

# Superconducting Cavities of Interesting Shapes (Non-Elliptical Cavities)

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# Preface

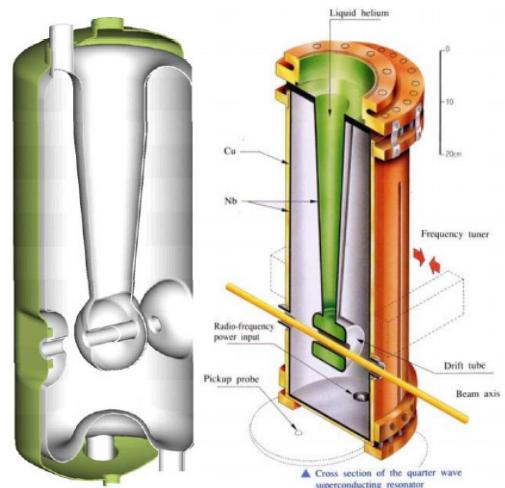
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- Material provided in this tutorial is aimed for students who are beginners in SRF who are physicists and engineers in accelerator science
- Focus is on the cavities with interesting shapes
- Covers fundamental concepts in designing cavities with interesting shapes (non-elliptical cavities)
- Is not fully exhaustive and rigorous in all the aspects
- Presentation includes material from many sources including past tutorials
- List of useful references are given at the end

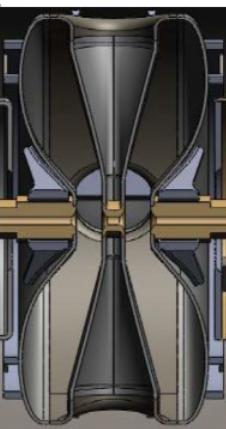
# World of Superconducting Non-Elliptical Cavities

RF Cavities of interesting shapes for particle acceleration

Quarter Wave Cavities



Half Wave Cavities



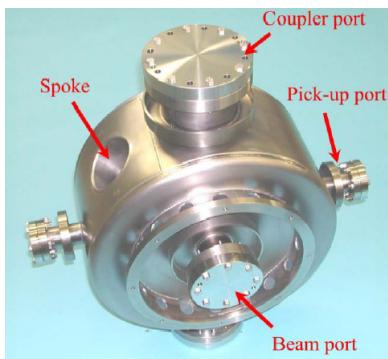
Split Ring Resonator



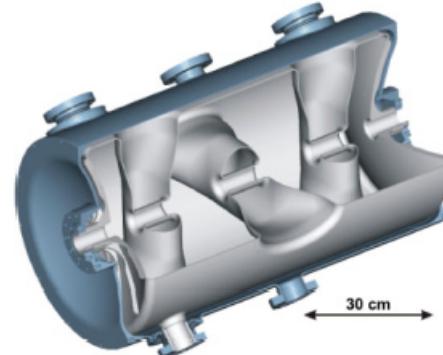
Superconducting RFQ Cavity



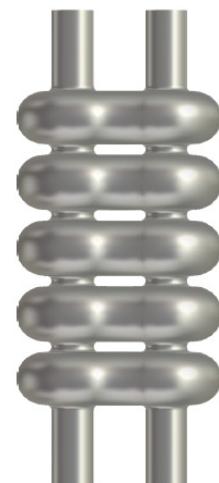
Single Spoke Cavities



Multi Spoke Cavity



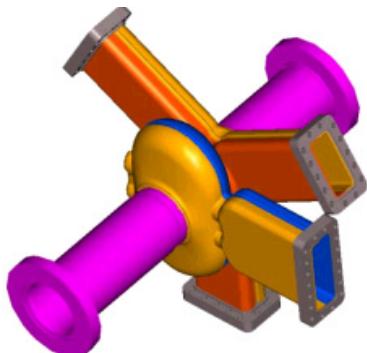
Twin Axis Cavity



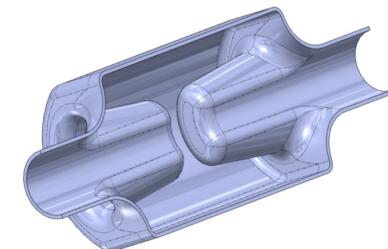
# World of Superconducting Non-Elliptical Cavities

RF Cavities of interesting shapes for deflecting and crabbing applications

Squashed Elliptical Cavities



4-Rod Cavity



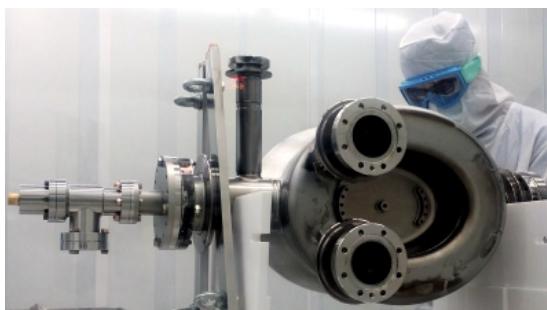
Surface Magnetic Field



Double Quarter Wave Cavity



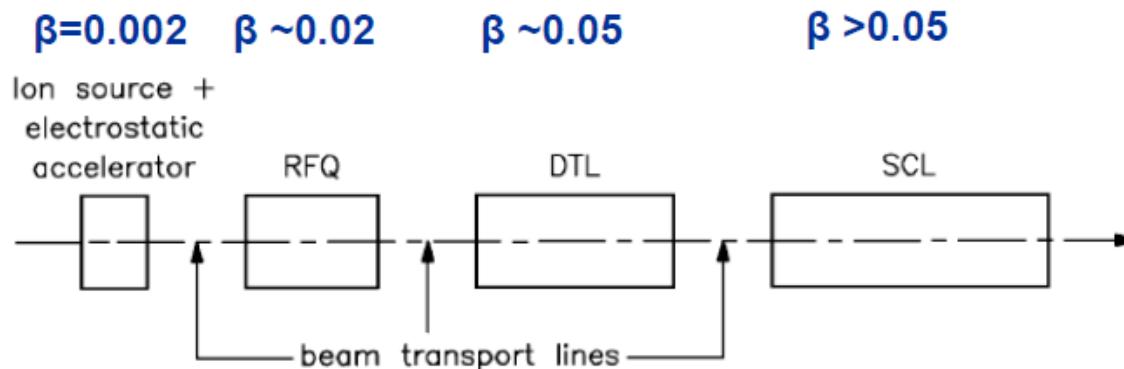
RF-Dipole Cavity



# Why Non-Elliptical Cavities?

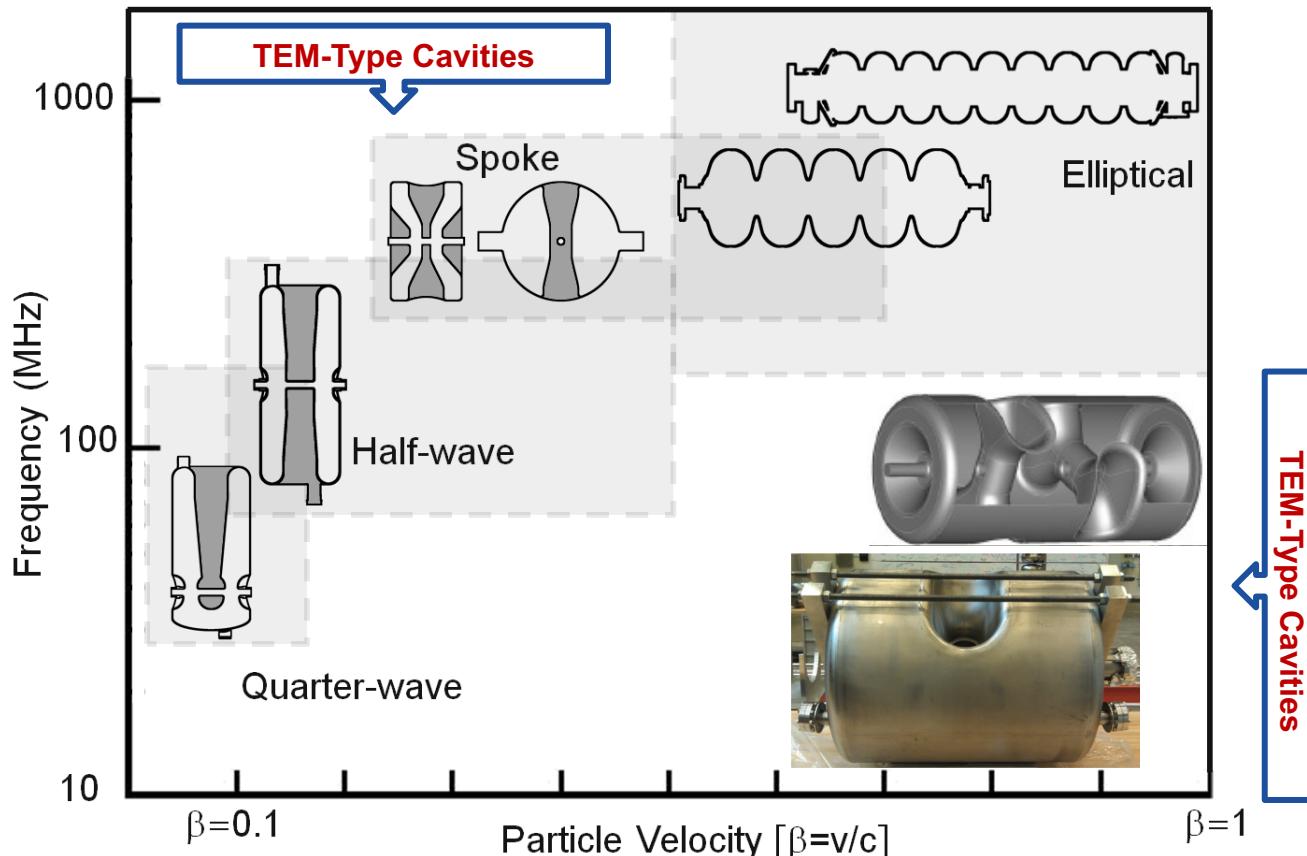
1. To accelerate Protons/Heavy Ions → Need  $\beta < 1$  ( $v/c < 1$ ) accelerating cavities

- Electrons and protons are distinctly different due to mass of the particles
  - Electrons →  $0.511 \text{ MeV}/c^2$ ; Protons →  $938 \text{ MeV}/c^2$
- Electron linacs, all cavities are designed at  $\beta = 1$
- Hadron linacs require various types of cavities each optimized to accelerate different velocity ranges
- Elliptical cavities has intrinsic problem as  $\beta$  goes down
  - Due to mechanical problems, multipacting, low RF efficiency
- Solution: Use of TEM-type cavities



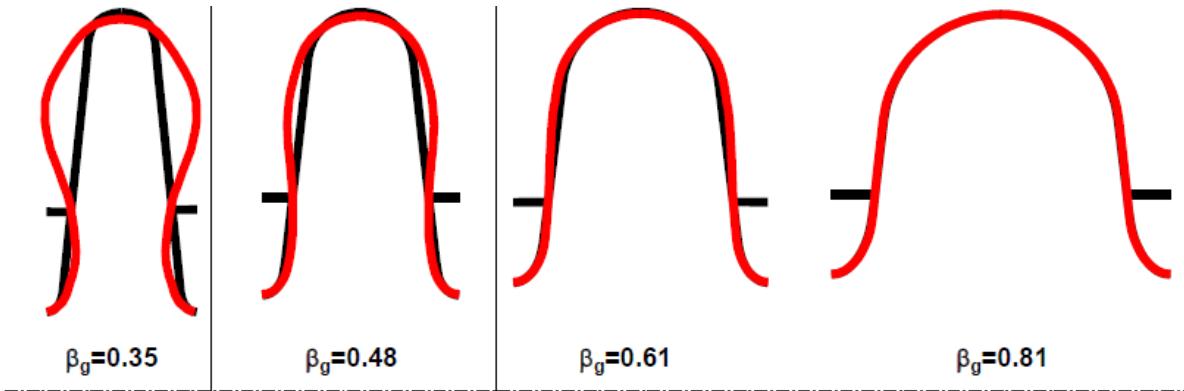
# Proton/Heavy Ion Acceleration

- Protons/Heavy Ions require acceleration by many cavities to reach velocities approaching speed of light
- Cavities are designed for specific regions of velocity



# Limitation on $\beta=1$ Elliptical Cavities

- Elliptical cavities have been designed for  $\beta>0.5$  for cw applications and  $\beta>0.6$  for pulsed high energy acceleration
- At very low  $\beta$  elliptical cavities start to look like bellows
  - In  $\pi$  mode cell-to-cell distance  $\sim \beta\lambda/2$  and cavity diameter is  $\sim \lambda$
  - Ratio of cavity length/diameter  $\sim \beta/2$

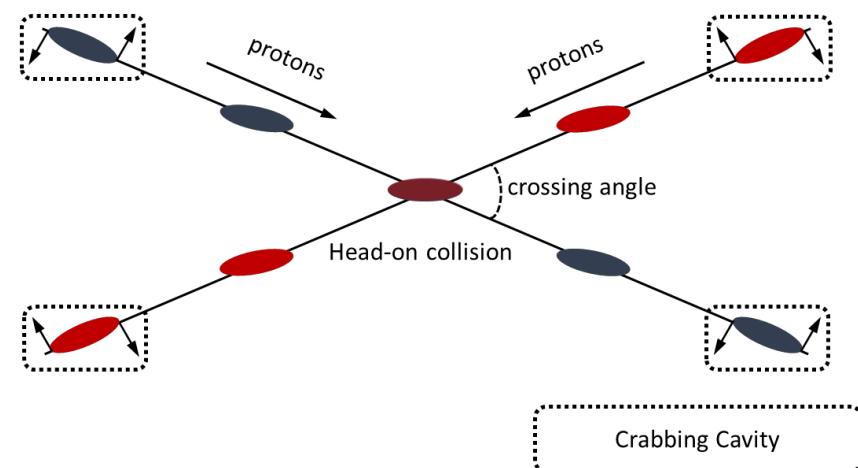
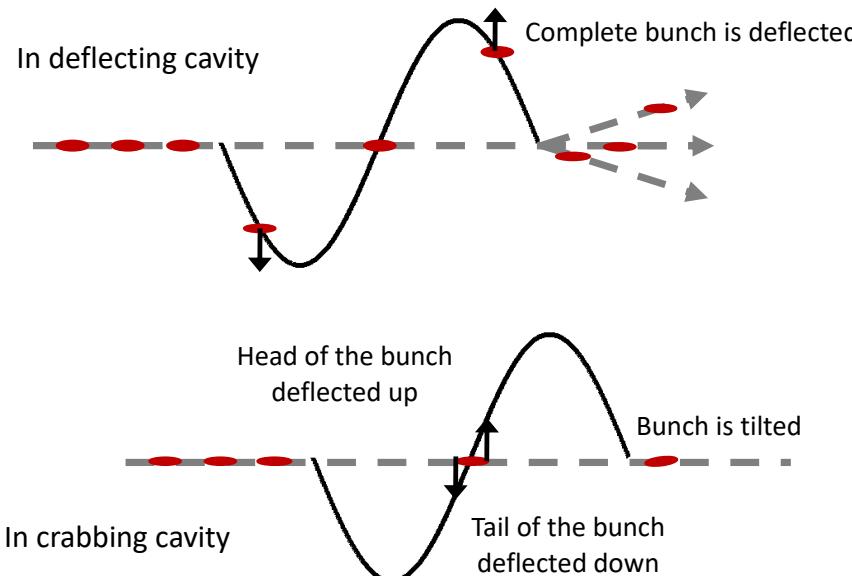


- |  |   |   |
|--|---|---|
| <ul style="list-style-type: none"><li>• Low rf efficiency</li><li>• Poor mechanical stability</li><li>• Possibility of strong multipacting</li></ul> | <ul style="list-style-type: none"><li>• Will work in CW applications</li><li>• Pessimistic in pulsed applications</li></ul> | Suitable for all CW and pulsed applications |
|--|---|---|

# Why Non-Elliptical Cavities?

## 2. To deflect or crab a beam

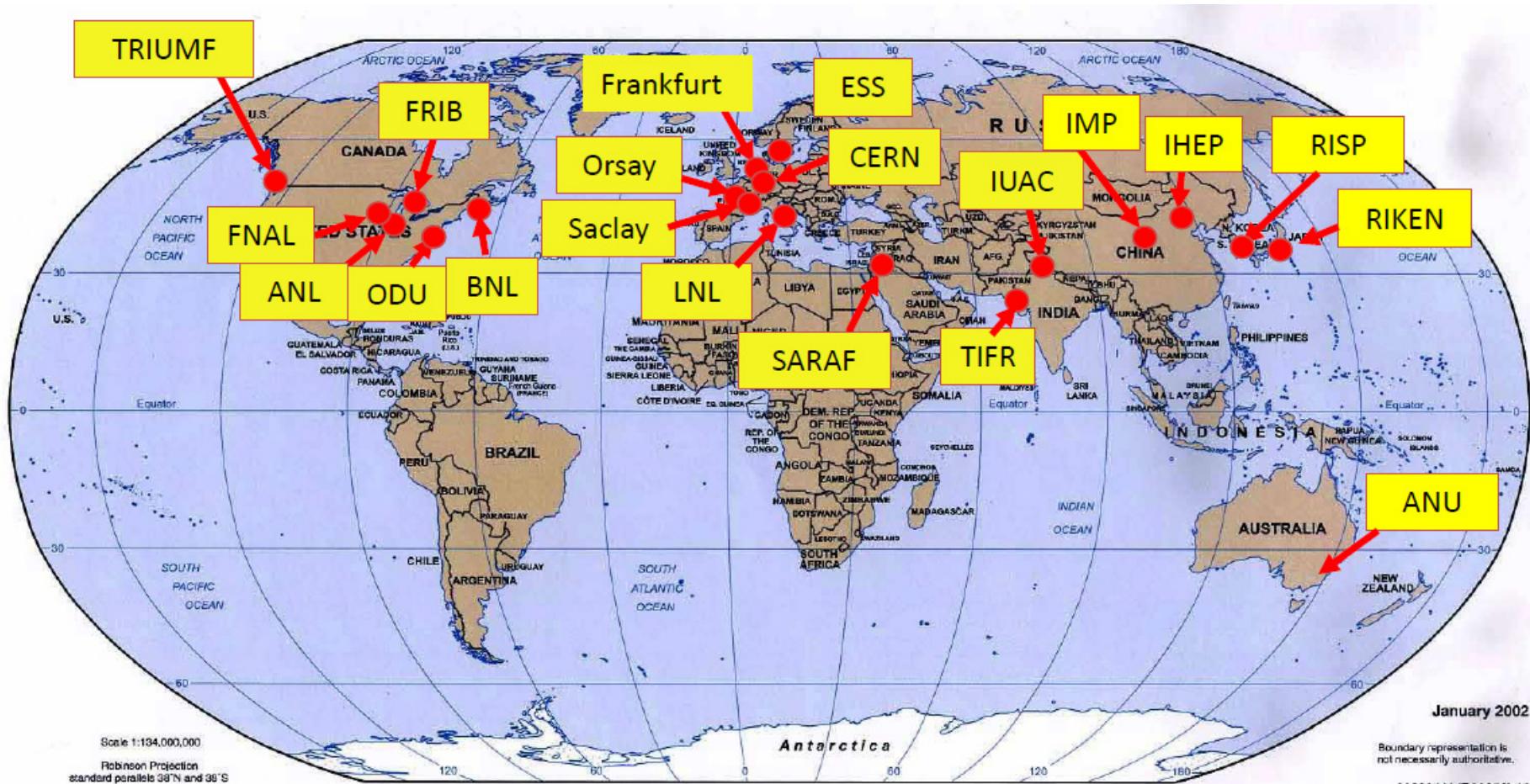
- Requires to provide a transverse kick to the beam
- Standard elliptical cavities operating in  $\text{TM}_{010}$  mode only produce a longitudinal gradient
- Deflecting/crabbing cavities operate mostly at  $\beta=1$
- Solution: Use of  $\text{TE}_{11}$ -like mode,  $\text{TM}_{11}$ -type mode, or  $\text{TEM}$ -type mode cavities



# Applications of Non-Elliptical Cavities

Application	Maximum $\beta$	Beam	Maximum Current	Operation
Linacs for nuclear physics research	~ 0.2 (0.5)	Light & Heavy Ions	~ 1 $\mu$ A	cw
Drivers for radioactive ion beam (RIB) facilities and accelerator driven systems (ADS)	~ 0.3 – 0.9	Light & Heavy Ions	~ 0.1 – 30 mA	cw
Linacs for radioisotope production	~0.3	p, d	~ 1 – 10 mA	cw
Neutron spallation sources	~1	p	~ 10 – 100 mA	pulsed
Accelerators for material irradiation	~0.3	d	~ 100 mA	cw
Compact high $\beta$ linacs (proposed)	1	e	~ 1 mA	cw
Deflecting and crabbing applications	1	e, p	~ 1 A	cw

# Superconducting Non-Elliptical Cavity Community



# Non-Elliptical Facilities and Projects

Project	Lab	Driver	Post-accelerator	Particle	Structure
ATLAS	ANL		✓	HI	Split-ring, QWR
ALPI	LNL		✓	P, d / HI	QWR (sputter, bulk)
ISAC-II	TRIUMF		✓	HI	QWR
IUAC	IUAC		✓	HI	QWR
ReA3/6	NSCL		✓	HI	QWR
HIE-Isolde	CERN		✓	HI	QWR (sputter)
SARAF	SOREQ	✓		P, d	HWR
SPIRAL-II	GANIL	✓		P, d, HI	QWR
IFMIF	Saclay	✓		P,d	HWR
FRIB	NSCL	✓		HI	QWR, HWR
ESS	ESS	✓		P	DSR
RAON	RISP	✓		HI	QWR, HWR, SSR
ADS	IMP,IHEP	✓		p	HWR, SSR
PIP-2	FNAL	✓		P	HWR, SSR
Hi-Lumi	CERN			p	Crab cavities - DQWR, RFD

12/07/2017

Bob Gove, Jr., Non-Elliptical Coatings

# Advantages of Non-Elliptical Cavities

- Superconducting technology allows cw and high duty cycle operation
  - Also allows increase bore (transverse acceptance) as highest shunt impedance is not essential and TEM cavities allow lower frequencies with the associated larger longitudinal acceptance
- Drivers – RIB production (ISOL, fragmentation) (ions), ADS (transmutation, energy) (p, H-, d), Spallation neutron sources (p, H-)
  - Longer machines typically, large velocity swing, several cavity regimes
  - Treat as almost fixed gradient machines
  - Beam loss (halo) an issue, careful beam dynamics required, favor symmetric rather than asymmetric cavities
  - Beam loading is typically an important consideration
- Post-accelerators (Radioactive ion beam and nuclear physics) (ions)
  - Shorter machines typically, broad velocity acceptance
  - Utilize maximum cw gradient to improve performance and/or reduce cost
  - Short independently phased cavities give flexibility to beam delivery
  - Beam loading typically not an issue

# Advantages of Non-Elliptical Cavities

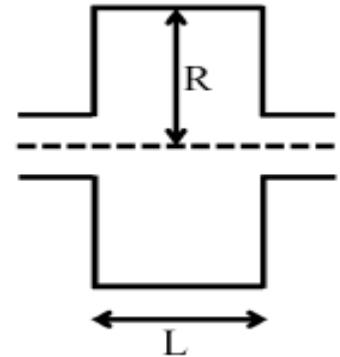
- Traditionally low  $\beta$  superconducting resonators were quarter wave (or split rings) used as post-accelerators for heavy ion tandems serving the nuclear physics community (ATLAS, INFN-LNL)
- Increased interest in Radioactive Ion Beam (RIBs) has created a renaissance in low and medium  $\beta$  superconducting cavity development in the last 15 years for both post-accelerators and drivers – ISAC-II, NSCL-ReA, FRIB
- High duty cycle driver linacs are now being built with superconducting sections beginning at lower  $\beta$  values (SRAF, SPIRAL-II, C-ADS, IFMIF, ESS)
  - Rise in performance of spoke cavities and half-wave resonators (HWR)
  - Shapes are being optimized for performance with more emphasis on forming
  - Clean room assembly, high pressure water rising and separated vacuum cryostats are now standard
- Spoke resonators are now being investigated at velocities at or near  $\beta=1$  for compact machines
- Deflecting/crabbing cavities have seen a rise in interest due to high performance, compact designs for applications such as crabbing cavities for LHC high luminosity upgrade

# Electromagnetic Fields

- Resonance cavity mode types: TM type, TE type, TEM type
- For a cylindrical geometry (Simplest form of a resonant cavity)

$$\vec{E}(x, y, z, t) = \vec{E}(x, y) e^{j(kz - \omega t)}$$

$$\vec{H}(x, y, z, t) = \vec{H}(x, y) e^{j(kz - \omega t)}$$



## TM Modes

- Modes with longitudinal electric fields and no transverse magnetic fields

TM Modes:

$$\begin{cases} E_z = E_0 \cos\left(\frac{p\pi z}{L}\right) J_m\left(\frac{x_{mn}r}{R}\right) \cos(m\phi), \\ E_r = -E_0 \frac{p\pi R}{Lx_{mn}} \sin\left(\frac{p\pi z}{L}\right) J'_m\left(\frac{x_{mn}r}{R}\right) \cos(m\phi), \\ E_\phi = E_0 \frac{mp\pi R^2}{rLx_{mn}^2} \sin\left(\frac{p\pi z}{L}\right) J_m\left(\frac{x_{mn}r}{R}\right) \sin(m\phi), \\ H_z = 0, \\ H_r = jE_0 \frac{m\omega R^2}{c\eta r x_{mn}^2} \cos\left(\frac{p\pi z}{L}\right) J_m\left(\frac{x_{mn}r}{R}\right) \sin(m\phi), \\ H_\phi = jE_0 \frac{\omega R}{c\eta x_{mn}} \cos\left(\frac{p\pi z}{L}\right) J'_m\left(\frac{x_{mn}r}{R}\right) \cos(m\phi), \end{cases}$$

$$\omega_{TM_{mnp}} = c \sqrt{\left(\frac{x_{mn}c}{R}\right)^2 + \left(\frac{p\pi}{L}\right)^2} \quad x_{mn} \text{ is the } n^{\text{th}} \text{ root of } J_m$$

## TE Modes

- Modes with longitudinal magnetic fields and no transvers electric fields

TE Modes:

$$\begin{cases} H_z = H_0 \sin\left(\frac{p\pi z}{L}\right) J_m\left(\frac{x'_{mn}r}{R}\right) \cos(m\phi), \\ H_r = H_0 \frac{p\pi R}{Lx'_{mn}} \cos\left(\frac{p\pi z}{L}\right) J'_m\left(\frac{x'_{mn}r}{R}\right) \cos(m\phi), \\ H_\phi = -H_0 \frac{mp\pi R^2}{rL(x'_{mn})^2} \cos\left(\frac{p\pi z}{L}\right) J_m\left(\frac{x'_{mn}r}{R}\right) \sin(m\phi), \\ E_z = 0, \\ E_r = jH_0 \frac{m\eta\omega R^2}{c r (x'_{mn})^2} \sin\left(\frac{p\pi z}{L}\right) J_m\left(\frac{x'_{mn}r}{R}\right) \sin(m\phi), \\ E_\phi = jH_0 \frac{\eta\omega R}{c x'_{mn}} \sin\left(\frac{p\pi z}{L}\right) J'_m\left(\frac{x'_{mn}r}{R}\right) \cos(m\phi), \end{cases}$$

$$\omega_{TE_{mnp}} = c \sqrt{\left(\frac{x'_{mn}c}{R}\right)^2 + \left(\frac{p\pi}{L}\right)^2}. \quad x'_{mn} \text{ is the } n^{\text{th}} \text{ root of } J'_m$$

# Modes in a Pill Box Cavity

- $\text{TM}_{010}$ 
  - Electric field is purely longitudinal
  - Electric and magnetic fields have no angular dependence
  - Mode of interest for acceleration in elliptical cavities
  - Frequency depends only on radius, independent of length
- $\text{TM}_{0np}$ 
  - Monopole modes that can couple to the beam and exchange energy
- $\text{TM}_{1np}$ 
  - Dipole modes that can deflect the beam
- TE modes
  - No longitudinal E field
  - Cannot couple to the beam
- TEM modes → For coaxial geometries
  - Transverse Electro Magnetic (TEM) mode

SRF 2019 Tutorial – RF  
Basic and TM Class  
Cavities, E. Jensen

# Coaxial Resonator (TEM Mode)

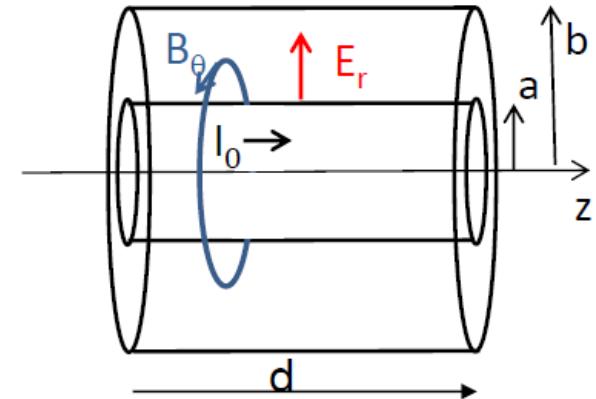
- Consider a coaxial geometry with grounded plates at the ends inner radius  $a$  and outer radius  $b$  and length  $d$
- A standing wave occurs with  $E_r$  vanishing at the end walls at  $z=0$  and  $z=d$  with
- Fields components

$$B_\theta = \frac{\mu_0 I_0}{\pi r} \cos \frac{p\pi z}{d} e^{j\omega t}$$

$$E_r = -2j \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{I_0}{2\pi r} \sin \frac{p\pi z}{d} e^{j\omega t}$$

$$\text{where } \omega = k_z c = \frac{p\pi c}{d}, \quad p = 1, 2, 3, \dots$$

- Peak voltage on the inner conductor is found by integrating the radial electric field between the grounded outer conductor and the inner conductor



$$\hat{V}(z) = \int_a^b E_r(z) dr$$

$$\hat{V}(z) = \sqrt{\frac{\mu_0}{\epsilon_0}} \frac{I_0}{\pi} \ln\left(\frac{b}{a}\right) \sin \frac{p\pi z}{d}$$

# Types of Superconducting Non-Elliptical Cavities

## TM Type

- Accelerating cavities

Twin axis cavity  
 $TM_{110}$ -like mode



- Deflecting and crabbing cavities

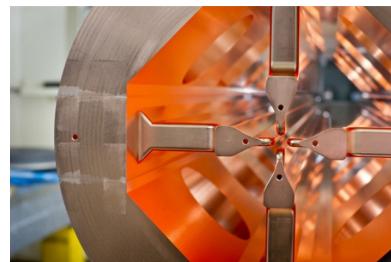
Squashed elliptical cavity  
 $TM_{110}$ -like mode



## TE Type

- Accelerating cavities

RF quadrupole cavity  
 $TE_{21}$ -like mode

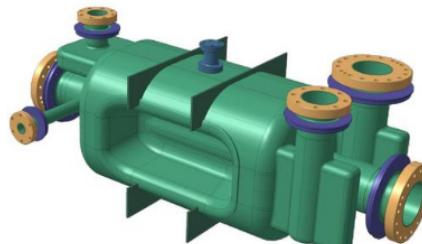


- Deflecting and crabbing cavities

Double quarter wave cavity  
 $TE_{11}$ -like mode



RF-dipole cavity  
 $TE_{11}$ -like mode



## TEM Type

- Accelerating cavities

Quarter Wave Cavity



Half Wave Cavity

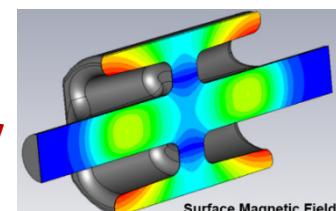


- Deflecting and crabbing cavities

Spoke Cavity



4-Rod Cavity



# Designing Non-Elliptical Cavities

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- No universal design for any of the cavity geometries
- Has many degrees of freedom with many parameters for optimization
- May lead to complicated designs

BUT IT IS MORE FUN !!

- Non-elliptical cavities are 3D geometries
- Requires 3D simulations to optimize cavity designs
- Available simulation packages
  - ✓ CST Studio, HFSS, ACE3P Code Suite, COMSOL
  - ✓ SRF 2019 Tutorial – Methods and Simulation Tools for Cavity Design, H.-W. Glock

# **NON-ELLIPTICAL ACCELERATING CAVITIES**

**(1) BASIC PRINCIPLES**

**(2) TYPES OF NON-ELLIPTICAL ACCELERATING CAVITIES**

- i. TEM-Type Cavities**
- ii. TM-Type Cavities**

**(3) DESIGN CONSIDERATIONS**

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# **BASIC PRINCIPLES OF NON-ELLIPTICAL ACCELERATING CAVITIES**

# RF Acceleration

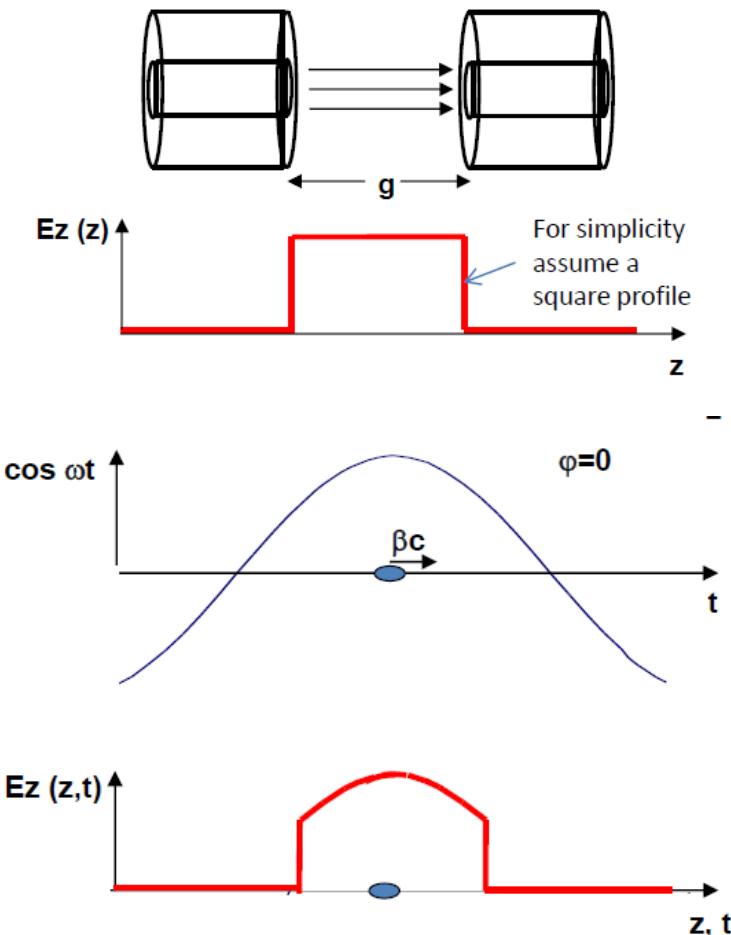
- A standing wave is established in the resonator with a time varying  $E_z$  field on axis
- For a particle travelling on axis with velocity  $\beta c$  and sees a field that is the product of spatial variation and time modulation

$$E_z(z, t) = E_z(\rho = 0, z) \cdot \cos(\omega t + \varphi)$$

- $\varphi$  is a constant (rf phase) that defines the time of arrival of the particle with respect to the rf time modulation
- A phase corresponds to the maximum acceleration that can be given to the particle (on crest acceleration)
- One can calculate the accelerating voltage ( $V_{\text{eff}}$ ) imparted to the particle by

$$V(\varphi) = \int_{-\infty}^{\infty} E_z(\rho = 0, z) \cos(\omega t(z) + \varphi) dz$$

Example: Gap between drift tubes



# Accelerating Voltage and Gradient

$$V(\varphi) = \int_{-\infty}^{\infty} E_z(\rho = 0, z) \cos(\omega t(z) + \varphi) dz$$

- Time and position are linked through the velocity (assuming  $\beta$  doesn't change)

$$t = \frac{z}{v} = \frac{z}{\beta c} \quad \text{and noting } c = f\lambda \quad \text{and } \omega = 2\pi f$$

$$V(\varphi) = \int_{-\infty}^{\infty} E_z(\rho = 0, z) \cos\left(\frac{2\pi z}{\beta\lambda} + \varphi\right) dz$$

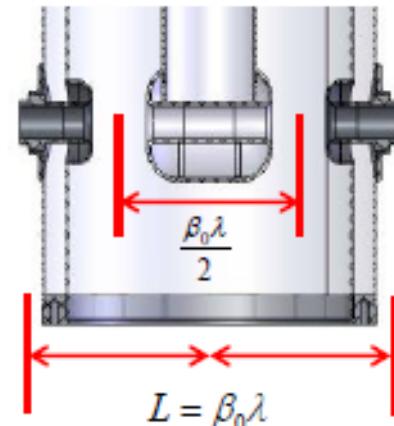
$$V(\varphi) = \int_{-\infty}^{\infty} E_z(\rho = 0, z) \left( \cos\left(\frac{2\pi z}{\beta\lambda}\right) \cos \varphi - \sin\left(\frac{2\pi z}{\beta\lambda}\right) \sin \varphi \right) dz$$

- Since  $E_z$  is typically an even function this simplifies to

$$V(\varphi) = \int_{-\infty}^{\infty} E_z(\rho = 0, z) \left( \cos\left(\frac{2\pi z}{\beta\lambda}\right) \right) dz \cdot \cos \varphi = V_c \cdot \cos \varphi$$

$$\text{where } V_c = \int_{-\infty}^{\infty} E_z(\rho = 0, z) \left( \cos\left(\frac{2\pi z}{\beta\lambda}\right) \right) dz$$

is called the accelerating voltage (also  $V_c = V_{acc} = V_{eff}$ )



- Accelerating gradient ( $E_a$ ) – Gives the effective voltage gain [MV/m]

$$E_a = \frac{V_{eff}}{L} \quad \text{where } L = n \frac{\beta_0 \lambda}{2}$$

$n$  is the number of cells

$$\text{where } V_{eff} = \int_{-\infty}^{\infty} E_z(z, t) dz \text{ @ } \beta = \beta_0 \text{ and } \varphi = 0^\circ$$

- Very important to specify length in defining  $E_a$

# Energy Gain, Transit Time Factor, Velocity Acceptance

- Energy gain:

$$\Delta W = q \int_{-\infty}^{+\infty} E(z) \cos(\omega t + \phi) dz$$

- At constant velocity

$$\Delta W = q \cos \phi \Delta W_0 \Theta \quad \Delta W_0 = T(\beta) \int_{-\infty}^{+\infty} |E(z)| dz$$

- Transit time factor: Time variation of the field during particle transit through the gap

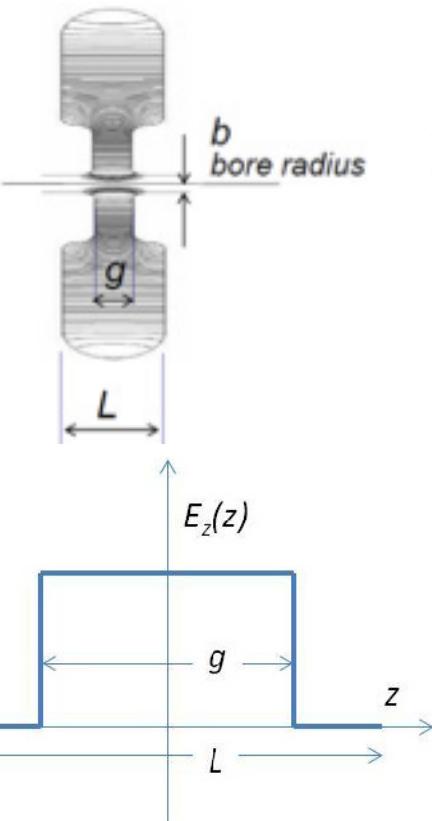
$$T(\beta) = \frac{\text{Max} \int_{-\infty}^{+\infty} E(z) \cos\left(\frac{\omega z}{\beta c}\right) dz}{\int_{-\infty}^{+\infty} |E(z)| dz}$$
$$\int_{-\infty}^{+\infty} E(z) \cos\left(\frac{\omega z}{\beta c}\right) dz$$

- Velocity acceptance:

$$\Theta = \frac{\int_{-\infty}^{+\infty} E(z) \cos\left(\frac{\omega z}{\beta c}\right) dz}{\text{Max} \int_{-\infty}^{+\infty} E(z) \cos\left(\frac{\omega z}{\beta c}\right) dz}$$

# Single Gap Structure

- For an accelerating gap with an accelerating field approximated with a square profile
- Here note that as  $g \rightarrow 0$  the  $T \rightarrow 1$ , but small gaps cannot support high fields so we optimize the gap geometry using a number of considerations



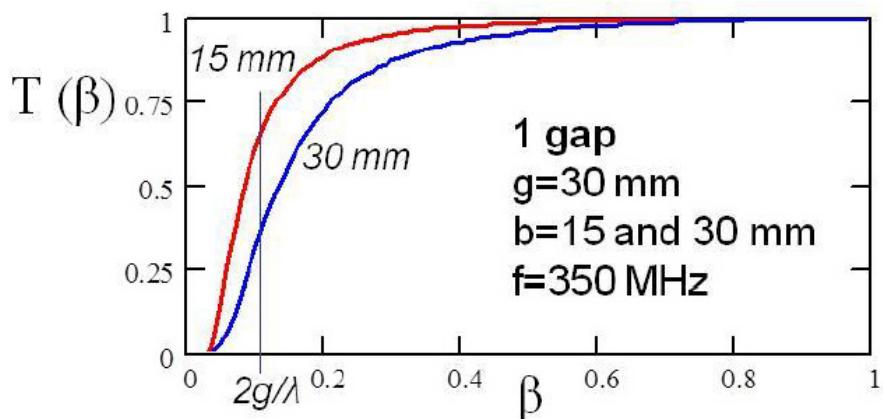
$$E_z(\rho = 0, z) = E_0 |_{-g/2}^{g/2} \quad \text{else} \quad E_z(0, z) = 0 \quad \text{so} \quad V_0 = E_0 L = E_0 \cdot g$$

$$V_c = \int_{-g/2}^{g/2} E_0 \cos\left(\frac{2\pi z}{\beta\lambda}\right) dz = E_0 \frac{\beta\lambda}{\pi} \sin\left(\frac{\pi g}{\beta\lambda}\right) \quad T = \frac{V_{eff}}{V_0} = \frac{\beta\lambda}{\pi g} \sin\left(\frac{\pi g}{\beta\lambda}\right) \quad \text{and} \quad V_{eff} = E_0 TL$$

$$T(\beta) \cong \frac{\sin\left(\frac{\pi g}{\beta\lambda}\right)}{\left(\frac{\pi g}{\beta\lambda}\right)}$$

Aperture  $b$  contributes to the effective gap length:  

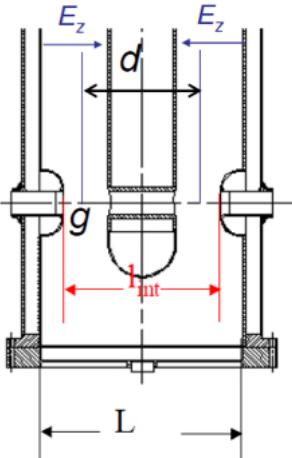
$$g_{eff} \approx \sqrt{g^2 + (2b)^2}$$



Rule of thumb:  $g_{eff} < \beta\lambda/2 \rightarrow T(\beta) > 0.63$

# Two Gap Structure

- For a two gap with an accelerating field approximated with a square profile in the  $\pi$  mode
- Slower or faster particles will get less acceleration due to poor synchronization with the rf phase



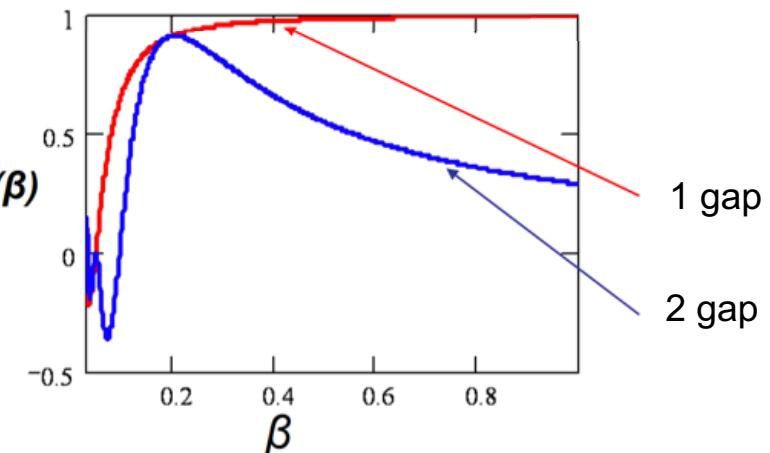
$$T_{2\text{-gap}}(\beta, g) = \frac{\text{cav}}{\int |E(0, z)| dz} = \frac{\sin \pi g / \beta \lambda}{\pi g / \beta \lambda} \sin \frac{\pi \beta_s}{2\beta} \quad \text{where } \beta_s = \frac{2L}{\lambda}$$

$$T(\beta) \approx \frac{\sin\left(\frac{\pi g}{\beta \lambda}\right)}{\left(\frac{\pi g}{\beta \lambda}\right)} \sin\left(\frac{\pi d}{\beta \lambda}\right)$$



1 gap term    2 gap term

high if  $g < \beta \lambda / 2$     high if  $d \sim \beta \lambda / 2$



Only 2<sup>nd</sup> term changes for more than 2 equal gaps in  $\pi$  mode

# Normalized T( $\beta$ )

- It is usually convenient to define the normalized transit time factor and include the gap effect in the accelerating gradient

- Normalized transit time factor:  $T^*(\beta) = \frac{T(\beta)}{T(\beta_0)}$

- Average accelerating gradient:  $E_a^* = T(\beta_0)E_a$

where  $\beta_0 \equiv \beta / T(\beta_0) = \max\{T(\beta)\}$  and  $T^*(\beta_0) = 1$

- Energy gain definition doesn't change

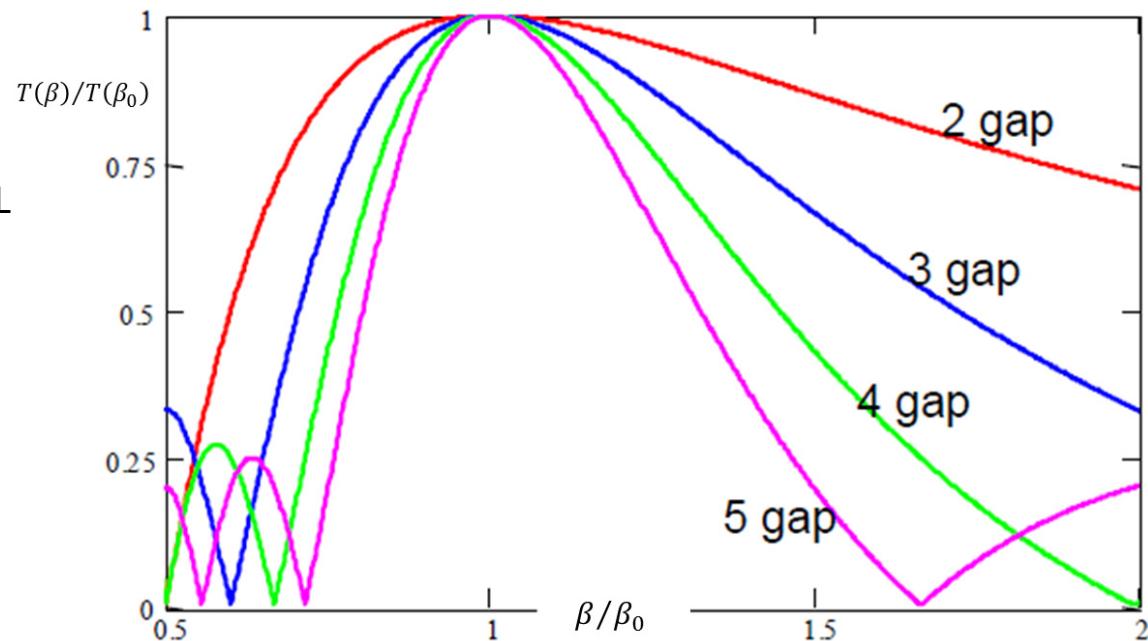
$$\Delta W_p = qE_a^*LT^*(\beta)\cos\varphi$$

# Multi Gap Structures

- Larger the gap  $n$  larger the energy gain at a given gap voltage  $V_g$
- But larger the gap  $n$ , narrower the velocity acceptance
  - Constant  $\beta$  calls for large  $n$
  - Fast varying  $\beta$  calls for small  $n$
- Higher number of gaps will provide more energy gain over a smaller velocity range

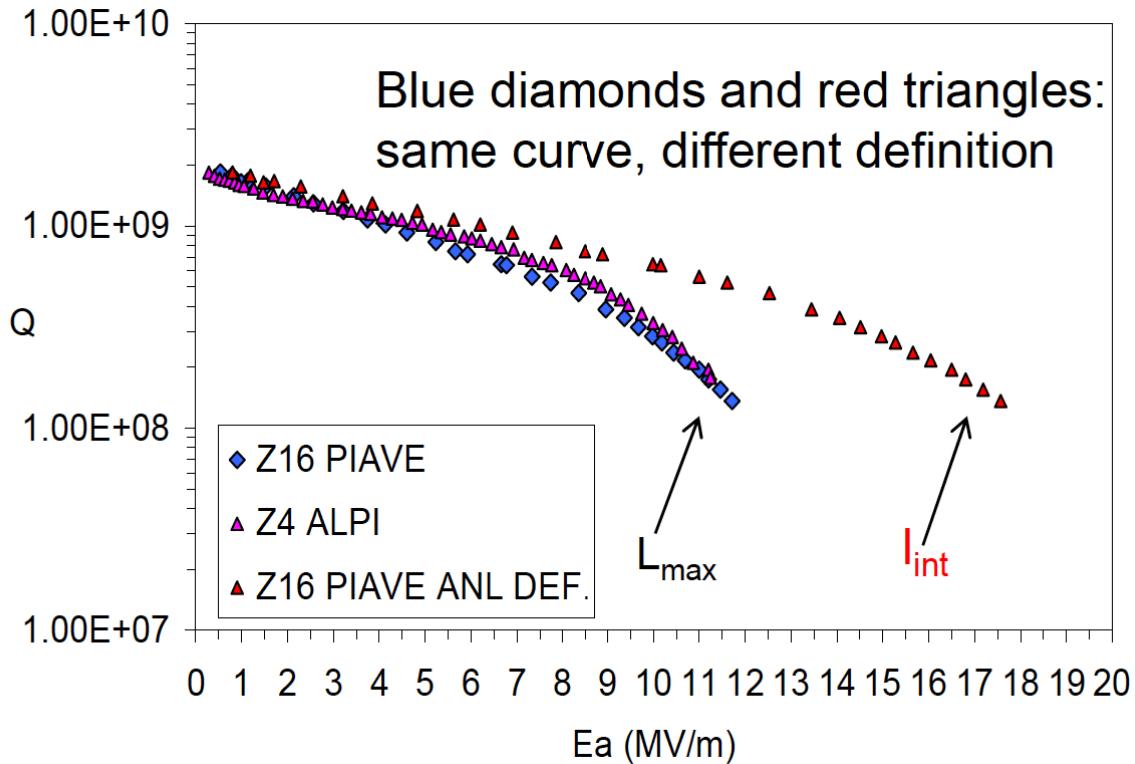
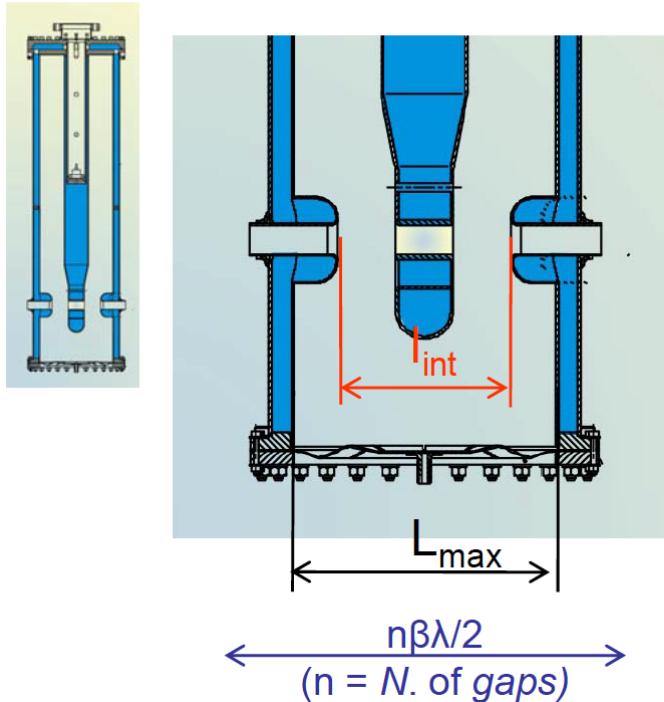
$$V_{\text{eff}} = E_a * L$$

Pay attention to definition of  $L$



# Different Definitions of Accelerating Gradient

- Sometimes it is difficult to decide on the definition of  $L$ :  $l_{int}$ ,  $L_{max}$ ,  $n\beta\lambda/2$
- Shorter  $L$  is defined, larger  $E_a$  appears in  $Q$  vs  $E_a$  curves
- However, energy gain is always the same and all definitions are constant



# Important Parameters

- Parameters are the same for elliptical cavities
- Important to specify the cavity reference length in defining  $E_a$

Avg. accelerating field

$$E_a = V_g T(\beta_0) / L \quad \text{MV/m}$$

Stored energy

$$U / E_a^2 \quad \text{J/(MV/m)}^2$$

Shunt impedance per meter

$$R_{sh} = E_a^2 L / P \quad \text{M}\Omega/\text{m}$$

Quality Factor

$$Q = \omega U / P$$

Geometrical factor

$$\Gamma = Q R_s \quad \Omega$$

Peak electric field

$$E_p / E_a$$

Peak magnetic field

$$B_p / E_a \quad \text{mT/(MV/m)}$$

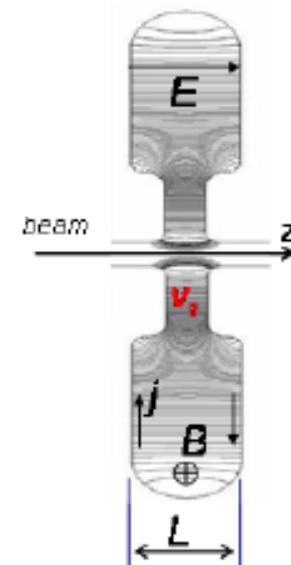
Optimum  $\beta$

$$\beta_0$$

Cavity length

$$L \quad \text{m}$$

constants



where:

$R_s$  = surface resistance of the cavity walls

$P$  = rf power losses in the cavity, proportional to  $R_s$

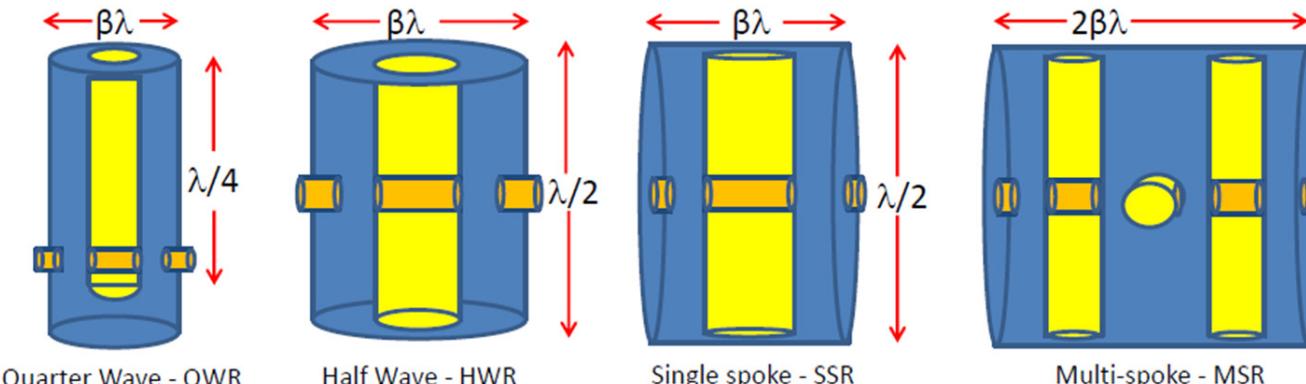
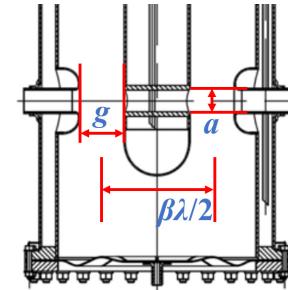
SRF 2019 Tutorial – RF Basic and TM Cavities, E. Jensen – Slides 30-37

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# **TYPES OF NON-ELLIPTICAL ACCELERATING CAVITIES**

# TEM-Type Cavities

- Transverse Electro Magnetic (TEM) mode cavities
  - Mode is related to the cavity symmetry axis
  - Produce accelerating voltages across the coaxial gap with variable gap distance and with transverse dimensions  $\sim 2\text{-}4$  times smaller than an elliptical cavities for the same frequency
- Most efficient for particles with  $\beta < 0.5\text{-}0.6$
- Acceleration typically uses  $\pi$  mode with rf phase advance of 180 deg
  - Requires distance of  $\beta\lambda/2$  between gaps for synchronism
- Good transverse acceptance requires a large aperture - efficient rf acceleration requires a gap (g) to aperture (a) ratio  $g/a > 1$  and a gap size  $\sim 50\%$  of the cell length
  - Low frequency cavities have large accelerating gaps
  - Low velocities require low frequencies with large wavelengths



# Quarter Wave Resonator (QWR)

- QWR → A capacitively loaded  $\lambda/4$  transmission line
- Maximum voltage builds up on the open tip and maximum current at the plate connecting the center conductor
- Beam tube is placed near the end of the tip to produce a high voltage double gap acceleration geometry

Capacitance per unit length

$$C = \frac{2\pi\epsilon_0}{\ln\left(\frac{b}{r_0}\right)} = \frac{2\pi\epsilon_0}{\ln\left(\frac{1}{\rho_0}\right)}$$

Inductance per unit length

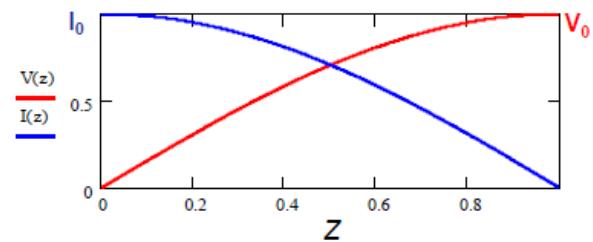
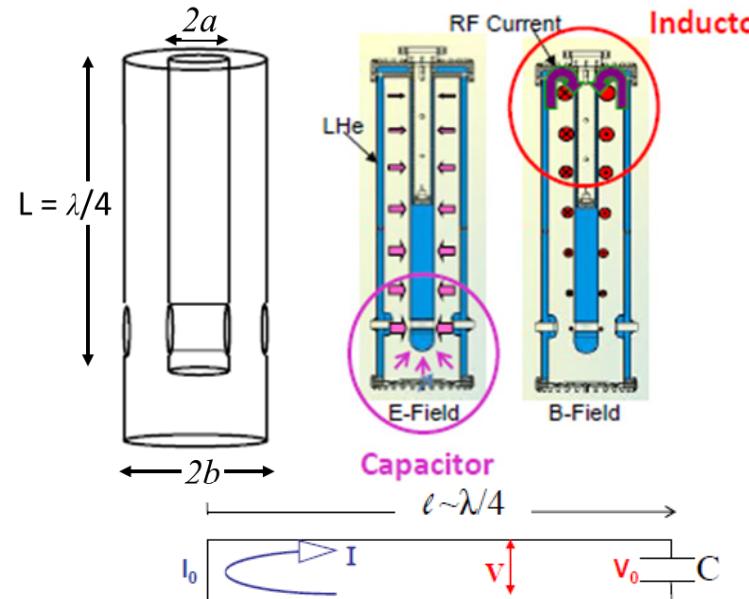
$$L = \frac{\mu_0}{2\pi} \ln\left(\frac{b}{r_0}\right) = \frac{\mu_0}{2\pi} \ln\left(\frac{1}{\rho_0}\right)$$

Center conductor voltage

$$V(z) = V_0 \sin\left(\frac{2\pi}{\lambda} z\right)$$

Center conductor current

$$I(z) = I_0 \cos\left(\frac{2\pi}{\lambda} z\right)$$



Line impedance

$$Z_0 = \frac{V_0}{I_0} = \frac{\eta}{2\pi} \ln\left(\frac{1}{\rho_0}\right), \quad \eta = \sqrt{\frac{\mu_0}{\epsilon_0}} \approx 377\Omega$$

# Quarter Wave Resonator (QWR)

Optimizing the expected performance of a resonator for given frequency and  $\beta$

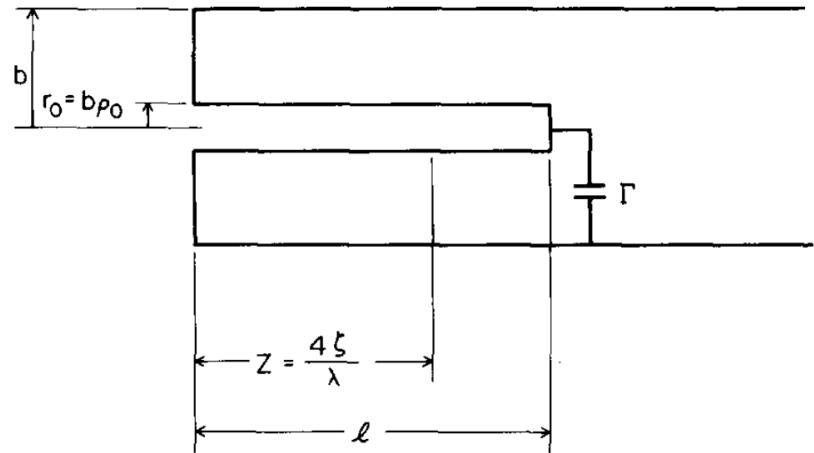
$V_p$  – voltage on the center conductor with outer conductor at ground

Peak Magnetic Field

$$\frac{V_p}{b} = \begin{Bmatrix} \eta & H \\ c & B \\ 300 & B \end{Bmatrix} \rho_0 \ln\left(\frac{1}{\rho_0}\right) \sin\left(\frac{\pi}{2}\zeta\right) \quad \begin{Bmatrix} \text{m, A/m} \\ \text{m, T} \\ \text{cm, G} \end{Bmatrix}$$

$V_p$ : Voltage across loading capacitance

$B = 9 \text{ mT}$  at  $1 \text{ MV/m}$



Geometrical Factor

$$G = QR_s = 2\pi \eta \frac{b}{\lambda} \frac{\ln(1/\rho_0)}{1+1/\rho_0}$$

$$G \propto \eta \beta$$

Energy Content

$$U = V_p^2 \frac{\pi \epsilon_0}{8} \lambda \frac{1}{\ln(1/\rho_0)} \frac{\zeta + \frac{1}{\pi} \sin \pi \zeta}{\sin^2 \frac{\pi}{2} \zeta}$$

$$U \propto \epsilon_0 E^2 \beta^2 \lambda^3$$

# Quarter Wave Resonator (QWR)

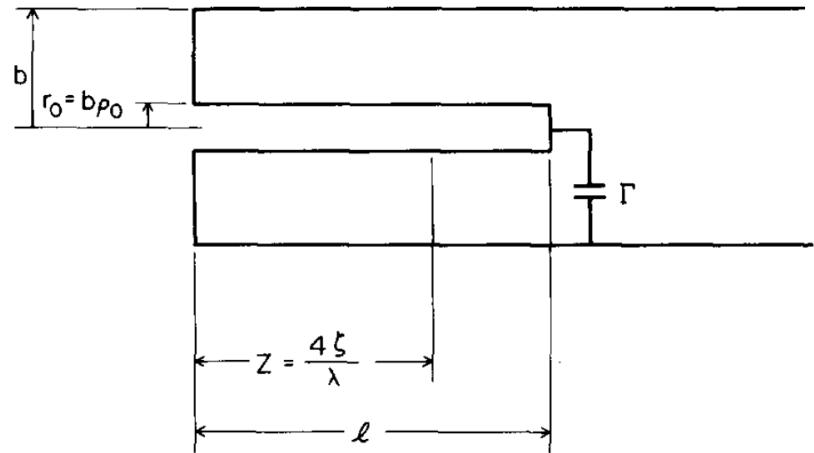
Optimizing the expected performance of a resonator for given frequency and  $\beta$

$V_p$  – voltage on the center conductor with outer conductor at ground

Power Dissipation (Ignore losses in the shorting end plate)

$$P = V_p^2 \frac{8}{\pi} \frac{R_s}{\eta^2} \frac{\lambda}{b} \frac{1+1/\rho_0}{\ln^2 \rho_0} \frac{\zeta + \frac{1}{\pi} \sin \pi \zeta}{\sin^2 \frac{\pi}{2} \zeta}$$

$$P \propto \frac{R_s}{\eta^2} E^2 \beta \lambda^2$$



Shunt Impedance  $(4V_p^2 / P)$

$$R_{sh} = \frac{\eta^2}{R_s} \frac{32}{\pi} \frac{b}{\lambda} \frac{\ln^2 \rho_0}{1+1/\rho_0} \frac{\sin^2 \frac{\pi}{2} \zeta}{\zeta + \frac{1}{\pi} \sin \pi \zeta}$$

$$R_{sh} R_s \propto \eta^2 \beta$$

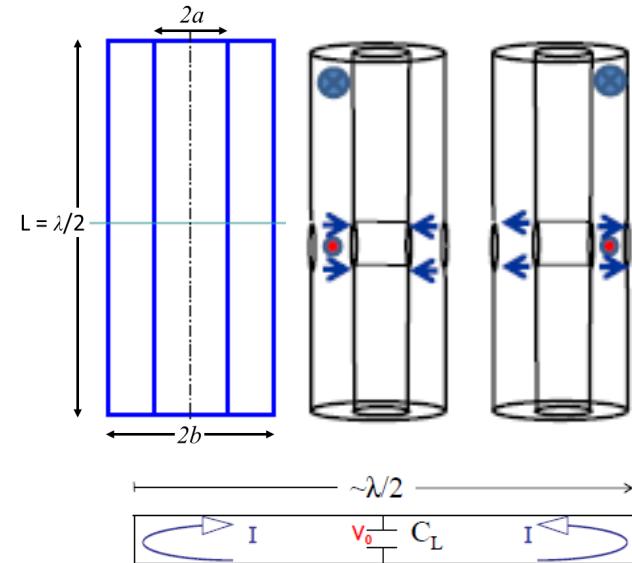
R/Q

$$\frac{R_{sh}}{Q} = \frac{16}{\pi^2} \eta \ln(1/\rho_0) \frac{\sin^2 \frac{\pi}{2} \zeta}{\zeta + \frac{1}{\pi} \sin \pi \zeta}$$

$$\frac{R_{sh}}{Q} \propto \eta$$

# Half Wave Resonator (HWR)

- HWR  $\rightarrow$  A  $\lambda/2$  transmission line
- Equivalent to 2 QWR facing each other and connected
- Magnetic field loop around the inner conductor with peak fields at the shorted ends
- Beam tube is placed at the center of the inner conductor to coincide with the maximum voltage
- Same accelerating voltage is obtained at about 2 times larger power in QWR ( $P_{\text{HWR}} \sim 2P_{\text{QWR}}$ )



Capacitance per unit length

$$C = \frac{2\pi\epsilon_0}{\ln\left(\frac{b}{a}\right)} = \frac{2\pi\epsilon_0}{\ln\left(\frac{1}{\rho_0}\right)}$$

Inductance per unit length

$$L = \frac{\mu_0}{2\pi} \ln\left(\frac{b}{r_0}\right) = \frac{\mu_0}{2\pi} \ln\left(\frac{1}{\rho_0}\right)$$

Center conductor voltage

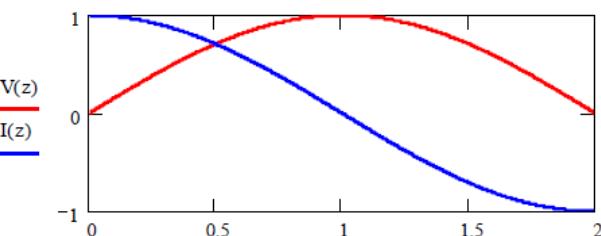
$$V(z) = V_0 \sin\left(\frac{2\pi}{\lambda} z\right)$$

Center conductor current

$$I(z) = I_0 \cos\left(\frac{2\pi}{\lambda} z\right)$$

Line impedance

$$Z_0 = \frac{V_0}{I_0} = \frac{\eta}{2\pi} \ln\left(\frac{1}{\rho_0}\right), \quad \eta = \sqrt{\frac{\mu_0}{\epsilon_0}} \approx 377\Omega$$



# Half Wave Resonator (HWR)

Optimizing the expected performance of a resonator for given frequency and  $\beta$

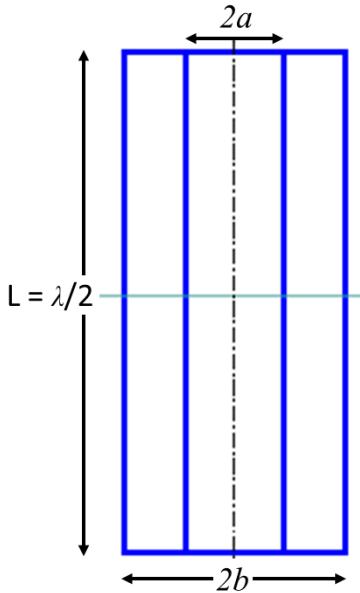
$V_p$  – voltage on the center conductor with outer conductor at ground

Peak Magnetic Field

$$\frac{V_p}{b} = \begin{Bmatrix} \eta & H \\ c & B \\ 300 & B \end{Bmatrix} \rho_0 \ln\left(\frac{1}{\rho_0}\right) \quad \begin{Bmatrix} \text{m, A/m} \\ \text{m, T} \\ \text{cm, G} \end{Bmatrix}$$

$V_p$ : Voltage across loading capacitance

$B \approx 9 \text{ mT}$  at  $1 \text{ MV/m}$



Geometrical Factor

$$G = QR_s = 2\pi \eta \frac{b}{\lambda} \frac{\ln(1/\rho_0)}{1+1/\rho_0}$$

$$G \propto \eta \beta$$

Energy Content

$$U = V_p^2 \frac{\pi \epsilon_0}{4} \lambda \frac{1}{\ln(1/\rho_0)}$$

$$U \propto \epsilon_0 E^2 \beta^2 \lambda^3$$

# Half Wave Resonator (HWR)

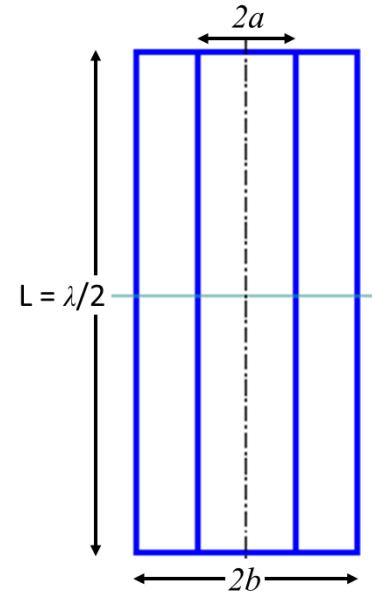
Optimizing the expected performance of a resonator for given frequency and  $\beta$

$V_p$  – voltage on the center conductor with outer conductor at ground

Power Dissipation (Ignore losses in the shorting end plates)

$$P = V_p^2 \frac{16}{\pi} \frac{R_s}{\eta^2} \frac{\lambda}{b} \frac{1+1/\rho_0}{\ln^2 \rho_0}$$

$$P \propto \frac{R_s}{\eta^2} E^2 \beta \lambda^2$$



Shunt Impedance  $(4V_p^2 / P)$       R/Q

$$R_{sh} = \frac{\eta^2}{R_s} \frac{16}{\pi} \frac{b}{\lambda} \frac{\ln^2 \rho_0}{1+1/\rho_0}$$

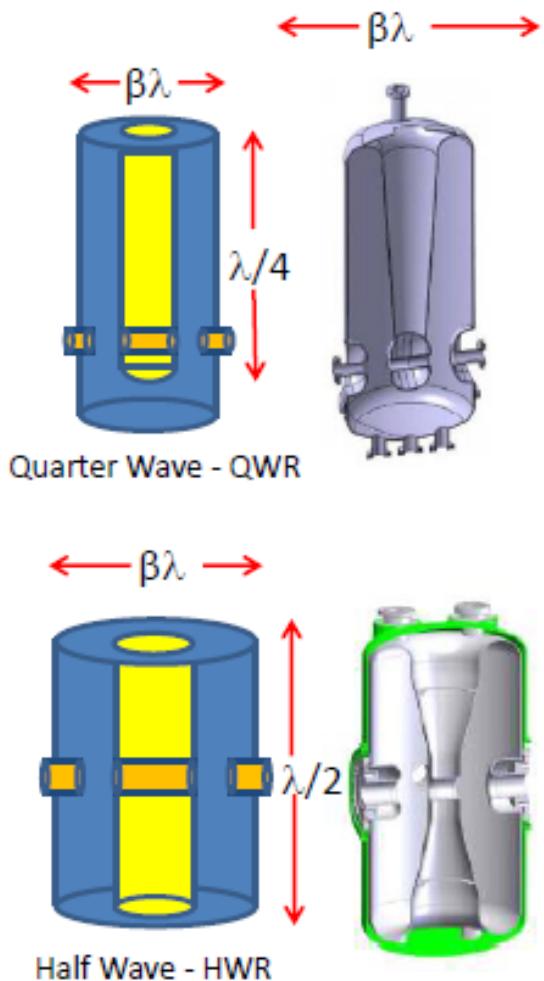
$$R_{sh} R_s \propto \eta^2 \beta$$

$$\frac{R_{sh}}{Q} = \frac{8}{\pi^2} \eta \ln(1/\rho_0)$$

$$\frac{R_{sh}}{Q} \propto \eta$$

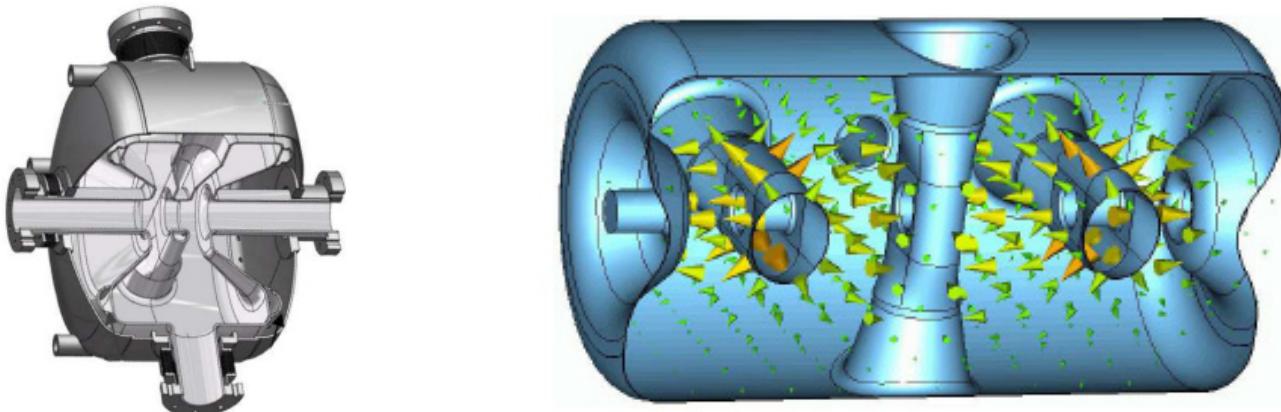
# QWR vs HWR

- QWR is the choice for low applications where a low frequency is needed
  - Requires ~50% less structure compared to HWR for same frequency
  - RF power loss is ~50% of HWR for same frequency and  $\beta_0$
  - Allows low frequency cavities with larger voltage acceptance ( $R_{sh}/Q_{QWR} = 2 R_{sh}/Q_{HWR}$ )
  - Asymmetric field pattern introduces vertical steering especially for light ions that increase with velocity (Avoid using for  $\beta_0 > 0.2$ )
  - Mechanically less stable than HWR due to unsupported end
- HWR is chosen for mid velocity range ( $\beta_0 > 0.2$ ) or where steering must be eliminated (ie. High intensity light ion applications)
  - Produces 2X more rf losses for the same frequency and  $\beta_0$
  - 2X longer for the same frequency
  - Symmetric field pattern and increased mechanical rigidity



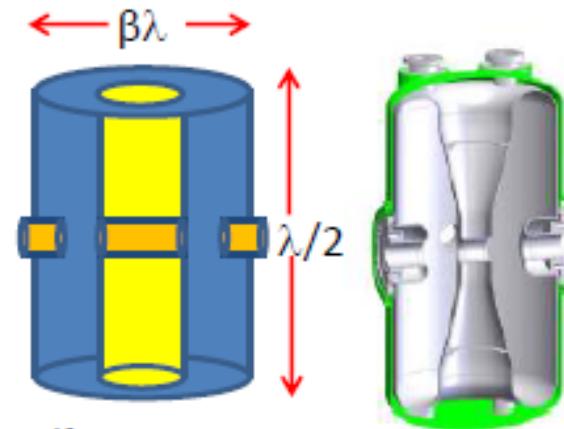
# Single and Multi Spoke Cavities

- Supposed to cover ranges  $\beta=0.1-0.6$  with  $f=300-900$  MHz
- Spoke cavities are also designed at  $\beta=1$
- Single spoke cavities are same as TEM-like HW cavities with respect to the spoke axis
- Single spoke geometries allow extension along the beam path to provide multipole spoke
  - In multi spoke cavity spokes are rotated 90 deg from cell to cell
  - Higher effective voltage with low velocity acceptance
  - Strong cell-to-cell coupling with cells linked by the magnetic field

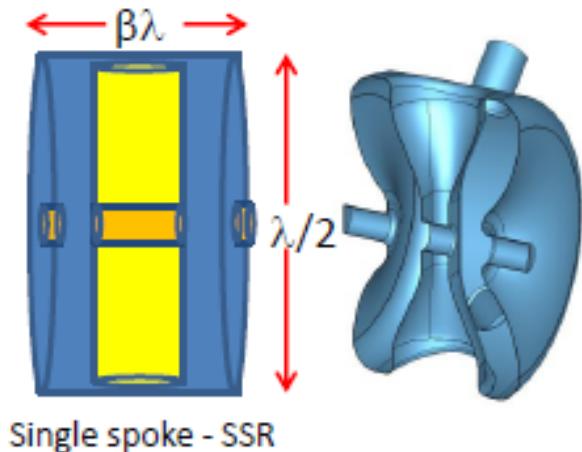


# HWR vs Single Spoke Cavities

- Single spoke resonator (SSR) is another variant of the half wave TEM mode cavities
- In HWR the outer conductor (with diameter  $\beta_0 \lambda$ ) is coaxial with the inner conductor
- In SSR the outer cylinder (with diameter  $\lambda/2$ ) is coaxial with beam pipes
  - For  $\beta_0 < 0.5$  the SSR has larger overall physical envelop than the HWR for the same frequency
- Cavity choices:
  - For low  $\beta$  applications ( $\beta_0 = 0.1 - 0.25$ )
    - HWR is chosen for  $\sim 160$  MHz
    - SSR is chosen for  $\sim 320$  MHz
  - For higher  $\beta$  applications ( $\beta_0 = 0.25 - 0.5$ )
    - HWR and SSR are chosen for  $\sim 320$  MHz



Half Wave - HWR



Single spoke - SSR

# High $\beta$ Spoke Cavities

- High velocity spoke cavities with  $\beta_0 > 0.8$  are being designed as an alternative to high  $\beta$  elliptical cavities
- Cavity features
  - Cavities are relatively compact
    - Between 20% - 50% smaller (radially) than a TM cavity of the same frequency and  $\beta_0$
    - For high  $\beta_0$  cavities diameter is close to TM counterparts
  - Allows low frequency at reasonable size with high longitudinal acceptance
  - Allows possible 4 K operation
  - Mechanically stable
  - Can achieve high shunt impedance
- Possible applications
  - For pulsed spallation neutron sources
  - Compact light sources



325 MHz  $\beta=0.82$  Single Spoke Cavity

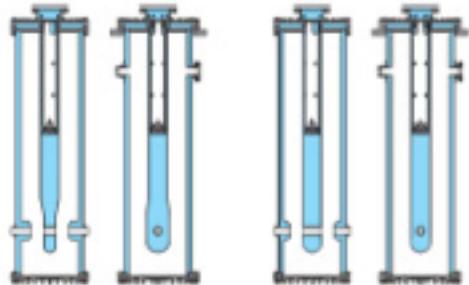


500 MHz  $\beta=1.0$  Double Spoke Cavity

# Real QWR Cavities

- Typical range → frequency: 50 MHz – 160 MHz;  $\beta_0$ : 0.04 – 0.2

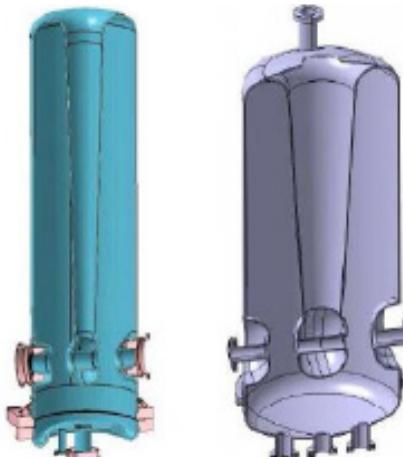
TRIUMF ISAC-II Resonators



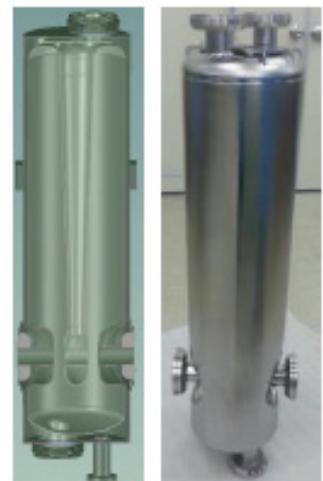
SCB low  $\beta$  (5.7%)  
106.08 MHz

SCB medium  $\beta$  (7.1%)  
106.08 MHz

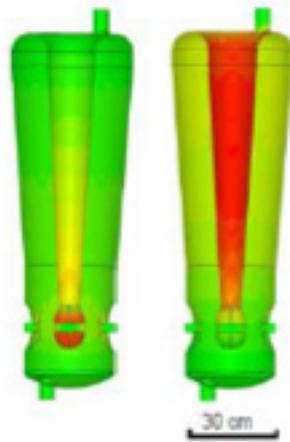
SCB high  $\beta$  (11%)  
141.44 MHz



Spiral-2  $\beta=0.007, 0.12$   
88.05 MHz



RAON  $\beta=0.047$   
81.25 MHz



ANL  $\beta=0.077, 0.085$  72.5 MHz



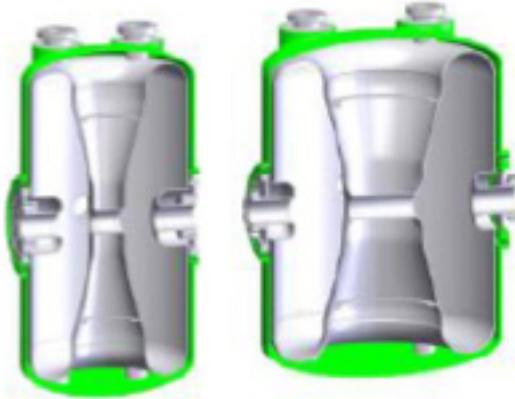
FRIB  $\beta=0.041, 0.085$  80.5 MHz

# Real HWR Cavities

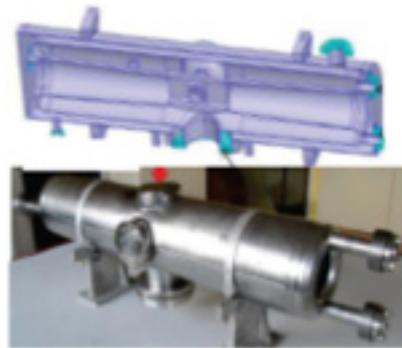
- Typical range → frequency: 140 MHz – 325 MHz;  $\beta_0$ : 0.1 – 0.5



ANL  $\beta=0.12$  325 MHz

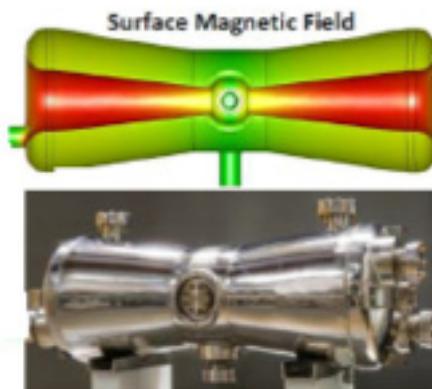


FRIB  $\beta=0.29, 0.53$  322 MHz



IFMIF  $\beta=0.11$  175 MHz

IMP  $\beta=0.1$  162.5 MHz



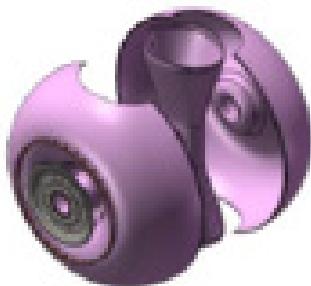
ANL  $\beta=0.112$  162.5 MHz

# Real Single Spoke Cavities

- Typical range → frequency: 325 MHz – 700 MHz;  $\beta_0$ : 0.15 – 0.7



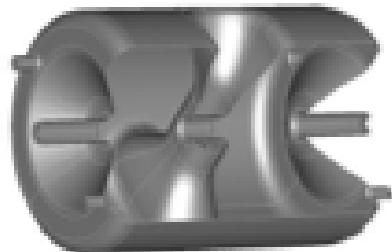
1<sup>st</sup> SC spoke 1991  
ANL  $\beta=0.3$  850 MHz



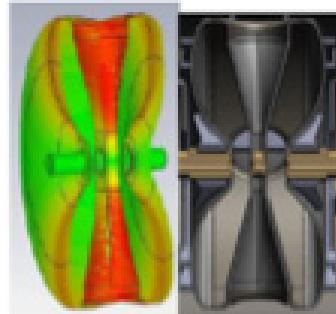
TRIUMF/RISP  $\beta=0.3$  325 MHz



FNAL  $\beta=0.215$  325 MHz



ODU  $\beta=0.82$  325 MHz



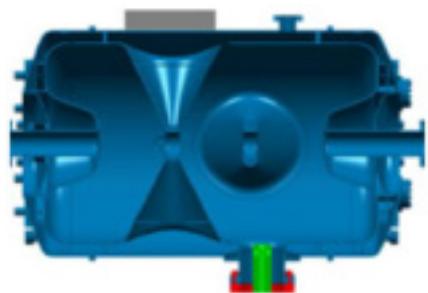
IHEP  $\beta=0.12$  325 MHz



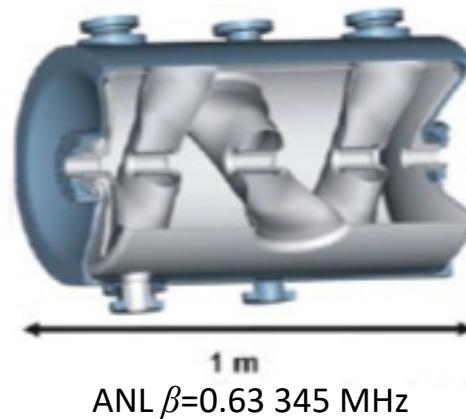
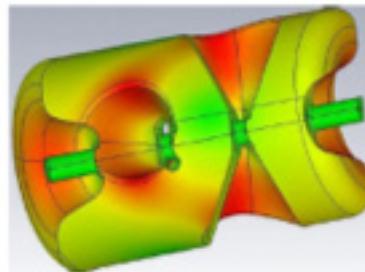
IPN-Orsay  $\beta=0.15, 0.35$  352 MHz



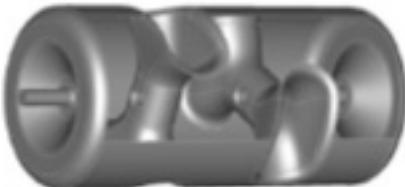
# Real Multi Spoke Cavities



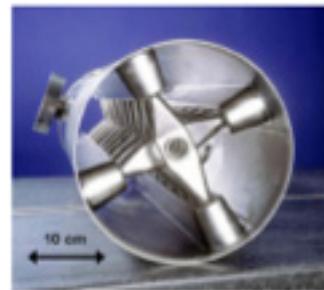
ANL  $\beta=0.12$  325 MHz



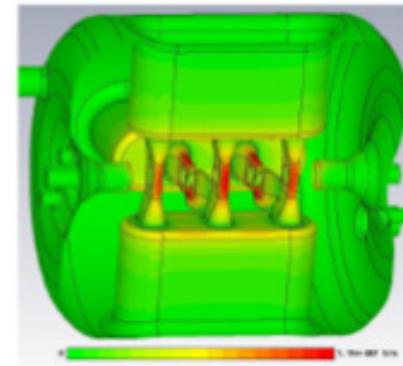
ANL  $\beta=0.63$  345 MHz



ODU  $\beta=1.0$  500 MHz



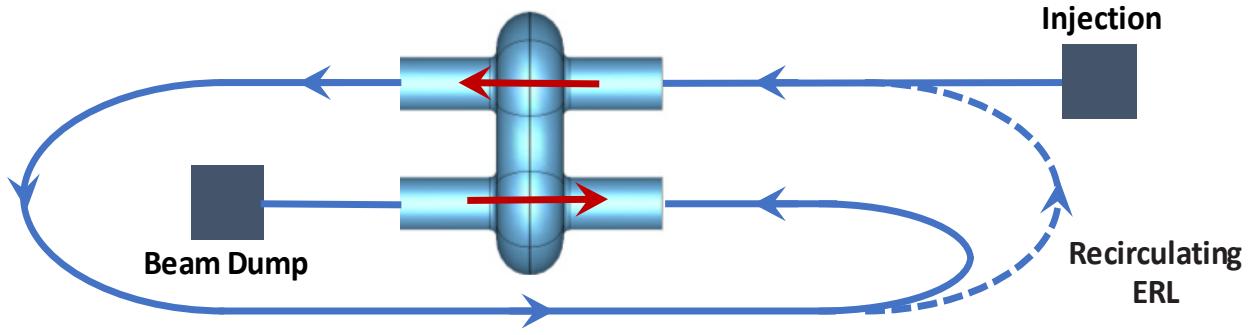
IAP  $\beta\sim 0.1$  360 MHz  
19 gap CH resonator



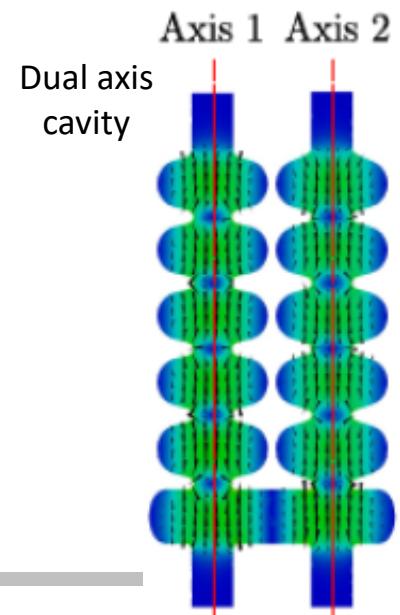
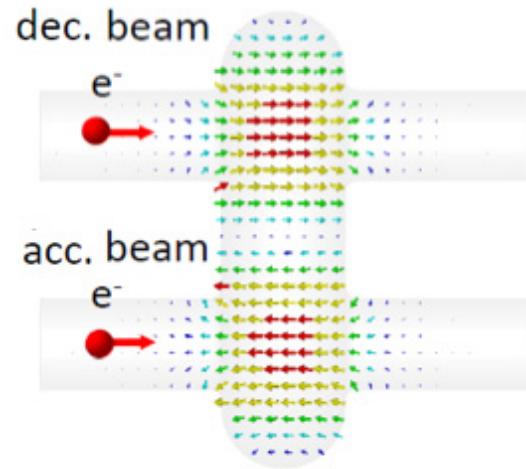
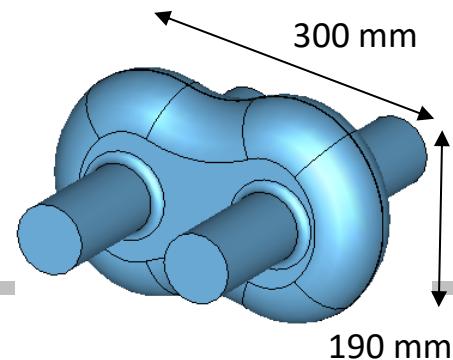
IMP  $\beta=0.067$  162.5 MHz  
CH resonator

# TM-Type Non-Elliptical Cavities

- Cavity with two beam pipes → Twin axis cavity / dual axis cavity
- A superconducting cavity designed to accelerate and decelerate two electron beams in the same cavity
- Used in energy recovery with two separated beams traversing the cavity at the same time

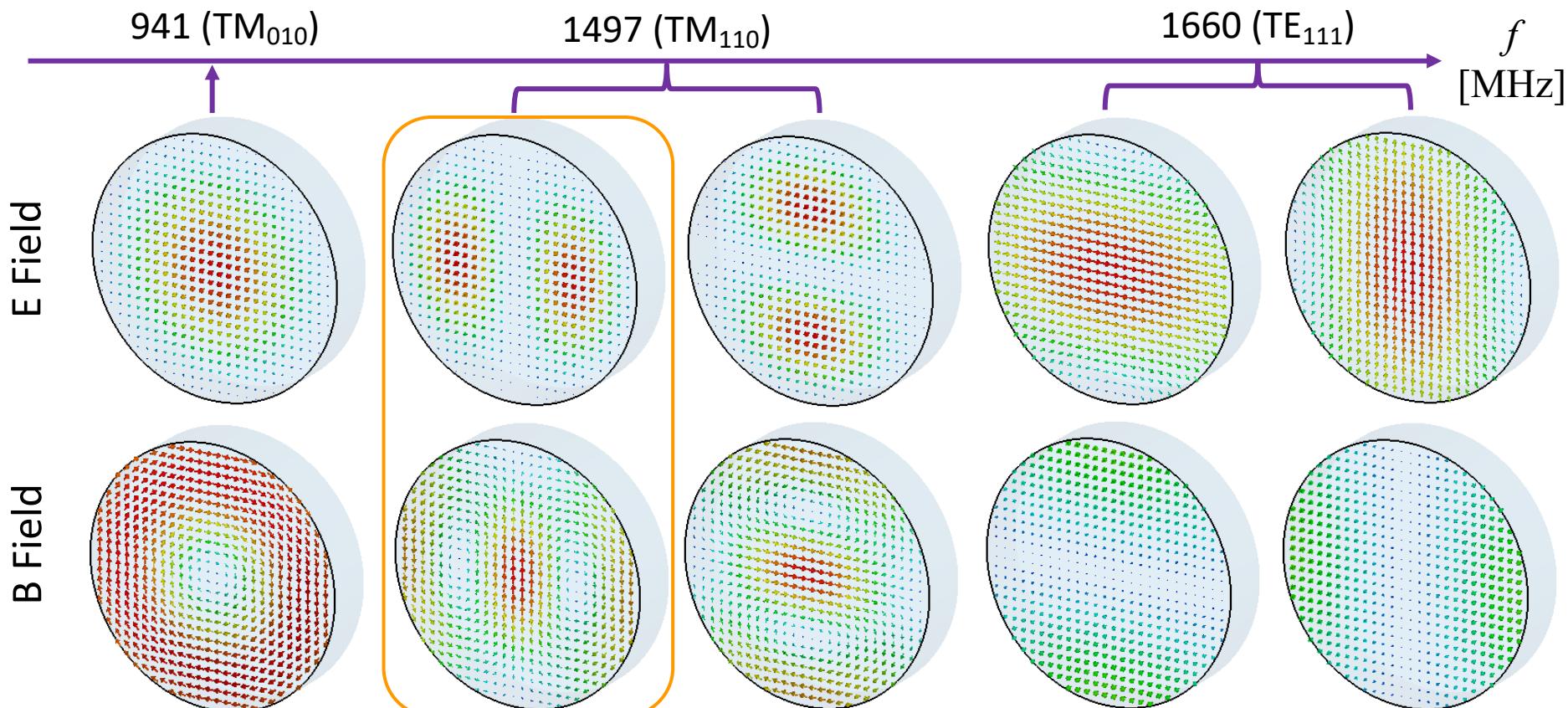


Twin axis cavity



# Electromagnetic Mode of Twin Axis Cavity

- Operates in  $\text{TM}_{110}$  mode
- Lower order mode is the  $\text{TM}_{010}$  mode
- Needs to separate the other polarization of  $\text{TM}_{110}$  mode

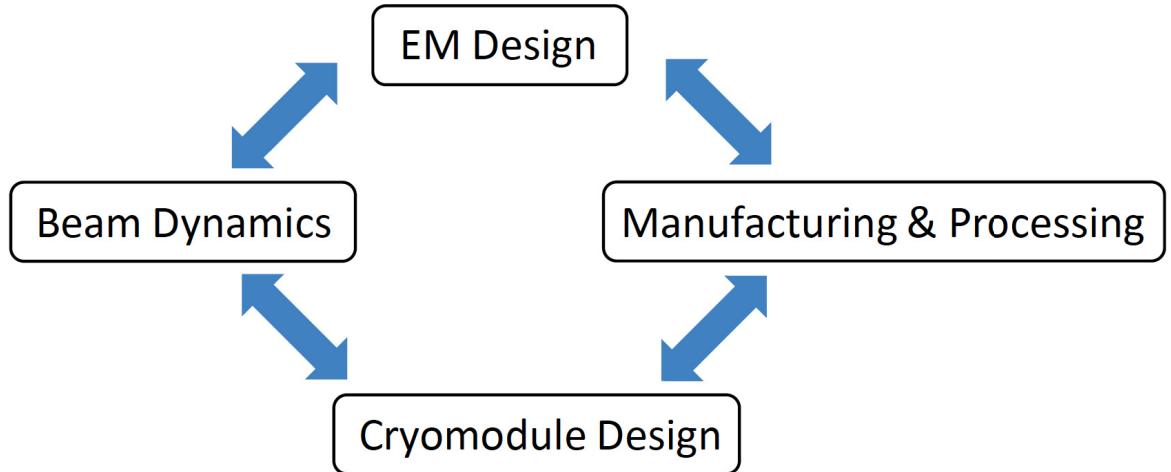


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# **DESIGN CONSIDERATIONS OF NON-ELLIPTICAL ACCELERATING CAVITIES**

# Cavity Design Considerations

- Beam dynamics:
  - Cavity frequency
  - Beam aperture
  - Voltage acceptance
- Ideally cavities should have:
  - Large accelerating gradient ( $E_a$ ) / Energy gain ( $\mathcal{W}$ )
  - Large shunt impedance ( $R_{sh}=G*(R/Q)$ ) for low losses to reduce power consumption
  - Shapes that reduce peak fields ( $E_p, B_p$ ) for given  $E_a$
  - Efficient energy transfer to the beam ( $\beta$ )
  - Reduce multipacting levels



- In addition, related practical issues of these cavities
  - Reduce pressure sensitivity ( $df/dp$ )
  - Microphonics
  - Operation: cw or pulsed
  - Cavity tuning
  - Cavity fabrication
  - Chemical processing, cleaning, and assembly

# Designing Non-Elliptical Cavities

- Cavity frequency:
  - To minimize unique number of cavity designs
- Cavity  $\beta$ : Number of cavity designs also depend on required velocity range
  - $T(\beta)$  is efficient over a range of velocities from  $0.7\beta_0 < \beta < 2\beta_0$  (Especially for QWR)
  - For  $\beta > 0.5$  possible to consider multi-spoke cavities where the reduced transit time factor is compensated by the higher voltage
  - Maintain a certain cavity type until  $T(\beta)$  lowers the voltage below the voltage of the next cavity series
  - For post accelerators with different ion acceleration –  $\beta$  profile should be chosen that all ions can be accelerated near the maximum gradient
- Peak surface fields:  $E_p \leq 35$  MV/m and  $B_p \leq 70$  mT
  - Dominates the optimization between practicality and complexity
- Multipacting analysis: Multipacting levels may not be eliminated, but reduced by optimizing the design
  - Advanced simulation tools exist in simulating multipacting resonance levels in cavities that have matched with measurements

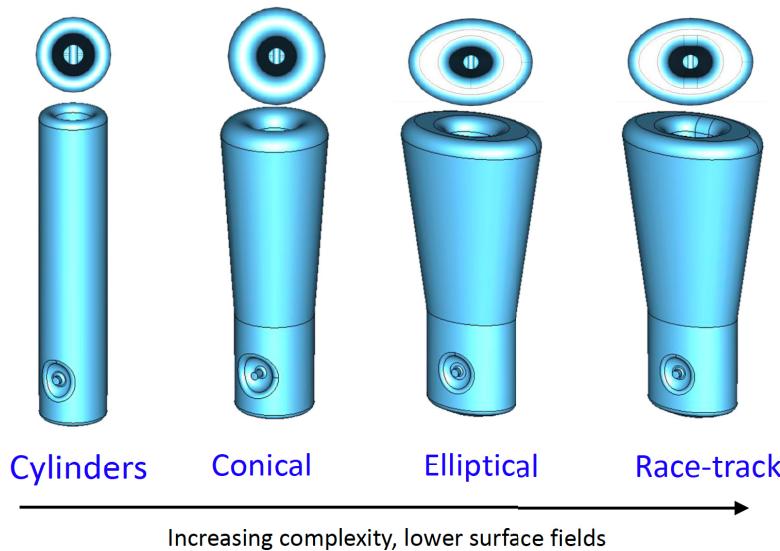
# Designing Non-Elliptical Cavities

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- Baseline mechanical and fabrication model
  - Choose material thickness
  - Check all pressure differential throughout cavity life cycle
  - Maintain safe limits in terms of stress
  - Minimize Lorentz force detuning due to radiation pressure → Stiffeners
- Integrating design for fabrication variables include:
  - Cavity performance
  - Complexity in geometry
  - Operational requirements: 4 K or 2 K
  - Stress analysis
  - Material cost vs machining cost

# Designing Non-Elliptical Cavities

- Example: QWR
- Many parameters of optimization compared to elliptical cavities



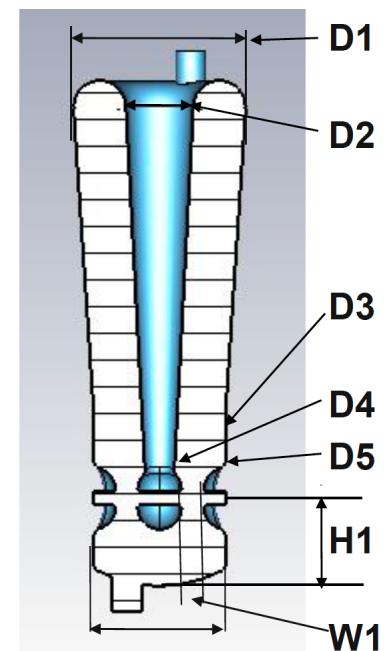
E Field



B Field

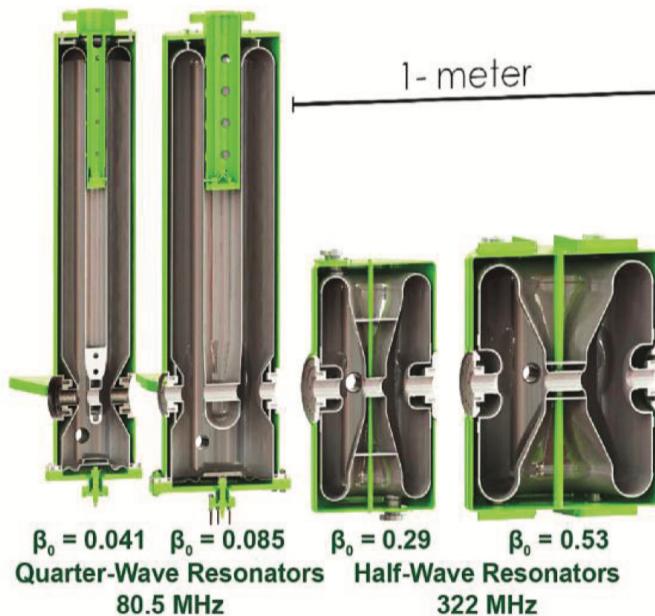
Some primary cavity geometrical parameters:

Cavity Top Diameter	(D1)
Stem Top Diameter	(D2)
Cavity Lower Diameter	(D3)
Stem Bottom Diameter	(D4)
Drift Tube Outer Diameter	(D5)
Drift Tube Gap Width	(W1)
Cavity Bottom Height	(H1)



# Designing Non-Elliptical Cavities

- Example: FRIB
  - A high intensity, heavy ion linac
  - Accelerate protons to Uranium up to 200 MeV/u



- No. of cavities:
  - QWR ( $\beta_0=0.041$ ) → 12
  - QWR ( $\beta_0=0.085$ ) → 88
  - HWR ( $\beta_0=0.285$ ) → 72
  - HWR ( $\beta_0=0.53$ ) → 144

Cavity Type	QWR $\beta_0$	QWR $\beta_0$	HWR $\beta_0=0.285$	HWR $\beta_0=0.53$
$\beta_0$	0.041	0.085	0.285	0.53
f [MHz]	80.5	80.5	322	322
$V_a$ [MV]	0.810	1.80	2.09	3.70
$E_{\text{acc}}$ [MV/m]	5.29	5.68	7.89	7.51
$E_p/E_{\text{acc}}$	5.82	5.89	4.22	3.53
$B_p/E_{\text{acc}}$ [mT/(MV/m)]	10.3	12.1	7.55	8.41
R/Q [ $\Omega$ ]	402	455	224	230
G [ $\Omega$ ]	15.3	22.3	77.9	107
Aperture [m]	0.036	0.036	0.040	0.040
$L_{\text{eff}} \equiv \beta\lambda$ [m]	0.153	0.317	0.265	0.493
Lorenz detuning [Hz/(MV/m) <sup>2</sup> ]	< 4	< 4	< 4	< 4
Specific Q <sub>0</sub> @VT	$1.4 \times 10^9$	$2.0 \times 10^9$	$5.5 \times 10^9$	$9.2 \times 10^9$

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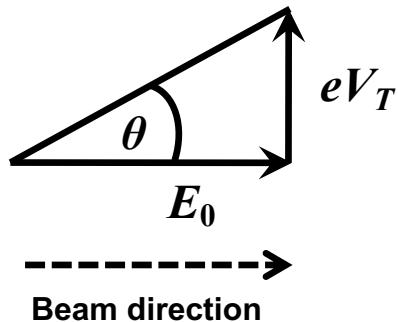
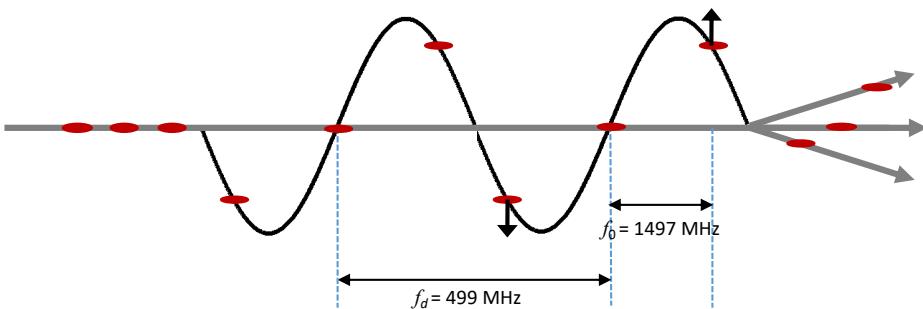
# **DEFLECTING/CRABBING CAVITIES**

# Deflecting/Crabbing Concept

- Deflecting/crabbing resonant cavities are required to generate a transverse momentum

## Deflecting Cavities

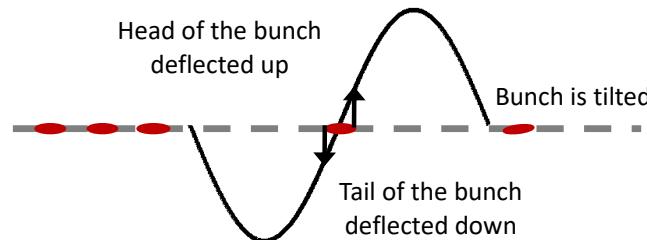
- To separate a single beam to multiple beams



$$\theta = \arctan \left[ \frac{eV_t}{E_0} \right] \sim \frac{eV_t}{E_0} \quad V_t = E_0 [eV] \theta [\text{rad}]$$

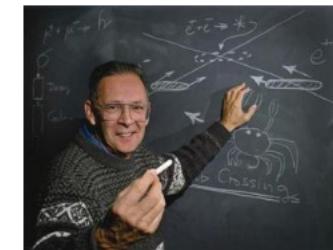
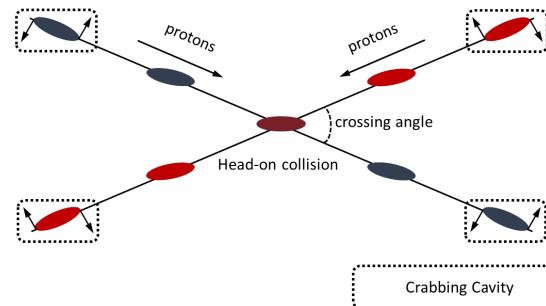
## Crabbing Cavities

- To increase luminosity in colliding bunches by allowing head-on-collision of beams



$$\mathcal{L} = \frac{N_1 N_2 f_c N_b}{4\pi\sigma_x\sigma_y} F_c = \frac{N_1 N_2 f_c N_b}{4\pi\sigma_x\sigma_y} \frac{1}{\sqrt{1+\left(\frac{\sigma_z\theta_c}{2\sigma_x}\right)^2}}$$

$$V_t = \frac{cE_0 \tan(\theta_c/2)}{\omega \sqrt{\beta_{crab} \beta^*} \sin(\psi_{cc \rightarrow ip}^x)}$$



First crabbing concept proposed by R. Palmer (1988)

# Deflecting/Crabbing Cavities

- Can be produced by either or by both transverse electric ( $E_t$ ) and magnetic ( $B_t$ ) fields
- Lorentz force:  $\vec{p}_t = \int_{-\infty}^{\infty} \vec{F}_t dt = \frac{q}{v} \int_{-\infty}^{+\infty} [\vec{E}_t + j(\vec{v} \times \vec{B}_t)] dz$
- Transverse momentum is related to the gradient of the longitudinal electric field along the beam axis (Panofsky Wenzel theorem)

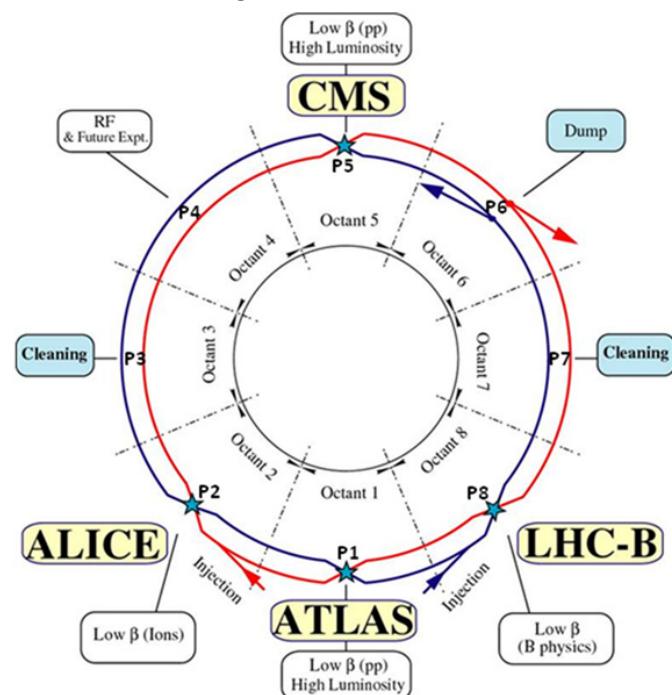
$$\vec{p}_t = -i \frac{q}{\omega} \int_{-\infty}^{+\infty} \vec{\nabla}_t E_z dz$$

- According to the theorem:
  - In a pure TE mode the contribution to the deflection from the magnetic field is completely cancelled by the contribution from the electric field
- Types of designs:
  - TM-type designs → Main contribution from  $B_t$
  - TE-like designs → Main contribution from  $E_t$
  - TEM-type designs → Contribution from both  $E_t$  and  $B_t$

# Applications of Crabbing Cavity

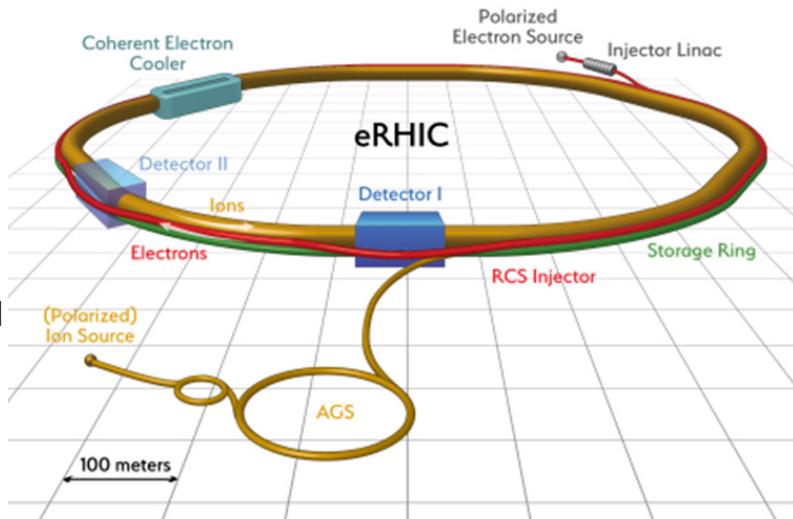
## Crabbing Cavity for LHC High Luminosity Upgrade

- Frequency – 400.79 MHz
- Crabbing voltage – 10 MV per beam per side
- Requires a crabbing system at two interaction points (IP1 and IP5)
  - Horizontal crossing at IP1
  - Vertical crossing at IP5

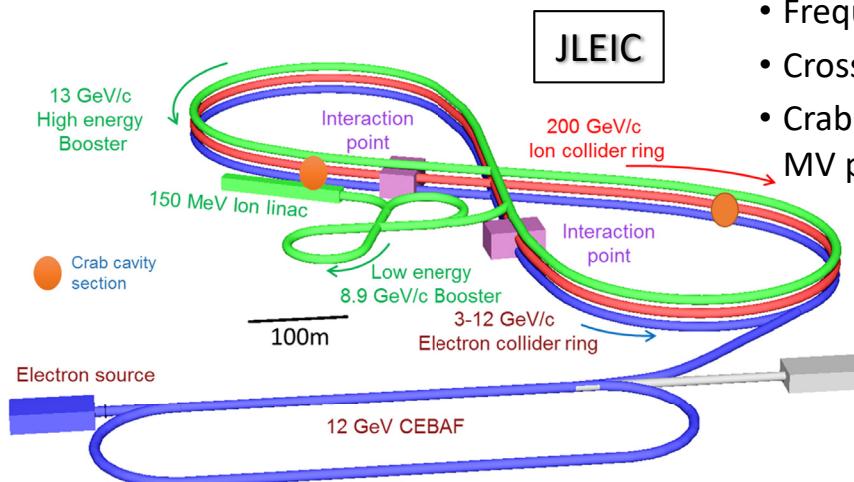


- Frequency – 200 MHz
- Crossing angle – 25 mrad
- Crabbing voltage – 22.3 MV per beam per side

## Future Electron-Ion Colliders

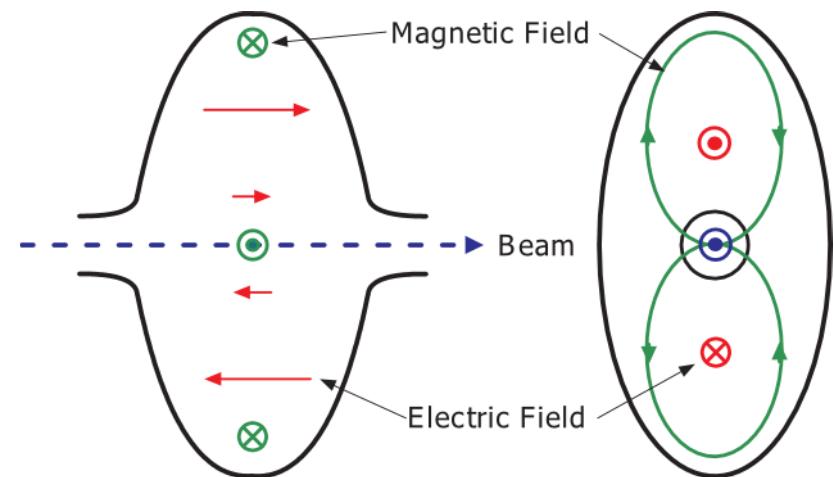


- Frequency – 953 MHz
- Crossing angle – 50 mrad
- Crabbing voltage – 21.5 MV per beam per side



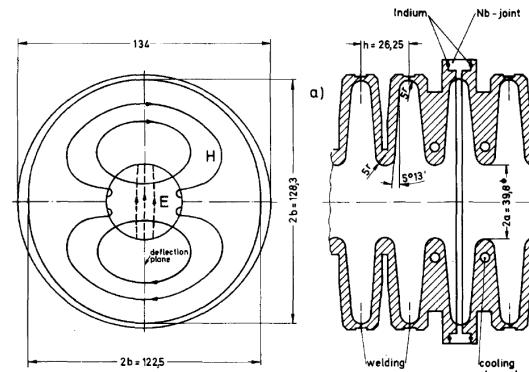
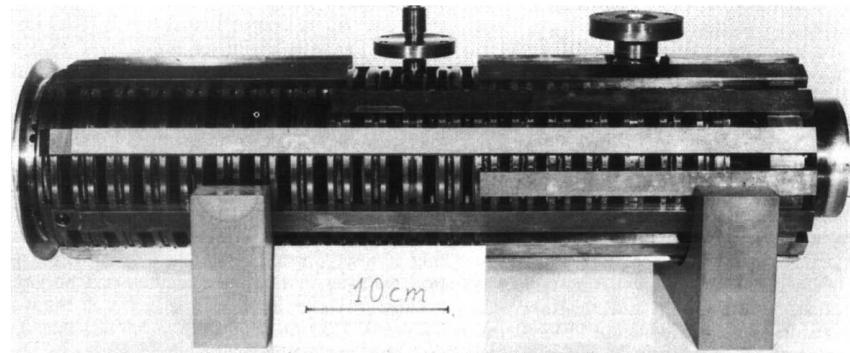
# TM-Type Deflecting/Crabbing Cavities

- Operates in  $\text{TM}_{110}$ -type mode
  - Lowest deflecting mode
- Squashed elliptical geometry: To separate the two polarizations of same frequency
- Contribution to the net deflection is mainly from transverse magnetic field
- Requires damping of the lowest mode ( $\text{TM}_{010}$ ) in the design
- Cavity frequency is inversely proportional to transverse dimensions
- Cavity length  $\sim \lambda/2$
- Large with respect to wavelength compared to new designs
  - Disadvantageous for low frequency
  - Advantageous for high frequency
  - Able to accommodate large apertures



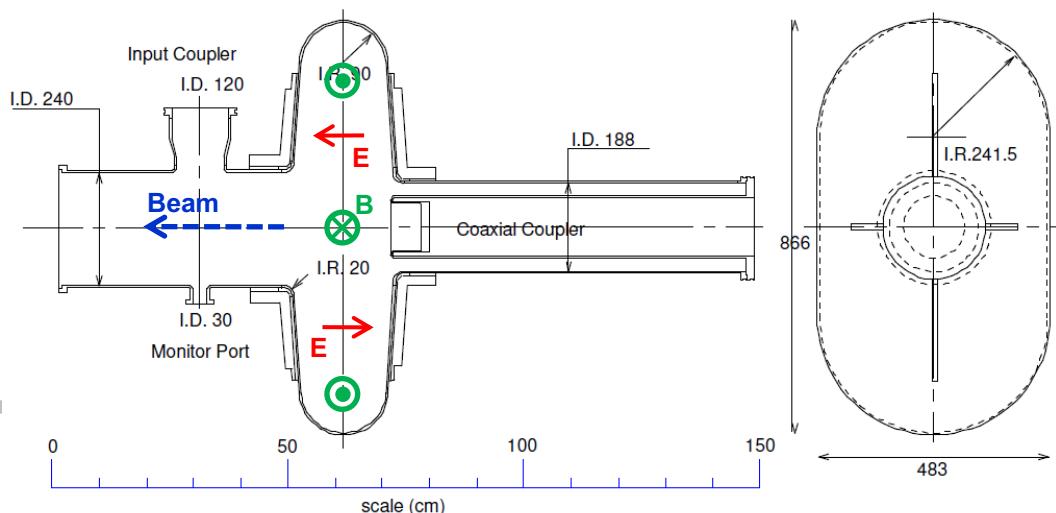
# 1<sup>st</sup> Deflecting/Crabbing Cavities

- 1<sup>st</sup> superconducting deflecting cavity
  - Deflecting cavity: 2.865 GHz Karlsruhe/CERN Separator (104 cells)



Designed 1970, operated 1977-1981  
At IHEP since 1998

- 1<sup>st</sup> superconducting crabbing cavity
  - Crabbing cavity: 508.9 MHz cavity for SuperB Factory at KEK

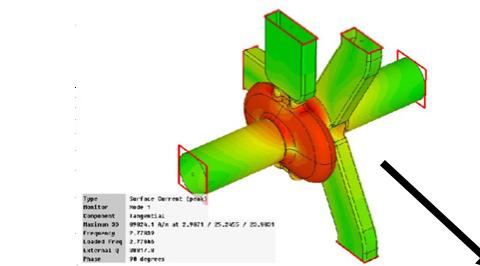
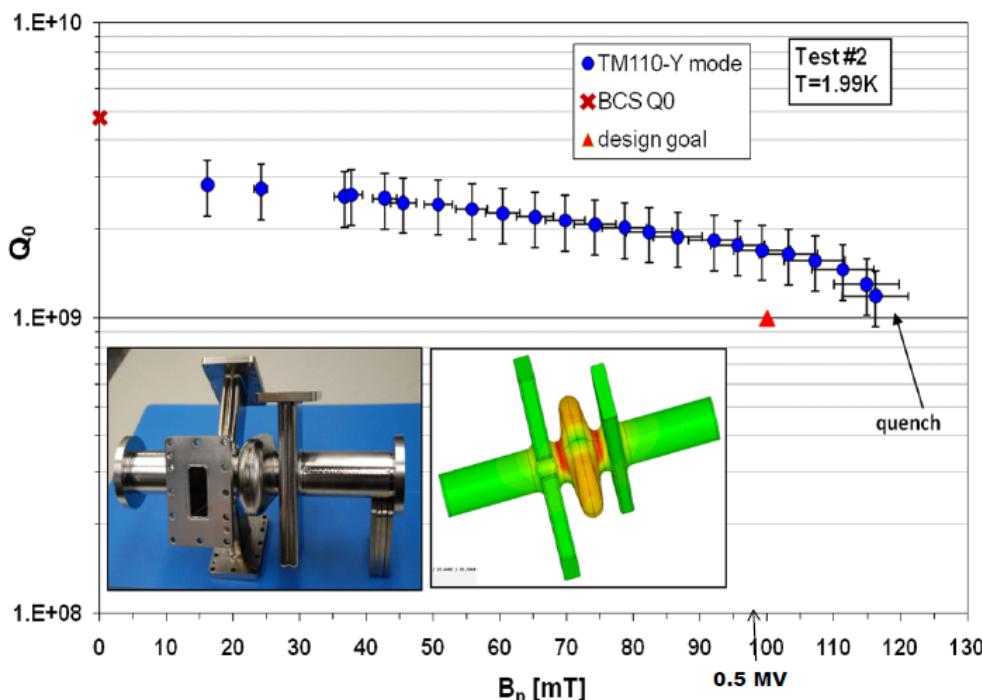
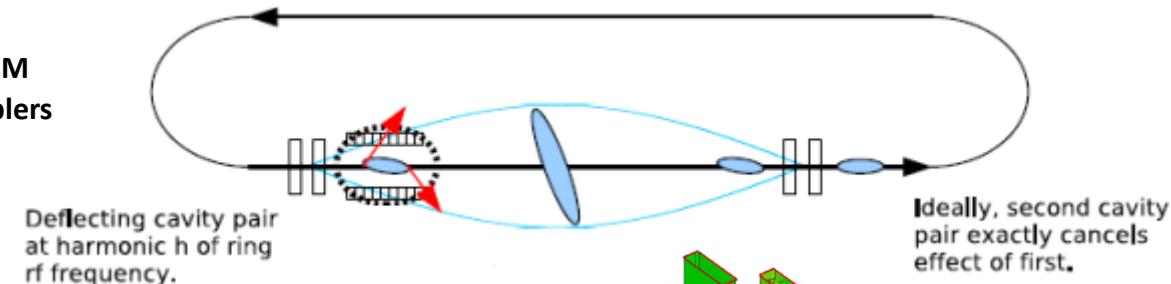
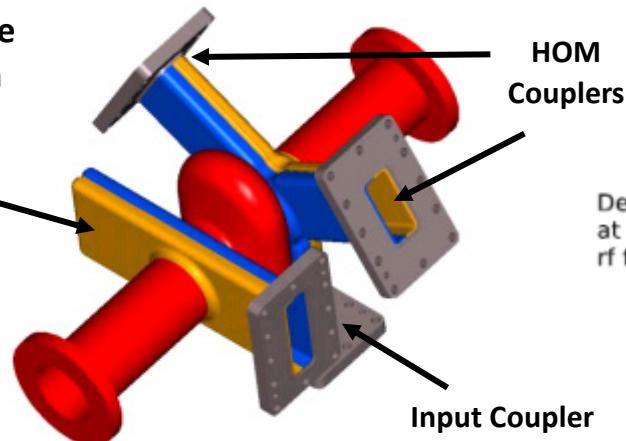


Crab cavities operated from  
2007-2010



# Crabbing Cavity for Short Pulse X-ray Project

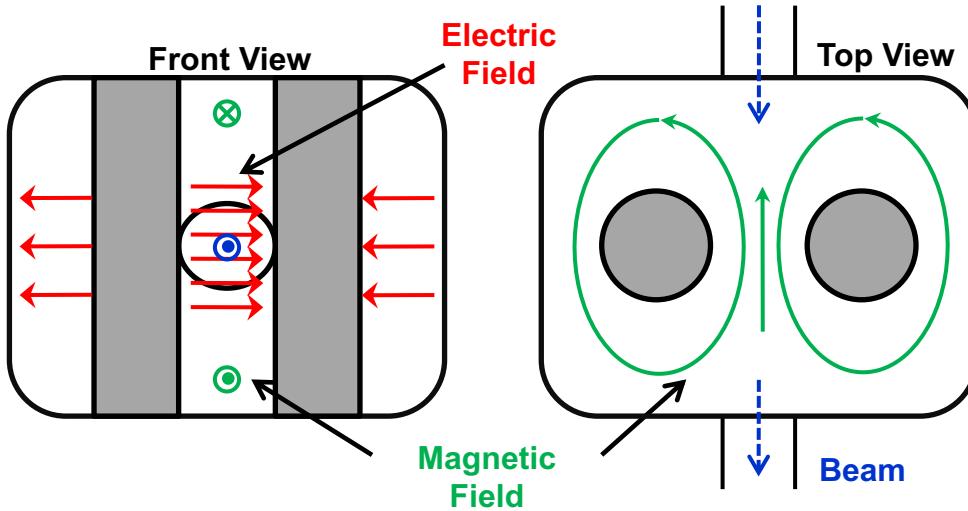
Baseline Design



Parameter	Baseline Design	Alternate Design	Units
Frequency	<b>2.815</b>		GHz
Beam iris	25		mm
$V_t$	0.5		MV
$B_t$	98	100	mT
$E_t$	41	42	MV
$[R/Q]_t$	35.8	37.1	$\Omega$
$G$	227.5	227.8	$\Omega$
Material thickness	3 Nb Sheet	4 Nb Block	mm

# TEM-Type Deflecting/Crabbing Cavities

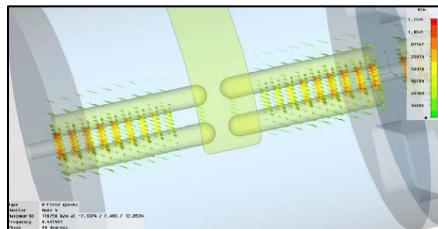
- Use both electric and magnetic fields to produce the net deflection



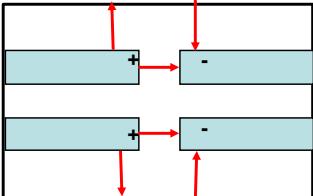
- At low operating frequencies gives:
  - Compact designs
  - Low surface fields and high shunt impedance
  - Some designs have no lower order modes
- New compact deflecting/crabbing designs are originated from TE-like or TEM-type designs

# 4-Rod Crabbing Cavity

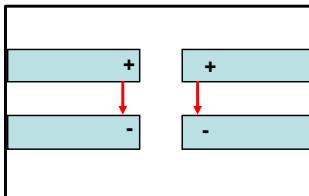
- 4-Rod crabbing cavity – University of Lancaster / Cockcroft Institute
- Adapted from JLab normal conducting cavity
- Proposed for LHC high luminosity upgrade



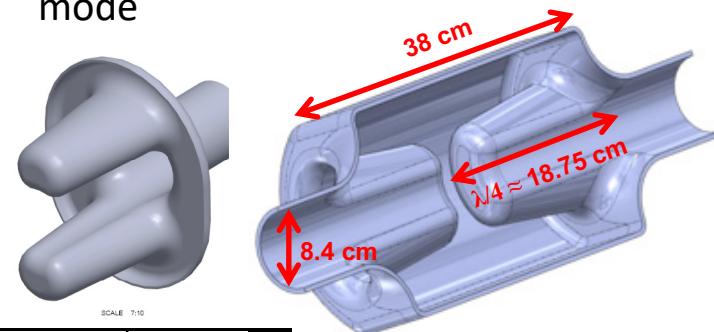
499 MHz normal conducting rf separator at JLab



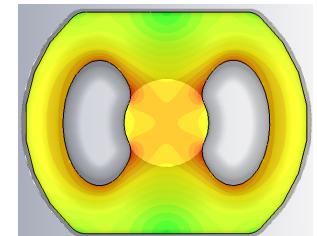
Accelerating lower order mode



- Operates in a TEM-like mode
  - Uses both electric and magnetic fields
  - Deflecting mode is not the lowest mode



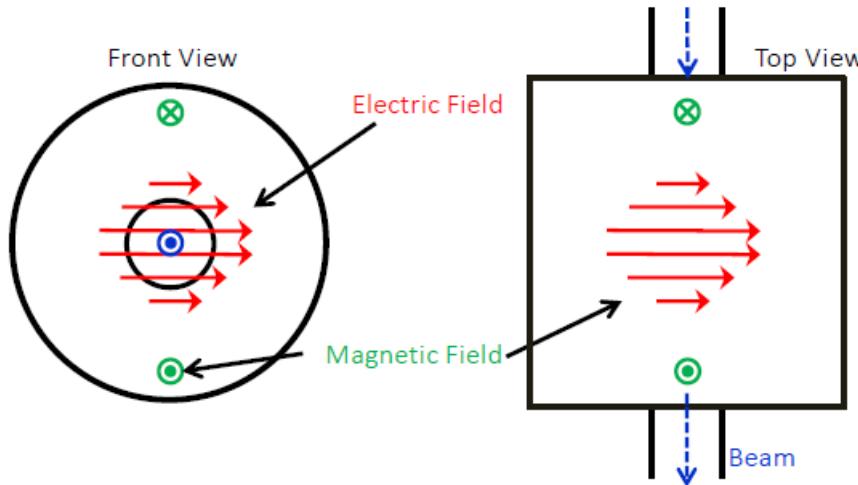
Frequency	400.0	MHz
LOM	375.2	MHz
Nearest HOMs	436.6, 452.1	MHz
$E_p^*$	4.0	MV/m
$B_p^*$	7.56	mT
$B_p^*/E_p^*$	1.89	mT/(MV/m)
$[R/Q]_T$	915.0	$\Omega$
Geometrical Factor ( $G$ )	62.8	$\Omega$
$R_T R_S$	$5.7 \times 10^4$	$\Omega^2$
At $E_T^* = 1$ MV/m		



Rod shaping to reduce surface electric and magnetic fields, and the offset nonlinearities

# TE-Like Deflecting/Crabbing Cavities

- Operate in  $TE_{111}$ -like mode
  - Cannot be a pure  $TE_{111}$  mode where the contribution from electric and magnetic fields cancel each other
  - Main contribution to the transverse voltage is from transverse electric field



- Pure cylinder would cancel the contribution from E and B fields
- So need deformed shapes

- Has similar rf properties as TEM-type cavities
  - Compact designs
  - Favorable for low frequencies (length  $\sim \lambda/2$  and diameter  $\sim 1/f$ )
  - No lower order mode ( $TE_{111}$  is the lowest mode)
  - Have demonstrated transverse voltages at high peak surface fields

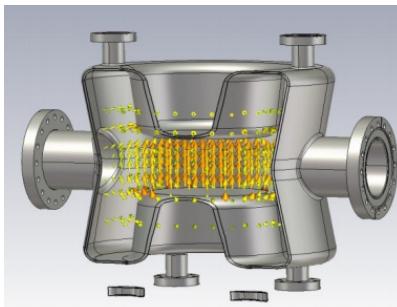
# TE-Like Cavities for LHC High Luminosity Upgrade

- Crabbing cavities for LHC high luminosity upgrade – Operate at 400 MHz

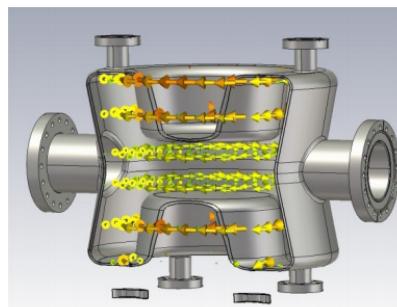
## Double Quarter Wave Cavity



For vertical  
crabbing

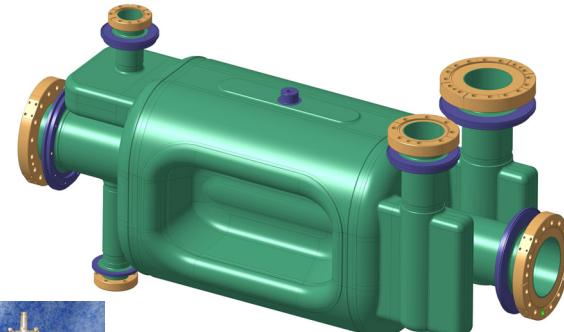


E Field

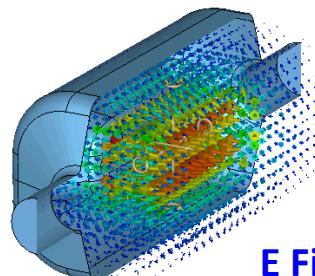


B Field

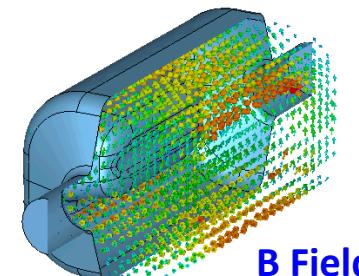
## RF-Dipole Cavity



For horizontal  
crabbing



E Field

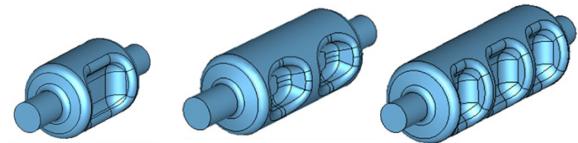


B Field

# Multi-Cell TE<sub>11</sub>-Like Cavities

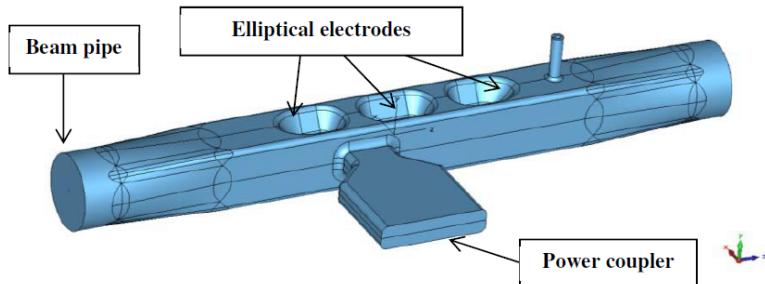
- Multi-cell cavities provide higher gradient with reduced space on the beam line
- No of HOMs multiplies with no. of cells
- Has lower order modes

For JLEIC  
 $e - 12 \text{ GeV}$     $p - 200 \text{ GeV}$



## QMiR (Quasi-waveguide Multi-cell Resonator)

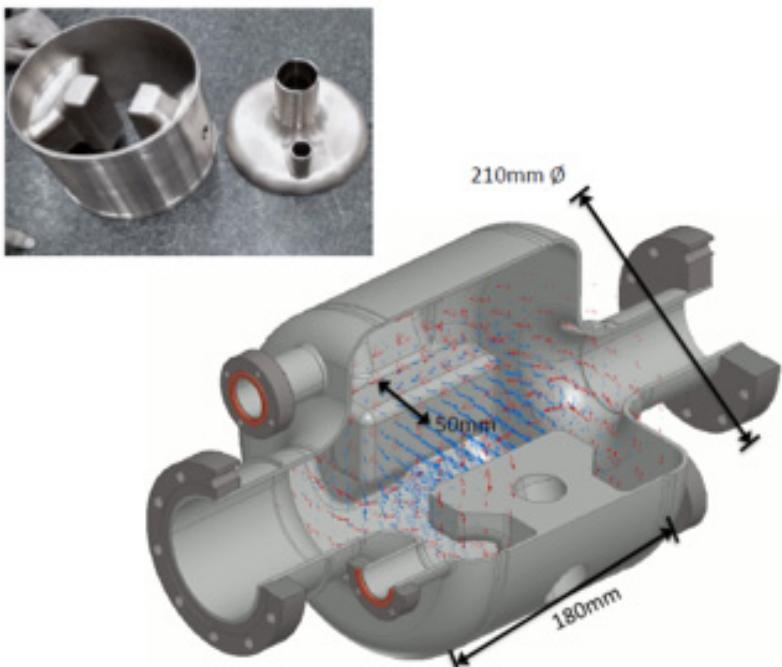
2.815 GHz



	Single-Cell RFD	Two-Cell RFD	Three-Cell RFD	Unit
Frequency	952.6			MHz
Aperture	70			mm
SOM	None	846	756.8, 862.2	MHz
LOM Mode Type	–	Dipole	Dipole	
1 <sup>st</sup> HOM	1411.5	1379.5	1335.4	MHz
$E_p/E_t$	5.4	5.66	5.6	
$B_p/E_t$	13.6	11.64	11.4	mT/(MV/m)
$[R/Q]_t$	50	147.5	218.8	$\Omega$
$G$	165.7	169	178.9	$\Omega$
$R_t R_s$	$8.3 \times 10^3$	$2.5 \times 10^4$	$3.9 \times 10^4$	$\Omega^2$
Total $V_t$ (e/p) (per beam per side)	4.2 / 21.5			MV
$V_t$ (per cavity)	0.86	1.9	3.1	MV
No. of cavities (e/p)	5 / 25	3 / 12	2 / 7	
$E_p$	30	34	39	MV/m
$B_p$	70	70	70	mT

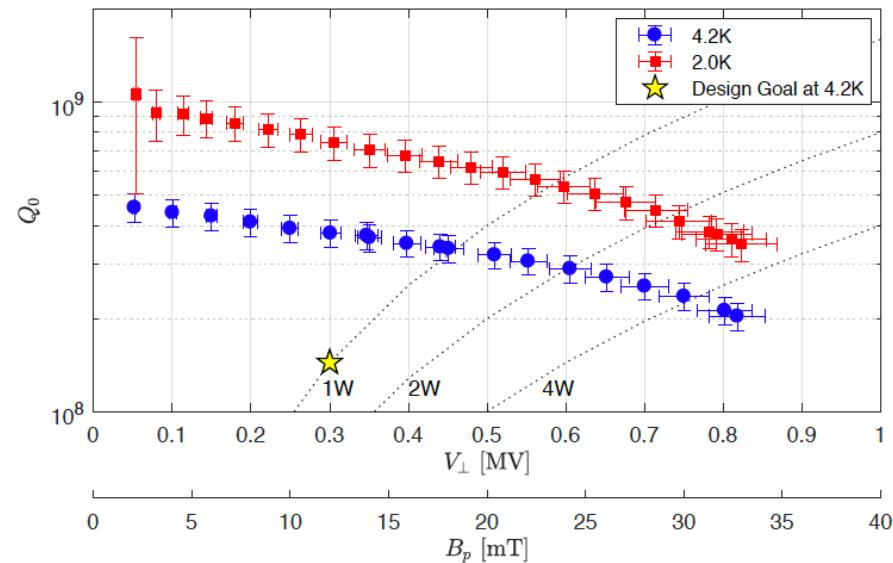
# TRIUMF RF-Deflector

- Due to low performance specifications, fabrication methods include some alternative techniques:
  - Machining from bulk reactor grade Nb
    - RRR of 45 compared to usual  $\sim 300$
  - Tungsten Inert Gas (TIG) welding
    - Developed as an alternative to electron beam welding



Cavity performance parameters:

- Superconducting Niobium cavity at 4.2 K
- Resonant frequency: 650 MHz
- Deflecting voltage: 0.3 (0.6) MV
- Shunt impedance: 625  $\Omega$
- Geometry factor: 99  $\Omega$
- Peak electric field: 9.5 (19) MV/m
- Peak magnetic field: 12 (24) mT
- RF power dissipation: 0.35 (1.4) W



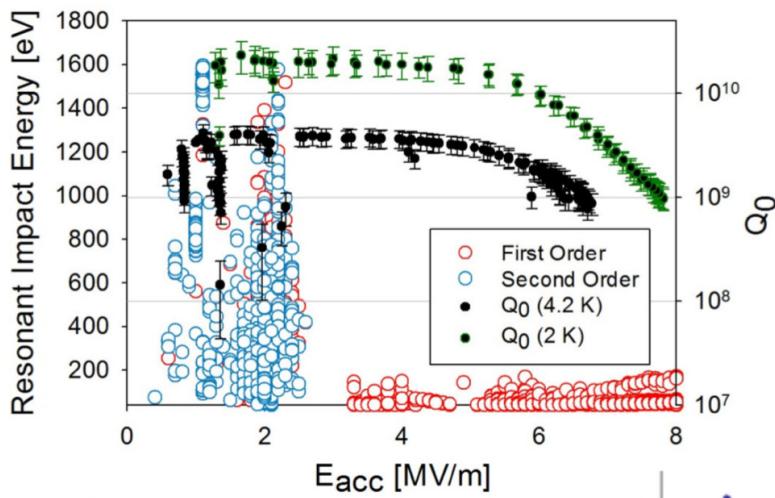
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# **DESIGN ISSUES OF NON-ELLIPTICAL CAVITIES**

- (1) MULTIPACTING**
- (2) MECHANICAL DESIGN**
- (3) FABRICATION**
- (4) CRYOMODULE DESIGN**

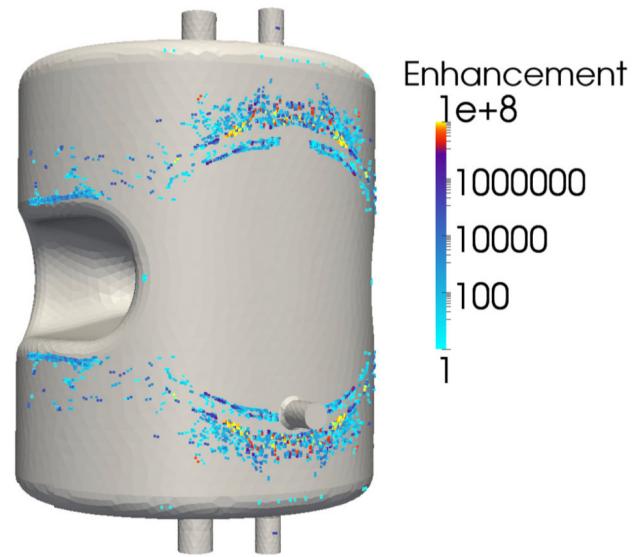
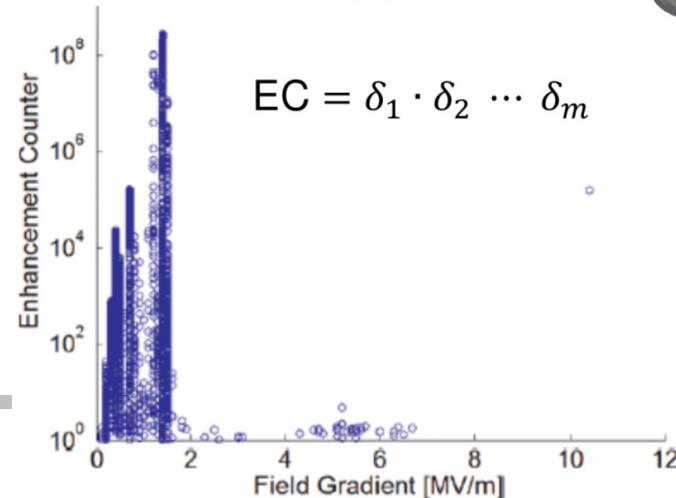
# Multipacting in Non-Elliptical Cavities

- Multipacting always occur in non-elliptical cavities due to complex geometries
- But not a show stopper
- Now reliable tools exist that can model multipacting resonant levels



Multipacting experienced up to 2.1 MV/m

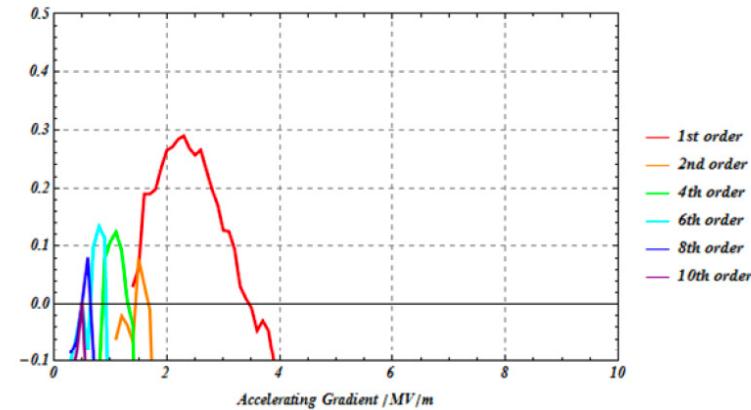
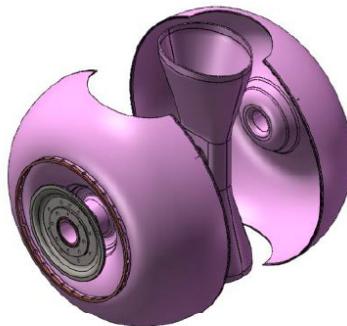
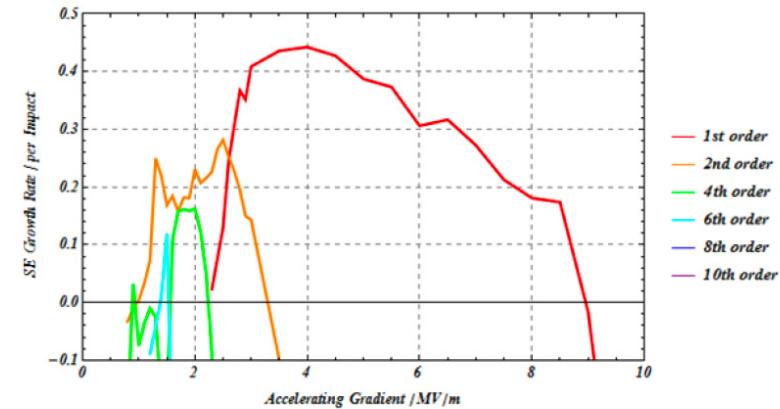
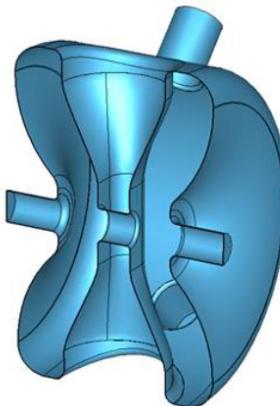
$$EC = \delta_1 \cdot \delta_2 \cdots \delta_m$$



End wall and bend radius selected to minimize multipacting levels

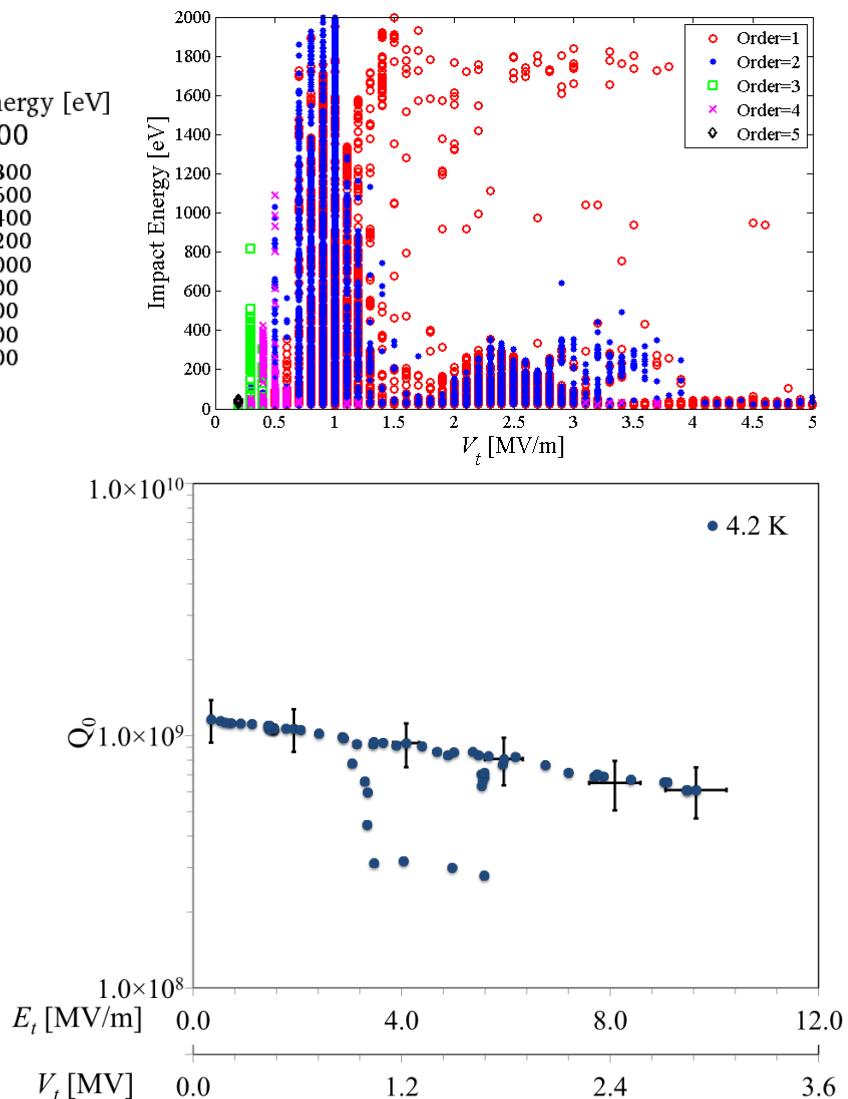
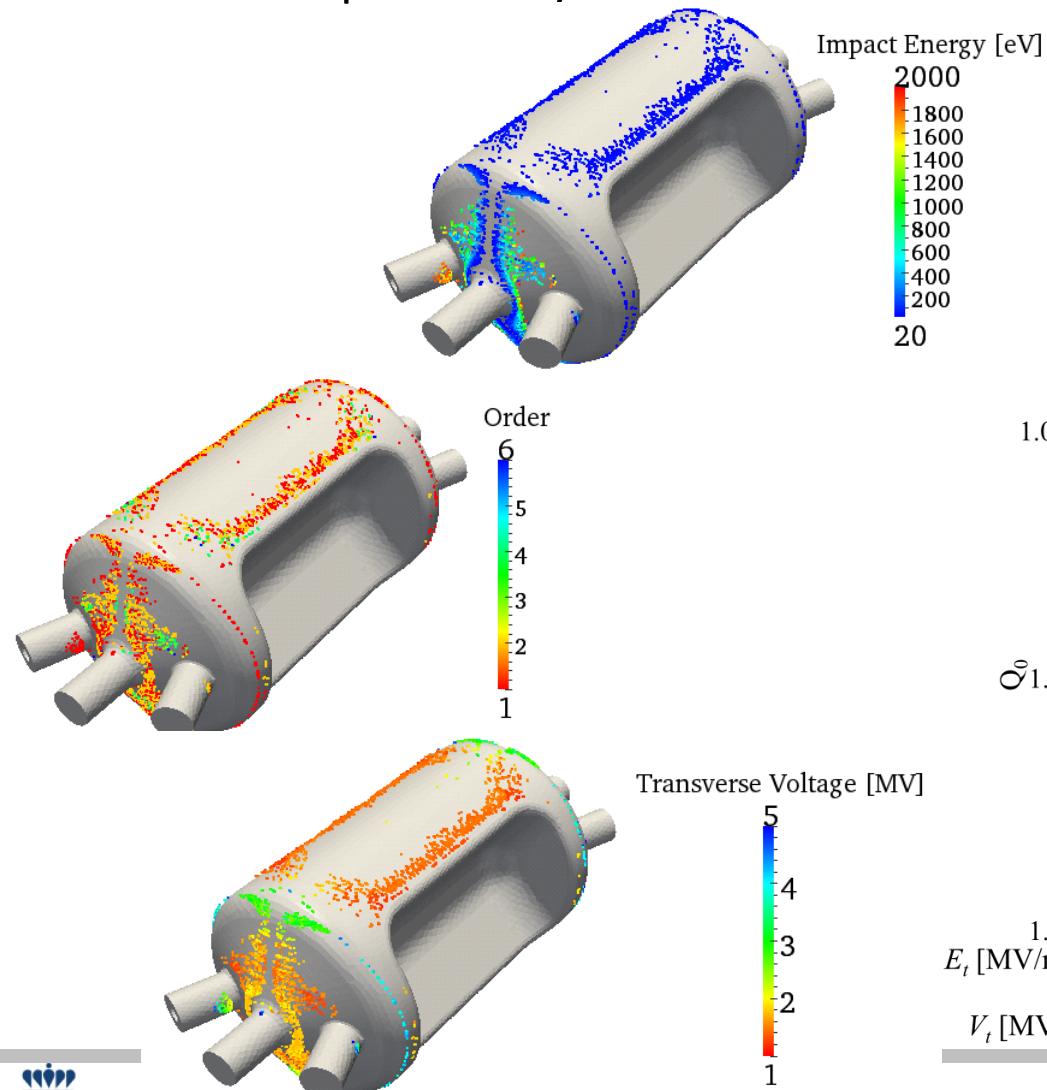
# Multipacting in Non-Elliptical Cavities

- Multipacting can be reduced careful cavity design
- Example – single spoke resonator designed by TRIUMF for RISP
- Balloon variant reduces serious multipacting in operating region



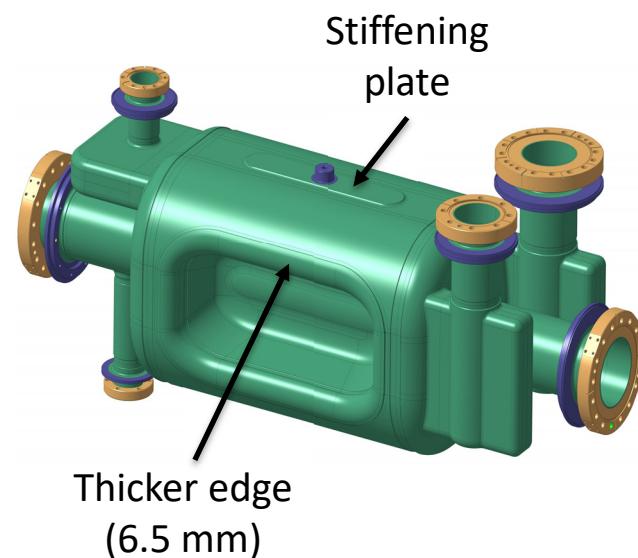
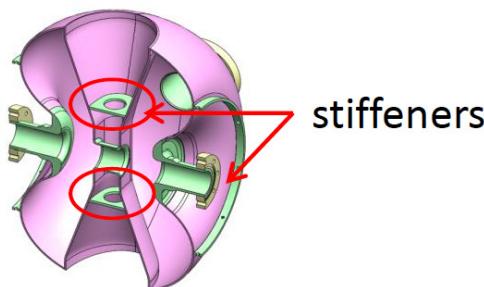
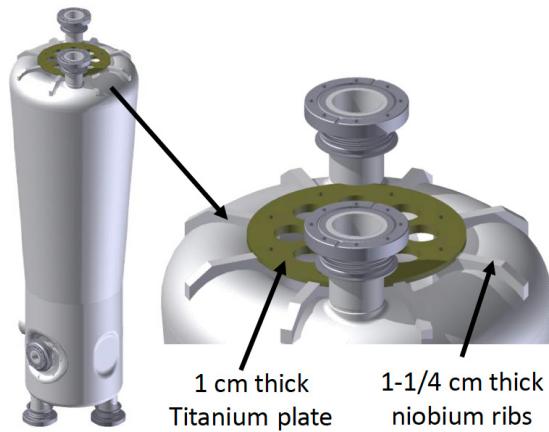
# Multipacting in Non-Elliptical Cavities

499 MHz rf-dipole cavity



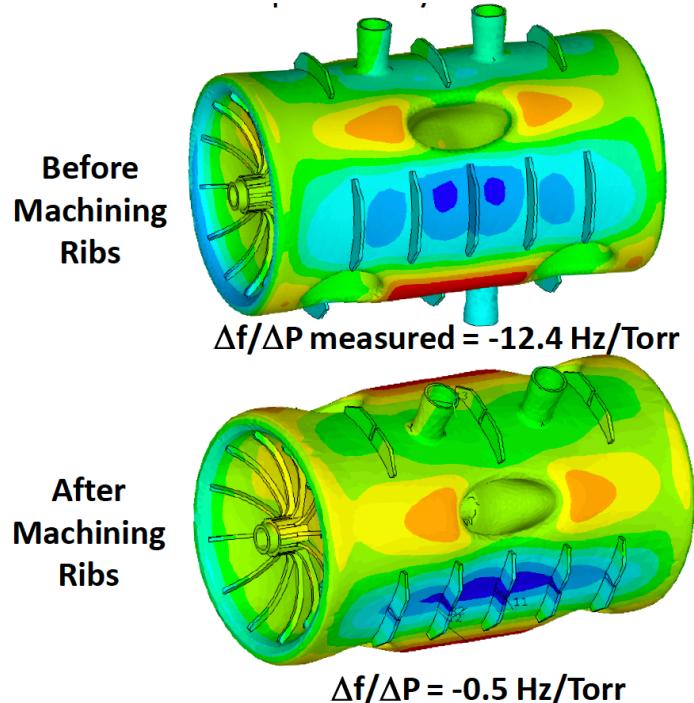
# Mechanical Designs of Non-Elliptical Cavities

- Mechanical design focuses on reducing internal stresses under the external pressure load for various operational conditions
- Study mechanical stability to microphonics, pressure fluctuations ( $df/dp$ ), Lorentz force detuning
- Stiffeners can be added strategically to reduce and improve mechanical stability
- Consider thermal performance when considering thicker material to reduce stresses
- Consider tuning range and tuning force required and cavity stresses for maximum tuning range



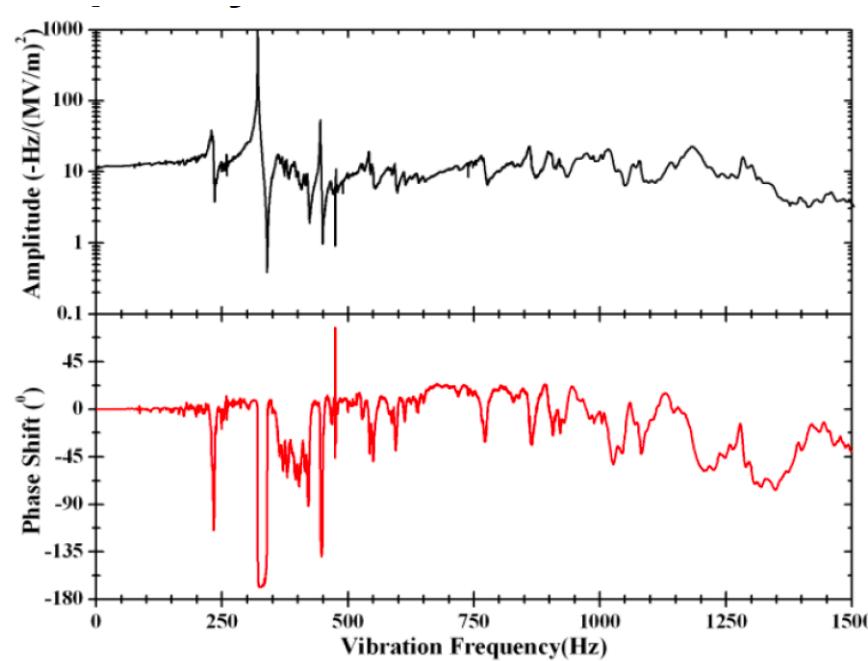
# Mechanical Designs of Non-Elliptical Cavities

- Response of the cavity to external pressure changes can be dominant contribution at 4 K operation
- Lorentz force detuning: inward pressure in E field and H field regions produce frequency shifts in opposite direction
- Support ribs in E field and H field to balance deflections so that frequency shift can be cancelled

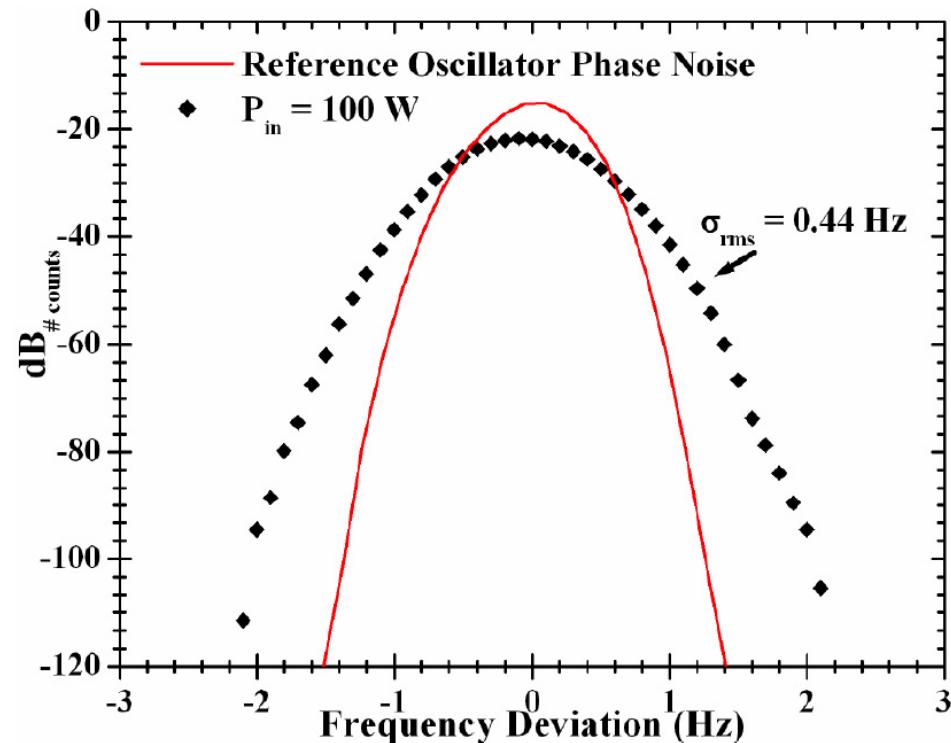


# Mechanical Designs of Non-Elliptical Cavities

- Few mechanical modes: None at low frequency
- Low microphonics sensitivity to He pressure



345 MHz  $\beta=0.5$  triple spoke cavity



$df/dp = -0.4 \text{ Hz}/\text{mbar}$

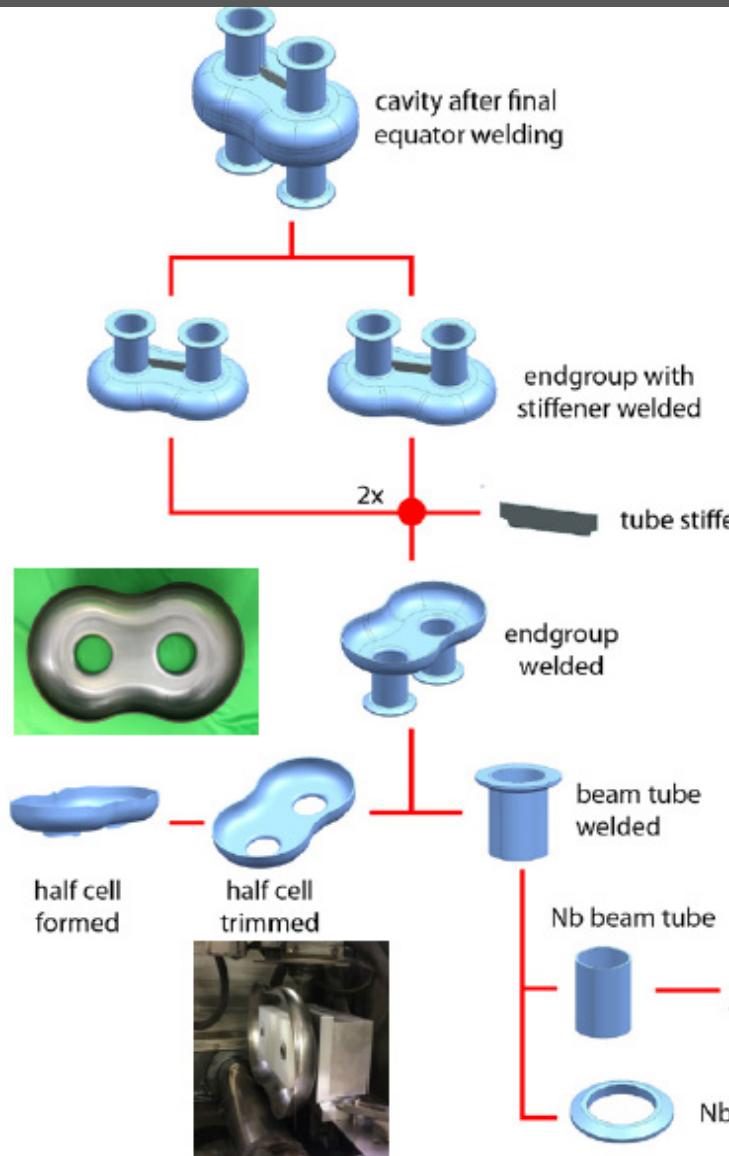
# Cavity Fabrication



wire EDM-ed  
Nb half cell  
blank

male die

female die



# Cavity Fabrication

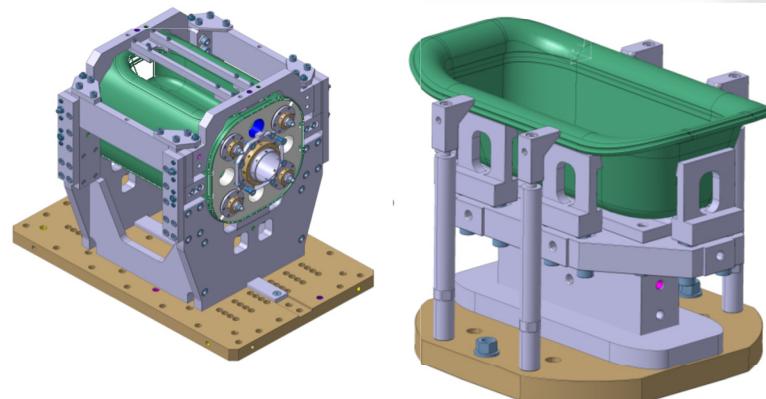
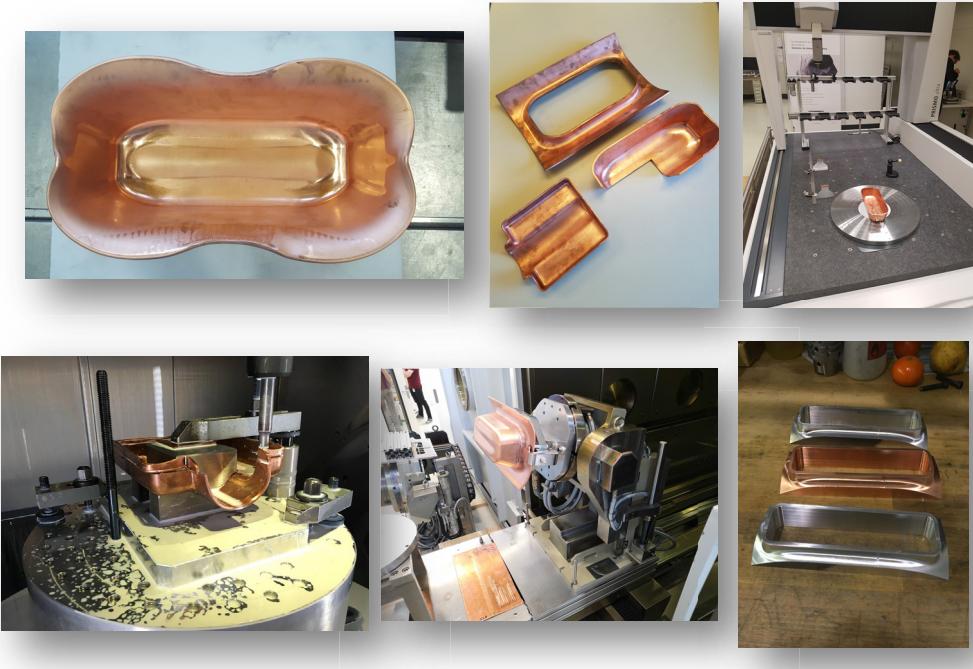
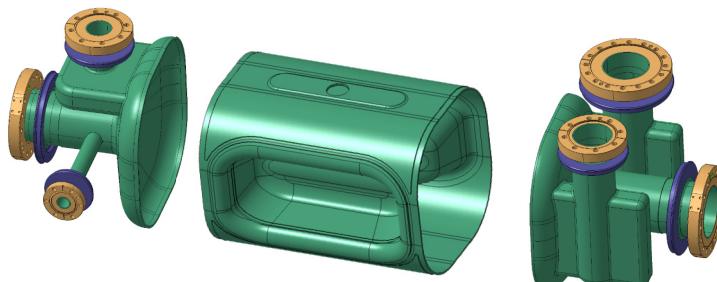
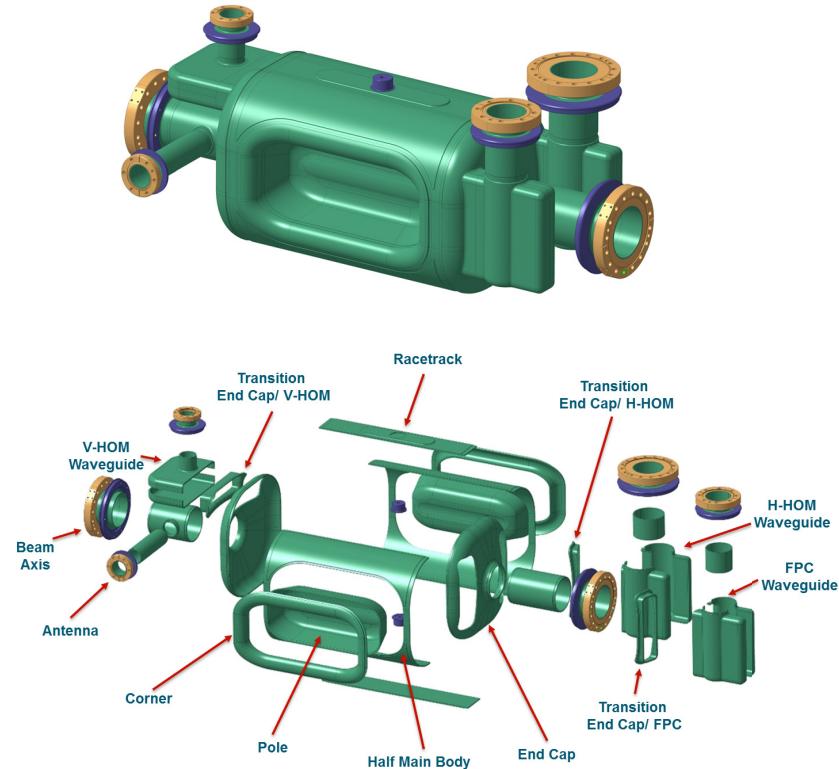
325 MHz Single-Spoke  
Fabricated at Niowave Inc.



500 MHz Double-Spoke  
Fabricated at Jefferson Lab  
(HyeKyoung Park)

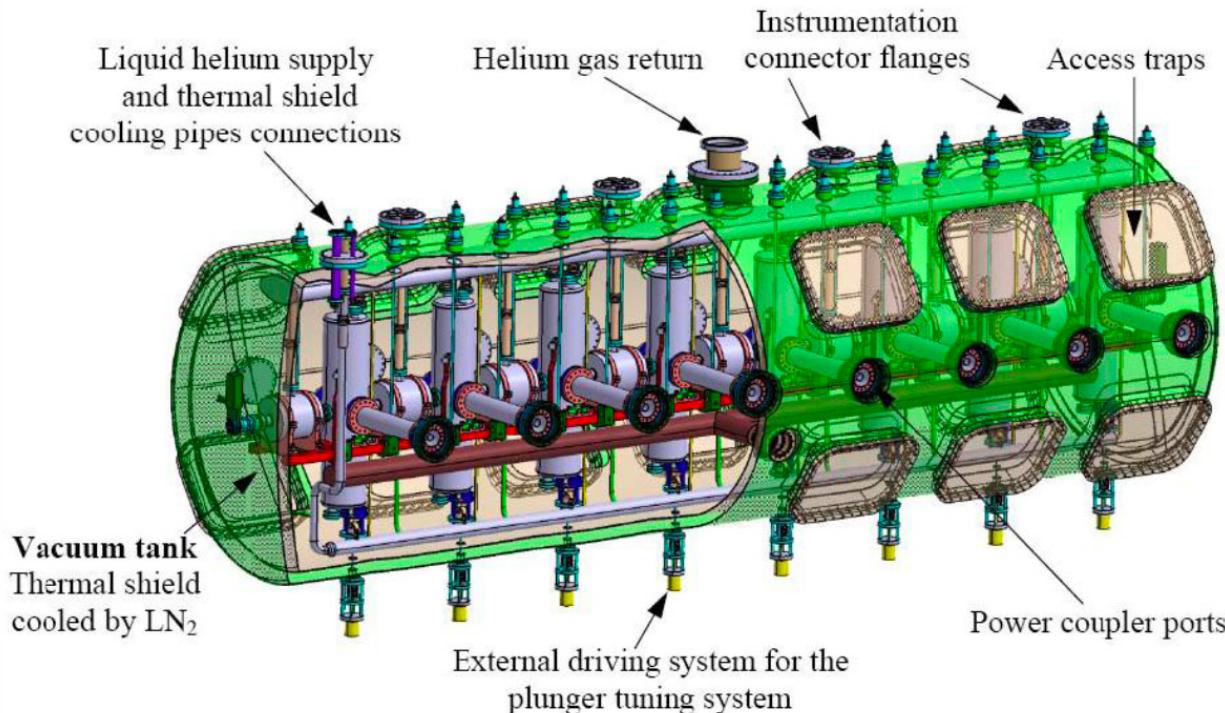


# Fabrication of Non-Elliptical Cavities



# Cryomodule Designs

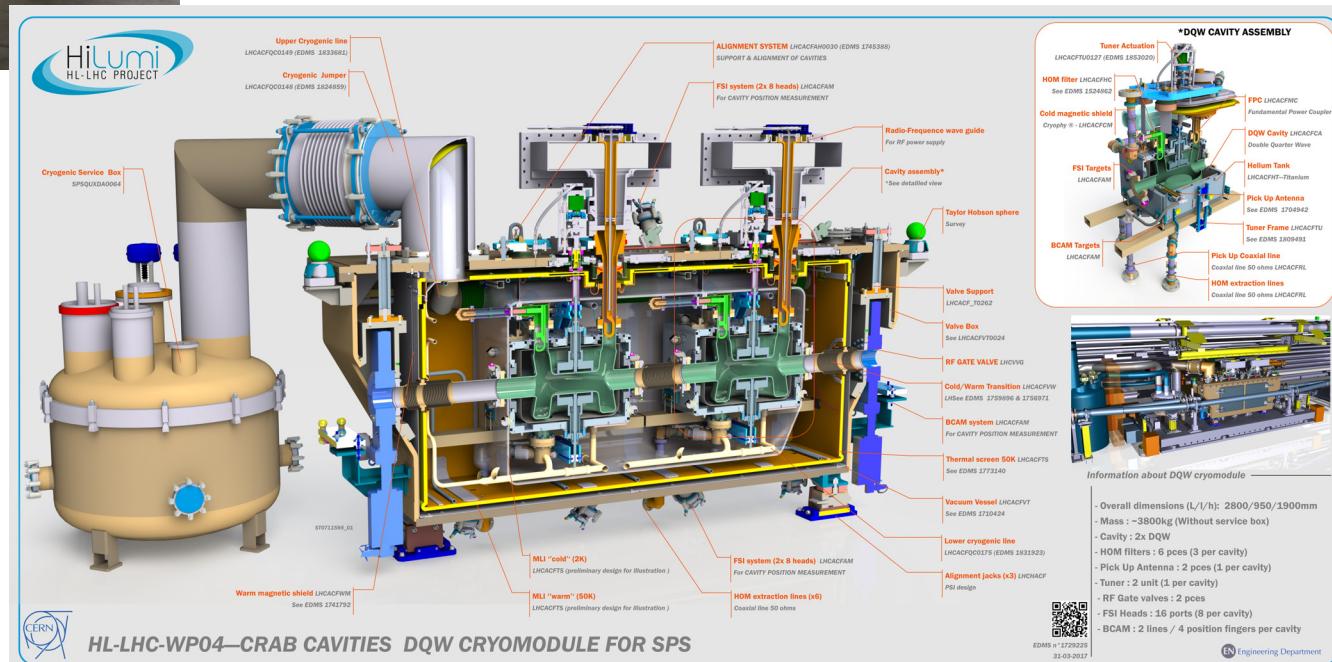
- Non-elliptical cavities require more complicated cryomodule structures compared to elliptical cavities
- Different solutions investigated for the same cavity types
- Couplers, tuners, and rf lines are often dominant components



# Cryomodule Designs



400 MHz Double Quarter Wave Cryomodule for SPS, CERN



# Final Comments

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- Non-elliptical cavities have evolved in the past several decades → Tremendous global interest in superconducting cavities for high energy hadron acceleration, compact high beta cavities, and deflecting/crabbing cavities
- New projects (FRIB, ESS, LHC HiLumi Upgrade) in using non-elliptical cavities have moved the technologies to industrial production aiming high performance and reliability
- Parameter, tradeoff, and option space available to the designer is large → No universal design where designs are application specific
- Design process is not reduced to a few simple rules or recipes
- Ample opportunities for imagination, originality, and common sense

# References

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  - SRF 2015 – Non-Elliptical Resonators – A. Facco
  - SRF 2013 – TEM Class Cavity Design – M. Kelly
  - SRF 2007 – Low and Medium  $\beta$  Superconducting Cavities and Accelerators - J. Delayen
- Non-Elliptical Accelerating Cavities
  - Design of Low-Velocity Superconducting Accelerating Structures Using Quarter-Wavelength Resonant Lines – J. R. Delayen, NIM, A259, 341-357 (1987)
  - Longitudinal Transit Time Factors of Short Independently-Phased Accelerating Structures for Low Velocity Ions – J. R. Delayen, NIM, A258, 15-25 (1987)
  - Superconducting Spoke Cavities – M. Kelly, 39th ICFA Advanced Beam Dynamics Workshop on High Intensity High Brightness Hadron Beams (2006),  
<http://accelconf.web.cern.ch/AccelConf/abdwhb06/PAPERS/THAY07.PDF>
  - Medium- $\beta$  Superconducting Accelerating Structures – J. R. Delayen, SRF 2001,  
<https://accelconf.web.cern.ch/accelconf/srf01/papers/fa007.pdf>
  - Applications of Spoke Cavities – J. R. Delayen, LINAC 2010,  
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  - Superconducting RF Development For FRIB At MSU - K. Saito, et. al., LINAC 2014,  
<http://inspirehep.net/record/1363521/files/thioa02.pdf>

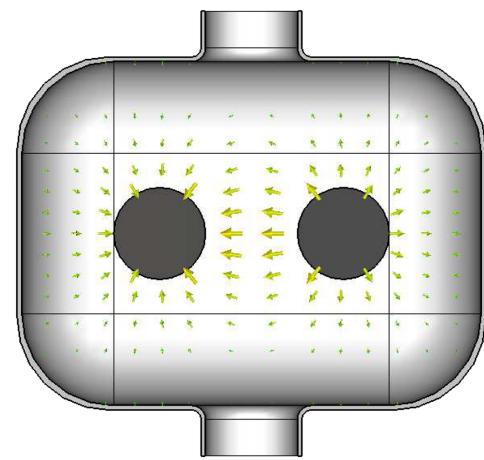
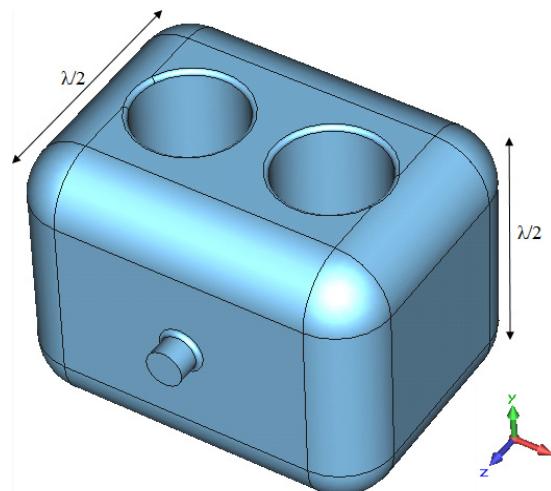
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  - Superconducting Spoke Cavities for High-Velocity Applications – C. S. Hopper and J. R. Delayen, Phys. Rev. ST Accel. Beams, 16, 102001, (2013)
  - High-Velocity Spoke Cavities – C. S. Hopper, SRF 2015,  
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  - STUDY OF BALLOON SPOKE CAVITIES, Z.Y. Yao, et. al., SRF 2013,  
<http://accelconf.web.cern.ch/AccelConf/SRF2013/papers/thp033.pdf>
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  - Energy Scaling Crab Crossing and the Pair Problem – R. B. Palmer, SLAC-PUB-4707 (1988)
  - Design Evolution and Properties of Superconducting Parallel-Bar RF- Dipole Deflecting and Crabbing Cavities – S. U. De Silva and J. R. Delayen, Phys. Rev. ST Accel. Beams 16 (2013) 012004
  - Design, Prototyping and Testing of a Compact Superconducting Double Quarter Wave Crab Cavity - B. P. Xiao et al., Phys. Rev. ST Accel. Beams 18, 041004 (2015)
  - Designing the Four Rod Crab Cavity for the High-Luminosity LHC Upgrade - B. Hall, EuCARD Monograph Vol. 25 (2014)
  - SPX Crab Cavity Development and Testing Result – H. Wang, TTC Meeting (2012),  
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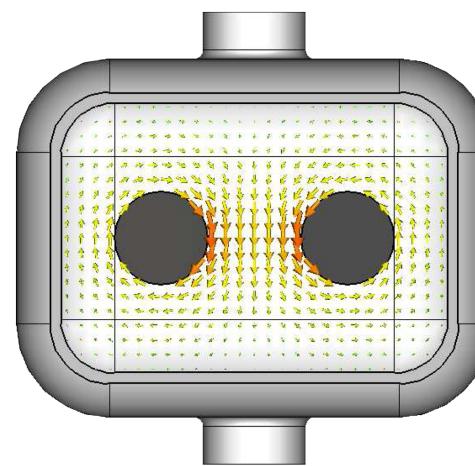
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# ADDITIONAL SLIDES

# Parallel-Bar Cavity

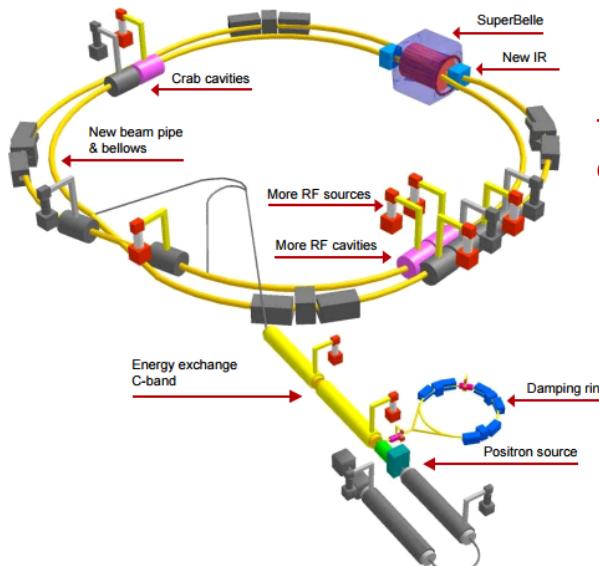


**E field on mid plane  
(Along the beam line)**

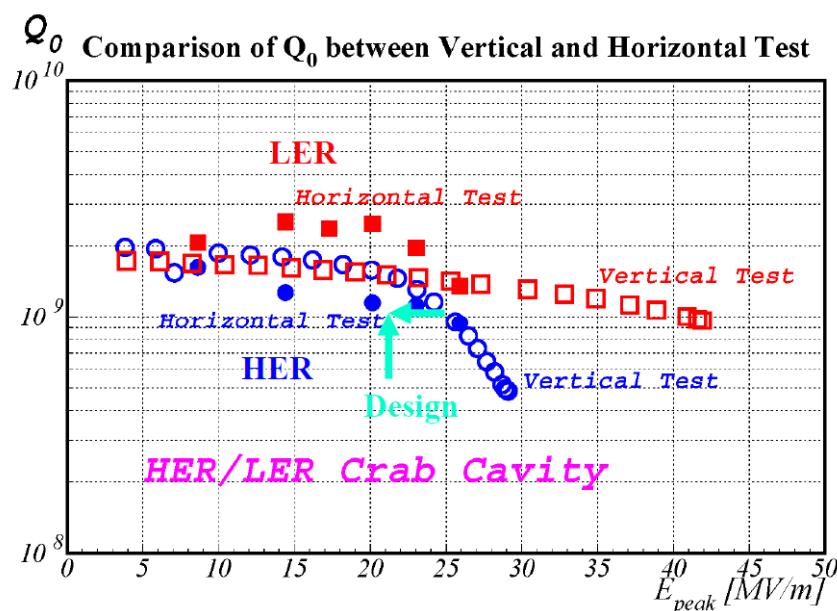
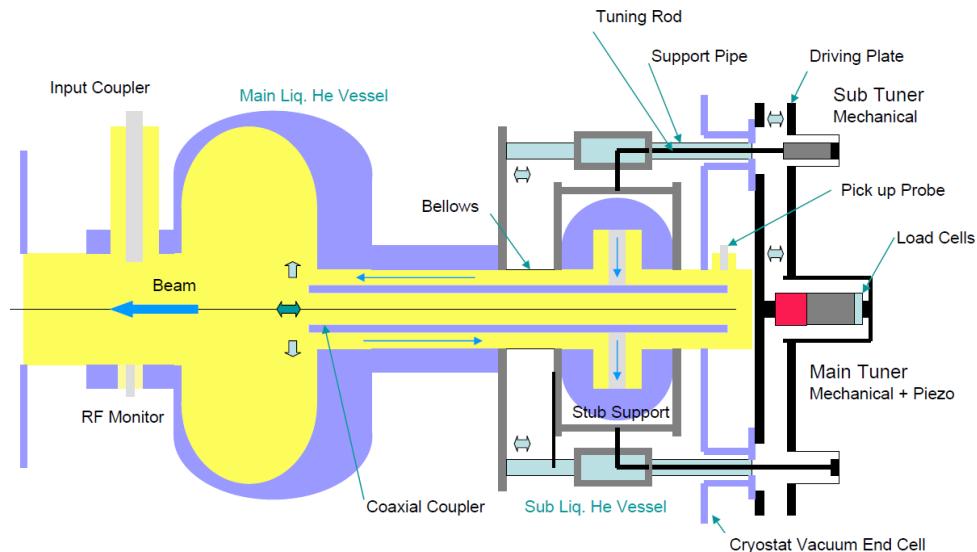


**B field on top plane**

# KEK Crabbing Cavity

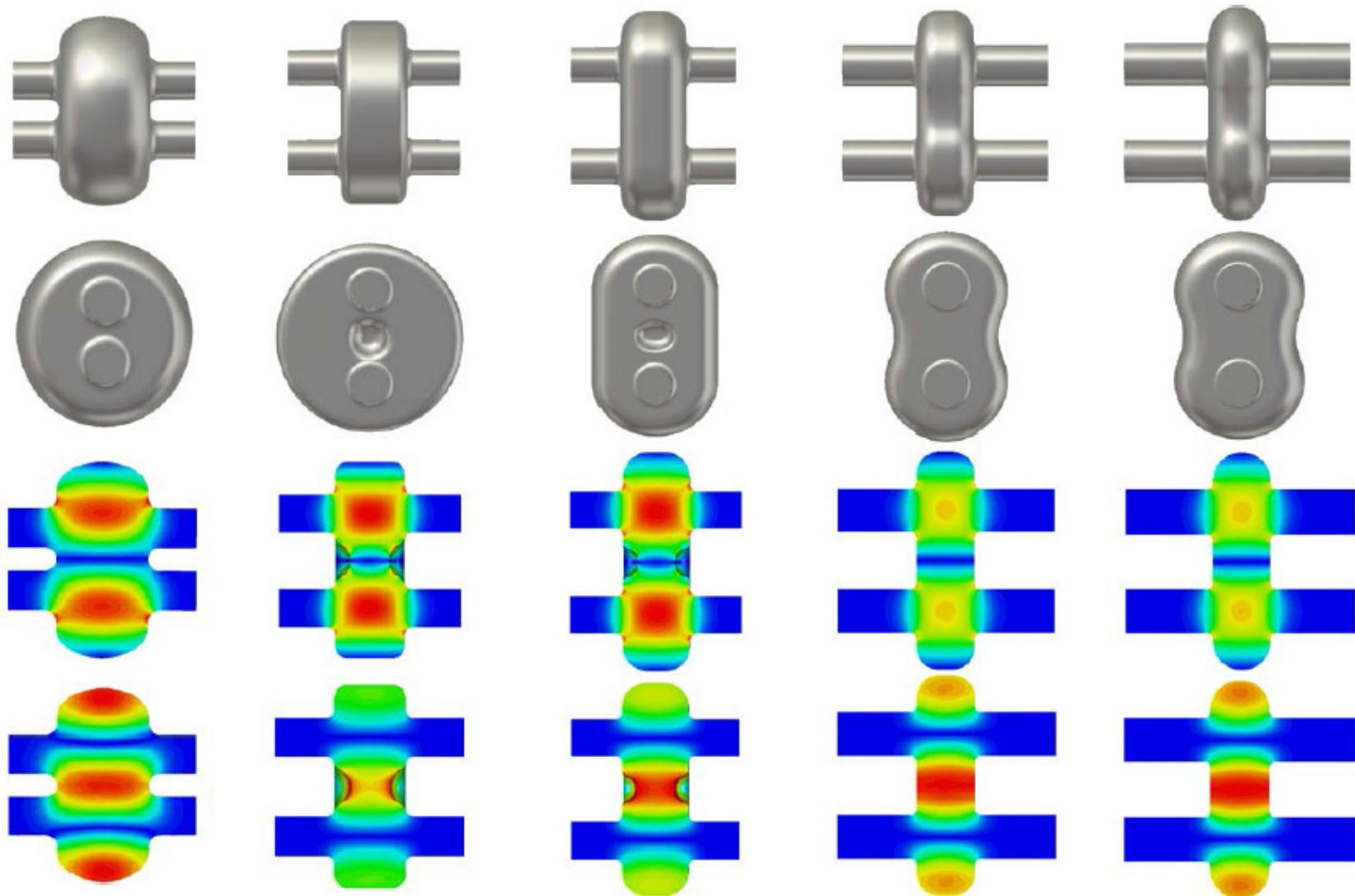


- Two crabbing cavities
- High energy ring (HER)
  - Low energy ring (LER)



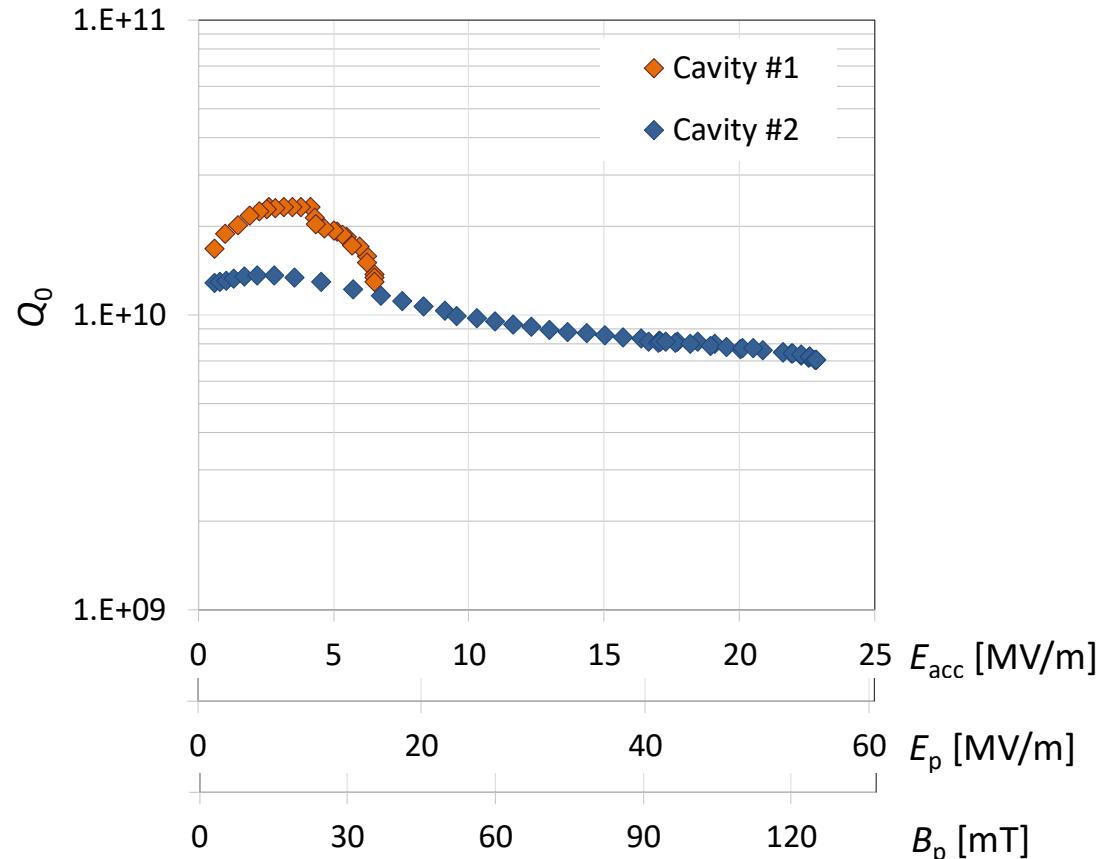
Frequency	508.9	MHz
LOM ( $TM_{110}$ )	410.0	MHz
Nearest HOMs	630.0, 650.0, 680.0	MHz
$E_p^*$	4.24	MV/m
$B_p^*$	12.23	mT
$B_p^*/E_p^*$	2.88	mT/(MV/m)
$[R/Q]_T$	48.9	$\Omega$
Geometrical Factor ( $G$ )	227.0	$\Omega$
$R_T R_S$	$1.11 \times 10^4$	$\Omega^2$
At $E_T^* = 1$ MV/m		

# Design Evolution of Twin Axis Cavity



# RF Test Results of Twin Axis Cavity

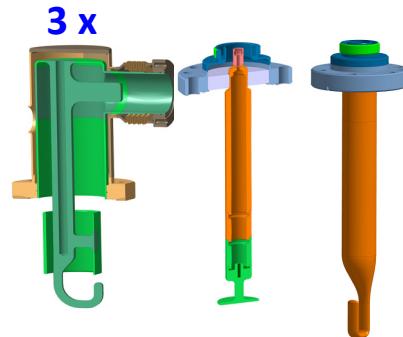
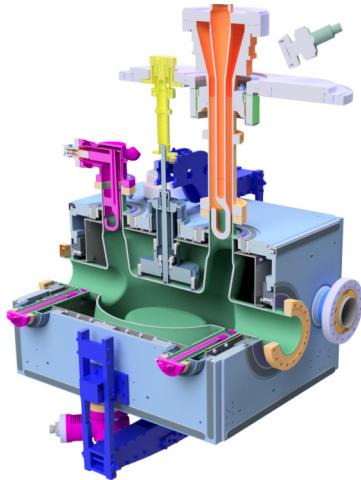
- Cavity 1:
  - Low field  $Q_0$  of  $2.3 \times 10^{10}$
  - Cavity quenched at 6.5 MV/m
  - Weld defect seems to be the limiting factor according to OST measurements
- Cavity 2:
  - Low field  $Q_0$  of  $1.3 \times 10^{10}$
  - Achieved an accelerating gradient of 23 MV/m ( $E_p = 56$  MV/m &  $B_p = 126$  mT)
  - No multipacting levels were observed during the test
  - Given minimal surface treatment (BCP only, no EP) cavity reached high  $Q_0$



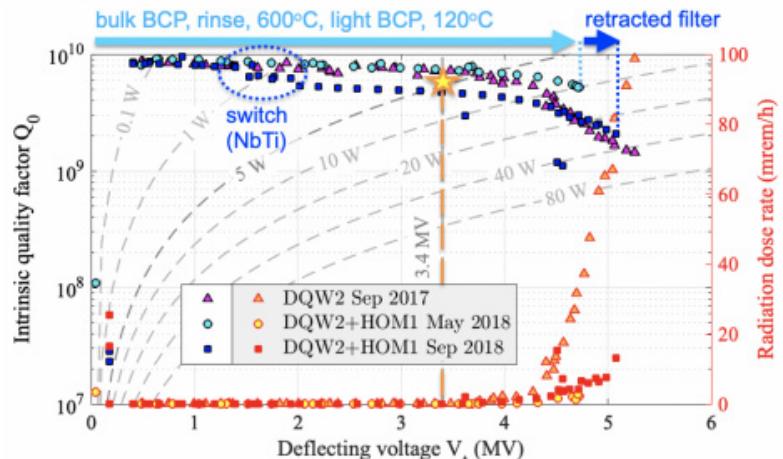
# TE-Like Cavities for LHC High Luminosity Upgrade

- Crabbing cavities for LHC high luminosity upgrade – Operate at 400 MHz

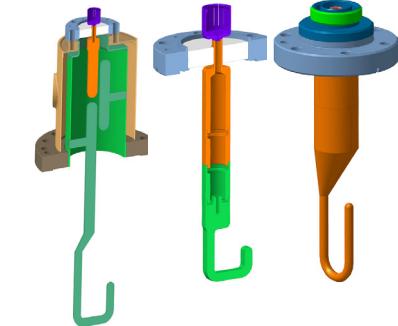
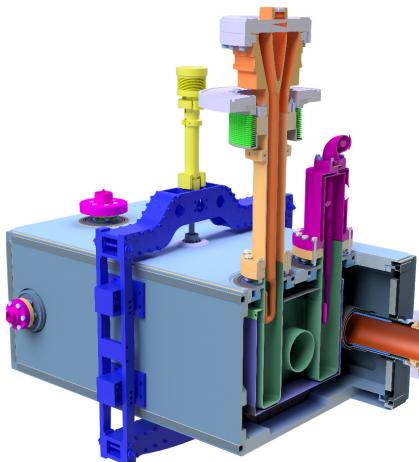
**Double Quarter Wave Cavity**



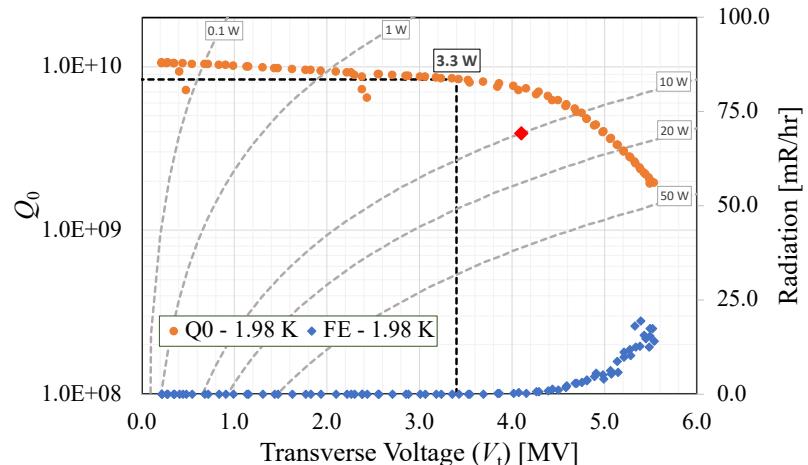
**Max  $V_t = 5.3$  MV**



**RF-Dipole Cavity**



**Max  $V_t = 5.5$  MV**



# TE-Type Non-Elliptical Cavities

