

Microwave suppression of nonlinear surface resistance and extended $Q(H)$ rise in alloyed Nb cavities

Alex Gurevich

Old Dominion University,
Department of Physics and Center for Accelerator Science,
Norfolk, VA 23529, USA

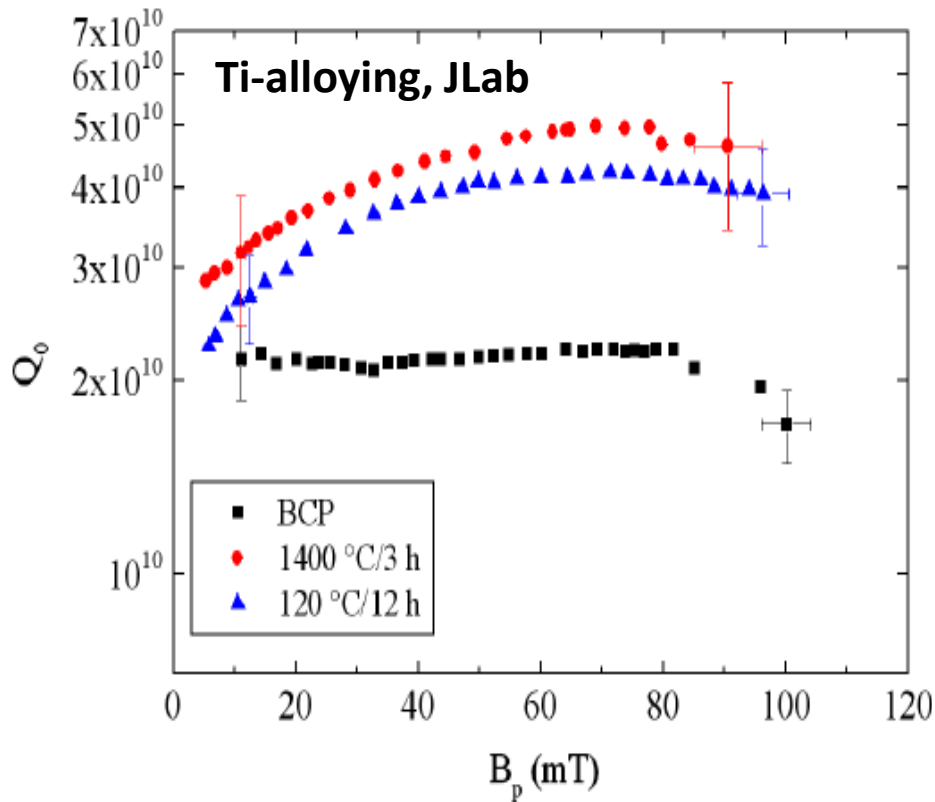
Supported by DOE under grant No. DE-SC0010081

Outline

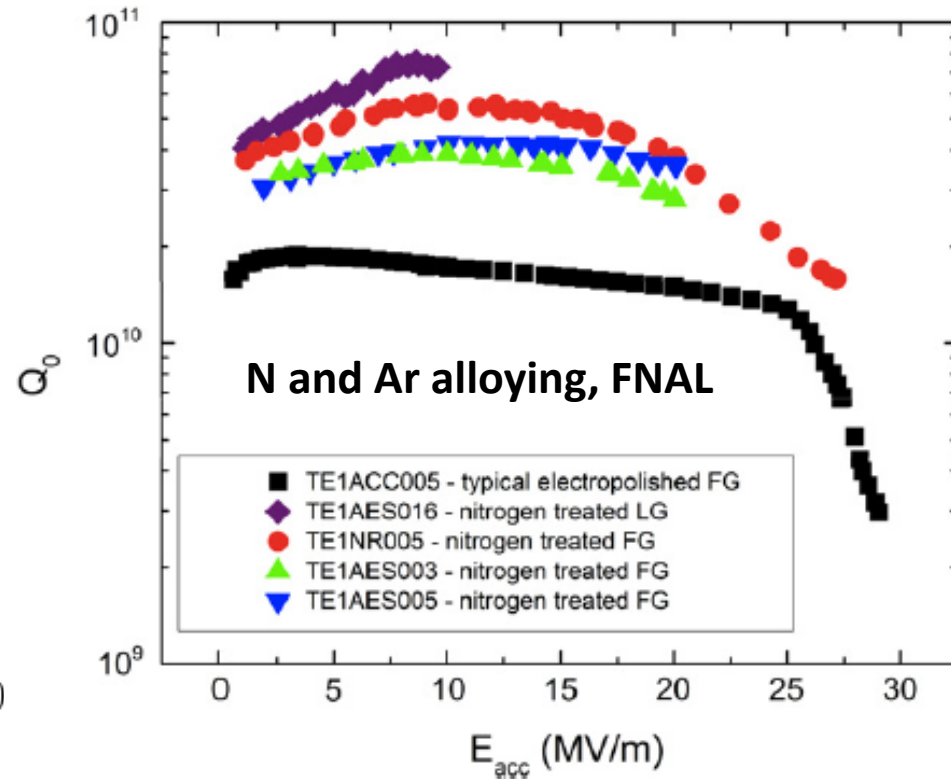
- What is behind the extended $Q(H)$ rise in Ti or N -doped Nb cavities and what makes them special: special defects, processing, new SRF physics or else?
- Counterintuitive suppression of surface resistance by the rf field extending to 100-120 mT – half of the superheating field of Nb!
- Effect comes from the tell-known broadening of gap peaks in the density of states by strong dc or rf currents in the BCS theory.
- Quick fixes of the low-field Mattis-Bardeen theory are inadequate. Recently $R_s(H)$ has been derived from the dynamic nonequilibrium BCS theory which describes experiment very well. [A.Gurevich, PRL 113, 087001 \(2014\)](#).
- Materials conditions: sharp peaks in the density of states and fewer subgap states: apparently N or Ti-allowing does the job.
- How far can it be extended and can negative Q-slopes be fully reversed without reducing the breakdown field? If so can it be extended beyond the superheating field of Nb?
- S-I optimal Nb dirty layer may extend the Q-rise **above** the superheating field of Nb
[A.Gurevich, AIP Advances, 5, 087001 \(2015\)](#).

Extended microwave enhancement of $Q(H)$

P. Dhakal et al, Phys Rev. ST-AB 16, 042001 (2013)



A. Grasselino et al, SUST 26, 102001 (2013)



- Dirty layer due to diffusion of N or Ti into a few μm thick layer ($\gg \lambda = 40 \text{ nm}$) at the surface
- Decrease of $R_s(B)$ up to $B \approx 0.5B_c$: microwave suppression of surface resistance

Microwave suppression of R_s is not unique to Nb cavities

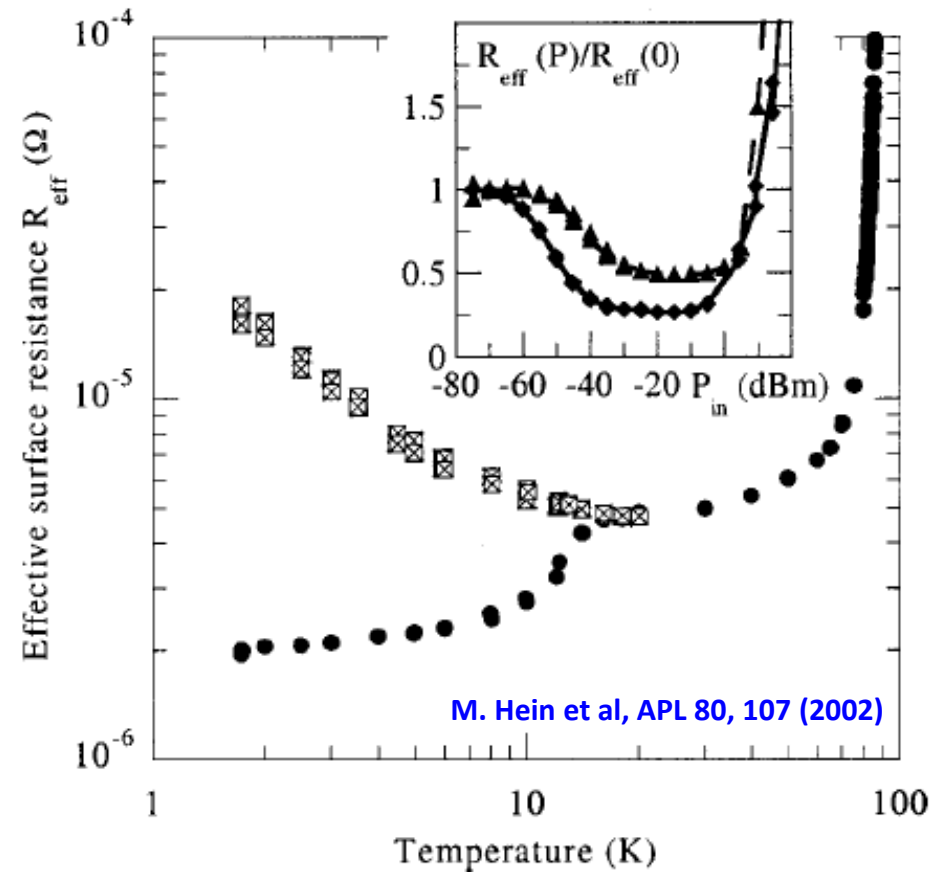
350 nm thick $\text{YBa}_2\text{Cu}_3\text{O}_7$ or Nb
striplines on MgO substrates:
minimum in R_s at 10 kV/m

but no minimum on sapphire
or LaAlO_3 ...? Surface states or
nonlinear dielectric losses in MgO?

Recent observations on 60 nm
Al striplines @ 5.5 GHz and 0.2 K
P.J. deVisser et al, PRL 112, 047004 (2014)

Earlier observations on **Pb** and other **LTS**

A.B. Pippard, Proc. Roy. Soc. 203, 210 (1950)
M. Spiwak, Phys. Rev. 113, 1479 (1959)
Yu.V. Sharvin and V.F. Gantmakher, JETP 12, 866 (1961)
R.L. Richards, Phys. Rev. 126, 912 (1962)
R.T. Lewis, Phys. Rev. 134, A1 (1964)
J.F. Koch and C.C. Kuo, Phys. Rev. 164, 618 (1967)
S. Sridhar and J.E. Mercerau, PRB 43, 203 (1996)



Suppression of $R_s(H)$ by dc or rf field can be:

1. significant but also very sample dependent
2. masked by high radiation and vortex losses
3. Affected by substrates

What could make $R_s(H)$ to decrease with field?

Mattis-Bardeen formula for the surface resistance in the dirty limit:

$$R_s = \mu_0^2 \sigma_n \lambda^3 \omega^2 \frac{\Delta}{kT} \ln \left(\frac{9kT}{4\hbar\omega} \right) e^{-\Delta/kT}$$

In BCS the product $\lambda^3 \Delta$ is inversely proportional to the superfluid density, Δ^2 so:

$$R_s \propto \Delta^{-2} \exp(-\Delta/kT)$$

Here Δ decreases with H because currents break Cooper pairs. Thus, according to the conventional wisdom, $R_s(H)$ should **increases with H** proportionally to the density of thermally-dissociated electrons

Can the microwave suppression of $R_s(H)$ come from a field-dependent m.f.p (not in BCS) or the log. Factor (often hidden in the A factor in $R_s = (A\omega^2/T)\exp(-\Delta/kT)$?

$$\ln \left(\frac{9kT}{4\hbar\omega} \right) \rightarrow ?$$

Mattis-Bardeen theory in the dirty limit

In the dirty limit of $l < \xi$, the relation between the current density $\mathbf{J}(\mathbf{r})$ and the electric field $\mathbf{E}(\mathbf{r})$ becomes **local**:

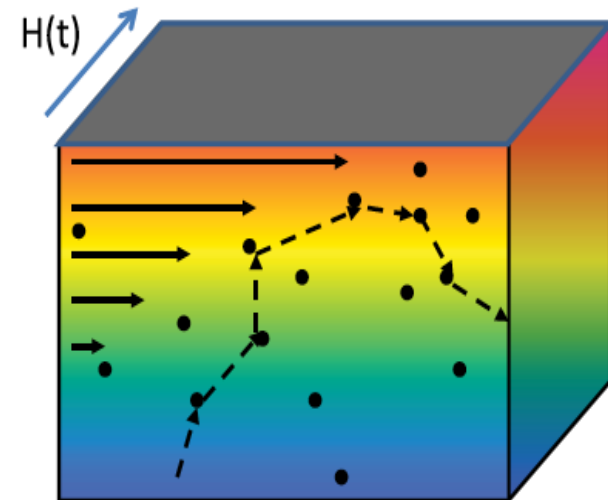
$$J(r, \omega) = [\sigma_1(\omega) - i\sigma_2(\omega)]E(r, \omega), \quad R_s(\omega) = \frac{1}{2}\mu_0^2\omega^2\lambda^3\sigma_1(\omega)$$

The reactive conductivity $\sigma_2 = 1/\omega\mu_0\lambda^2$ accounts for the Meissner effect, and the small dissipative conductivity σ_1 results from thermally-dissociated quasiparticles at $\hbar\omega \ll kT$

$$\sigma_1 = \frac{2\sigma_n}{kT} \int_{\Delta}^{\infty} \frac{(\epsilon^2 + \Delta^2 + \hbar\omega)e^{-\epsilon/kT} d\epsilon}{\sqrt{\epsilon^2 - \Delta^2} \sqrt{(\epsilon + \hbar\omega)^2 - \Delta^2}}$$

At $\hbar\omega \rightarrow 0$, the integral diverges. At finite $\hbar\omega$, the divergence is cut off giving the logarithmic factor

$$\simeq \ln(9kT/4\hbar\omega)$$



Broadening of gap peaks in $N(\epsilon)$ reduces R_s

At 1-2 GHz and 2K, we have $\hbar f/kT \approx 10^{-2}$, so R_s simplifies to:

$$R_s \simeq 2\sigma_n \mu_0^2 \omega^2 \lambda^3 \frac{\Delta}{kT} \int_{\Delta}^{\infty} N(\epsilon) N(\epsilon + \hbar\omega) e^{-\epsilon/kT} d\epsilon$$

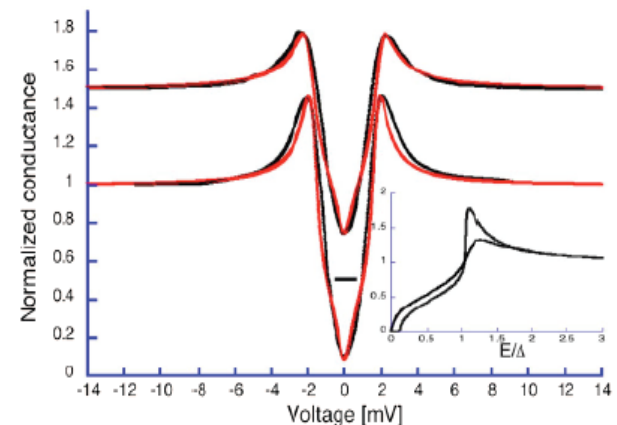
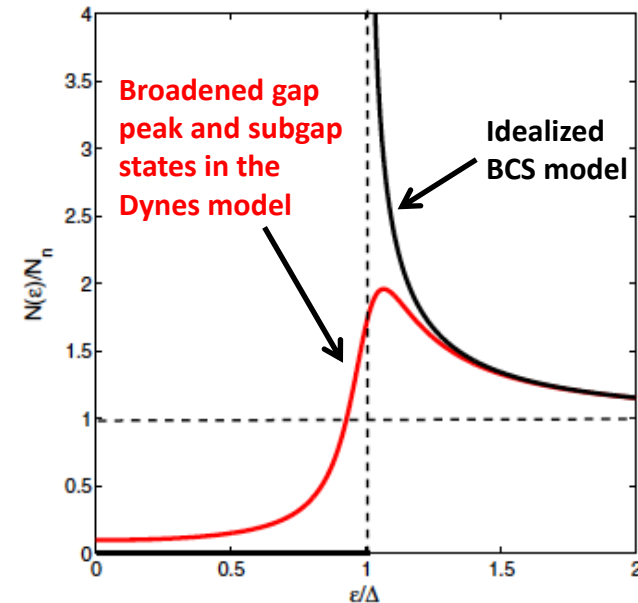
Density of states with broadened peaks:

$$N(\epsilon) = \text{Re} \frac{\epsilon - i\gamma}{\sqrt{(\epsilon - i\gamma)^2 - \Delta^2}}$$

- The log. term in R_s results from the singularity in the BCS density of states ($\gamma = 0$) at $\epsilon = \Delta$
- No singularity in $N(\epsilon)$ extracted from tunneling exps. which reveal finite $N(\epsilon)$ at $\epsilon < \Delta$ (subgap states)

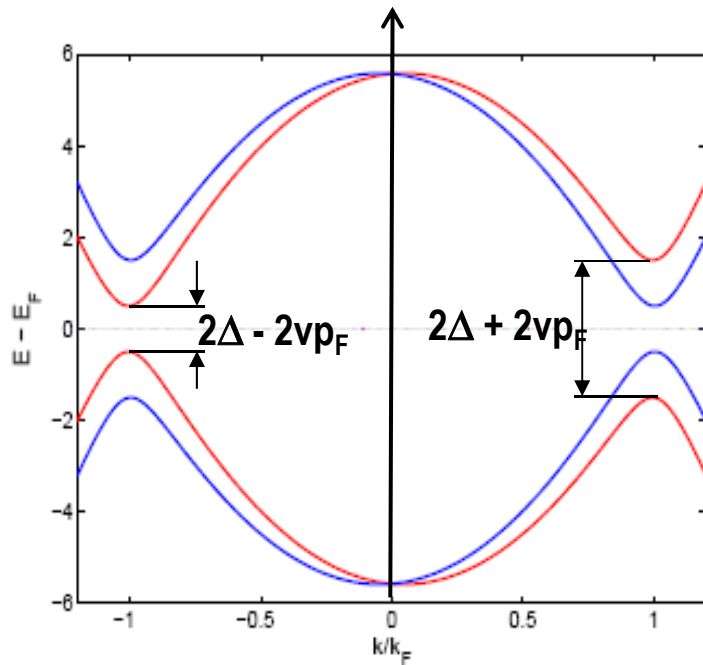
$$\ln \frac{9kT}{4\hbar\omega} \rightarrow \ln \frac{kT}{\gamma}$$

Small broadening of gap peaks in $N(\epsilon)$ can reduce R_s by 4-5 times



Current-induced broadening of $N(\epsilon)$ in clean limit

J. Bardeen, Rev. Mod. Phys. 34, 667 (1962)



Rocking “tilted” electron spectrum in the current-carrying rf state, $J(t) = J_0 \cos \omega t$

$$E(p) = \pm \sqrt{\Delta^2 + (p^2 / 2m - E_F)^2} \pm \vec{p}_F \vec{v}_s(t)$$

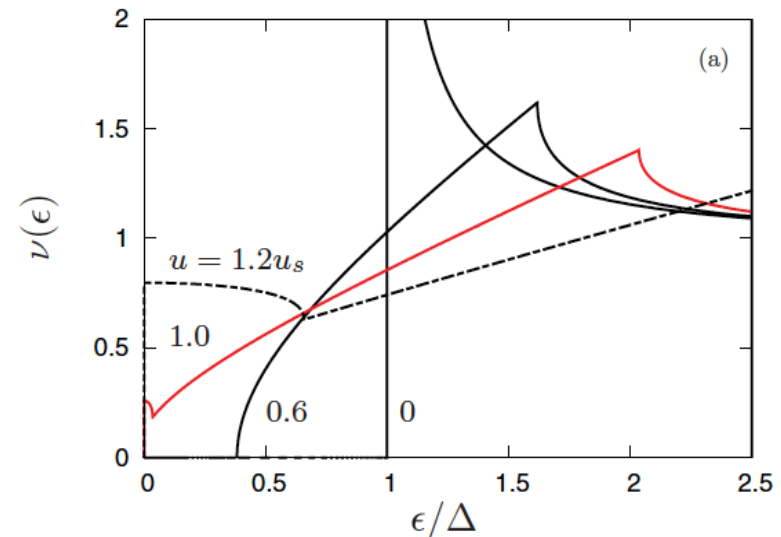
Superfluid velocity $\mathbf{v}_s(t) = \mathbf{J}/n_s e$

At $J > 0$, the energy gap ϵ_g is no longer equal to Δ

- Reduction of the energy gap $\epsilon_g = \Delta - p_F |v_s|$ increases the density of thermally-dissociated normal electrons, which supposedly increases

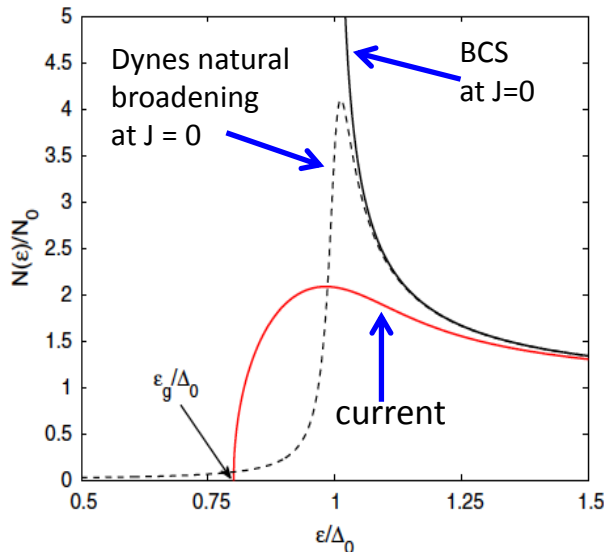
$$R_s \propto \exp[-\epsilon_g(H)/k_B T] \quad ??$$

- Critical pairbreaking velocity $\mathbf{v}_c = \Delta/p_F$



Current-induced broadening of $N(\epsilon)$

Current-induced broadening in dirty limit



Broadening of the gap peaks in $N(\epsilon)$ by current was calculated some 50 years ago:

K. Maki, Prog. Theor. Phys. 29, 10; 333 (1963);
in Superconductivity, part 2, ed. R.D. Parks, 1967;
P. Fulde, Phys Rev. 137, A783 (1965)

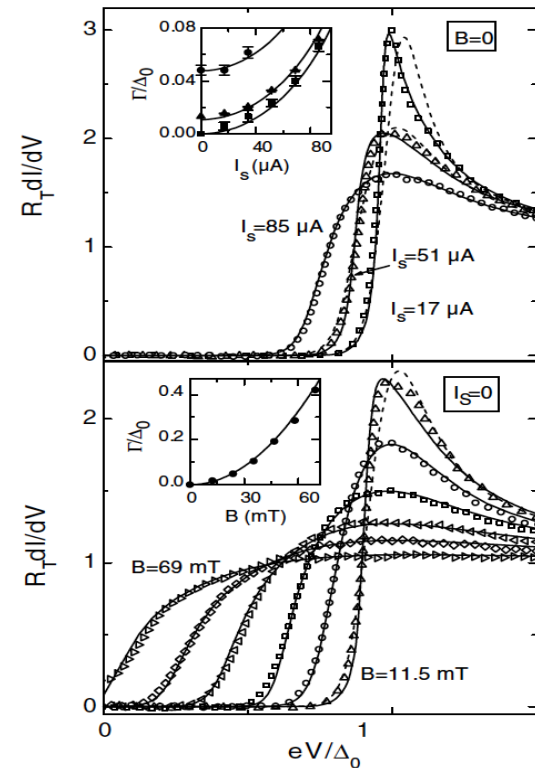
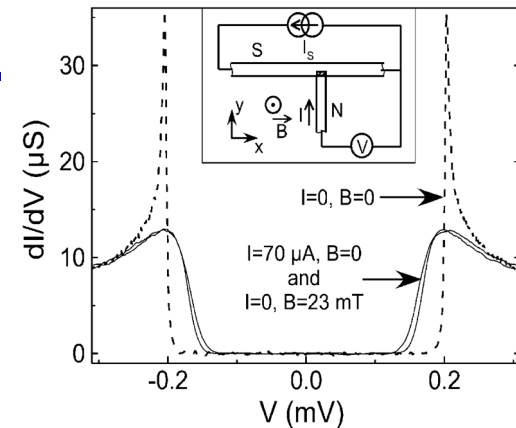
$N(\epsilon)$ oscillates between black ($H=0$) and red ($H=H_a$) curves

$$\Delta - \epsilon_g(H) \sim (H/H_c)^{4/3} \Delta \quad \ln \frac{kT}{\gamma} \rightarrow \ln \frac{kT}{\Delta - \epsilon_g}$$

If $H > H_c(\gamma/\Delta)^{3/4}$ the current-induced broadening takes over:

$$R_s \sim \mu_0^2 \omega^2 \lambda^3 \sigma_n e^{-\Delta/kT} \left[\ln \frac{H_c}{H} + C \right]$$

A poor man prediction of logarithmic decrease of $R_s(H)$



Tunneling measurements by Anthore, Pothier, and Esteve, on a 40 nm Al wire, PRL 90, 127001 (2003)

Sanity check from experiment

APPLIED PHYSICS LETTERS 104, 092601 (2014)



Decrease of the surface resistance in superconducting niobium resonator cavities by the microwave field

G. Giovati,^{1(a)} P. Dhakal,¹ and A. Gurevich²

¹Thomas Jefferson National Accelerator Facility, Newport News, Virginia 23606, USA

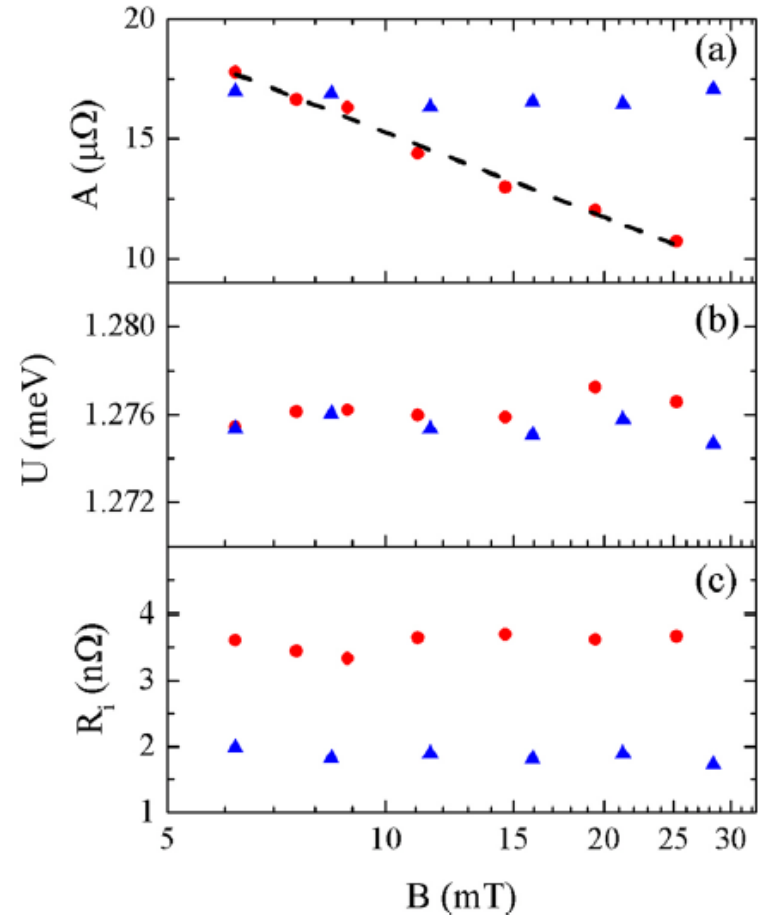
²Department of Physics, and Center for Accelerator Science, Old Dominion University, Norfolk, Virginia 23529, USA

Arrhenius fit of the Ti-doped Jlab data using the generic formula:

$$R_s = A \exp(-U/kT) + R_i$$

where $A(H)$, $U(H)$ and $R_i(H)$ were measured at different fields

Take into account rf heating to provide stable fit in a wide temperature range



Both U and R_i depend weakly on H , but A exhibits a logarithmic decrease, consistent with the above qualitative consideration

Can Mattis-Bardeen help us obtain $R_s(H)$ at high fields?

Linear response nonlocal relation for the current density $\mathbf{J}$ induced by a **weak** magnetic vector potential $\mathbf{A}$

$$\mathbf{J}(\mathbf{r}, \omega) = \frac{eN_0v_F}{2\pi\phi_0} \int \frac{\mathbf{R}[\mathbf{R} \cdot \mathbf{A}(\mathbf{r}')] }{R^4} I(\omega, R, T) e^{-R/\ell} d\mathbf{r}', \quad \mathbf{R} = \mathbf{r} - \mathbf{r}'$$

- R_s is defined by the exponentially small, out-of-phase dissipative component of $\mathbf{J}$ which must be calculated with big care.
- $I(\omega, R, T)$ is given by a complicated MB integral which is entirely determined by the density of states and coherence factors of a **clean** superconductor.
- The effect of impurities is accounted by the factor **$\exp(-R/\ell)$**

What if we substitute current-broadened $N(\epsilon, J)$ and the coherence factors into the M-B $I(\omega, R, T)$ to calculate $R_s(H)$ at high fields? [B.P. Xiao, C.E. Reece, M.J. Kelly, Physica C490, 26 \(2013\)](#)

M-B theory cannot be used to calculate the nonlinear response by inserting field-dependent parameters in the linear conductivity σ_1

Why a quick fix of M-B does not work?

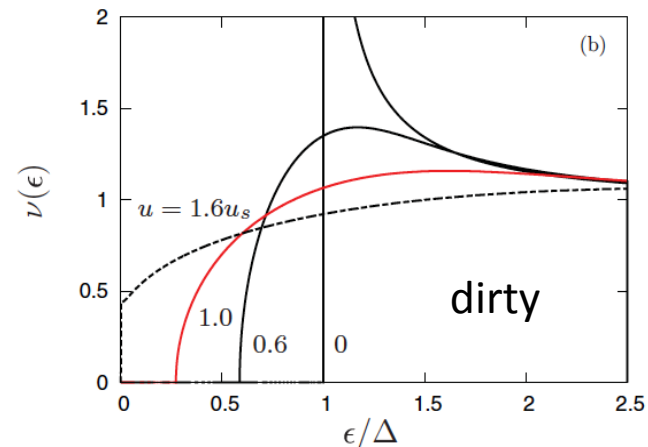
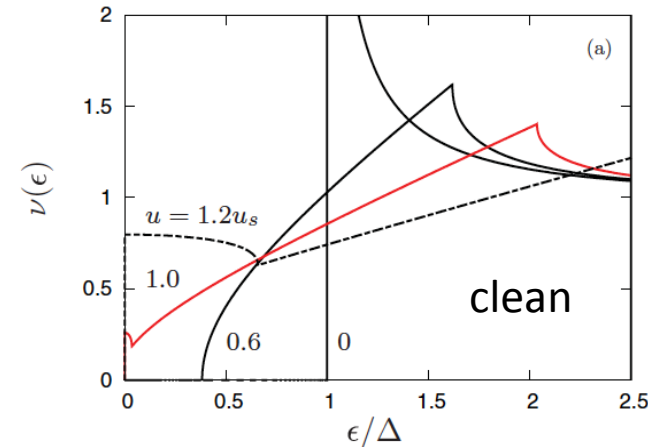
- The M-B factorization $I(\omega, R, T) \propto \exp(-R/I)$ only works at very weak fields below few mT:

$$H < (\hbar\omega/\Delta)^{3/4} H_c$$

- At high fields current pairbreaking becomes intertwined with impurity scattering which affect both $N(\epsilon)$ and the coherent factors

Problems of calculation of $R_s(H)$

- Go back to BCS and derive $R_s(H)$ at high fields
- Solve nonlinear **dynamic** BCS equations with strongly oscillating $N(\epsilon)$ and other parameters
- To account nonlinear current pairbreaking and kinetics of nonequilibrium quasiparticles at strong rf fields
- Much more complicated problem than the low-field M-B linear response



$N(\epsilon)$ in the clean limit is very different from $N(\epsilon)$ in the dirty limit

Nonequilibrium theory in the dirty limit

A.I. Larkin and Yu.N. Ovchinnikov, In Nonequilibrium Superconductivity, (North Holland, 1986),
W. Belzig, et al Superlatt. & Microstruct. 25, 1251 (1999).
N.B. Kopnin, Theory of Nonequilibrium Superconductivity (2001).

$$\partial_t \hat{\sigma}_z \check{G} + \partial_{t'} \check{G} \hat{\sigma}_z = D \check{\Pi} \cdot (\check{G} \cdot \check{\Pi} \check{G}) - [\hat{\Delta}, \check{G}]$$

time-dependent Usadel equation for 4x4 matrix Green's functions

$$\check{G} = \begin{pmatrix} \hat{G}^R & \hat{G}^K \\ 0 & \hat{G}^A \end{pmatrix}, \quad \hat{G}^{R,A} = \begin{pmatrix} G^{R,A} & F^{R,A} \\ -F^{\dagger R,A} & -G^{R,A} \end{pmatrix} \quad \hat{G}^K = \hat{G}^R \cdot \hat{f} - \hat{f} \cdot \hat{G}^A$$

$$\hat{\Pi} = \nabla + i\pi \mathbf{A}(\mathbf{r}, t) \hat{\sigma}_z / \phi_0 \quad \mathbf{A}(\mathbf{r}, t) \text{ is the magnetic vector potential, } D = \ell v_F / 3$$

nonlinear electromagnetic response for slow variations of A(r,t) at low frequencies $\hbar \nu \ll kT$

$$\mathbf{J}(\mathbf{r}, t) = \frac{\sigma_n}{2} \text{Im} \int D(t, t', \mathbf{r}) \mathbf{A}(\mathbf{r}, t') dt',$$

$$D(t, t') = \text{Tr} \int [e^{i(\epsilon - \epsilon')(t - t')} \hat{G}_z^R(\epsilon', t_0) + e^{i(\epsilon' - \epsilon)(t - t')} \hat{G}_z^A(\epsilon', t_0)] [\hat{G}_z^R(\epsilon, t_0) - \hat{G}_z^A(\epsilon, t_0)] f(\epsilon, t_0) \frac{d\epsilon d\epsilon'}{(2\pi)^2}$$

D(t,t') explicitly depends on both A(r,t) and the impurity mean free path

Slow temporal and spatial variations of $J(x,t)$:

$\lambda \gg \xi$ and $\hbar\omega \ll kT$.

Nonlinear conductivity as a function of rf amplitude:

$$\sigma_1(H_a) = \frac{2\sigma_n}{\pi} \int_0^{\pi/\omega} dt \int_{\epsilon_g(t)}^{\infty} [f(\epsilon, s) - f(\epsilon + \omega, s)] M d\epsilon,$$

$$M(\epsilon, \omega, s) = \cos v_\epsilon \cos v_{\epsilon+\omega} \cosh(u_\epsilon + u_{\epsilon+\omega}),$$

Temporal oscillations of spectral function $M(t)$:

$$\sinh 2u = [(r + \epsilon\Delta s)^{1/3} - (r - \epsilon\Delta s)^{1/3}]/s,$$

$$r = [\epsilon^2 \Delta^2 s^2 + (\epsilon^2 + s^2 - \Delta^2)^3/27]^{1/2},$$

$$\sin v = [-\Delta + (\Delta^2 - s^2 \sinh^2 2u)^{1/2}]/2s \cosh u$$

rf driving parameter:

$$s(t) = e^{-2x/\lambda} \beta(t) \Delta_0,$$

$$\beta(t) = (H/2H_c)^2$$

Quasiparticle gap
reduced by current

$$\epsilon_g = [\Delta^{2/3} - s^{2/3}]^{3/2}$$

$$\Delta = \Delta_0 - \pi s/4$$

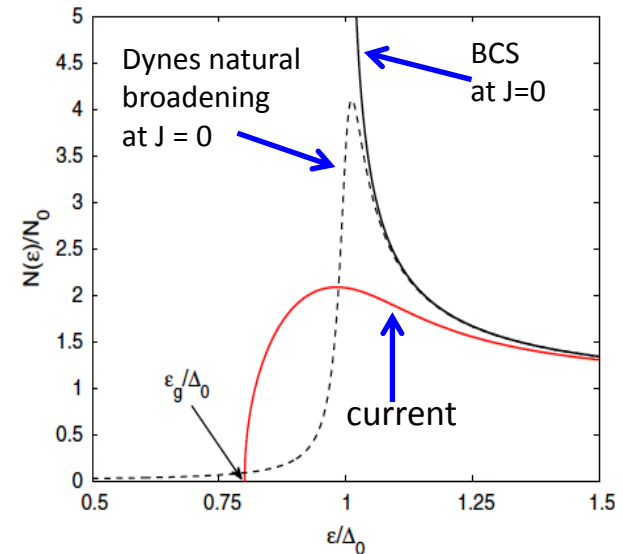
Δ_0 is the SC gap at $H=0$

Nonequilibrium superconductivity

Oscillating rf field causes strongly oscillating density of states $N(\epsilon, t)$ which drives quasiparticles out of equilibrium

$$f(\epsilon) \neq \frac{1}{e^{\epsilon/kT} + 1}$$

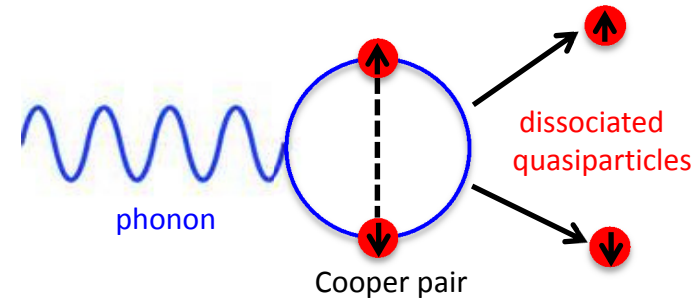
Non Fermi-Dirac distribution function



Temporal oscillations of the quasiparticle density under strong rf fields

Do quasiparticles have enough time during the rf period (1 ns) to absorb a phonon and recombine at low T?

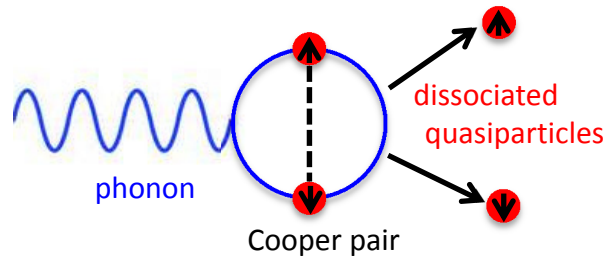
Oscillating or frozen density of quasiparticles?



at $h\nu \ll \Delta$ the number of quasiparticles can only change due to dissociation and recombination of Cooper pairs caused by electron-phonon collisions

RF power transfer mechanisms

phonon-assisted dissociation
and recombination of Cooper pairs



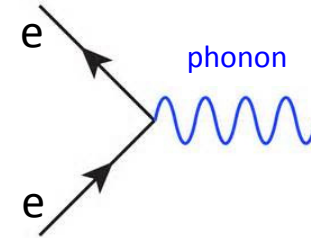
changes the number of quasiparticles

$$\tau_r = \tau_1 (T_c/T)^{1/2} e^{\Delta/T}$$

For Nb @ 2K, $\tau_r \approx 400$ ns

Kaplan, Chi, Langenberg, Chang, Jafarey, Scalapino PRB 14, 4854 (1976)

inelastic scattering of quasiparticles
on thermal phonons



does not change the number
of quasiparticles

$$\tau_s = \tau_2 (T_c/T)^{7/2}$$

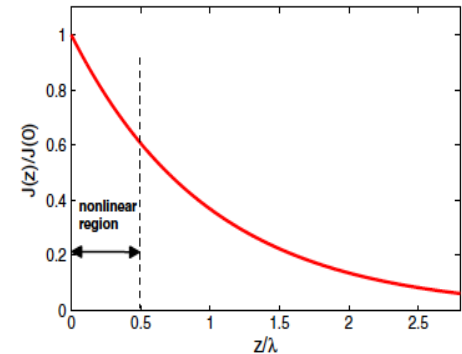
For Nb @ 2K, $\tau_s \approx 17$ ns

- Both processes transfer the absorbed rf power from quasiparticles to the lattice, but because $(\tau_r, \tau_s) \gg \nu^{-1} = 0.5$ ns (2GHz), electrons cannot effectively transfer power during the rf period: quasiparticles are **overheated** with respect to the lattice:
- Temperature of electrons $T(H)$ is higher than temperature of the lattice
- frozen** density of quasiparticles does not change during the rf period

Field-dependent surface resistance

Integration of the total rf power over the region of the rf field penetration yields the surface resistance:

$$R_s = \frac{\mu_0^2 \omega^2 \lambda^3}{2\beta_0} \int_0^{\beta_0} \sigma_1(\beta) d\beta, \quad \beta_0 = \left(\frac{H_a}{2H_c} \right)^2$$



- R_s depends on the **electron** temperature $T(H)$ which is determined self-consistently by the global power balance:

$$T - T_0 = \frac{\alpha T_0}{R_{s0}} \left(\frac{H_a}{H_c} \right)^2 R_s(H_a, T),$$

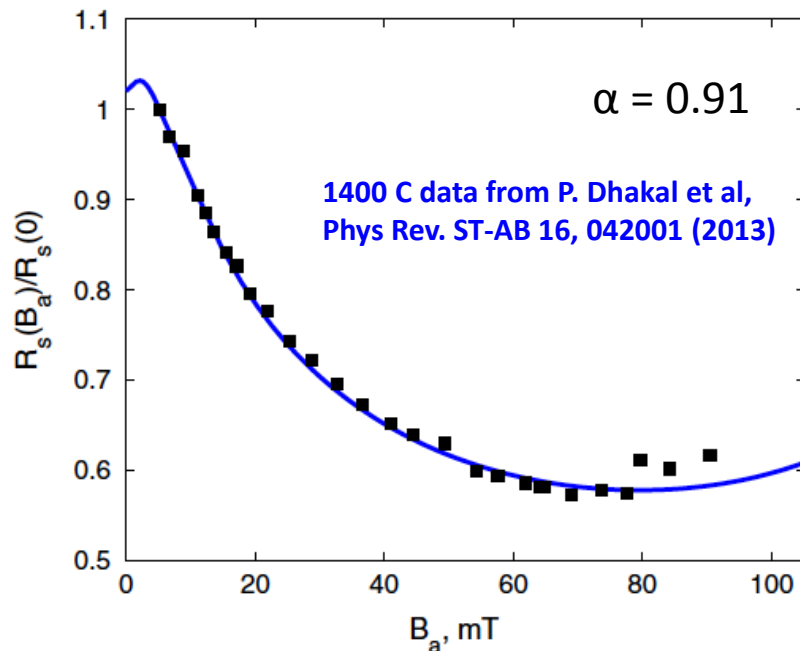
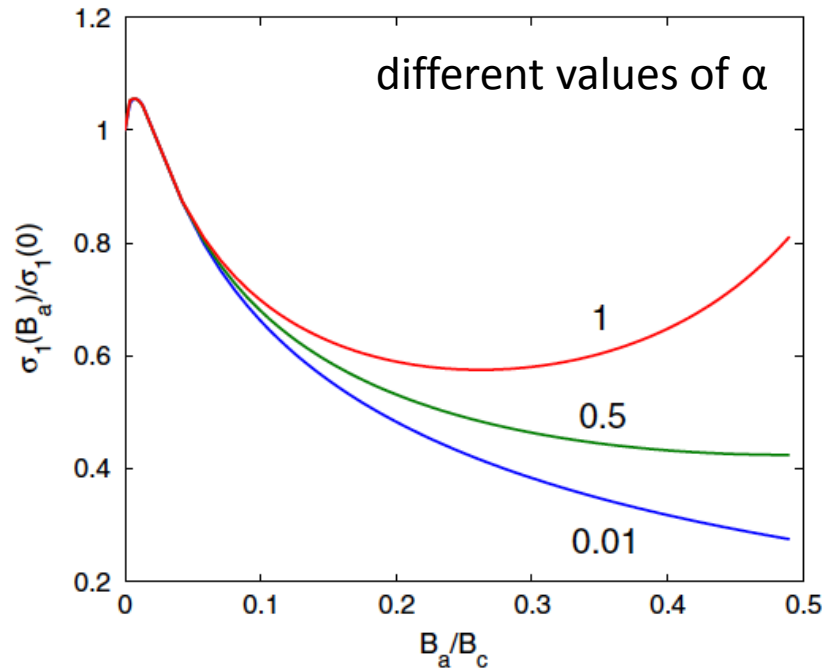
- α is determined by three different mechanisms of the rf power transfer

$$\alpha = \frac{R_{s0} B_c^2}{2\mu_0^2 T_0} \left(\frac{1}{Y} + \frac{d}{\kappa} + \frac{1}{h_K} \right)$$

electron diffusion Kapitza
overheating of heat

which of the three is a bottleneck?

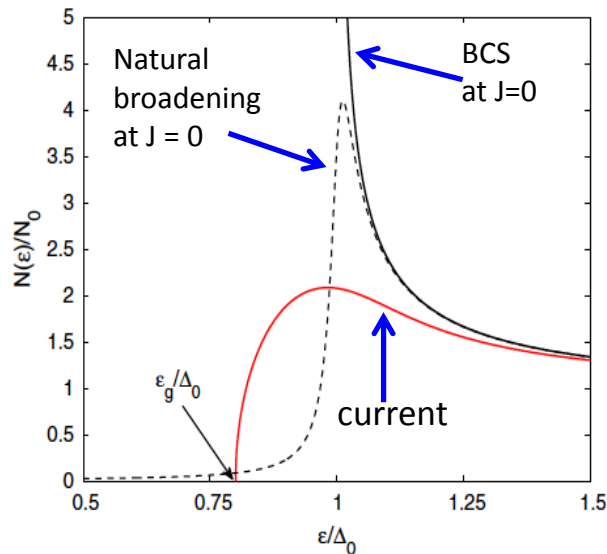
Good agreement with experiment



- Fast numerical code to calculate $R_s(H)$
- 3-4 fold microwave reduction of $\sigma_1(H)$ for weak overheating, $\alpha < 0.1$
- Of $\alpha = 0.91$ used to fit the data indicates significant electron overheating as only 9% comes from the phonon heat transfer.
- For $\alpha = 0.91$, the maximum overheating remains weak even at the breakdown field where $\Delta T \approx 0.17$ K
- Microwave suppression of $R_s(H)$ is not a “new BCS”: it can be derived from the dynamic BCS at low temperatures and frequencies $hf \ll kT$ in the dirty limit

What makes it so dependent on the cavity treatment?

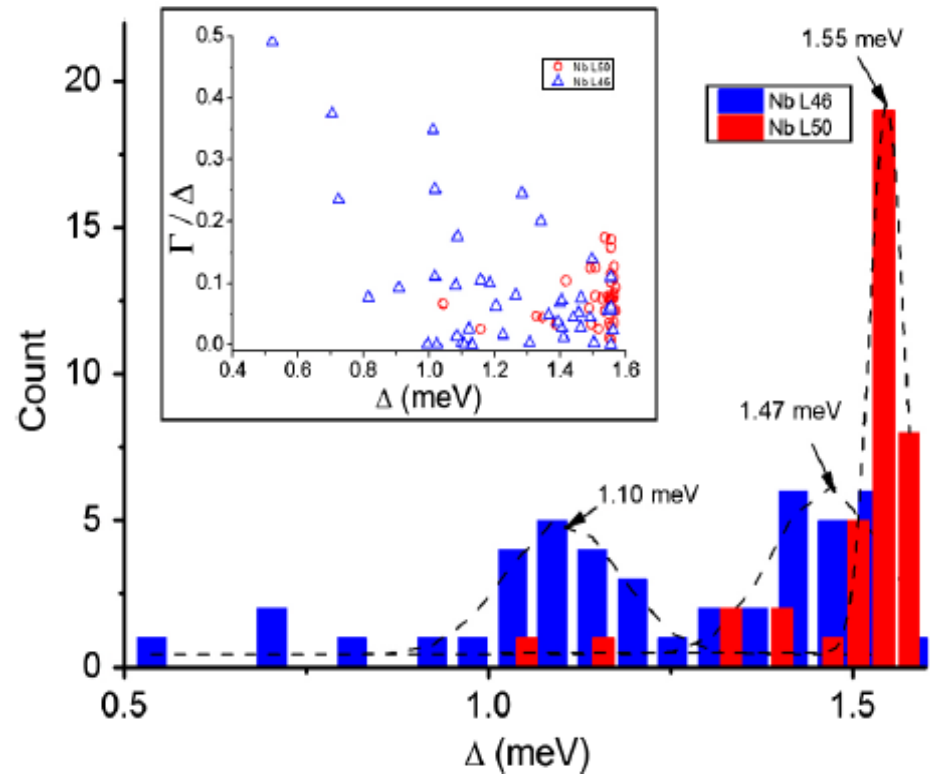
model



Current-induced broadening of $N(\epsilon)$ should be stronger than the “natural” broadening

effect of different treatments on the subgap states

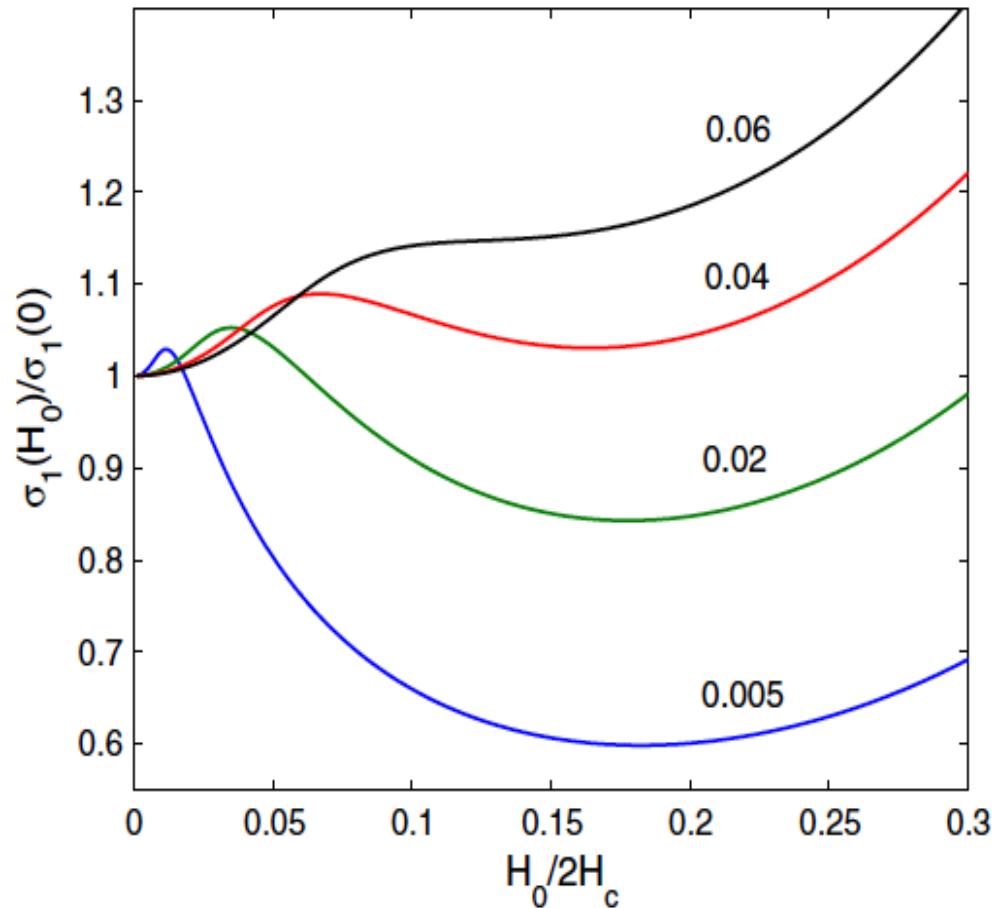
tunneling measurements



tunneling spectroscopy from P. Dhakal et al, Phys Rev. ST-AB 16, 042001 (2013)

Ti-alloyed high-T heat-treated Nb cavities with extended Q(H) rise do exhibit much sharper gap peaks in $N(\epsilon)$ and much less subgap states

Tuning R_s for weak rf field with parallel dc field



Calculated for different ratios of $h\nu/\Delta$

AG, PRL 113, 087001 (2014).

Superimposed parallel strong dc and weak rf fields

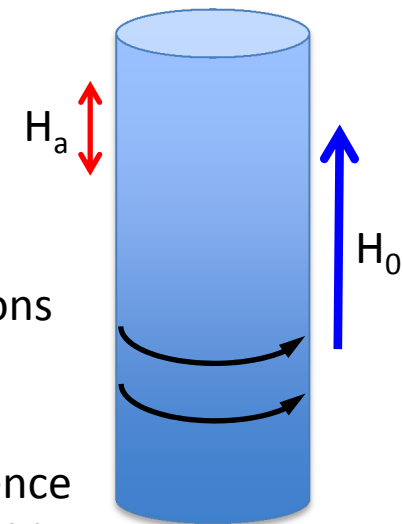
$$H(t) = H_0 + H_a \sin \omega t$$

Probes the field suppression of $R_s(H)$ under equilibrium conditions

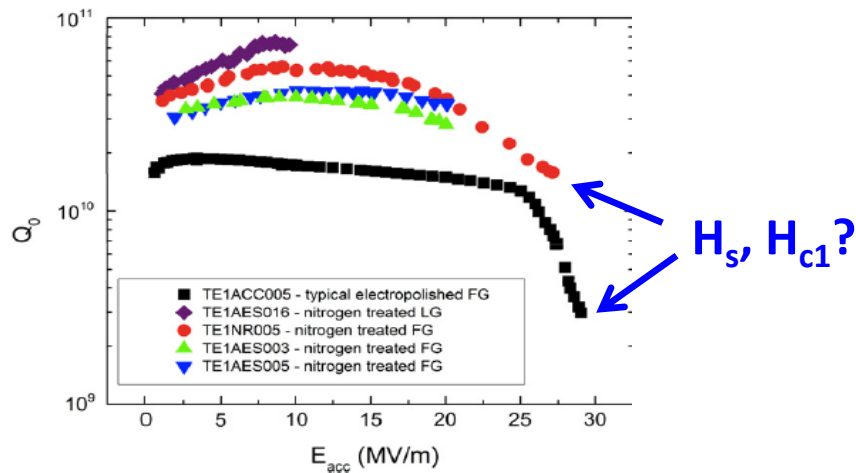
Frequency dependence of R_s tuned by dc field

Earlier calculations of $R_s(H)$ for a clean limit

M.P. Garfunkel, Phys. Rev. 173, 516 (1968)



Can the extended Q(H) rise in N-doped Nb cavities be extended above the superheating field of Nb?



A. Grasselino et al, SUST 26, 102001 (2013)

A few μm thick dirty layer at the surface
Increases the GL parameter $\kappa = \lambda/\xi$, and
decreases **both** H_s and H_{c1} :

$$B_s \approx 1.2B_c, \quad \kappa \approx 1 \quad \text{before}$$

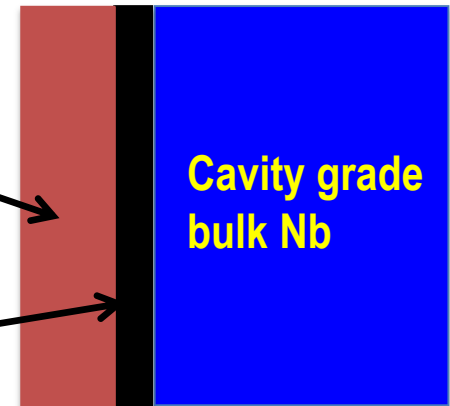
$$B_s \approx 0.745B_c, \quad \kappa \gg 1 \quad \text{after}$$

$$B_{c1} = \frac{\phi_0}{4\pi\lambda^2} \left(\ln \frac{\lambda}{\xi} + 0.5 \right)$$

Possible cure by optimized dirty layer?

Alloyed Nb
film of optimum
thickness

A few nm thick
 Al_2O_3 spacer



- To combine the **extended Q(B) rise** and **to reverse the reduction of H_{c1} and H_s**
- Use an optimized Nb bilayer to push the breakdown field above both superheating fields up to 250-300 mT

$$H_m \simeq (H_s^2 + H_{s0}^2)^{1/2}$$

A. Gurevich, AIP Advances, 5, 017112 (2015)

Conclusions

- Extended $Q(H)$ rise occurs as N or Ti doping results in a dirty layer with non-suppressed T_c and Δ at the cavity surface. Penalty of reduced H_{c1} and H_s
- The microwave suppression of $R_s(H)$ is not unique to Nb cavities: it has been observed on many other materials.
- The effect results from the well-known current-induced broadening of the gap peaks in the density of states in the BCS theory at $\hbar v \ll kT$.
- A theory of $R_s(H)$ was proposed based on the solution of time-dependent Usadel equations of nonequilibrium BCS superconductivity in the dirty limit. Dependence of $R_s(H)$ on the mean free path at high fields can be different from R_s at low fields
- Good agreement with experiments on Ti and N-alloyed Nb cavities
- Extended $Q(H)$ rise is facilitated by sharper peaks in the density of states $N(\epsilon)$ and fewer subgap states. Apparently it is what Ti or N-doping does.
- Possibility to extend the extended $Q(H)$ rise beyond the superheating field of Nb by depositing optimized N-doped Nb multilayers