Some Fundamentals of Cryogenic and Module Engineering with regard to SRF Technology

Bernd Petersen DESY Cryogenic Group MKS Hamburg, Germany

Overview

- First part: Some theory
- Second part: More practical aspects (cryomodule design considerations)

Too much items.... and still incomplete..... But let's see how far we can proceed......

My sources:

- LINDEKRYOTECHNIK AG Switzerland, H.Herzog
- AERZEN Compressors Germany
- AIR LIQUIDE France
- WEKA Valves Switzerland
- VDI-Seminars Germany
- AD-Pressure-Vessel-Code
- ASME Code
- My colleagues from INFN, FNAL, Cornell-University, J-LAB, KEK, BESSY, DESY and Steve van Sciver
- others

First part: tutorial objectives after this part, we should remember:

- The meaning of , cryogenic
- Carnot refrigerator efficiency
- , **COP**[•] of a refrigerator
- Some Helium refrigerator cycles
- Some ,quantum properties' of matter at low temperatures
- Some useful Helium II properties
- Some typical cooling cycles
- Some fundamentals of thermal insulation

Cryogenic Fundamentals

Why is ,Cryogenic' separated from ,usual' cooling engineering ?

Use of ,conventional' superconductors like Nb requires cooling

at liquid helium temperatures

Due to basic thermodynamic laws, the efficiency of refrigerators is quite low at these temperatures (,Carnot cycle') – the cooling is very expensive !

-> excellent thermal insulation is required

- -> refrigerators should work very efficient to come closer to the Carnot cycle
- -> we have to deal with ,quantum properties' of matter at these temperatures:

decrease of specific heat, heat transfer, superconductivity, superfluidity.....

-> helium is the only coolant (low heat of vaporization, leak tightness, purification techniques....)

-> careful engineering is needed: choice of materials, welding procedures, quality control, pressure vessel code requirements......

Definition: ,CRYOGENIC'

Traditional (you can find in textbooks) : T < 120 K

[My personal view: T < 77 K (LN2 cooling is no ,real' cryogenic)]

Physicist view : temperatures were characteristic quantum states of matter dominate (Cp -> 0, Helium II, conventional superconductivity.....)

HERE: The engineering, which is required to specify, design, construct and operate cooling systems and cryostats for superconducting RF cavities at liquid Helium temperatures.

Basic laws of thermodynamics

- 1. Energy conservation
- 2. Entropy can never decrease spontaneously in a closed system: $0 \le \Delta S$ corresponds to
- ,The Carnot Cycle is the most efficient cycle that exist'
- 3. S -> 0 for T -> 0 for an ideal crystal corresponds to Cp -> 0 (Engineers forget zero point energy)

(Engineers forget zero-point energy)



Sources of Irreversibility

(B.Ziegler, LINDE)

Carnot Cycle



Some Refrigerator COPs

1 W useful refrigeration at 2 K = 870 W Primary Power !!!

Refrigeration Temperature	Carnot 1/η IDEAL WORLD	XFEL-Spec REAL WORLD	% Carnot
2 K	149	870	17
5 K	79	220	36
40 K	7	20	33

Coefficient of Performance

COP vs T



Why do we care about COPs ?

Cooling at liquid helium temperatures T < 5 K is very expensive !

Large SRF facilities (JLAB, SNS, ERLs, XFEL, ILC....) need Megawatts of primary power supply.

Excellent thermal insulation is mandatory !

Already at about T> 40 K the situation is much more relaxed !

-> Direct heat loads as much as possible to temperature levels above 40 K (Radiation, HOM-loads, solid state thermal conduction....)



Joule-Thomson Expansion: Inversion Curve







Simplified 4.5 K Helium Refrigerator + Shield Cooling





Example: HERA Cryo Plant



Inside HERA Cold Boxes



Cryogenic Turboexpander



Cryogenic turboexpander



Source: LINDEKRYOTECHNIK AG

Screw-Compressors





Source:

AERZEN

HERA-Screw Compressors



,Choice of operation temperature for a sc cavity'



(Courtesy of R.Lange et al. DESY MKS)

Qo versus Eacc measurement for a complete TTF-cryomodule type III

(similar to XFEL-prototype)



Simplified 2 K Helium Refrigerator + Shield Cooling



The 20-year old HERA cryogenic plant will be up-graded to the 2 K- XFEL-cryogenic plant



Source: LINDE KRYOTECHNIK AG

Options to Produce Temperatures below 4.4 K, i. e. Evaporation of Helium at Reduced Pressures



Only the heat of evaporation of the helium is utilized.

The low pressure stream is warmed up in a heat exchanger inside the refrigerator cold box. The cold low pressure stream is precompressed by a coldcompressor. The precompression is realized by several stages of cold compression.

Option A example: warm helium compressors for FLASHlinac/TTF supply at DESY

4 rotary vane pumps + 3 stages of roots blowers 10 g/s helium flow compression: 10 mbar -> 1.05 bar (two identical sets of compressors for linac and TTF)



Option B: use of heat exchanger Example: FLASH linac at DESY

External low pressure heat exchanger (IHEP,Russia) counter-flow heat exchanger: 3,5 K / 31 mbar -> 280 K / 29 mbar 7,5 K / 12 bar <- 300 K / 12 bar



Option C/D Example: TESLA Model Refrigerator

- layout by TU-Dresden
- advice from CERN
- discussion with industry
 - component number and size, flow rates, power consumption
- flow scheme
- 8 screw compressors
- 9 turbines
- 3 cold compressors





Example of a cold compressor with active magnetic bearings used at Tore Supra, CEBAF and Oak Ridge

Source: Air Liquide

Cold Compressor Cartridges of 2.4 kW @ 1.8 K Refrigeration Units



1st stage

The four-stage LHC cold compressors



,Quantum Properties' of Matter at low T

Many physical effects can be characterized by a typical Excitation Energy ΔE

The probability of excitation is about ~ EXP (- $\Delta E / k_B T$)

For T -> ∞ all states are excited.

For T -> 0 condensation in the ground state

 ΔE = binding energy -> condensation of matter , liquifaction of gases

 $\Delta E = \hbar \omega$ phonons in solids -> specific heat, thermal conductivity

 ΔE = superconducting energy gap -> superconductivity

 ΔE = band gap of semiconductors -> electrical resistance

Other effects are not disturbed by thermal energy at low T like:

Helium II phenomena (Bose condensation), Kapitza-Effect,...

That's why we have this SRF workshop.....



Measurement of the superconducting surface resistance at 3 GHz

Nb/Cu : reduction of Rs by nearly 6 orders of magnitude

Quantum Properties of Matter at Low Temperatures Specific Heat of Solids


Thermal Conductivity of Solids at Cryogenic Temperatures



Thermal contraction of solids

Thermal Stress



PHASE DIAGRAM OF HELIUM





Other View: H-S-Diagramm of Helium (Source: HEPAK)

Quantum Properties of Matter at low T Specific Heat of liquid HELIUM



Much larger than specific heat of solids at these temperatures !

. Specific heat of liquid helium at saturated vapor pressure.

Quantum Properties of Matter at low T Latent Heat and Entropy of liquid HELIUM



Phase Transition HE I -> HE II ,second kind' phase transition (no latent heat)

Helium II parameters = f(T)

Helium Parameters vs. Temperature



Definition of Lambda max in a HEII bath

```
Heat conductivity in Hellq^{**m} = f(T) * dT/dx<br/>T-Temperature, x-length<br/>m \approx 3<br/>f(T) Germany = f^{**}(-1) (T) USAT2q max * L** 1/3 = [ \int f(T) dT ]**1/3<br/>T1T1 = F (P1)Temperature function of vapour pressureT2 = F (P1 + \Delta P)<br/>\Delta P = \rho * L^*g\Delta P = \rho * L^*g\rho = density of liquidg=9.81 m/s2<br/>L= depth in bath
```

T1, P1 at liquid surface

L T2, P2 at depth L in the bath

T2 must not be exceeded to avoid bubbles !

Helium II parameters = f(T) (cont.)

Lambda, Cp, Diffusivity, Density of HEII



Kapitza Heat Transfer Solid Surface to Helium II



Some Cooling-Cycles: **Forced 1-phase Helium I Cooling**



SC Quadrupoles in **TTF-Cryomodules**

HERA Accelerator SC Dipoles

- + = simple
- -= large mass flow

excess liquid

++ = homogeneous cooling of sc coils at constant temperature



HERA Accelerator Lumi-up-Grade SC Magnets

- + = no excess liquid
- -= large mass flows
 - extra pump

Some Cooling cycles: Bath cooling



Heat Transfer to a Bath of Helium I



Layout of XFEL-linac cryogenic: Helium II bath cooling

About 1000 1.3 GHz sc cavities will be cooled in a 2K Helium II bath



Disadvantage: Complex 2-phase flow conditions

Example: Situation at the start of the XFEL-linac (refrigerator side)



Disadvantage: 2-phase flow affected by gravitational forces

Laser-straight XFEL-linac



Two phase Helium II flow: for the XFEL-linac we want stratified-smooth flow

Design operation of XFEL: 2 K, 20 GeV, 23.6 MV/m 15 W/cryomodule $K = [(\rho l * \rho g * VGS2 * VLS) / ((\rho l - \rho g) * g * μ * cos (β))]1/2$



2-ph-flow conditions for cryomodules in different sections of the XFEL-linac



Some Fundamentals of Thermal Insulation: vacuum



Multi Layer Insulation = , Superinsulation'

to limit heat conduction between the MLI-layers:

avoid direct contact of layers, make vacuum pumping possible

MLI-materials:

Aluminum foil

Plastic foil : Mylar AI – coated (one or both sides) vacuum pumping may be enhanced by small holes in foil space between foils required to limit heat conduction: use of ,wrinkled' foils or spacer layers spacer materials: glas-paper, glas fiber net, paper,.....

Some Fundamentals of Thermal Insulation: MLI impressions



Some Fundamentals of Thermal Insulation: TESLA Cryomodule MLI impressions



Module connection to end-cap





Heat conductivity of different MLIs vs.

Density of layers

A: AL coated plastic foil

B: AL glas-fiber spacer

C: AL glas-fiber spacer tissue

D: AL glas-fiber paper spacer



(see safety section)



2 K Helium Vessel of TESLA Cavity

Note:

All helium process areas inside the cryostat should be covered with about 5-10 layers of MLI to limit the impact of insulation vacuum loss !

Heat input caused by the break down of Insulation vacuum:

40kW / m2 without MLI 6kW/ m2 with MLI

... in addition the Helium vessel get their magnetic shielding



Single parts of magnetic shield and assembly of magnetic shielding

Some Fundamentals of Thermal Insulation: cryogenic valves





WEKA AG, Schürlistrasse 8, CH-8344 Bäretswil, Switzerland Tel.: ++41 (0)1 939 29 59 / Fax: ++41 (0)1 939 29 59 / E-Mail: info@weka-ag.ch

June 98 / FHo; Page 1 of 1

Specification: Valve Sizing Formulas (k_v-Value)

The calculation for the k_y -value is standardized in DIN/IEC534. For a provisional, simplified sizing for control valves the following basic formulas are usable.

 p1 upstream pressure, in bara p2 downstream pressure, in bara Δp pressure drop, in bar Q flow of liquids, in m³/h W flow in kg/h 	$ \begin{array}{ll} \rho & \mbox{specific grafity, in general and for liquids, in kg/m^3} \\ \rho_G & \mbox{specific grafity of gases at 273K and 1013mbar, in kg/m^3} \\ Q_G & \mbox{volumetric flow for gases at 273K and 1013mbar in m^3 / h} \\ T_1 & \mbox{temperature in K, upstream} \\ \upsilon_1 & \mbox{specific volume of vapor at } p_1 \mbox{ and } T_1, \mbox{ in m^3/kg} \\ \upsilon_2 & \mbox{specific volume of steam/vapour at } p_2 \mbox{ and } T_2, \mbox{ in m^3/kg} \\ \upsilon^* & \mbox{specific volume of steam/vapour at } p_1/2 \mbox{ and } T_1, \mbox{ in m^3/kg} \\ \end{array} $
--	--

Liquid Service:



Gas Service:



critical flow i.e. $p_2 < p_1/2$ and $\Delta p > p_1/2$				
m ³ / h	kg / h			
$k_v = \frac{Q_G}{259.5*p_1}\sqrt{\rho_G*T_1}$	$k_{v} = \frac{W}{259.5*p_{1}}\sqrt{\frac{T_{1}}{\rho_{G}}}$			

Vapour / Steam Service:

subcritical flow i.e. $p_2 > p_1/2$ and $\Delta p < p_1/2$	critical flow i.e. $p_2 < p_1/2$ and $\Delta p > p_1/2$	
kg / h	kg / h	
$k_v = \frac{W}{\sqrt{1000}} \sqrt{\frac{\upsilon^2}{\Delta p}}$	$k_{v} = \frac{W}{\sqrt{1000}} \sqrt{\frac{2*\upsilon*}{p_{1}}}$	

Definition of Kv-value: volume flow of water [m3/h] at $\Delta P = 1$ bar and T 278 K -313 K (Cv= 1,17 Kv USA)





....

First part: tutorial objectives after this part, we should remember:

- The meaning of , cryogenic
- Carnot refrigerator efficiency
- , **COP**[•] of a refrigerator
- Some Helium refrigerator cycles
- Some ,quantum properties' of matter at low temperatures
- Some useful Helium II properties
- Some typical cooling cycles
- Some fundamentals of thermal insulation

Second part: tutorial objectives after this part, we should remember:

- Measures to increase refrigerator availability
- Helium management considerations
- Suited materials for cryogenic temperatures
- Cryogenic safety aspects
- Basic cryomodule design considerations
- Pressure vessel regulations
- Quality control measures
- Example: TESLA style cryomodule design



Is there an availability increase by the installation of a complete redundant refrigerator ?

Rating	Source of unavailability	Example	<u>Multiple</u> refrigerators ?
1	External utility failures	Electrical power, cooling water, instrument air failure	would bring no advantage
2	Blockage by frozen out	Air and/or water vapor	provide somewhat larger tolerance
3	Operational problems	Controls, instrumentation, operators	would be detrimental, because of higher complexity of the system
4	Single component failure not leading to total plant shutdown	Electrical motor burnout, compressor bearings, leaking oil pump seal, turbine bearing trouble	would bring no advantage over component redundancy within a single refrigerator
5	Catastrophic component failure leading to plant shutdown	Loss of insulation vacuum, rupture of heat exchanger, oil spill into cold process piping	would have a positive effect

Availability increase by redundant refrigerators?

Redundant compressors and low temperature adsorbers

Easy exchange of turbines and cold compressors

Helium Process Gas Management





Helium gas storage tanks

Liquid Helium storage dewar



Helium process gas purification



HD Coalescence Filter


Helium Dryer



<u>Adsorber</u>



Suited materials for low temperatures



Low temperature embrittlement

Causes overloaded components to fracture spontaneously rather than accommodating the stress by plastic deformation

Appropriate steels for low temperature use are listed in the Technical Rules for Pressure Vessels AD-Merkblatt W10 /European harmonized technical rules /ASME-code (USA) . (In general, materials with face-centered cubic (fcc) crystal structure as copper, nickel, certain copper nickel alloys, zircon and titanium are suitable for cryogenic applications.)

European Harmonized Rules

Stainless steels for the use at low temperatures DIN EN 13445-2

B.2.2.4 Lowest material temperature for austenitic stainless steels Apply also for 2K !

(material spec corresponding to ASTM type AISI may differ !)

Material spec	DIN EN number	ASTM type AISI	T _M (in °C)
X1NiCrMoCu 31-27-4	1.4563		-270
X1CrNiMoN 25-22-2	1.4466		
X1CrNi 25-21	1.4335	310 L	
X2CrNiMoN 17-13-3	1.4429	316 LN	
X2CrNiMoN 17-11-2	1.4406		
X2CrNiMoN 18-12-4	1.4434		
X2CrNiMo 18-15-4	1.4438	317 L	
X2CrNiN 18-10	1.4311	304 LN	
X2CrNiMo 18-14-3	1.4435	316 L	
X2CrNi 19-11	1.4306	304 L	

Cryogenic safety aspects: Preventive Measures Against Pressure Build-up Redundancy i.e. more safety devices than required double safety devices and **Diversity** i.e. safety devices based on different mechanisms



Cryogenic safety aspects

Pressure Build-up by Evaporation

Large air leak into the wave guide of an insert -> Evaporation of about 2.6 kg/s mass flow through safety valve

Heat input caused by the break down of Insulation vacuum:

40kW / m2 without MLI 6kW/ m2 with MLI



Bernd Petersen DESY –MKS1 Bernd.Petersen@desy.de **Cryogenic safety aspects**

Preventive Measures against PressureBuild-up

Release Flap + Safety Valve

Caution in the Vicinity Of

Release devices !





Safety aspects: Spec of safety valves

(2)

Figure 1

0.4 Gases and vapours

0.4.1 The general relation for the dimensioning of the ninimum cross-section of flow is as follows

$$A_0 = \frac{q_{\rm m}}{\psi \cdot a_{\rm w} \sqrt{2\frac{p_0}{V}}}$$

where:

- A_0 = minimum cross-section of flow in mm²
- $q_{\rm m}$ = mass flow to be discharged in kg/h
- p_0 = absolute pressure in the pressure chamber in bar
- specific volume of the medium in the pressure chamber in m³/kg
- $\alpha_{\rm w}$ = the outflow coefficient allotted in the context of component testing

$$\psi$$
 = outflow function

Source: AD pressure vessel code

For subcritical pressure ratios

$$\frac{p_{a0}}{p_0} > \left(\frac{2}{k+1}\right)^{\frac{k}{k-1}}$$

$$\psi = \sqrt{\frac{k}{k-1}} \cdot \sqrt{\left(\frac{p_{a0}}{p_0}\right)^{\frac{2}{k}} - \left(\frac{p_{a0}}{p_0}\right)^{\frac{k+1}{k}}}$$

For supercritical pressure ratios

$$\psi = \psi_{\max} = \sqrt{\frac{k}{k+1}} \cdot \left(\frac{2}{k+1}\right)^{\frac{1}{k-1}}$$
(4)

where

 p_{a0} = absolute counter pressure in bar

k = isentropic exponent of the medium in the pressure chamber

10.4.2 In the case of industrial gases and vapours, the specific volume is calculated from the general relation

$$v = \frac{R_1 \cdot T \cdot Z}{\rho_0} \tag{5}$$

Safety aspects: procedure

Method used by DESY MKS:

Calculate heat input Q Find T flow (by ASME code) Calculate mass flow at T flow (by ASME code) Calculate sv dimensions (by AD code)

Safety Valve in action.....

. . . .



Basic cryomodule design considerations (1)

Task : design a cryomodule for SRF accelerator operation

- Operation mode : pulsed or cw ???
- Fraction of dynamic and static loads ?
- RF operation frequency -> choice of operation temperature
- beta = ??? -> general cavity and cryostat design
- RF main coupler loads -> thermal intercepts
- HOM loads -> design of couplers and absorbers
- ERL machines -> active cooling of HOM absorbers may be required
- Tuners -> warm or cold (extra feedtroughs required ?)
- Magnetic shielding of cavities
- Focusing sc magnets included ? Current leads design....
- Alignment requirements
- Environment -> expected radiation level, tunnel ?.....
- Single individual cryostat or serial production for large accelerator ?

Basic cryomodule design considerations (2)

Task : design a cryomodule for SRF accelerator operation

Some ,formal' aspects:

- Generate a design concept
- Concept for cooling and heat load estimate
- Risk analysis -> choice of technical rules & pressure vessel classification
- Risk analysis -> safety concept & equipment
- Pressure vessel code -> design & construction rules
- **Pressure vessel code** -> choice and control of materials
- Pressure vessel code -> welding procedures & management
- Pressure vessel code -> third party inspection & test procedures
- Quality control plan (in addition to pressure vessel code)
- Design, construction & prototyping
- Testing, testing, testing.....
- Transfer to industrial construction
- Testing, testing, testing....-> pre-series -> serial production

Basic cryomodule design considerations (3)

Task : design a cryomodule for SRF accelerator operation

Some formal Rules, laws, regulations which have to be obeyed in the EU

INCOMPLETE !

- European Pressure Equipment Directive (Richtlinie 97/23/EG für Druckgeräte)
- Richtlinie über elektrische Betriebsmittel (73/23EWG)
- Geräte- und Produktsicherheitsgesetz (GPSG)
- Betriebssicherheitsverordnung (BetrSichV)
- Qualitätsmanagementsysteme DIN EN ISO 9001, August 1994
- European Harmonized Standards like Europäische Norm EN 13445, etc.

Basic cryomodule design considerations (4)

Task : design a cryomodule for SRF accelerator operation

Some ,technical' aspects:

- By the way: the cavities have to work -> include clean room requirements from the start for all related components
- Quality insurance procedures: helium leak tests of each and every helium process components required (before, during and after assembly)
- Helium leak testing at a rate of 10⁻⁸ mbar/l*sec (or better) for the individual componets at ambient temperatures will avoid ,cold-leaks' in the order of better than 99%
- DESY ,philosophy': no direct feedthroughs from helium process areas to insulation vacuum for accelerator cryostats !!!!
- Structural tests (like X-raying of welds) can NOT replace helium leak checks (and vice versa) !!!!!
- Welding additives must be qualified for low temperatures -> strict certification of welding procedures & welders; strict quality control organization and management

Example: TESLA-style cryomodule design (INFN Milano/Italy)

Designed for large accelerators -> low costs per length accelerator

- -> ,easy' and cheap assembly
- -> serves as a ,generic design' for other projects:ERLs,XFEL,ILC.....



Example: TESLA-style cryomodule design Positions of cavities and Couplers are fixed



X-ray of coupler position at 300 K and 2K TESLA-style module design: 3 design steps In use for FLASH-linac user facility at DESY Prototype for XFEL-linac cryomodules (only minor modifications)



Design of the KEK-STF Cryomodule

- · The design of the cross section of the KEK-STF cryomodule is based on the TTF-III cryomodule.
- Two cryomodules for the TESLA-like-cavities and the LL-type-cavities are connected with the vacuum bellows, and the total length of the vacuum vessels is 13.25 meter.
- · Each cryostat is designed to have four cavities.



(courtesy of N. Ohuchi et. al KEK)

T4CM Design. The Master Spreadsheet (courtesy of Don Mitchell and Youri Orlov FNAL)



Cornell University ERL Injector Cryostat

(courtesy of Eric Chojnacki)

Design changes for for ERL use (cw-operation, large HOM loads)



Second part: tutorial objectives after this part, we should remember:

- Measures to increase refrigerator availability
- Helium management considerations
- Suited materials for cryogenic temperatures
- Cryogenic safety aspects
- Basic cryomodule design considerations
- Pressure vessel regulations
- Quality control measures
- Example: TESLA style cryomodule design

Thank You !