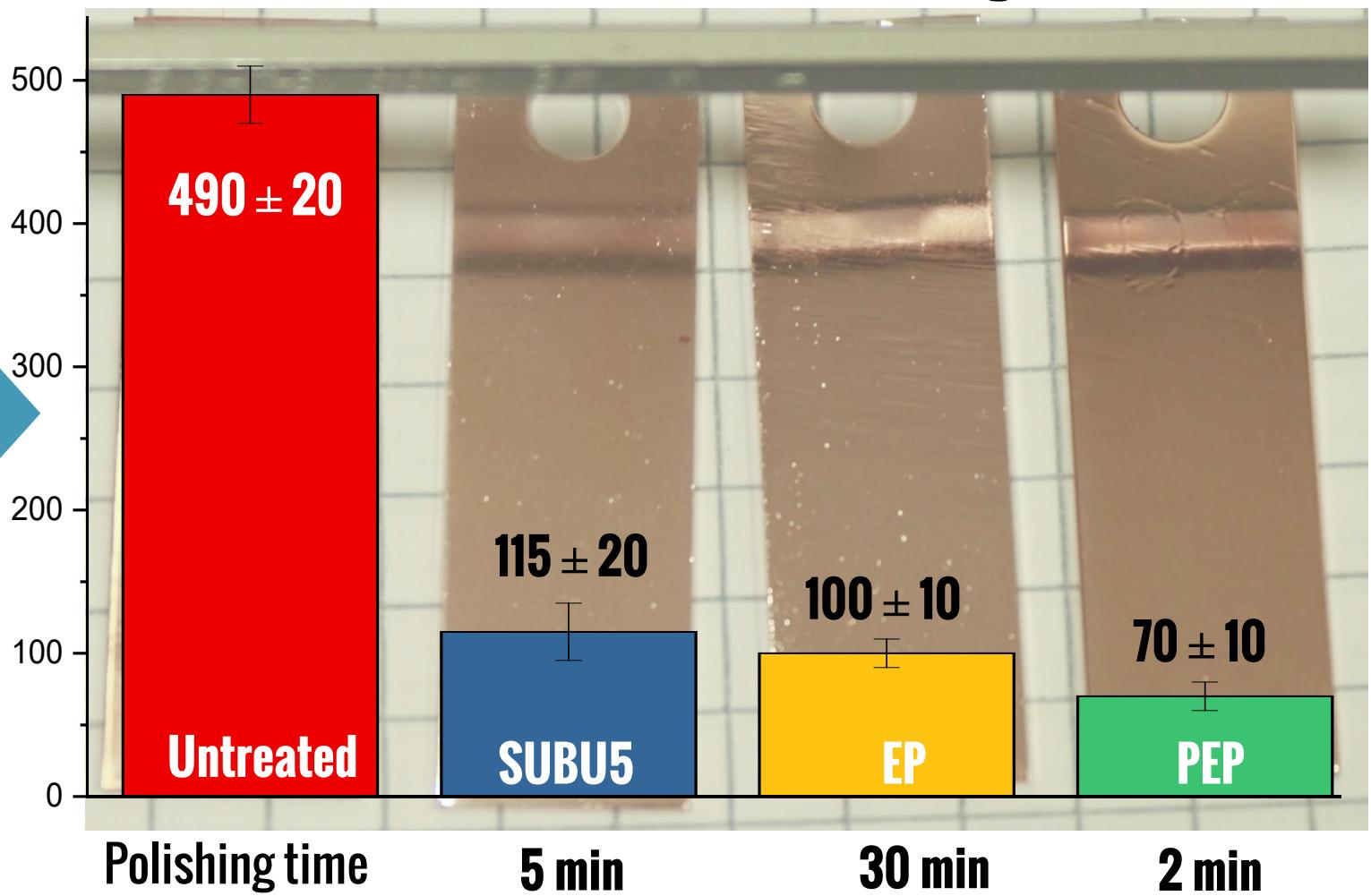


# Fast polishing test

Roughness Ra (nm)



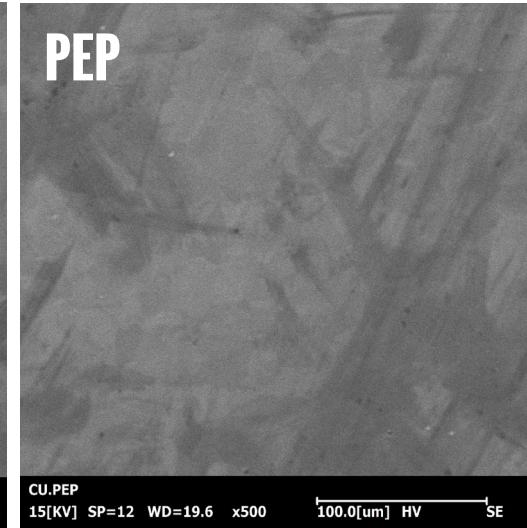
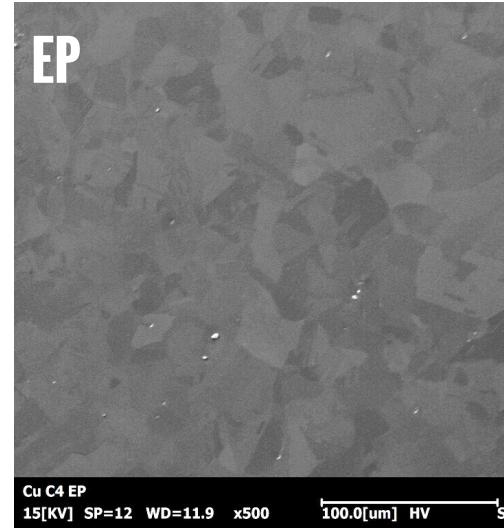
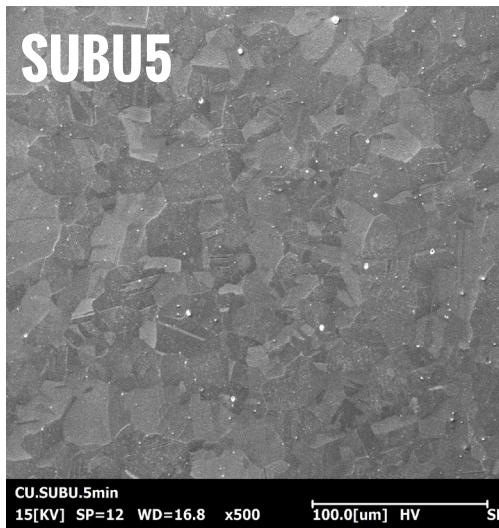
$8 \pm 0.5 \mu\text{m}$   
removed



# Fast polishing test



$8 \pm 0.5 \mu\text{m}$   
removed



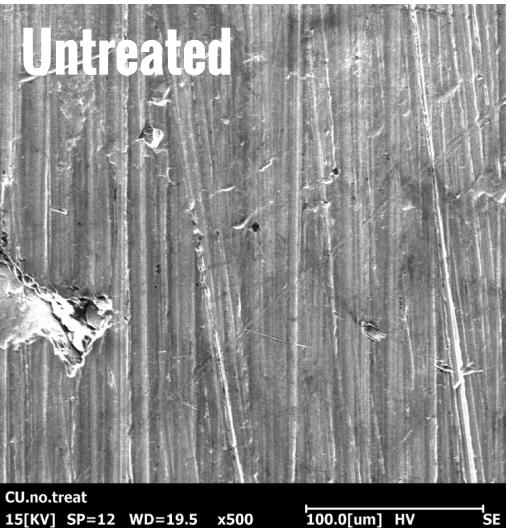
Polishing time

5 min

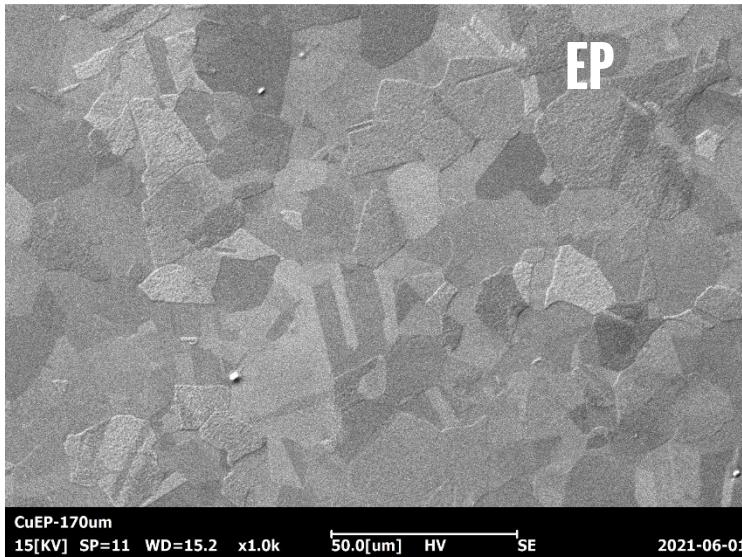
30 min

2 min

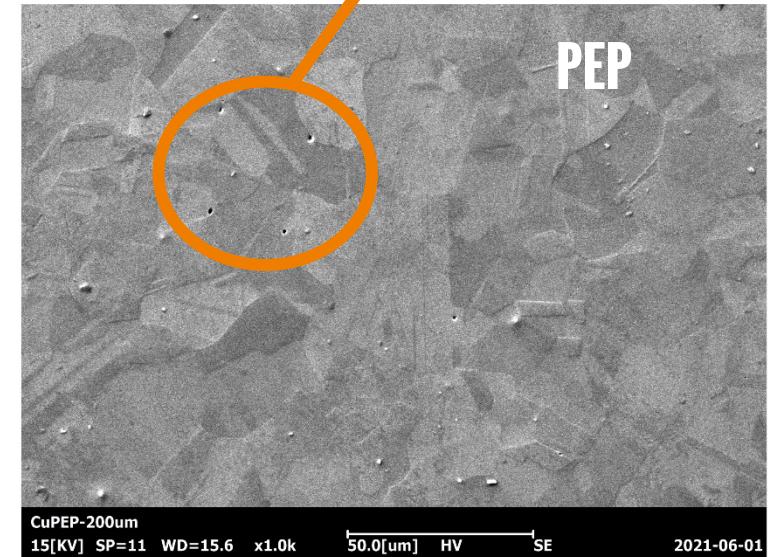
# Long polishing test



>170  $\mu\text{m}$   
removed



170 $\mu\text{m}$  ~ 5 h

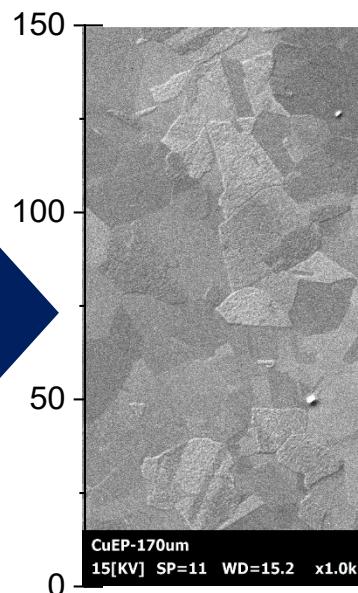


200 $\mu\text{m}$  ~ 40 min

# Long polishing test

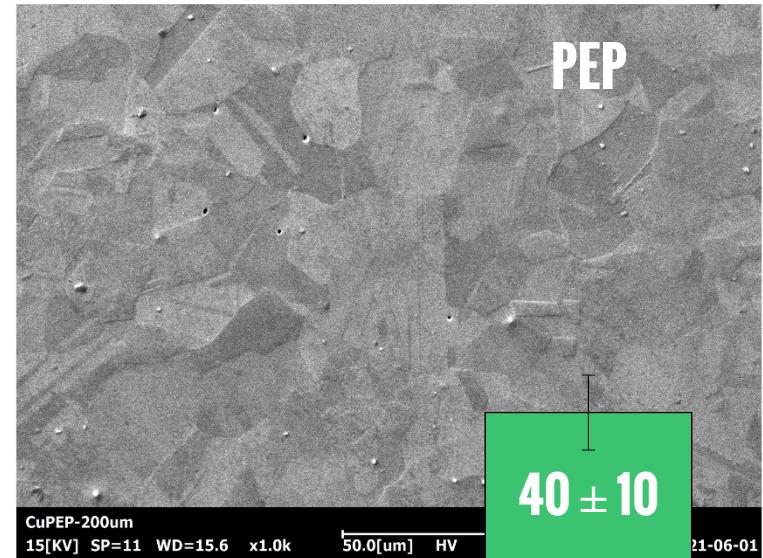
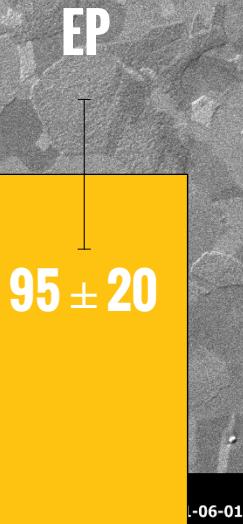


>170  $\mu\text{m}$   
removed



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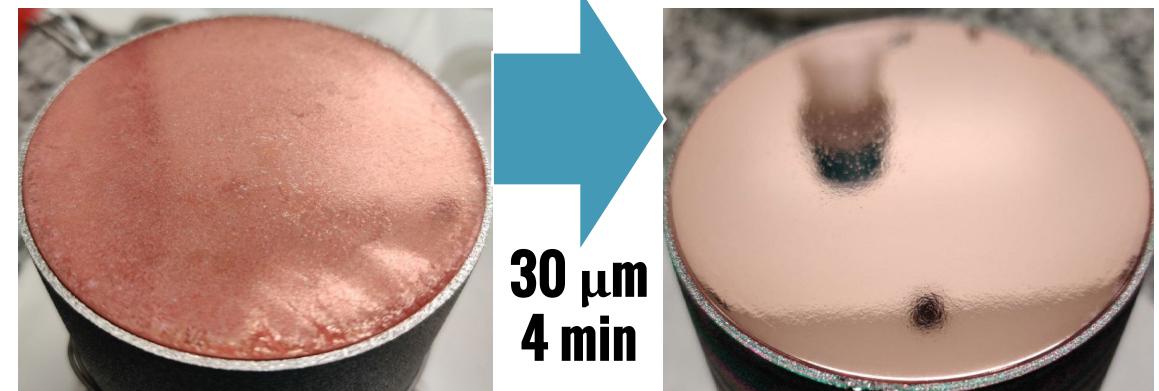
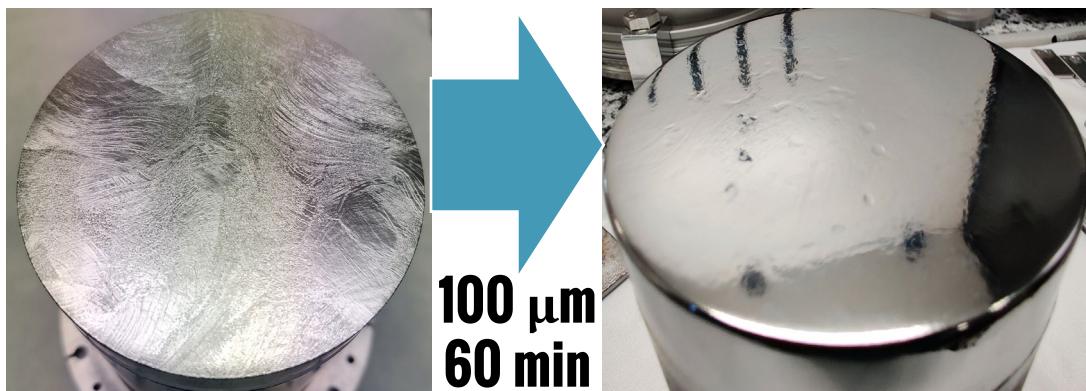
Roughness Ra (nm)



200 $\mu\text{m}$  ~ 40 min

# Real samples treatment

**PEP tested on HZB Quadrupole Resonators**  
(not tested yet)



**Eduard Chyhyrynets**

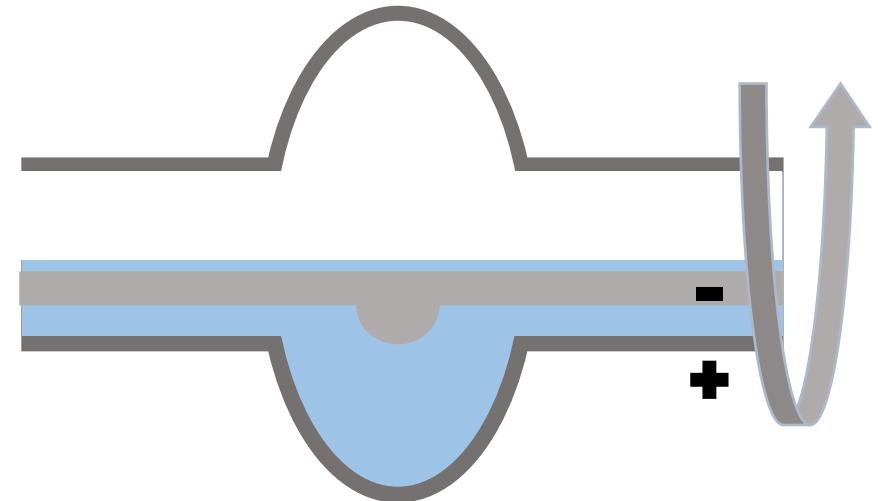
SUPTEV002 Application of Plasma Electrolytic Polishing onto SRF Substrates

SRF 2021  
Student Poster Session

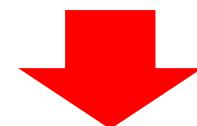
# Scalability

**Scalability to large areas is very challenging:**

- High power demand
- Large amounts of gases produced



**Single cell 1.3 GHz in horizontal configuration**



**~ 20 kW in PEP regime**

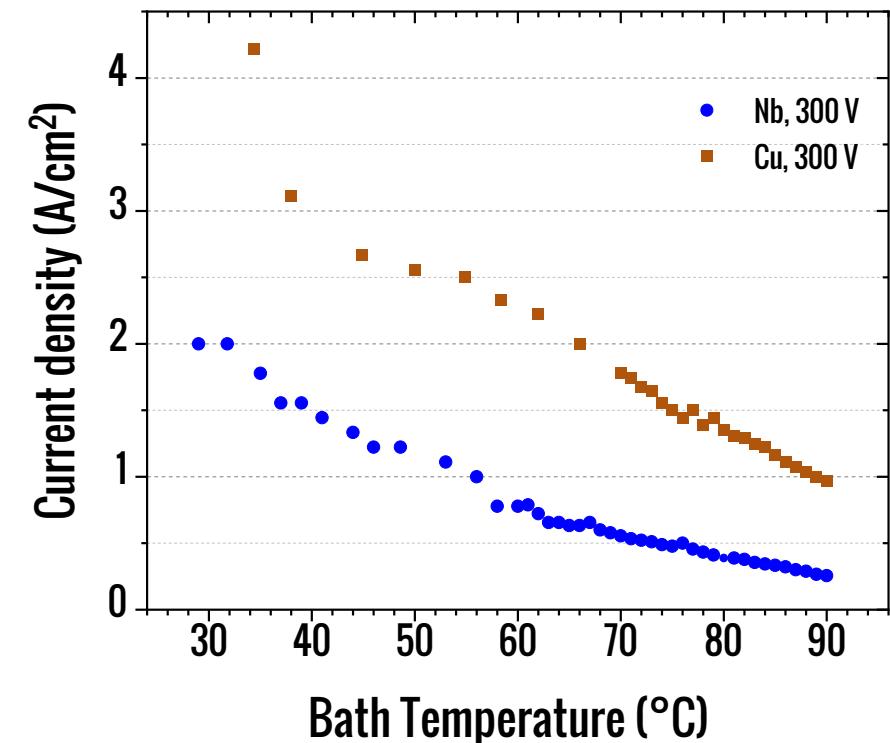
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**Possible solutions to explore:**

- Pulsed PEP
- Increase bath temperature
- Lowering voltage after triggering the plasma



# Scalability

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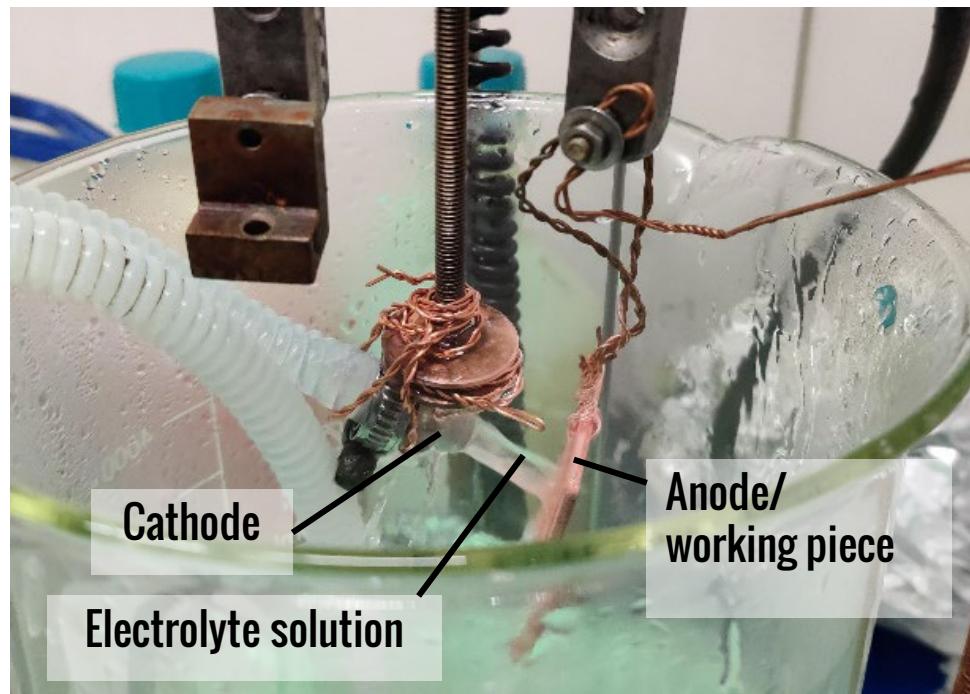
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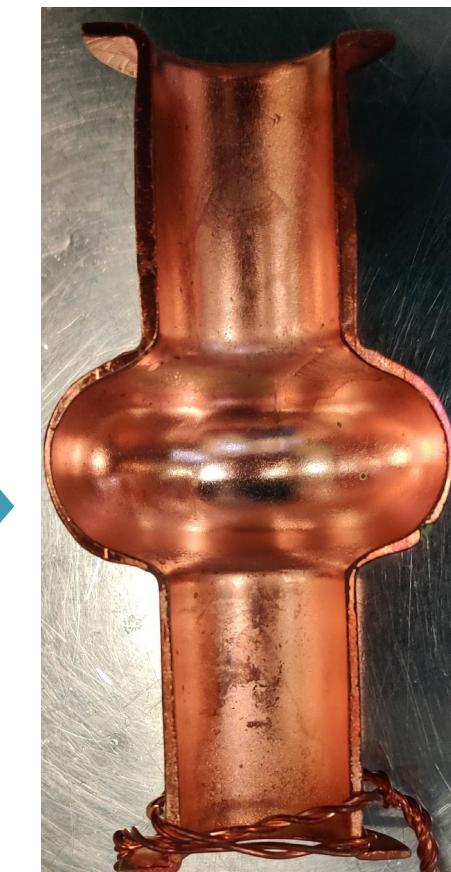
- Pulsed PEP
- Increase bath temperature
- Lowering voltage after triggering the plasma
- Jet-polishing

# Jet-Plasma Electrolytic Polishing

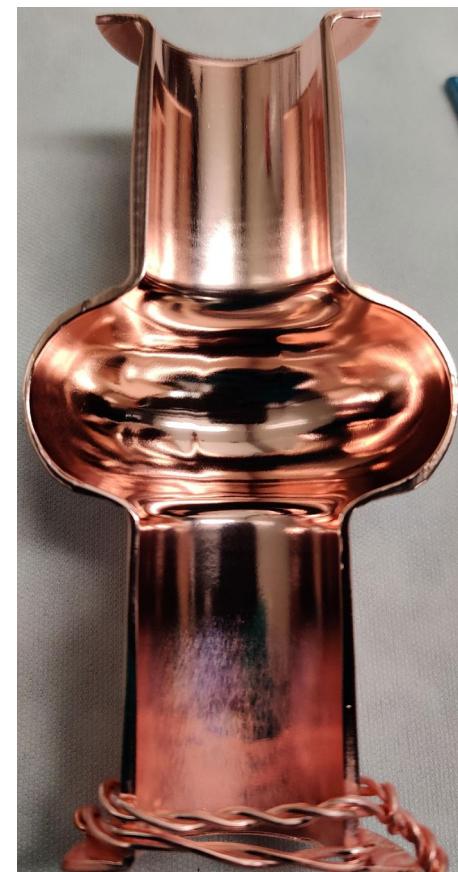
Local polishing for large area treatments



Jet-PEP set-up



Half 6 GHz Untreated



Half 6 GHz JET-PEP polished

# Conclusions

## Polishing via PEP of Nb and Cu possible

- Smoother surface than EP
- Safer and more eco-friendly than EP
- 10 times faster than EP
- Polishing of large areas challenging → Jet-PEP possible

SRF 2021



cristian.pira@lnl.infn.it

Special thanks to  
Roberta Caforio, Vanessa Garcia, Fabrizio Stivanello  
and **Eduard Chyhyrynets**

Thank you  
for the attention!

