

# SRF 2007

## Tutorial: Superconducting High $\beta$ Cavities

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- 1. Introduction and History (in brief)**
- 2. RF Parameters**
- 3. Criteria for Cavity Design**
- 4. Multi-cell Structures and Weakly Coupled Structures**
- 5. Tools for RF-design**
- 6. LEC and Transient state**
- 7. Performance tests**
- 8. Mechanical Design**
- 9. Final Remarks**



# 1. Introduction and History

## Milestones that led to accelerators based on SRF

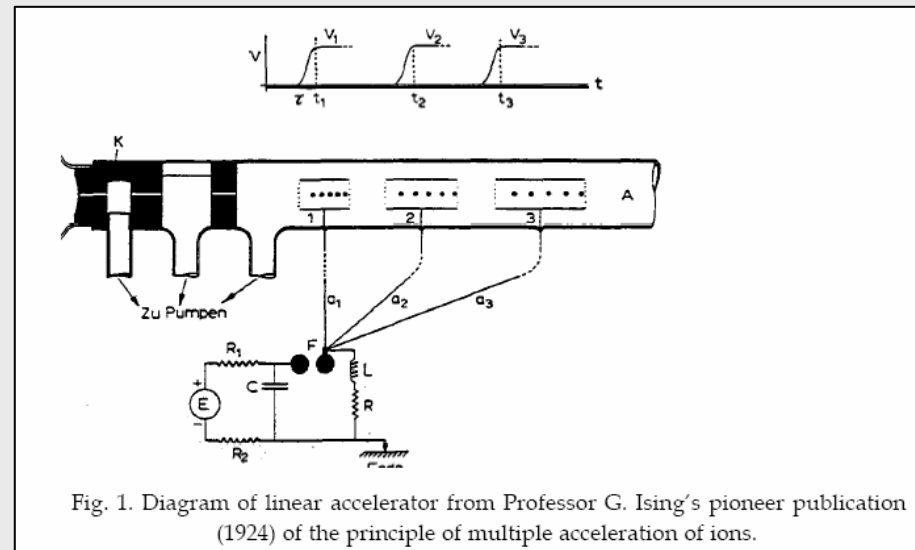
### Superconductivity

**1908:** Heike Kamerlingh Onnes (Holland)  
Liquefied Helium.

**1911:** Heike Kamerlingh Onnes  
Discovered Superconductivity.

**1928-34:** Walther Meissner (Germany)  
Discovered Superconductivity of Ta,  
V, Ti and Nb.

### RF Acceleration



**Invented Klystron.**

**1947:** Luis Alvarez (USA)  
Built first DTL (32 MeV protons).

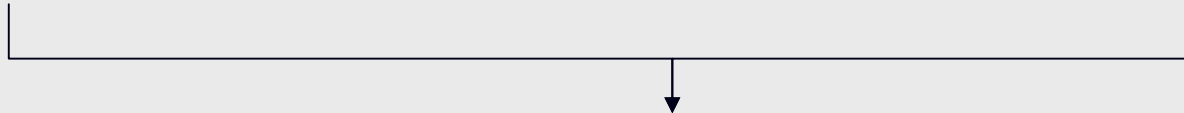
**1947:** W. Hansen (USA)  
Built first 6 MeV e-accelerator, Mark I (TW-structure).



# 1. Introduction and History

**Superconductivity**

**RF Acceleration**



**1961:** Bill Fairbank (Stanford Univ.) presented the first proposal for a superconducting accelerator.

**1964:** Bill Fairbank, Alan Schwettman and Perry Wilson (Stanford University)  
First acceleration of electrons with sc lead cavity.

**1967:** John Turneaure (Stanford University)  
E<sub>peak</sub> = 70 MV/m and Q ~ 10<sup>10</sup> in 8.5 GHz cavity !!

**1968-1981:** Mike McAshan, Alan Schwettman, Todd Smith, John Turneaure and Perry Wilson (Stanford University)  
Development and Construction of the Superconducting Accelerator SCA.



# 1. Introduction and History

## Dismantled Facilities

1. TRISTAN (32/49m)\*
2. LEP (288/490m)
3. HERA (16/19m)

## Operating Facilities

1. SCA (4/28m)
2. S-DALINAC (10/10m)
3. CESR (4/1.2 m)
4. CEBAF (320/160m)
5. KEK B-Factory (8/2.4m)
6. Taiwan LS (1+1/0.3m)
7. Canadian LS (1+1/0.3m)
8. DIAMOND (3/0.9m)
9. SOLEIL (4/1.7m)
10. TTF II (56/58m)
11. SNS (81/65m)
12. JLab-FEL (24/14m)
13. LHC (16/6m)
14. ELBE (6/6m)

\*(Number of cavities/total active length)



# 1. Introduction and History

## Tomorrow Facilities

1. CEBAF-12GeV (400/216m)
2. SNS-upgrade (117/ 98m)
3. XFEL (800/832m)
4. ERL-Cornell (310/250m)
5. BESSY (144+7<sub>3-Harm</sub>/152m)
6. 4GLS (~40/~42m)
7. RHIC-cooling (4/4 m)
8. Shanghai LS.....
9. BEPC II (2/0.6m)

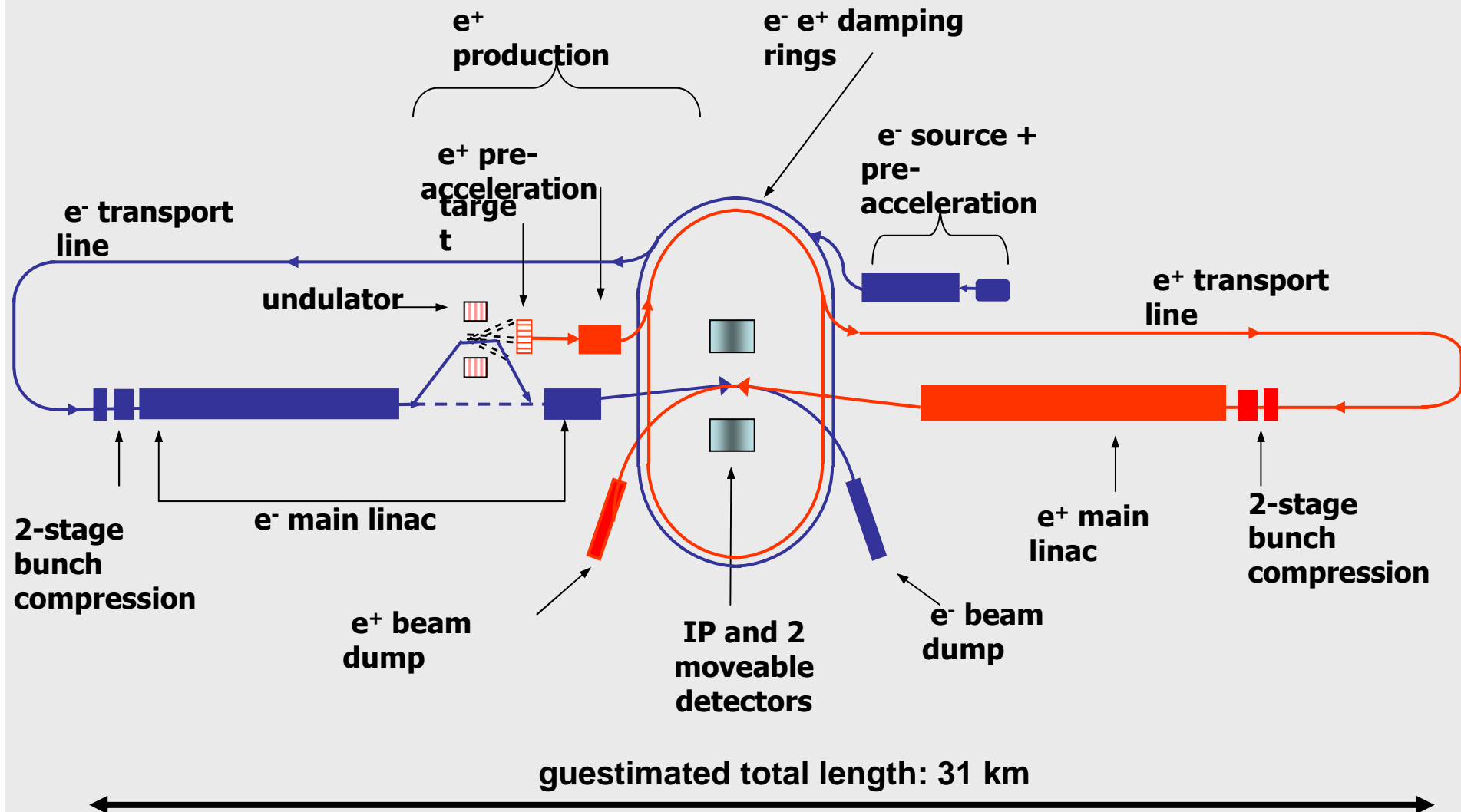
## Day after Tomorrow Facilities

1. RIA (option 180/122m)
2. X-Ray MIT (option 176/184m)
3. LUX (~40/~50m)
4. FERMI Proton Linac (384/370m)
5. ERHIC.....
6. ELIC .....
7. ARC-EN-CIEL (48/50m)
8. ILC (~15764/~16395m)



# 1. Introduction and History

ILC (~15764/~16395m)



# 1. Introduction and History

The “heart” of all mentioned facilities are sc standing wave (usually multi-cell) accelerating structures.

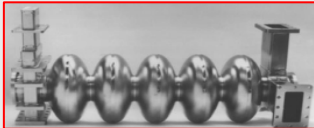
**FERMI 3.9 GHz**



**S-DALINAC 3 GHz**



**CESR/CEBAF 1.5 GHz**



**HEPL 1.3 GHz**



**TESLA/ILC 1.3 GHz**



**SNS  $\beta=0.61, 0.81, 0.805$  GHz**



**HERA 0.5 GHz**



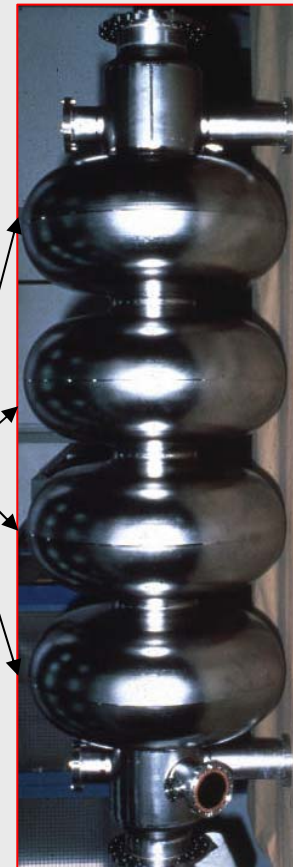
**KEK-B 0.5 GHz**



**CESR 0.5 GHz**



**LEP 0.352 GHz**



cells

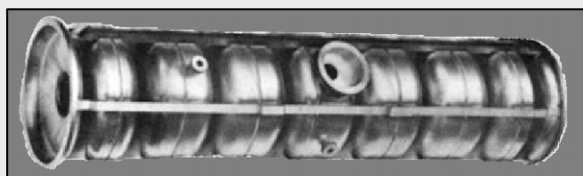
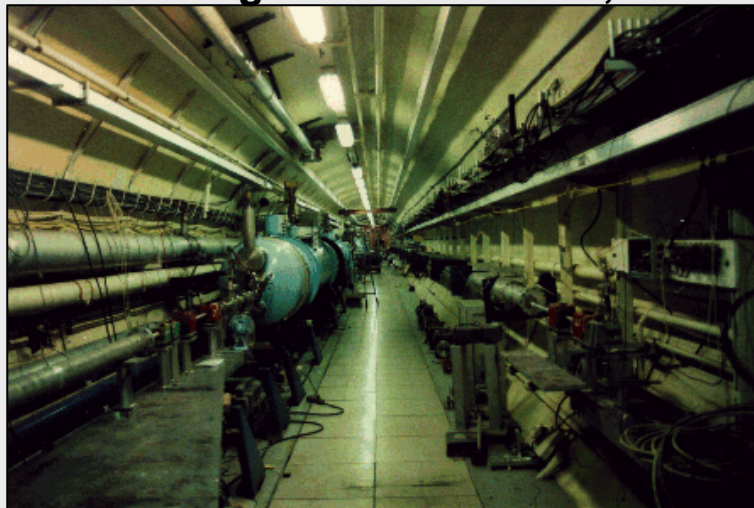




# 1. Introduction and History

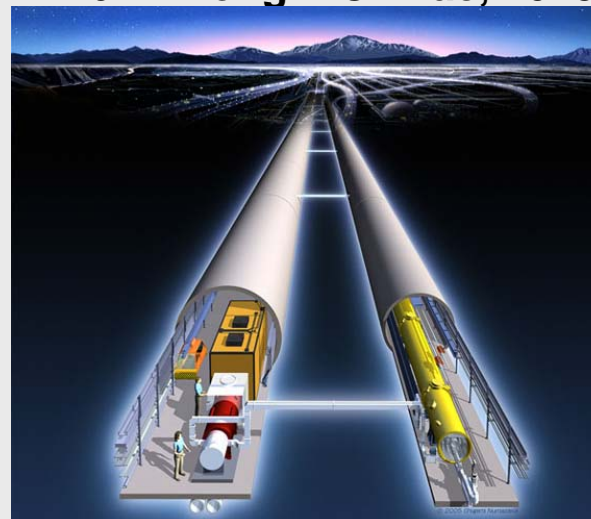
What is the progress in the 30 years and what do we need in the next 10 years?

~ 28 m long SCA at Stanford, 1977.



$E_{acc} \sim 2$  (2.5) MV/m in cw (10% DF).  
4 Structures 5.65m + capture + pre-accelerator.

~ 21.6 km long ILC linac, 2015+



$E_{acc} > 34$  MV/m shown in  
several 9-cells in the cw test.



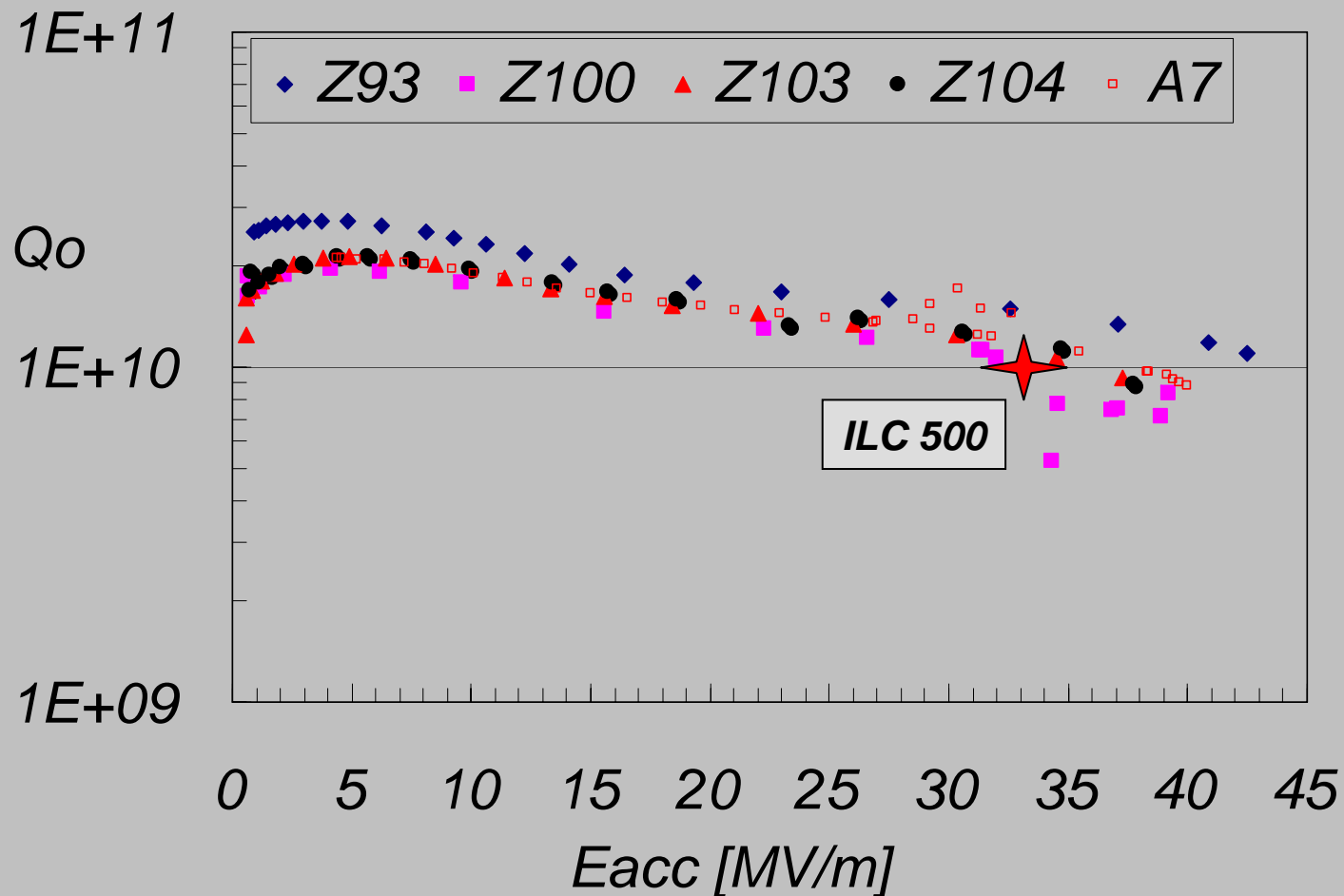
This gradient is required in all 15764 cavities.



# 1. Introduction and History

Results at DESY and JLab (2007):

cw test result at 2K for 5 electropolished 9-cell TESLA cavities.



## 2. RF Parameters

### 2.1 Cavities and their Eigenmodes

**Cavity**≡ an arbitrary volume, partially closed by the metal wall, capable to store the E-H energy



~ 3.95 GHz is the lowest frequency

**First assumption:**

1. Stored E-H fields are harmonic in time.

**Maxwell equations for the harmonic,  
lossless case with no free charge in the volume**

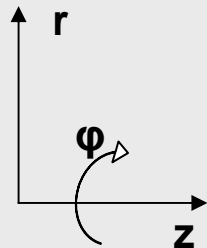
$$\left\{ \begin{array}{l} \nabla \times H = i\omega\epsilon E \\ \nabla \times E = -i\omega\mu H \\ \nabla \cdot E = 0 \\ \nabla \cdot H = 0 \end{array} \right.$$



## 2. RF Parameters

Second assumption (good approximation for the elliptical cavities ):

2. The volume is cylindrically symmetric. We commonly use the  $(r, \varphi, z)$  coordinates.



$z$  is conventional direction of the acceleration and symmetry axis

$$\begin{cases} \nabla_c \times H = i\omega\epsilon E \\ \nabla_c \times E = -i\omega\mu H \\ \nabla_c \cdot E = 0 \\ \nabla_c \cdot H = 0 \end{cases}$$

$$\nabla_c \times A = \vec{i}_r \left( \frac{1}{r} \frac{\partial A_z}{\partial \varphi} - \frac{\partial A_\varphi}{\partial z} \right) + \vec{i}_\varphi \left( \frac{\partial A_r}{\partial z} - \frac{\partial A_z}{\partial r} \right) + \vec{i}_z \left( \frac{1}{r} \frac{\partial (rA_\varphi)}{\partial r} - \frac{1}{r} \frac{\partial A_r}{\partial \varphi} \right)$$

$$\nabla_c \cdot A = \frac{1}{r} \frac{\partial (rA_r)}{\partial r} + \frac{1}{r} \frac{\partial A_\varphi}{\partial \varphi} + \frac{\partial A_z}{\partial z}$$



## 2. RF Parameters

### Third assumption

3. For the acceleration are suitable field patterns with strong E along the beam trajectory. This ensures, by the proper phasing, maximal energy exchange between the cavity and beam.

TM<sub>0xx</sub>-like monopole modes have “very strong”  $E_z$  component on the symmetry axis.

Fields of the monopole modes are independent on  $\varphi$ .

$$\frac{\partial E}{\partial \varphi} = 0 \quad \frac{\partial H}{\partial \varphi} = 0$$

Non monopole (HOM) modes have component  $E_z = 0$  on the symmetry axis.

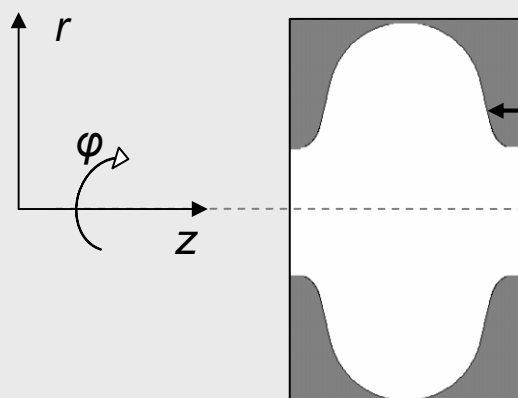
Their fields dependent on  $\varphi$ .



## 2. RF Parameters

Maxwell equations + boundary conditions for E and H fields lead to the Helmholtz equation, which is an eigenvalue problem.

For  $H(r,z)$  field of a monopole mode the equation is:



$$(\nabla_c^2 + \omega^2 \epsilon \mu) H = 0$$

$$n \cdot H = 0 \quad \text{on metal wall}$$

$$\begin{cases} H = 0 \\ n \cdot H = 0 \end{cases} \quad \text{optionally on non metal boundary}$$

$$\nabla_c^2 A = \nabla_c (\nabla_c \cdot A) - \nabla_c \times \nabla_c \times A$$

There is infinity number of TM<sub>0xx</sub> solutions (modes) to the Helmholtz equation.

All modes are determine by:

$$H_n(r,z) = [0, H_{\phi,n}(r,z), 0],$$

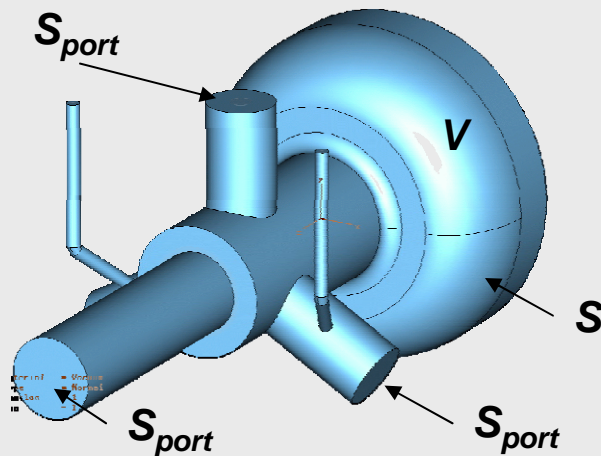
$$E_n(r,z) = [E_{r,n}(r,z), 0, E_{z,n}(r,z)]$$

and frequency  $\omega_n$ .



## 2. RF Parameters

### 2.2 What are figures of merit for a cavity storing E-H energy?



$W_n \equiv$  stored energy of a mode  $n : \{\omega_n, \mathbf{E}_n, \mathbf{H}_n\}$ .

$$W_n \equiv 2\mu \int_V \frac{H_n^2}{4} dV = 2\varepsilon \int_V \frac{E_n^2}{4} dV$$

### Quality Factors

The measure of the energy loss in the metal wall and due to the radiation via open ports:

Intrinsic  $Q \equiv Q_0$

$$Q_{0,n} \equiv \frac{\omega_n \cdot W_n}{P_n} = \frac{\omega_n \cdot W_n}{\frac{R_{s,n}}{2} \int_S H_n^2 ds}$$

External  $Q \equiv Q_{\text{ext}}$

$$Q_{\text{ext},n} \equiv \frac{\omega_n \cdot W_n}{P_{\text{rad},n}} = \frac{\omega_n \cdot W_n}{\frac{1}{2} \int_{S_{\text{port}}} \mathbf{E}_n \times \mathbf{H}_n ds}$$



## 2. RF Parameters

### Geometric Factor

The measure of the energy loss in the metal wall for the surface resistance  $R_{s,n}=1\Omega$

$$G_n \equiv Q_{0,n} \cdot R_{s,n} = \frac{\omega_n \cdot W_n \cdot R_{s,n}}{P_n} = \frac{\omega_n \cdot W_n}{\frac{1}{2} \int_S H_n^2 ds}$$

It is the ratio of the stored energy to the integral of  $(H_n)^2$  on the metal surface.

### 2.3 What are figures of merit for the beam-cavity interaction?

This interaction which is:

- Acceleration
- Deceleration (ERL)
- HOMs excitation

can be described in the Frequency Domain (FD) or/and in Time Domain (TD).

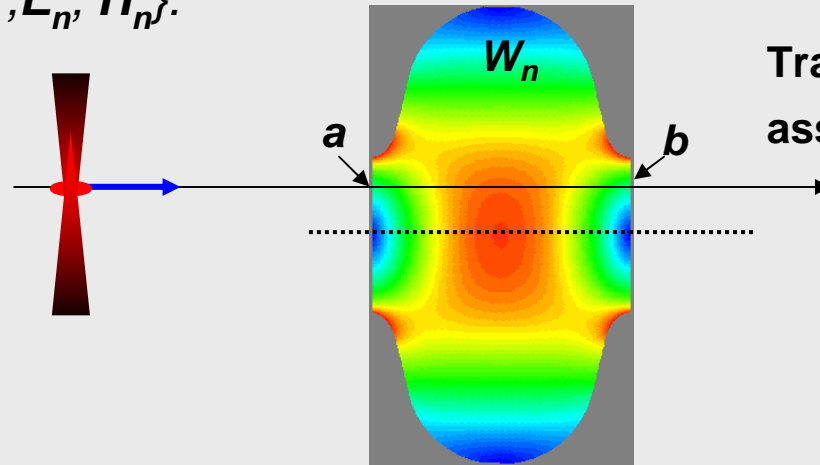




## 2. RF Parameters

$(R/Q)_n$ , a “measure” of the energy exchange between point charge and mode  $n$  (FD).

mode  $n : \{\omega_n, \mathbf{E}_n, \mathbf{H}_n\}$ .



Trajectory of the point charge  $q$ , assumed here to be a straight line.

$$V_n = \sqrt{\left( \int_{z_a}^{z_b} E_{n,z} \sin\left(\frac{\omega_n}{\beta c} (z - z_a)\right) dz \right)^2 + \left( \int_{z_a}^{z_b} E_{n,z} \cos\left(\frac{\omega_n}{\beta c} (z - z_a)\right) dz \right)^2}$$

$$(R/Q)_n \equiv \frac{V_n^2}{\omega_n W_n}$$



## 2. RF Parameters

For the accelerating mode we often use the product of  $G_{acc} \cdot (R/Q)_{acc}$ , as a “measure” of the power  $P$  dissipated in the metal wall at the given accelerating voltage  $V_{acc}$  and the given surface resistance  $R_s$ .

$$\frac{P_{dissipated}}{V_{acc}^2} \equiv \frac{R_s}{G_{acc} \cdot (R/Q)_{acc}}$$

This is due to the surface quality;  
Big improvement possible.

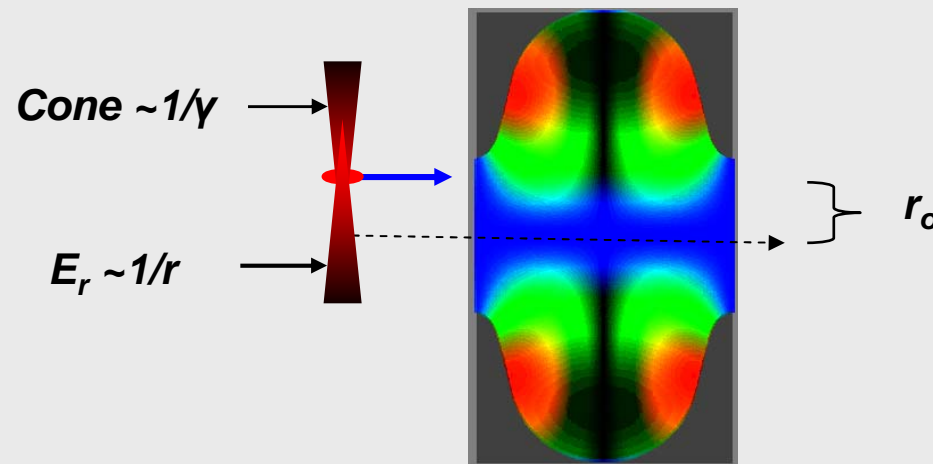
This is due to the geometry of cells;  
Moderate improvement possible.



## 2. RF Parameters

### Longitudinal and Transverse Loss Factors (TD)

Ultra relativistic point charge  $q$  passes empty cavity



- a. Density of the induced charge on the wall depends on the distance to the beam trajectory.
- b. The non uniform charge density on the metal wall causes the current flow on the surface.

## 2. RF Parameters

The amount of energy lost by charge  $q$  to the cavity is:

$$\Delta U_q = k_{\parallel} \cdot q^2 \quad \text{for monopole modes (max. on axis)}$$

$$\Delta U_q = k_{\perp} \cdot q^2 \quad \text{for non monopole modes (off axis)}$$

where  $k_{\parallel}$  and  $k_{\perp}(r)$  are loss factors for the monopole and transverse modes respectively.

The induced  $E$ - $H$  field (wake) is a superposition of cavity eigenmodes (monopoles and others) having the  $E_n(r, \varphi, z)$  field along the trajectory.

Both description methods FD and TD are equivalent.

For individual mode  $n$  and point-like charge:

$$k_{\parallel, n}^p = \frac{\omega_n \cdot (R/Q)_n}{4}$$

Note the linac convention  
for  $(R/Q)$  definition.

Similar for other loss factors.....



## 2. RF Parameters

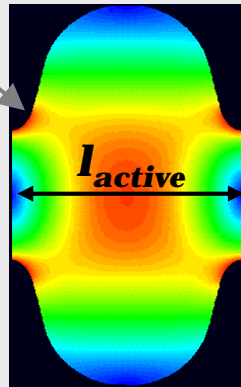
RF parameters of the accelerating mode having more practical background

At stored energy  $W_{acc}$  the mean value of the accelerating gradient is:

$$E_{acc} = \frac{\sqrt{\omega_{acc} \cdot W_{acc} \cdot (R/Q)_{acc}}}{I_{active}}$$

$E_{peak}$  on the surface

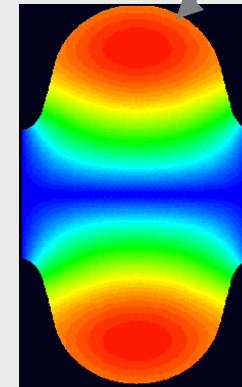
$$\frac{E_{peak}}{E_{acc}}$$



Contour plot of  $|E|$

$B_{peak}$  on the surface

$$\frac{B_{peak}}{E_{acc}}$$



Contour plot of  $|B|$

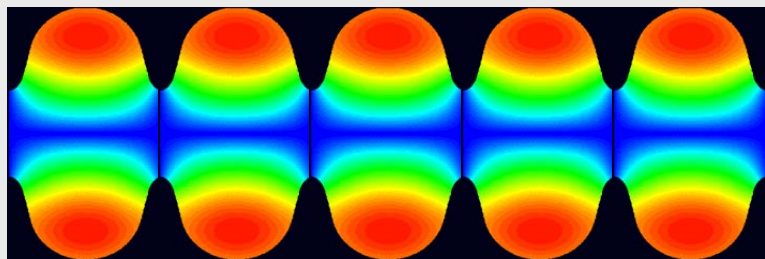
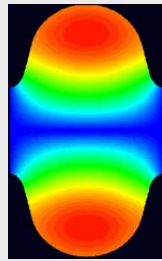
Ratio shows sensitivity of the shape to the field electron emission phenomenon.

Ratio shows limit in  $E_{acc}$  due to the breakdown of superconductivity (Nb ~190mT).



## 2. RF Parameters

The last parameter, relevant for multi-cell accelerating structures, is the coupling  $k_{cc}$  between cells for the accelerating mode passband (Fundamental Mode passband).



Single-cell structures are attractive from the RF-point of view:

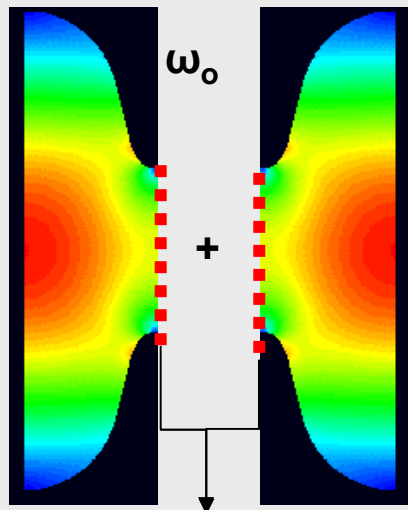
- ➔ Easier to manage HOM damping
- ➔ No field flatness problem.
- ➔ Input coupler transfers less power
- ➔ Easy for cleaning and preparation
- ➔ **But it is expensive to base even a small linear accelerator on the single cell. We do it only for very high beam current machines.**

Multi-cell structures are less expensive and offers higher real-estate gradient but:

- ➔ **Field flatness (stored energy) in cells becomes sensitive to frequency errors of individual cells**
- ➔ **Other problems arise: HOM trapping...**

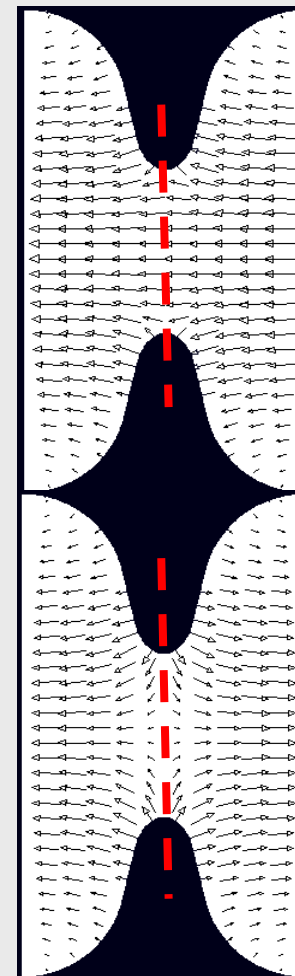
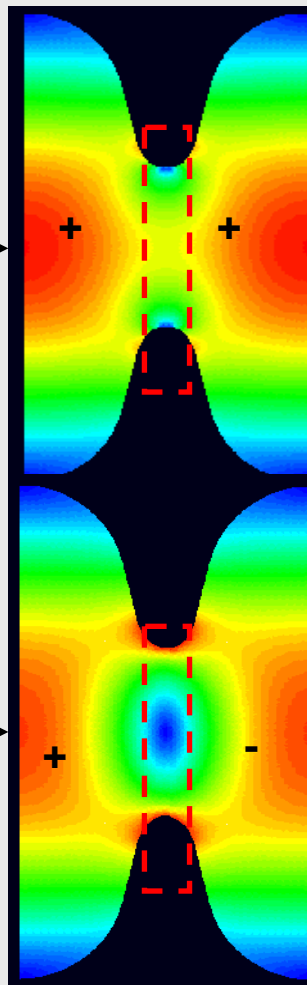
## 2. RF Parameters

Resonators closed by metal wall:



Symmetry planes for the H field

$\omega_o$   
 $\omega_\pi$



Symmetry plane for the H field

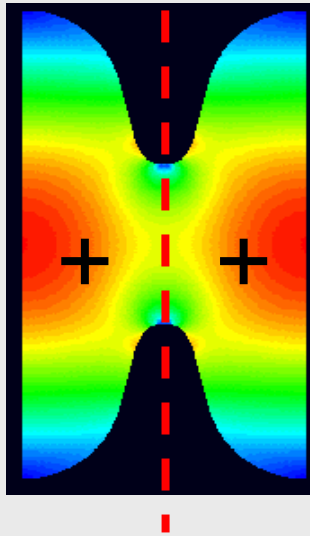
Symmetry plane for the E field  
which is an additional solution



## 2. RF Parameters

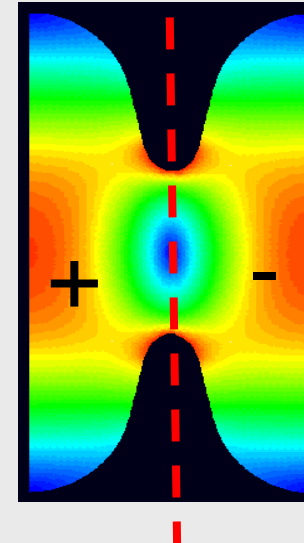
The energy flux across the coupling region, refilling energy loss is proportional to the transverse components:  $H_\phi$  and  $E_r$

$\omega_o$



Small  $E_r$  (due to the losses) + strong  $H_\phi$   
at the symmetry plane

$\omega_\pi$



Small  $H_\phi$  (due to the losses) + strong  $E_r$   
at the symmetry plane

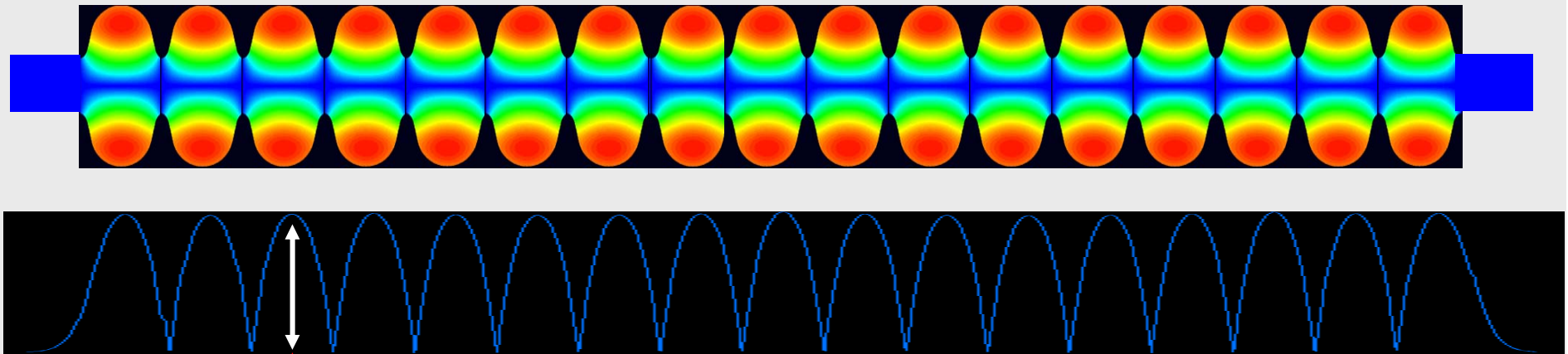
The normalized difference between these frequencies is a measure of the energy flow via the coupling region

$$k_{cc} = \frac{\omega_\pi - \omega_o}{\frac{\omega_\pi + \omega_o}{2}}$$





## 2. RF Parameters



$$\frac{\Delta A_i}{A_i} = a_{ff} \frac{\Delta f_i}{f_i}$$

Field flatness factor for a structure made of  $N$  cells and coupling factor  $k_{cc}$

$$a_{ff} = \frac{N^2}{k_{cc}}$$

The above formulae estimate sensitivity of a multi-cell field profile to frequency errors of an individual cell for the accelerating mode ( $\pi$ -mode)



### 3. Criteria for Cavity Design

We will talk here about inner cells design because these cells “dominate” parameters of a multi-cell superconducting accelerating structure.

RF parameters summary:

*FM* :  $(R/Q)$ ,  $G$ ,  $E_{peak}/E_{acc}$ ,  $B_{peak}/E_{acc}$ ,  $k_{cc}$

*HOM* :  $k_{\perp}$ ,  $k_{\parallel}$ .

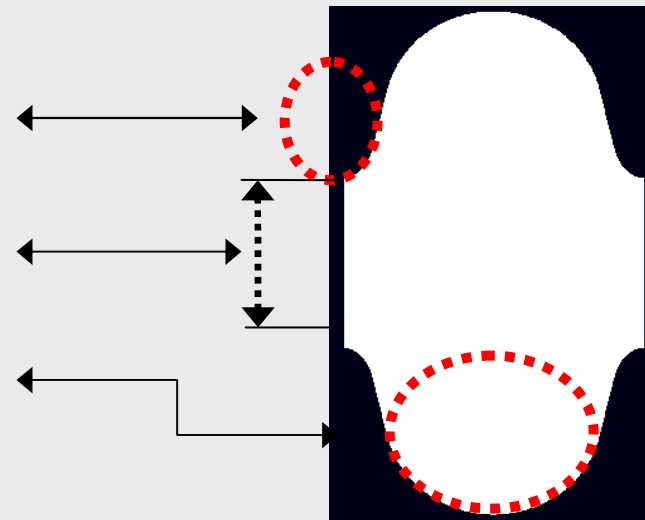
There are 7 parameters we want to optimize for an inner cell

Geometry :

iris ellipsis : *half-axis*  $h_r$ ,  $h_z$

iris radius :  $r_i$

equator ellipsis : *half-axis*  $h_r$ ,  $h_z$



There is some kind of conflict 7 parameters and only 5 variables to “tune”



### 3. Criteria for Cavity Design

<b>Criteria</b>	<b>RF-parameter</b>	<b>Improves when</b>	<b>Cavity examples</b>
<b>Operation at high gradient</b>	$E_{peak}/E_{acc}$ $B_{peak}/E_{acc}$ ↓	$r_i$ ↓ <b>Iris, Equator shape</b>	<b>TESLA,</b> <b>HG CEBAF-12 GeV</b>
<b>Low cryogenic losses</b>	$(R/Q) \cdot G$ ↑	$r_i$ ↓ <b>Equator shape</b>	<b>LL CEBAF-12 GeV</b> <b>LL- ILC cavity</b>
<b>High <math>I_{beam} \leftrightarrow</math> Low HOM impedance</b>	$k_{\perp}, k_{\parallel}$ ↓	$r_i$ ↑	<b>B-Factory</b> <b>RHIC cooling</b>

We see here that  $r_i$  is a very “powerful variable” to trim the RF-parameters of a cavity.

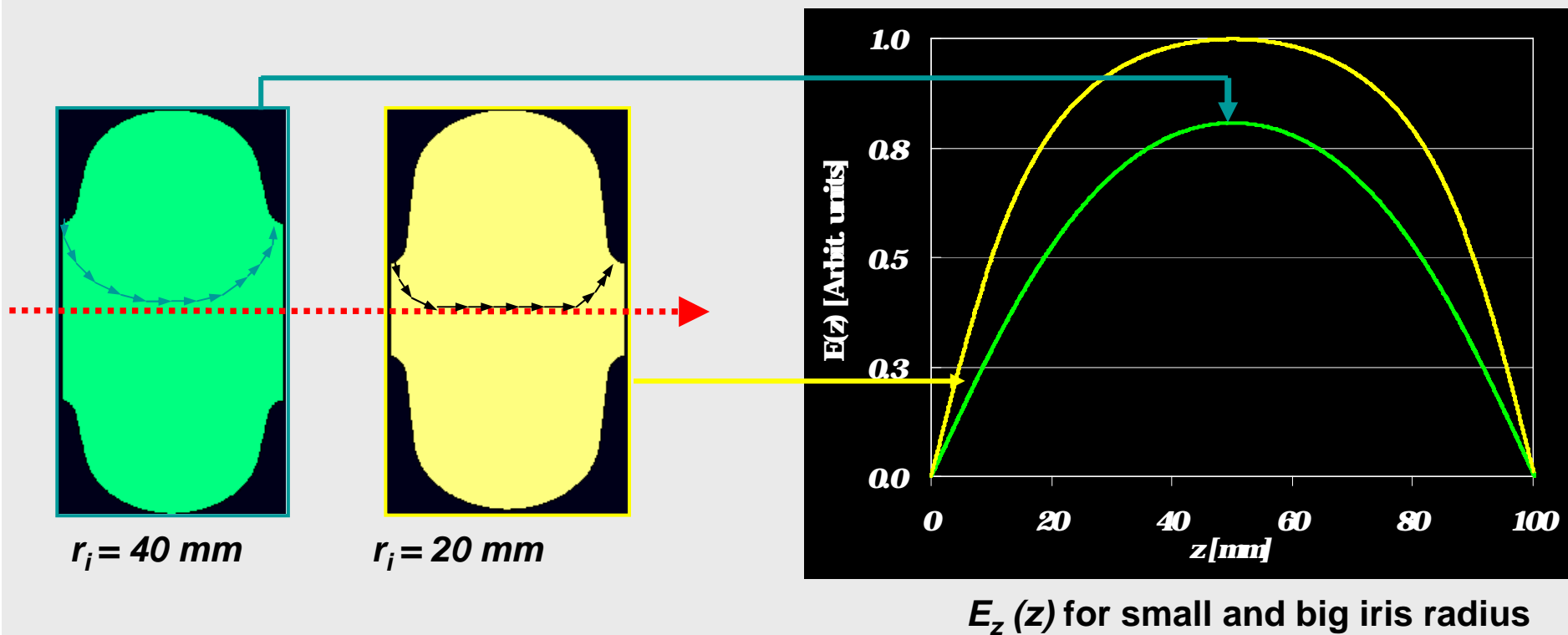


### 3. Criteria for Cavity Design

Why for a smaller aperture ( $r_i$ )

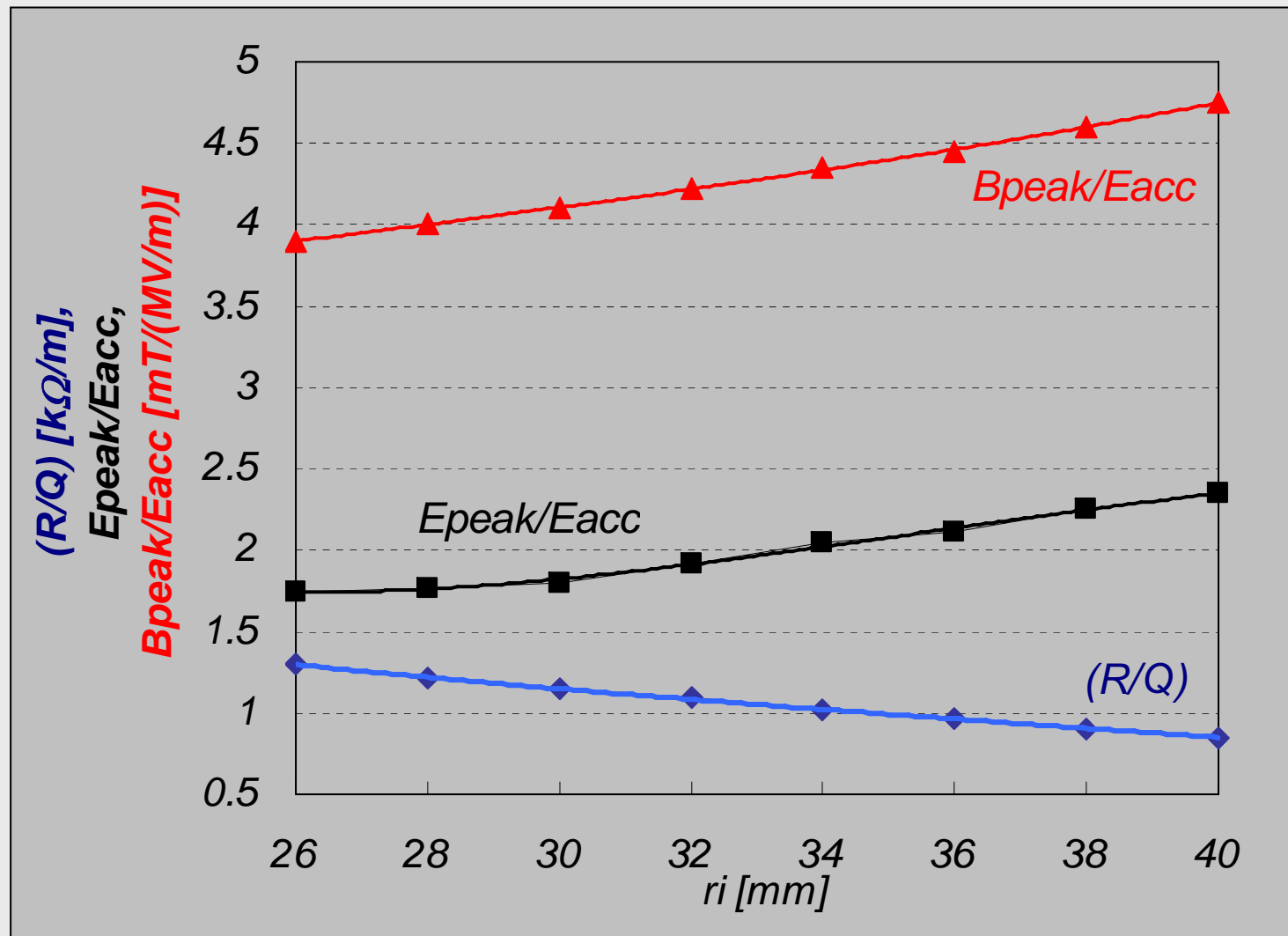
- $(R/Q)$  is bigger
- $E_{peak}/E_{acc}$ ,  $B_{peak}/E_{acc}$  is lower ?

$E_{acc}$  is higher at the same stored energy in the cell



### 3. Criteria for Cavity Design

Example:  
 $f = 1.5 \text{ GHz}$



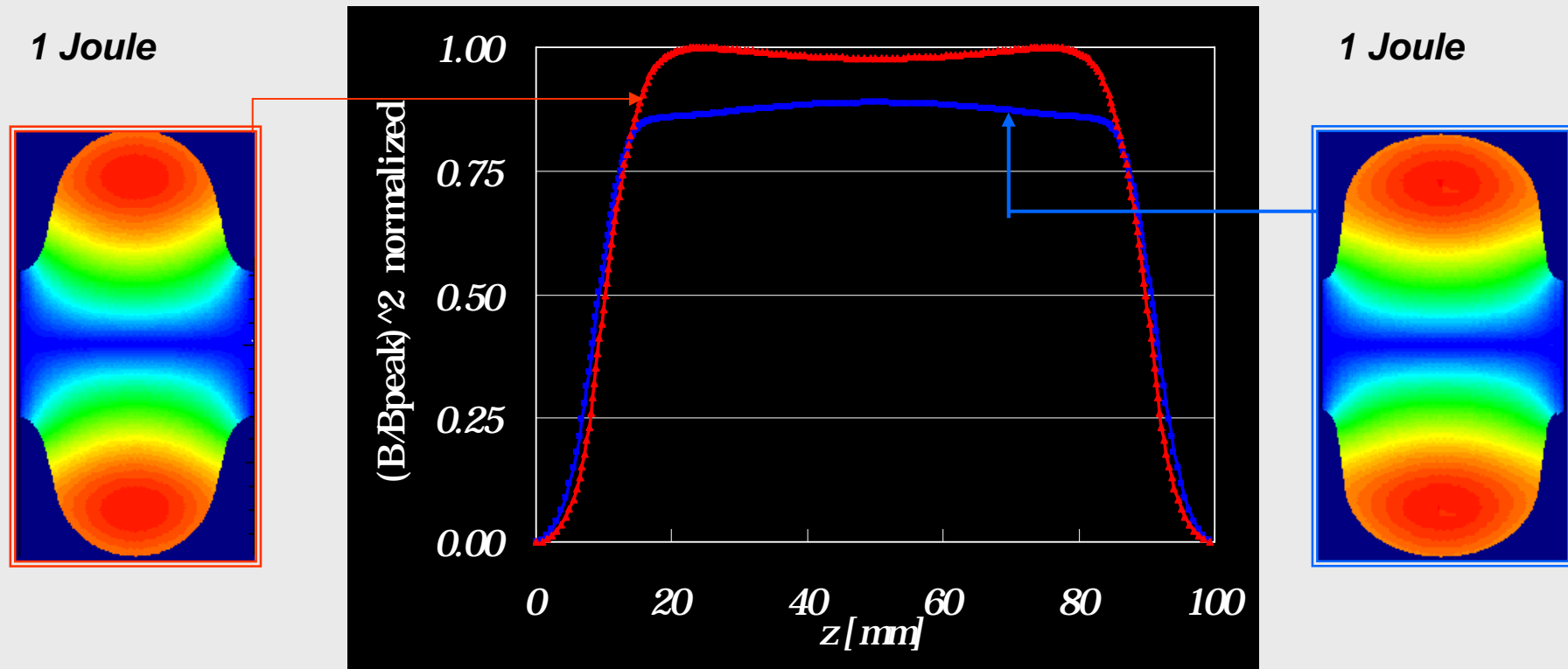
A. Mosnier, E. Haebel, SRF Workshop 1991



### 3. Criteria for Cavity Design

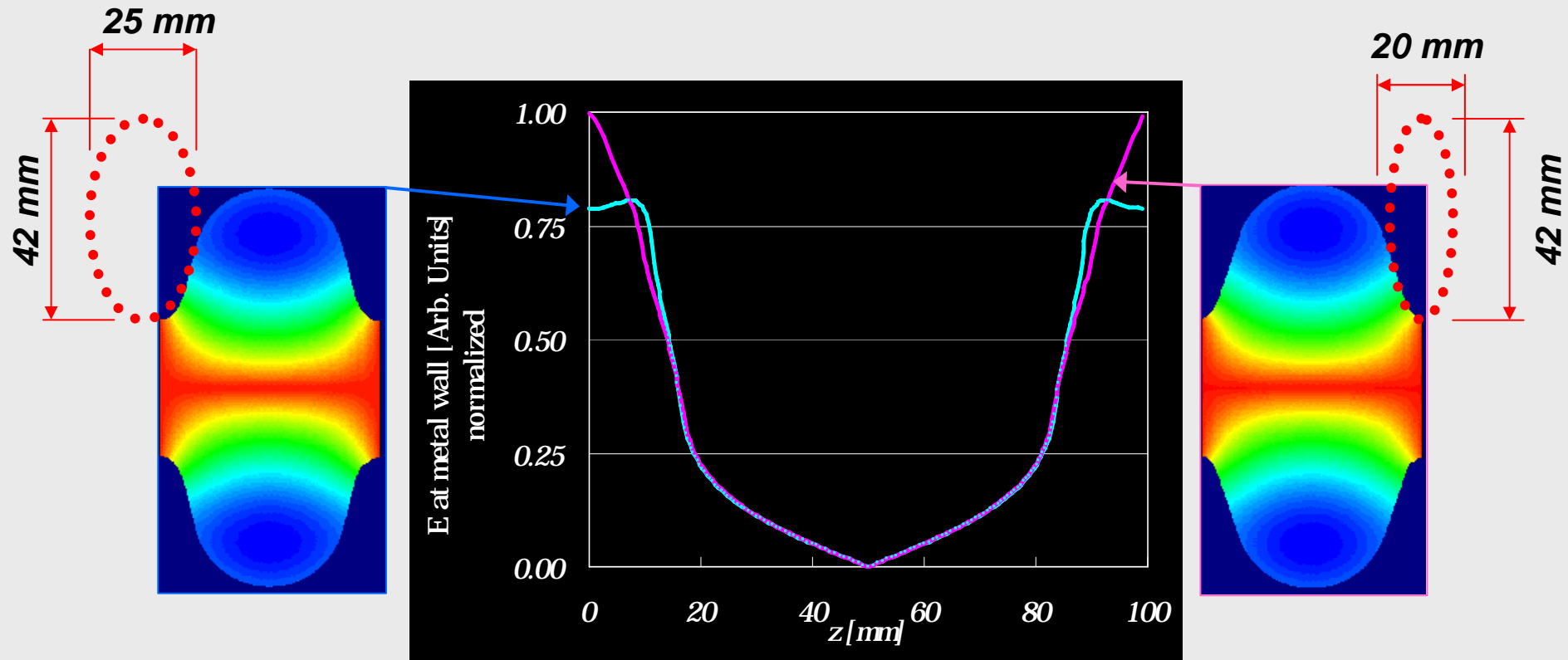
In addition to the iris radius  $r_i$ :

- $B_{peak}/E_{acc}$  (and  $G$ ) changes vs. the equator shape



### 3. Criteria for Cavity Design

Similarly :  $E_{peak}/E_{acc}$  changes vs. the iris shape



Both cells have the same:  $f$ ,  $(R/Q)$  and  $r_i$

### 3. Criteria for Cavity Design

We know that a smaller aperture  $r_i$  makes FM :

- $(R/Q)$  higher
- $B_{peak}/E_{acc}$  ,  $E_{peak}/E_{acc}$  lower

} (+)

but unfortunately a smaller aperture  $r_i$  makes:

- HOMs impedances ( $k_{\perp}$  ,  $k_{\parallel}$ ) higher
- cell-to-cell coupling ( $k_{cc}$ ) weaker

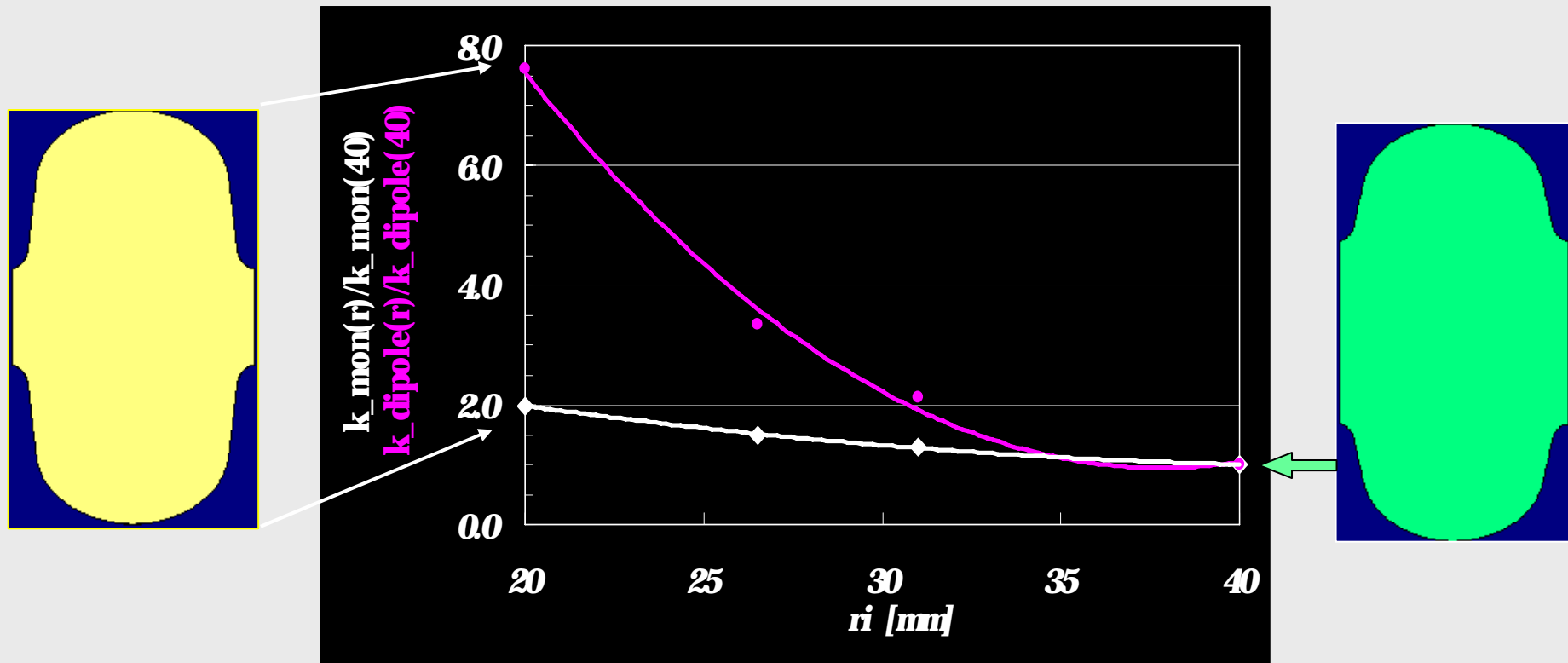
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### 3. Criteria for Cavity Design

HOMs impedances ( $k_{\perp}$ ,  $k_{\parallel}$ )



$$(R/Q) = 152 \, \Omega$$

$$B_{\text{peak}}/E_{\text{acc}} = 3.5 \, \text{mT}/(\text{MV}/\text{m})$$

$$E_{\text{peak}}/E_{\text{acc}} = 1.9$$

$$(R/Q) = 86 \, \Omega$$

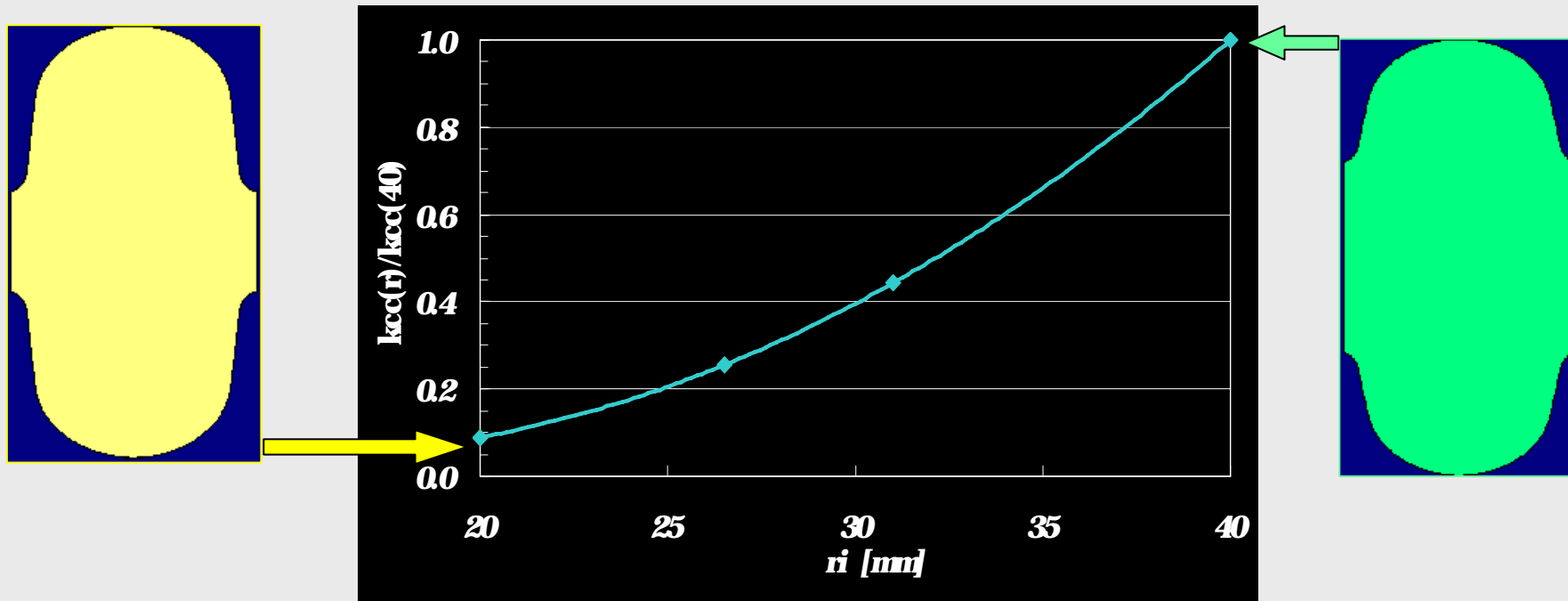
$$B_{\text{peak}}/E_{\text{acc}} = 4.6 \, \text{mT}/(\text{MV}/\text{m})$$

$$E_{\text{peak}}/E_{\text{acc}} = 3.2$$



### 3. Criteria for Cavity Design

Cell-to-cell coupling (  $k_{cc}$  )



$$(R/Q) = 152 \, \Omega$$

$$B_{peak}/E_{acc} = 3.5 \, \text{mT}/(\text{MV}/\text{m})$$

$$E_{peak}/E_{acc} = 1.9$$

$$(R/Q) = 86 \, \Omega$$

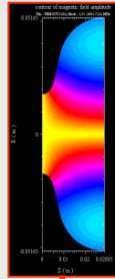
$$B_{peak}/E_{acc} = 4.6 \, \text{mT}/(\text{MV}/\text{m})$$

$$E_{peak}/E_{acc} = 3.2$$

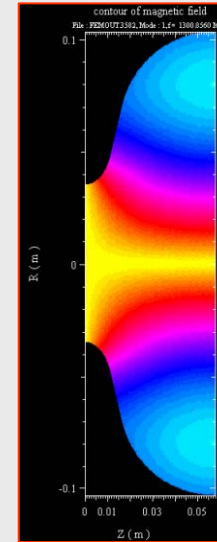


### 3. Criteria for Cavity Design

What about accelerating mode frequency of a superconducting cavity?



$\times 2 =$



$f_n$	[MHz]	2600
$R/Q$	[ $\Omega$ ]	57
$r/q=(R/Q)/I$	[ $\Omega/m$ ]	2000
$G$	[ $\Omega$ ]	271

$f_n$	[MHz]	1300
$R/Q$	[ $\Omega$ ]	57
$r/q=(R/Q)/I$	[ $\Omega/m$ ]	1000
$G$	[ $\Omega$ ]	271

$$r/q=(R/Q)/I \sim f$$



### 3. Criteria for Cavity Design

From the formula, we learned before:

$$\frac{P_{dissipated}}{V_{acc}^2} \equiv \frac{R_s}{G_{acc} \cdot (R/Q)_{acc}}$$

one obtains:

$$P_{dissipated} = \frac{R_s \cdot V_{acc}^2}{G_{acc} \cdot (r/q)_{acc} \cdot I_{active}}$$

A higher frequency would be a good choice to minimize power dissipation in the metal wall when the length  $l_{active}$  and final energy  $V_{acc}$  are fixed.

Unfortunately this applies only to room temperature structures made of Cu, which  $R_s \sim (f)^{1/2}$ .

For superconductors like Nb:

$$R_s(f) = R_{res} + R_{BCS} = R_{res} + 0.0002 \cdot \frac{1}{T} \cdot \left( \frac{f[GHz]}{1.5} \right)^2 \cdot \exp\left(-\frac{17.67}{T}\right)$$

and increase of  $R_s \sim (f)^2$  for higher  $f$  must be compensated with lower temperature  $T$ .

This is why ILC (1.3GHz) will operate at 2K (1.8K), and HERA (0.5GHz) and LEP (0.352GHz) could operate at 4.2 K



### 3. Criteria for Cavity Design

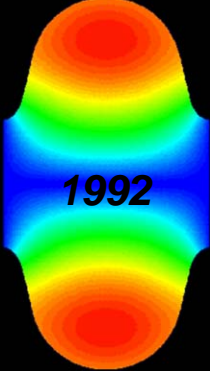


#### Examples of inner cells

		<i>CEBAF Original Cornell <math>\beta=1</math></i>	<i>CEBAF -12 High Gradient <math>\beta=1</math></i>	<i>CEBAF -12 Low Loss <math>\beta=1</math></i>	<i>TESLA <math>\beta=1</math></i>	<i>SNS <math>\beta=0.61</math></i>	<i>SNS <math>\beta=0.81</math></i>	<i>RIA <math>\beta=0.47</math></i>	<i>RHIC Cooler <math>\beta=1</math></i>
$f_o$	[MHz]	1448.3	1468.9	1475.1	1278.0	792.8	792.8	793.0	683.0
$f_n$	[MHz]	1497.0	1497.0	1497.0	1300.0	805.0	805.0	805.0	703.7
$k_{cc}$	[%]	3.29	1.89	1.49	1.9	1.52	1.52	1.52	2.94
$E_{peak}/E_{acc}$	-	2.56	1.96	2.17	1.98	2.66	2.14	3.28	1.98
$B_{peak}/E_{acc}$	[mT/(MV/m)]	4.56	4.15	3.74	4.15	5.44	4.58	6.51	5.78
$R/Q$	[ $\Omega$ ]	96.5	112	128.8	113.8	49.2	83.8	28.5	80.2
$G$	[ $\Omega$ ]	273.8	266	280	271	176	226	136	225
$R/Q \cdot G$	[ $\Omega \cdot \Omega$ ]	26421	29792	36064	30840	8659	18939	3876	18045
$k_{\perp}$ ( $\sigma_z=1mm$ )	[V/pC/cm <sup>2</sup> ]	0.22	0.32	0.53	0.23	0.13	0.11	0.15	0.02
$k_{\parallel}$ ( $\sigma_z=1mm$ )	[V/pC]	1.36	1.53	1.71	1.46	1.25	1.27	1.19	0.85



### 3. Criteria for Cavity Design

Evolution of inner cells proposed for the ILC collider:

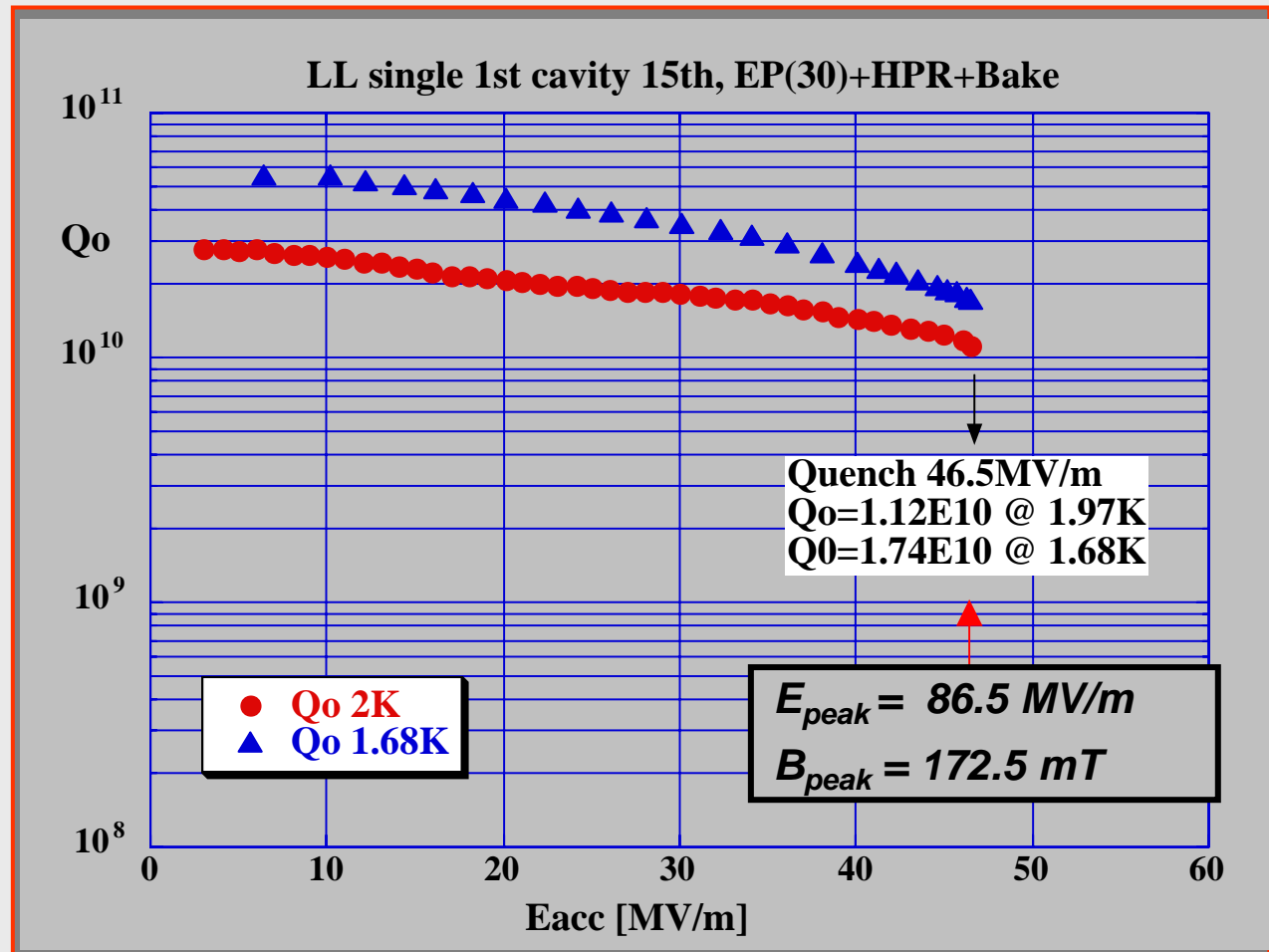
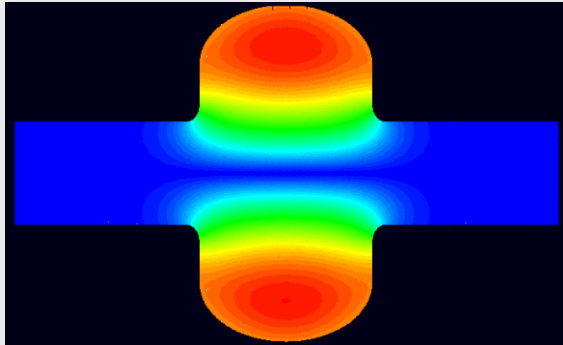
		TESLA optimized $E_{peak}/E_{acc}$	Re-entrant optimized $B_{peak}/E_{acc}$	LL optimized $B_{peak}/E_{acc}$
				
$r_i$	[mm]	<b>35</b>	30	30
$k_{cc}$	[%]	<b>1.9</b>	1.56	1.52
$E_{peak}/E_{acc}$	-	<b>1.98</b>	2.30	2.36
$B_{peak}/E_{acc}$	[mT/(MV/m)]	<b>4.15</b>	<b>3.57</b>	<b>3.61</b>
$R/Q$	[ $\Omega$ ]	<b>113.8</b>	135	133.7
$G$	[ $\Omega$ ]	<b>271</b>	284.3	284
$R/Q * G$	[ $\Omega * \Omega$ ]	<b>30840</b>	38380	37970
$k_{\perp} (\sigma_z=1mm)$	[V/pC/cm <sup>2</sup> ]	0.23	0.38	0.38
$k_{\parallel} (\sigma_z=1mm)$	[V/pC]	1.46	1.75	1.72



### 3. Criteria for Cavity Design

KEK test September 2005 !!!!!!!

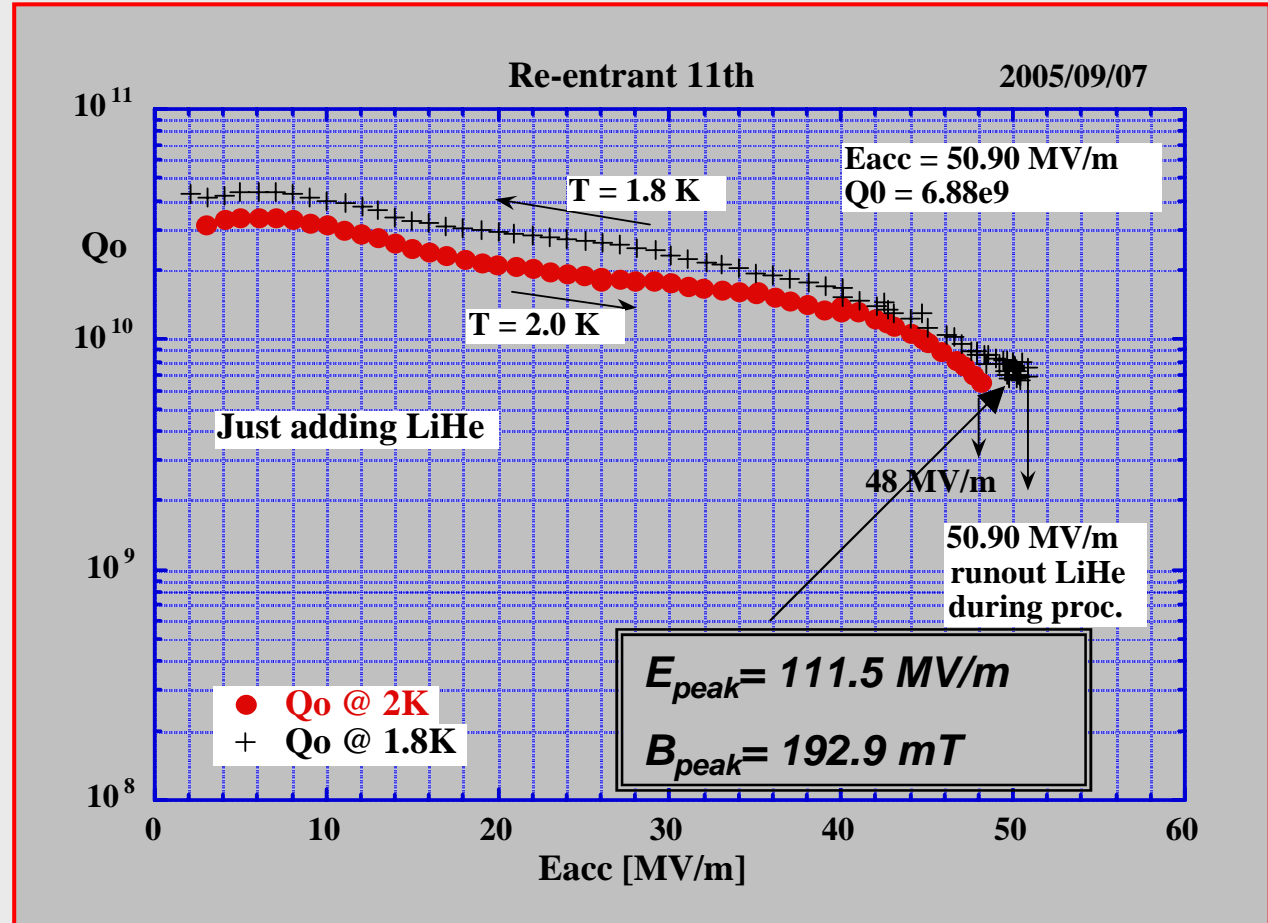
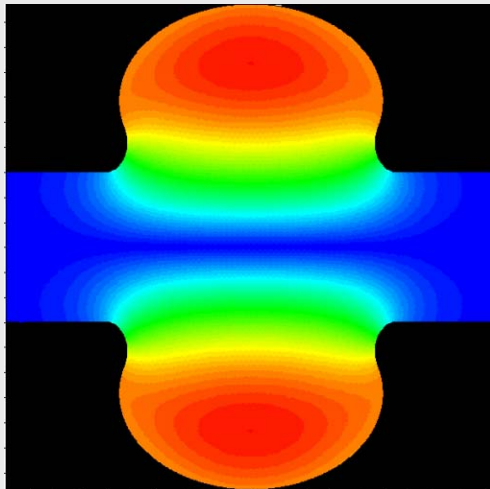
		<i>LL</i>
$f$	[MHz]	1286.6
$E_{peak}/E_{acc}$	-	1.86
$B_{peak}/E_{acc}$	[mT/(MV/m)]	3.71
$R/Q$	[ $\Omega$ ]	130.0
$G$	[ $\Omega$ ]	279
$\emptyset_{iris}$	[mm]	61



### 3. Criteria for Cavity Design

KEK tests September 2005

		<i>RE</i>
$f$	[MHz]	1278.6
$E_{peak}/E_{acc}$	-	2.19
$B_{peak}/E_{acc}$	[mT/(MV/m)]	3.79
$R/Q$	[ $\Omega$ ]	126.0
$G$	[ $\Omega$ ]	278
$\emptyset_{iris}$	[mm]	68

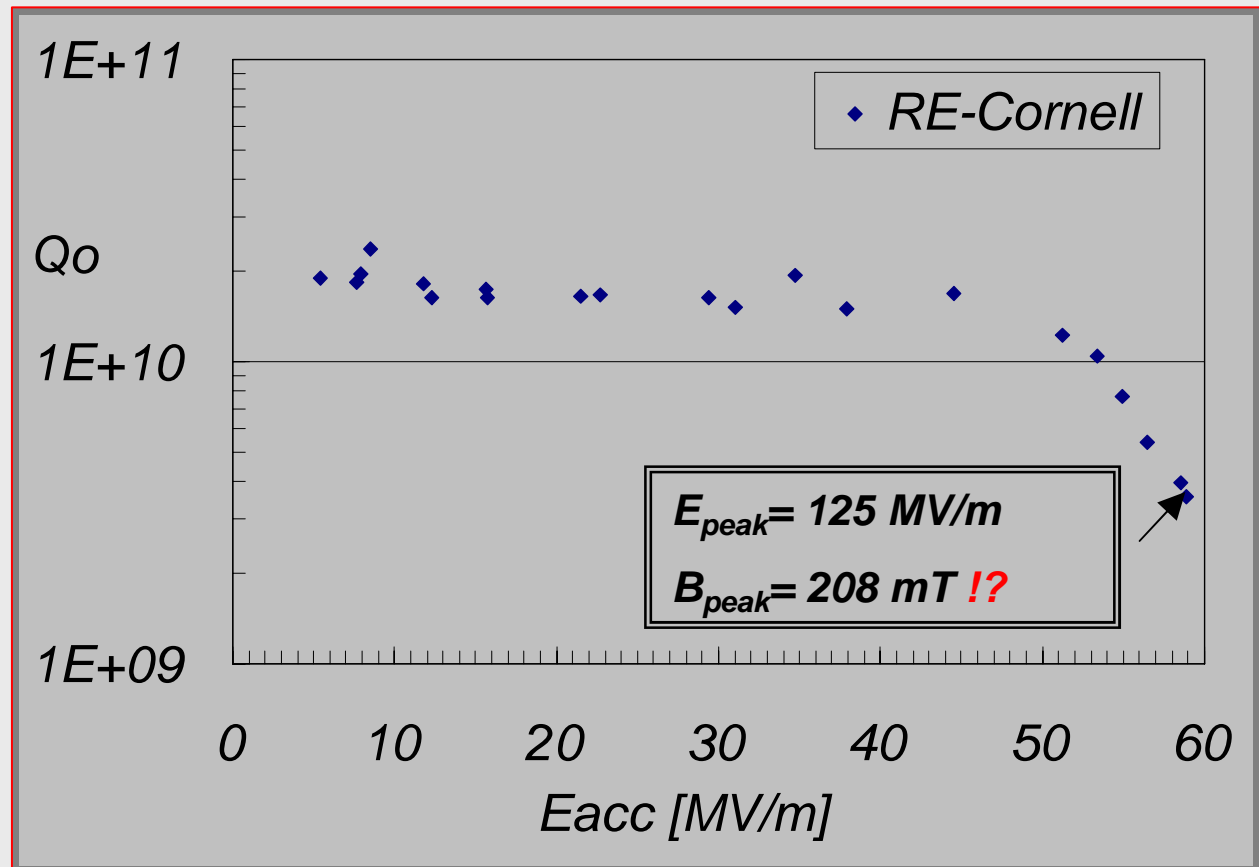




### 3. Criteria for Cavity Design

Cornell, test in March 2007 !!!!

		<i>RE+Tubes</i>
$f$	[MHz]	1300.3
$E_{peak}/E_{acc}$	-	2.11
$B_{peak}/E_{acc}$	[mT/(MV/m)]	3.53
$R/Q$	[ $\Omega$ ]	126.0
$G$	[ $\Omega$ ]	283.3
$\emptyset_{iris}$	[mm]	60



## 4. Multi-cell Structures and Weakly Coupled Structures

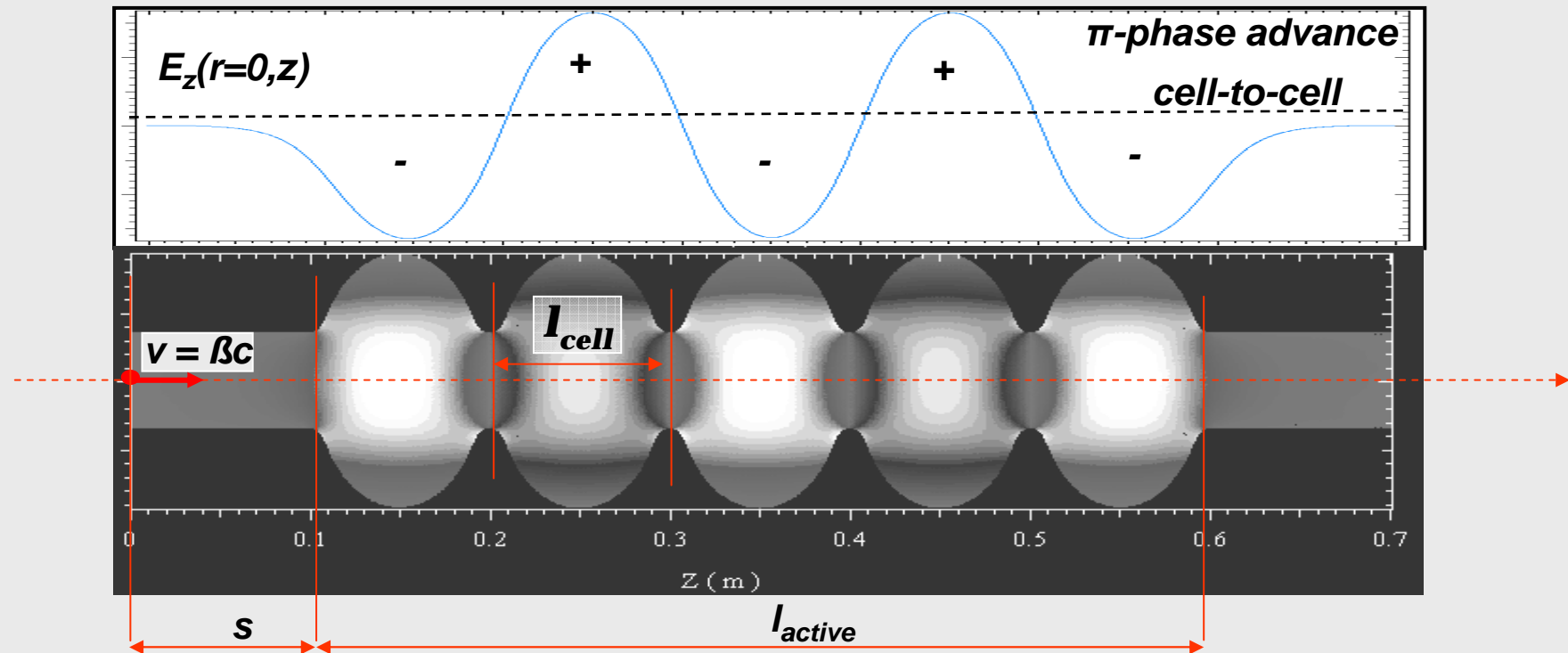
We re-call pros and cons for a multi-cell structure

- ➔ Cost of accelerators are lower (less auxiliaries: LHe vessels, tuners, fundamental power couplers, control electronics)
- ➔ Higher real-estate gradient (better fill factor)
- ➔ **Field flatness vs. N**
- ➔ **HOM trapping vs. N**
- ➔ **Power capability of fundamental power couplers vs. N**
- ➔ **Chemical treatment and final preparation become more complicated**
- ➔ **The worst performing cell limits whole multi-cell structure**



## 4. Multi-cell Structures and Weakly Coupled Structures

### Accelerating mode in a multi-cell structure



Synchronic acceleration and max of  $(R/Q)_{acc}$  when:

1.  $l_{active} = N l_{cell} = N c \beta / (2f)$  and
2. the injection takes place at an optimum phase  $\varphi_{opt}$  which ensures that particles arrive at the mid-plane of the first cell when  $E_{acc}$  reaches its maximum (+q passing to the right) or minimum (-q passing to the right).



## 4. Multi-cell Structures and Weakly Coupled Structures

- Field flatness in a multi-cell structures

	<i>Original Cornell N = 5</i>	<i>High Gradient N = 7</i>	<i>Low Loss N = 7</i>	<i>TESLA N=9</i>	<i>SNS <math>\beta=0.61</math> N=6</i>	<i>SNS <math>\beta=0.81</math> N=6</i>	<i>RIA <math>\beta=0.47</math> N=6</i>	<i>RHIC N=5</i>
<i>year</i>	1982	2001	2002	1992	2000	2000	2003	2003
<b><math>a_{ff}</math></b>	1489	2592	3288	4091	3883	2924	5040	850



$$a_{ff} = \frac{N^2}{k_{cc} \cdot \beta}$$

Many years of experience with: heat treatment, chemical treatment, handling and assembly allows one to preserve field profile, even in cavities with bigger  $N$  and weaker  $k_{cc}$

For the TESLA cavities: field flatness is better than 95 %



## 4. Multi-cell Structures and Weakly Coupled Structures

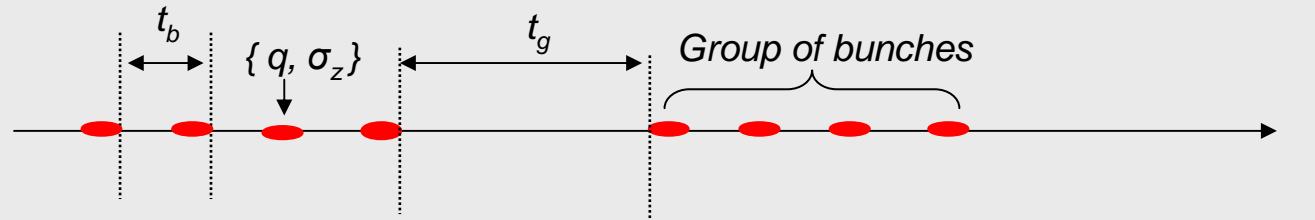
- HOM trapping in a multi-cell structures

The excitation of HOMs by the accelerated beam causes:

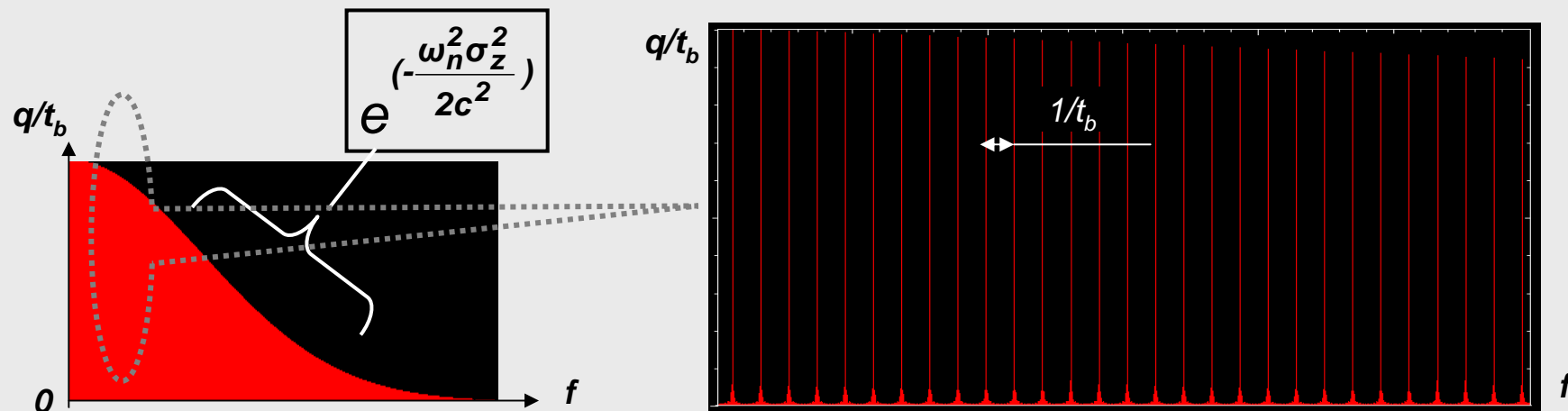
- Beam instabilities and/or dilution of emittance
- Bunch-to-bunch energy modulation
- Additional cryogenic loss

Excitation:

Time structure of the beam

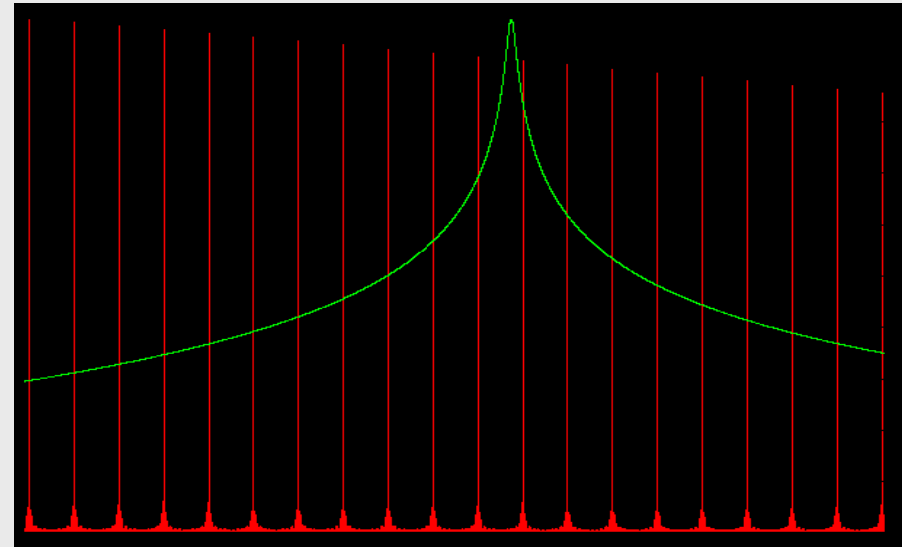
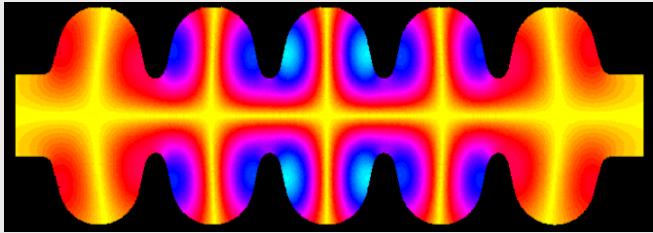


Spectrum of the beam



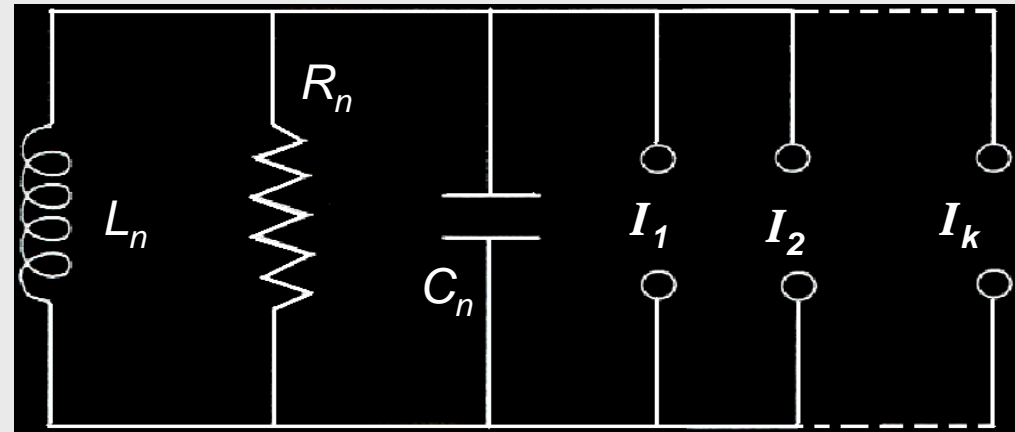
## 4. Multi-cell Structures and Weakly Coupled Structures

Mode No.  $n$  :  $\{ \omega_n, (R/Q)_n, Q_{L,n} \}$



$$Z_n(\omega) = \frac{(R/Q)_n \cdot Q_{L,n}}{1 + jQ_{L,n} \left( \frac{\omega}{\omega_n} - \frac{\omega_n}{\omega} \right)}$$

Impedance for the mode  $n$



Multi-source excitation



## 4. Multi-cell Structures and Weakly Coupled Structures

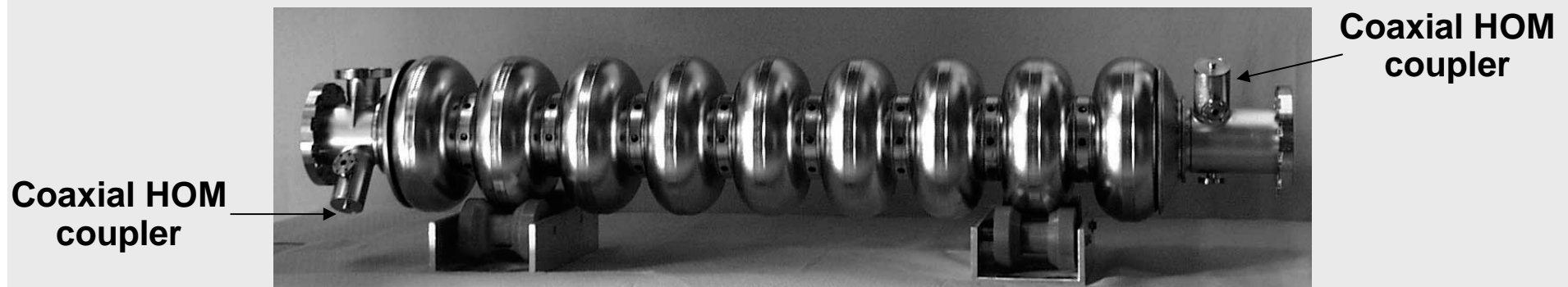
The power induced by “all” spectral lines (current sources) in mode No. n:

$$P_n = \frac{1}{2} \sum_k Z_n(\omega_k) \cdot I_k^2$$

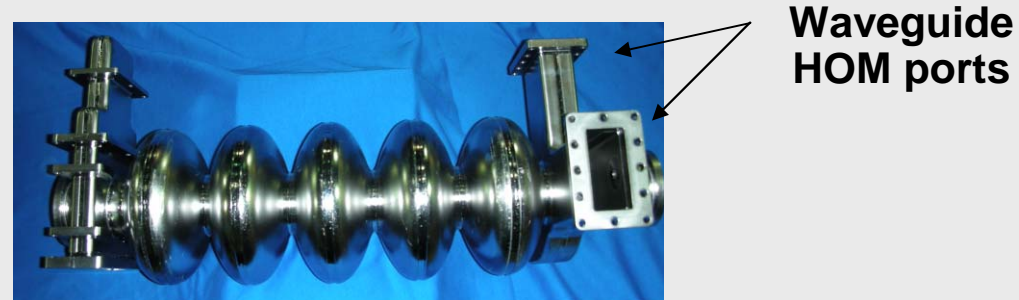
$$Z_n(\omega) = \frac{(R/Q)_n \cdot Q_{L,n}}{1 + jQ_{L,n} \left( \frac{\omega}{\omega_n} - \frac{\omega_n}{\omega} \right)} \quad \text{and} \quad \frac{1}{Q_{L,n}} = \frac{1}{Q_{0,n}} + \frac{1}{Q_{ext,n}} \leftarrow \begin{array}{|l|} \hline \text{Measure of the} \\ \text{extracted power} \\ \hline \end{array}$$

The HOM couplers, devices extracting the energy from the parasitic modes, are attached to cavities to mitigate these phenomenon.

The experience shows that, the HOM couplers and FM couplers can be attached to the beam tubes and must not be located at cells because this leads to the performance degradation.



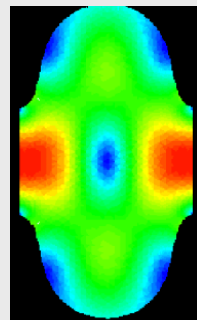
## 4. Multi-cell Structures and Weakly Coupled Structures



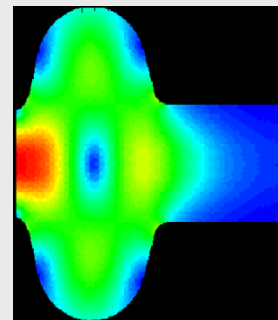
The HOM trapping mechanism is similar to the FM field profile unflatness mechanism:

- weak  $k_{cc,HOM}$ , cell-to-cell coupling for HOM
- difference in the HOM frequency between the end-cell and inner-cell

$f = 2385 \text{ MHz}$



That is why they  
hardly resonate  
together



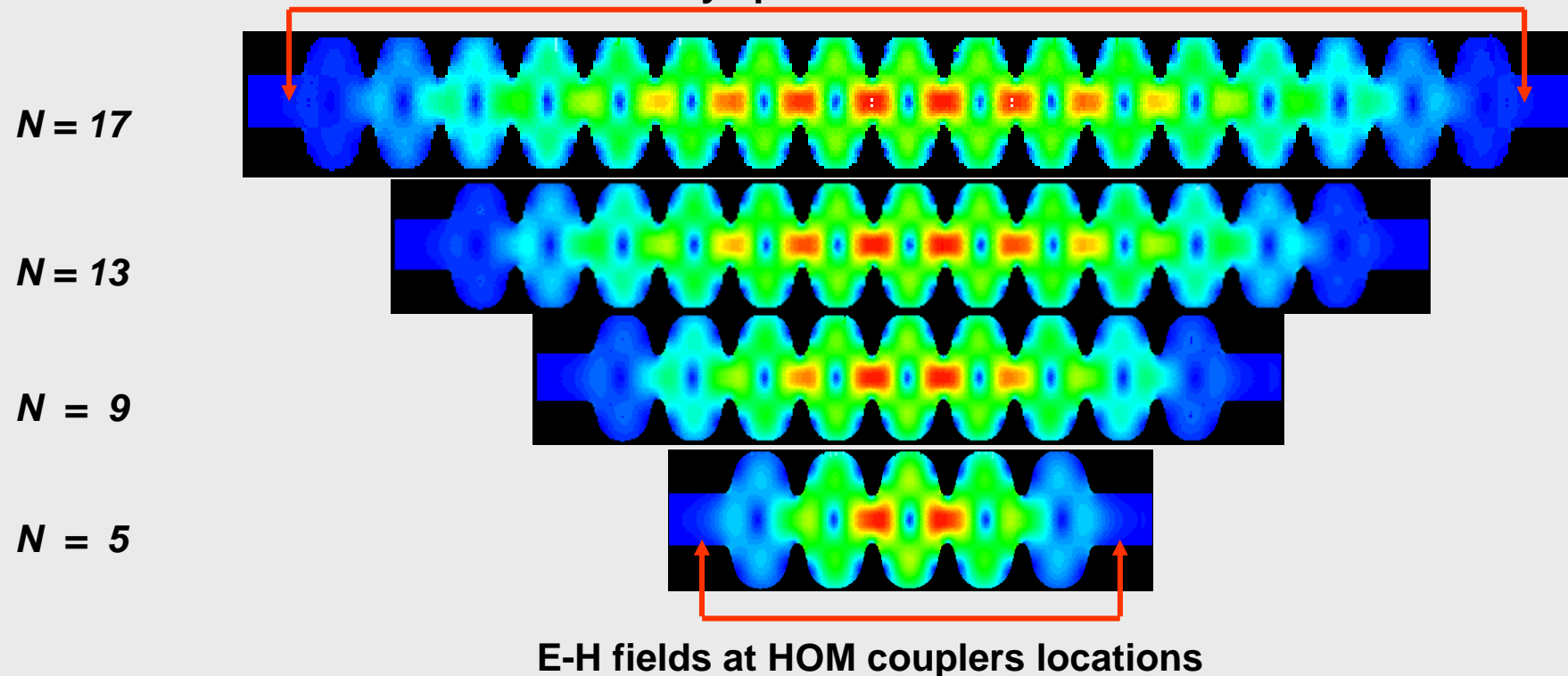
$f = 2415 \text{ MHz}$



## 4. Multi-cell Structures and Weakly Coupled Structures

Example: how  $N$  influences strength of the E-H fields at HOM couplers locations

no E-H fields at HOM couplers locations (trapping),  
which are always placed at the end beam tubes



Less cells in a structure helps always to reach low  $Q$ s of HOMs.



## 4. Multi-cell Structures and Weakly Coupled Structures

**What additional to reducing N can we do to avoid the trapping?**

### **Adjustment of end-cells**

**The geometry of end-cells differs from the geometry of inner cells due to the attached beam tubes, HOM- and input couplers.**

**Their function is multifold and their geometry must fulfill three requirements:**

- **field flatness and frequency of the accelerating mode**
- **field strength of the accelerating mode at FPC location enabling operation with matched Qext**
- **fields strength of dangerous HOMs ensuring their required damping by means of HOM couplers or/and beam line absorbers.**

**All three make design of the end-cells more difficult than inner cells.**



## 4. Multi-cell Structures and Weakly Coupled Structures

1. Open irises of the inner cells and end-cells (bigger  $k_{cc,HOM}$ ) and making shape of both very similar

Example: RHIC 5-cell cavity for the electron cooling:

Monopole mode  $k_c$

$$f_{HOM} = 1394 \text{ MHz}$$

$$f_{HOM} = 1403 \text{ MHz}$$

The method causes (f) relevant.



*(Courtesy of R. Calaga and I. Ben-Zvi)*



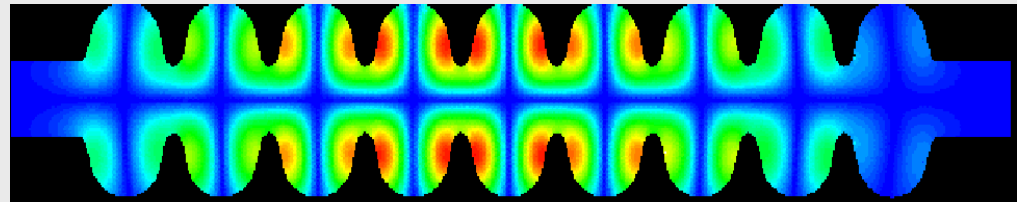
## 4. Multi-cell Structures and Weakly Coupled Structures

2. Tailor end-cells to equalize HOM frequencies of inner- and end-cells.

Example: TESLA 9-cell cavity, which has two different end-cells (asymmetric cavity)

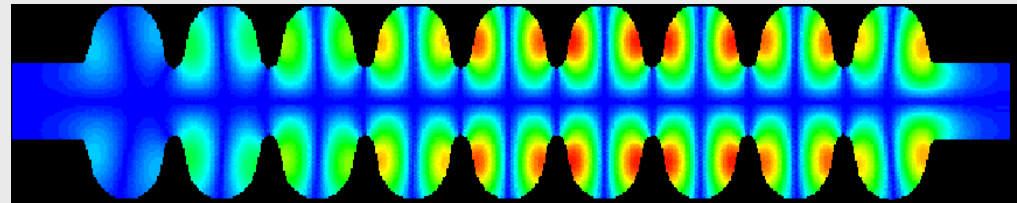
The lowest mode in the passband

$$f_{HOM} = 2382 \text{ MHz}$$



The highest mode in the passband

$$f_{HOM} = 2458 \text{ MHz}$$

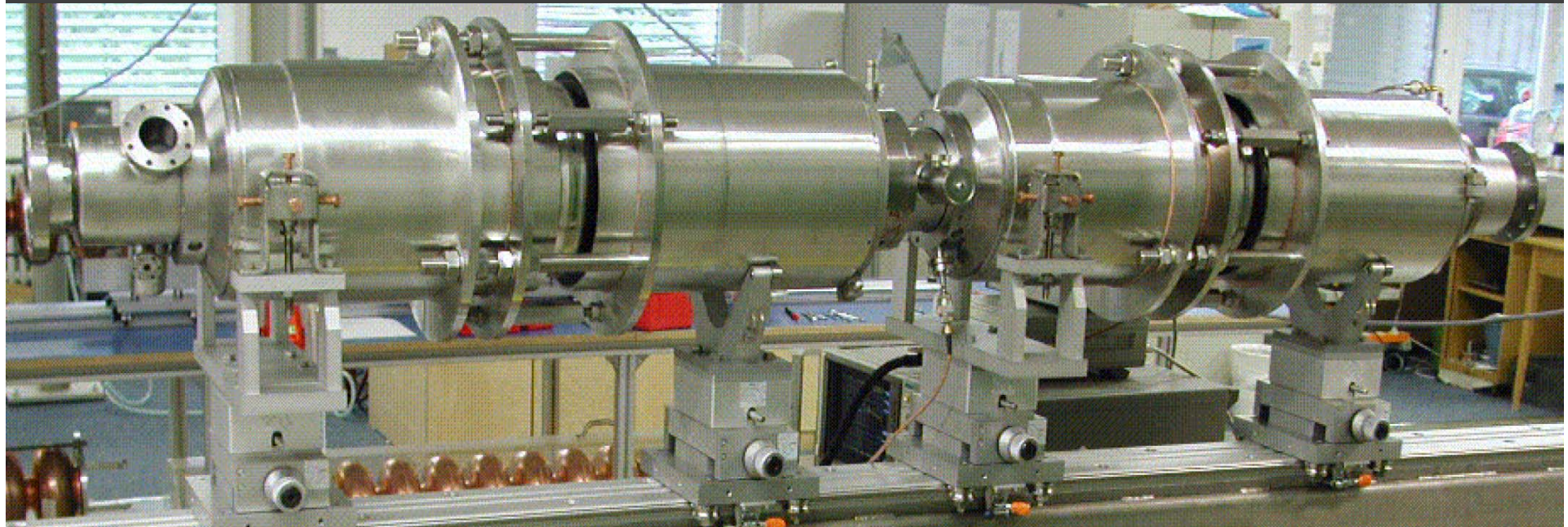


The method works for very few modes but keeps the (R/Q) value high of the fundamental mode.





## 4. Multi-cell Structures and Weakly Coupled Structures



## 4. Multi-cell Structures and Weakly Coupled Structures

- Power capability of fundamental power couplers in a multi-cell structures

When  $I_{beam}$  and  $E_{acc}$  are specified and a superconducting multi-cell structure does not operate in the energy recovery mode:

$$P_{in} \sim N$$

$Q_{ext}$  of the FPC, which usually is  $\ll$  than intrinsic  $Q_0$ , is:

$$Q_{ext} \cong \frac{E_{acc} \cdot \beta \cdot \lambda \cdot N}{I_{beam} \cdot (R/Q)_{cell} \cdot N} = \frac{E_{acc} \cdot \beta \cdot \lambda}{I_{beam} \cdot (R/Q)_{cell}} = \frac{\omega_{acc} \cdot W_{onecell} \cdot N}{\frac{1}{2} \int_{S_{inputport}} E_{acc} \times H_{acc} ds}$$

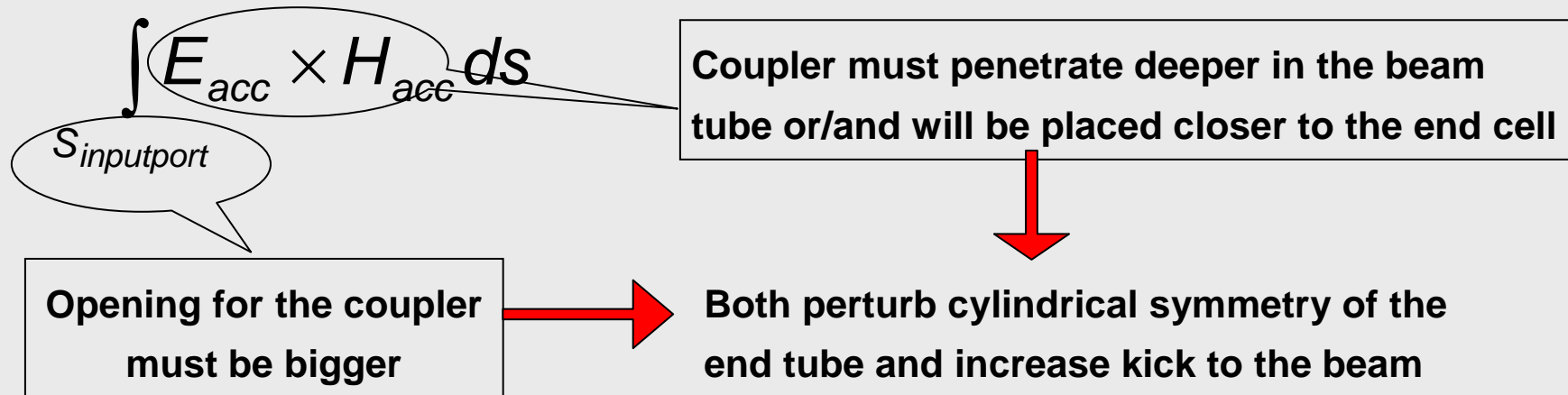
Independent of N

It must be  $\sim N$  to keep the ratio constant

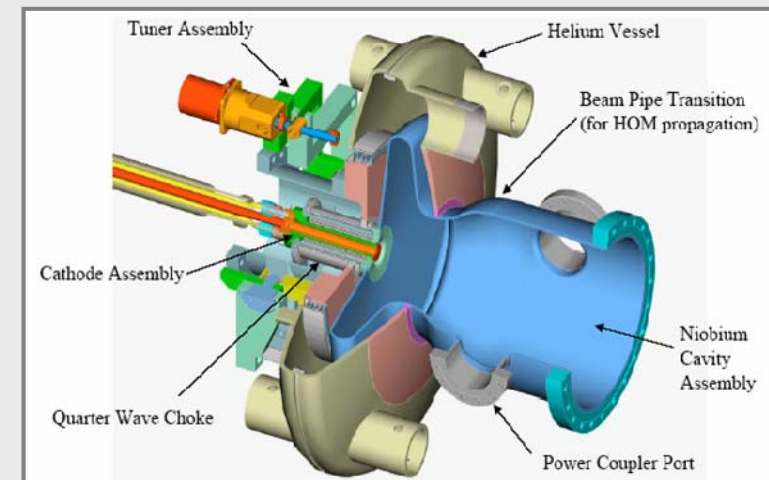
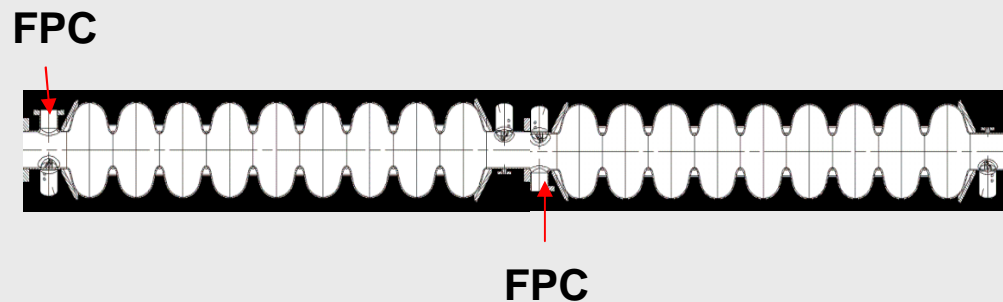




## 4. Multi-cell Structures and Weakly Coupled Structures



The remedies are: alternating positions of couplers or double couplers

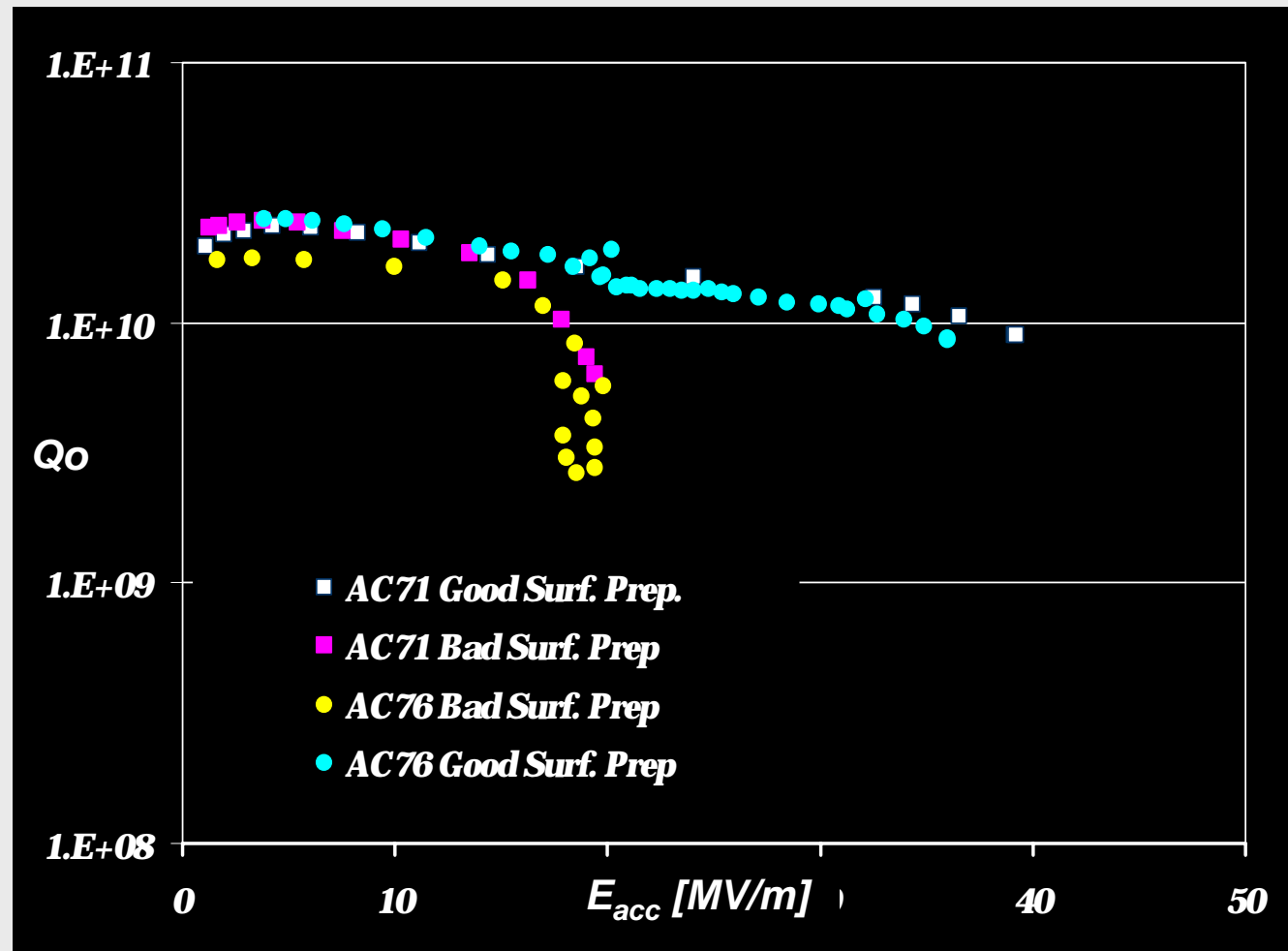


Courtesy of Alan Todd (AES)



## 4. Multi-cell Structures and Weakly Coupled Structures

- Chemical treatment and final preparation become more complicated



The best performance is still difficult to reach. The preparation procedures must be repeated several times. Our ultimate goal of 35 MV/m @  $5 \cdot 10^9$  is still very “expensive”

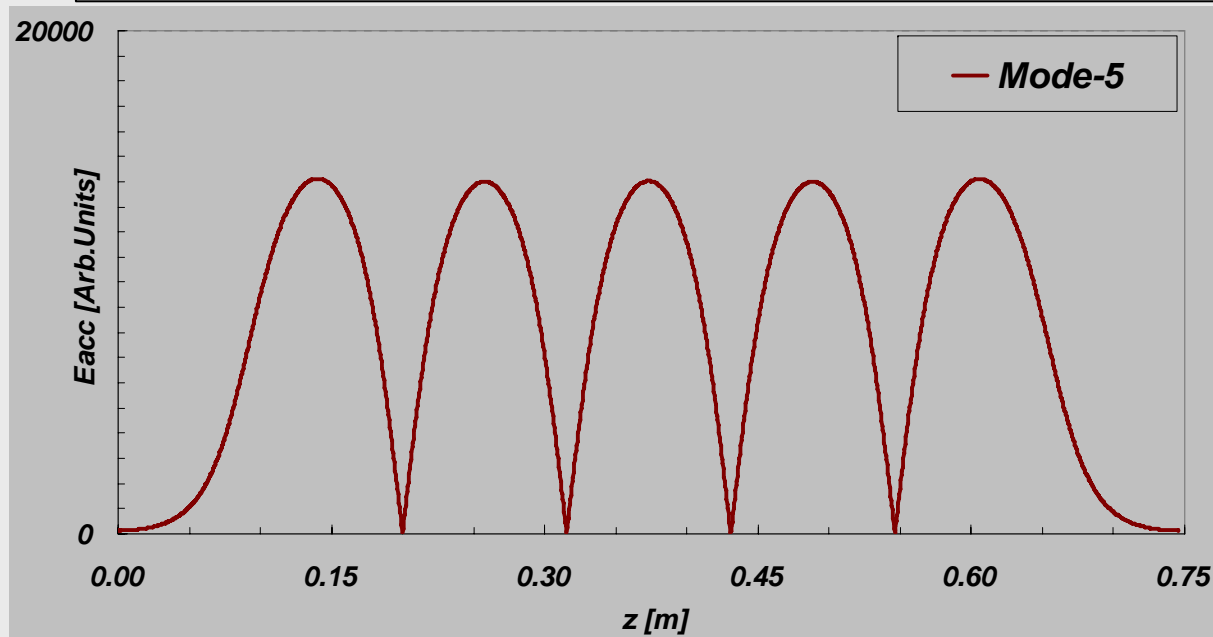
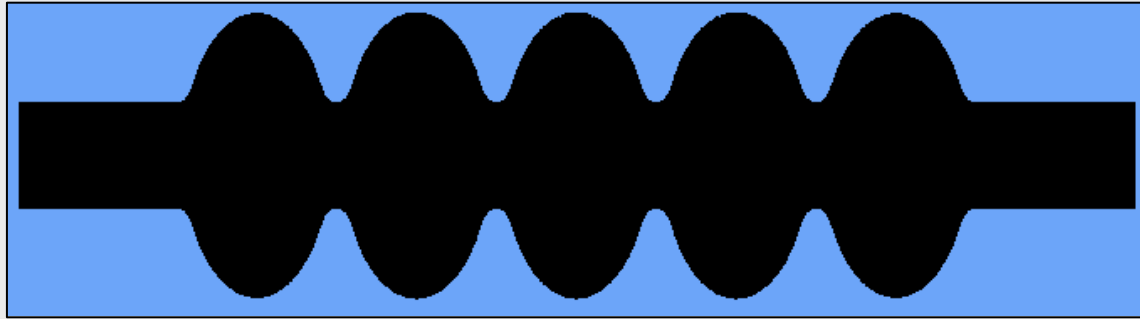




## 4. Multi-cell Structures and Weakly Coupled Structures

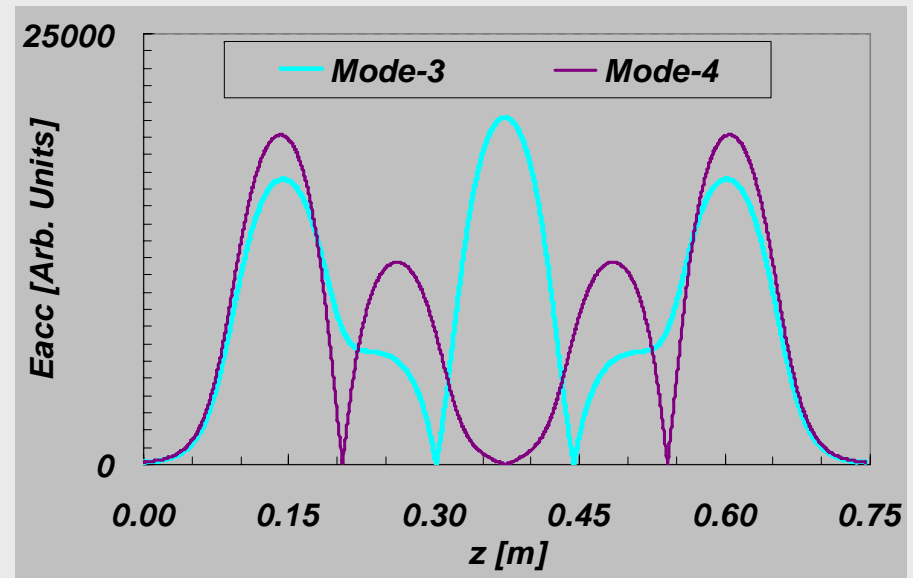
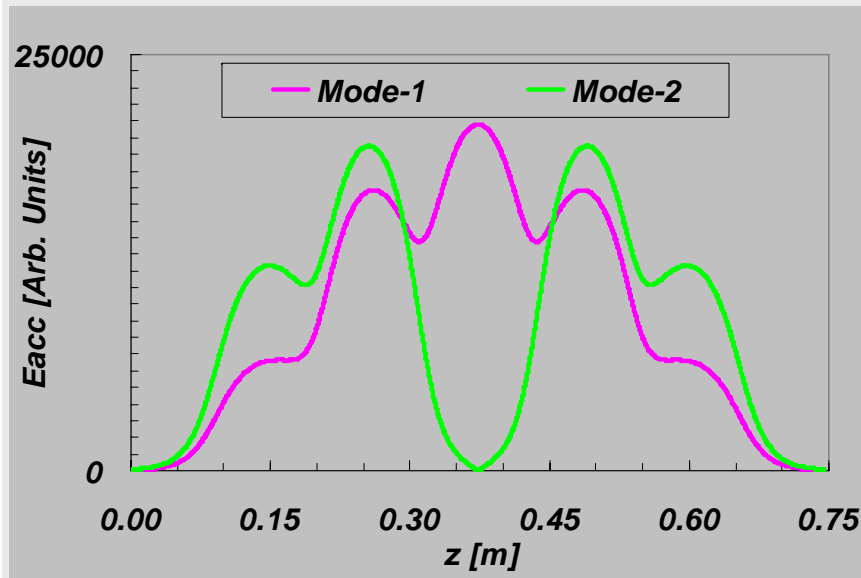
- The worst performing cell limits whole multi-cell structure

Example: 1.3 GHz,  $k_{cc} = 1.85\%$ , 5-cells:



## 4. Multi-cell Structures and Weakly Coupled Structures

Max. achievable  $E_{acc}$  for all FM passband modes allows to find limiting cells. The values  $E_{max1} \dots E_{max5}$  tell us which cell, 3 or pairs (2,4) or (1,5), limits the performance in the accelerating mode (5<sup>th</sup>).



Norm.  $E_i/E_5$  in modes of 5 –cells at the same stored energy

Cell	$\pi/5$	$2\pi/5$	$3\pi/5$	$4\pi/5$	$\pi$
3	$1.48E_5$	0	$1.41E_5$	0	$E_5$
2&4	$1.18E_5$	$1.35E_5$	$0.51E_5$	$0.82E_5$	$E_5$
1&5	$0.52E_5$	$0.88E_5$	$1.18E_5$	$1.35E_5$	$E_5$



## 4. Multi-cell Structures and Weakly Coupled Structures

List of multi-cell cavities  $\beta=1$  optimized for various criteria.

Criterion	Structure	Best parameter	Weakest parameter (point)	Comments
$E_{acc}$	HG: 1.5 GHz, N=7 TESLA: 1.3 GHz, N=9 ILC-LL: 1.3 GHz, N=9 ILC-RE 1.3 GHz, N=9	$E_{peak}/E_{acc}= 1.96$ $E_{peak}/E_{acc}= 1.98$ $B_{peak}/E_{acc}= 3.61$ $B_{peak}/E_{acc}= 3.57$	Real estate -Eacc Real estate -Eacc Real estate-Eacc, $E_{peak}/E_{acc}$ Real estate-Eacc, $E_{peak}/E_{acc}$	Designed for $I_{beam} < 10$ mA, Pulse operation
Real estate $E_{acc}$	2x9 TESLA: 1.3 GHz, N= 18	Real estate-Eacc $E_{peak}/E_{acc}= 2.0$	Field flatness preservation Cleaning	New FPC design for 0.8 MW, Difficult to clean
$P_{loss}$	LL: 1.5 GHz, N= 7	$B_{peak}/E_{acc}= 3.7$ $(R/Q) \cdot G$	Not easy to clean, HOM damping	Designed for $I_{beam} < 1$ mA First LL-type cavity
$Z_{HOM}$	RHIC: 0.7 GHz, N= 5	Very low: $k_{\perp}$ , $k_{\parallel}$ $E_{peak}/E_{acc}= 1.98$	Cryogenic losses	First multi-cell for $I_{beam} \approx 2$ A

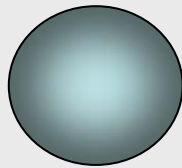
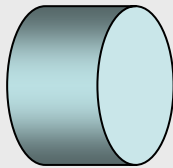


## 5. Tools for RF-design

Usually the design of an elliptical cavity is performed in two steps “2D” and “3D” :

- “2D” is fast and allows to define geometry of a cylindrical symmetric body (inner and end-cells) of the cavity.
- “3D” is much more time consuming but necessary for modeling of full equipped cavity with FPC and HOM couplers and if needed to model fabrication errors. Also coupling strength for FPC and damping of HOMs can be modeled only 3D.

The solution to 2D (or 3D) Helmholtz equation can be analytically found only for very few geometries (pillbox, spherical resonators or rectangular resonator):



We need numerical methods:

$$(\nabla^2 + \omega^2 \epsilon \mu) A = 0$$

Approximating operator  
(Finite Difference Methods)

Approximating function  
(Finite Element Methods)



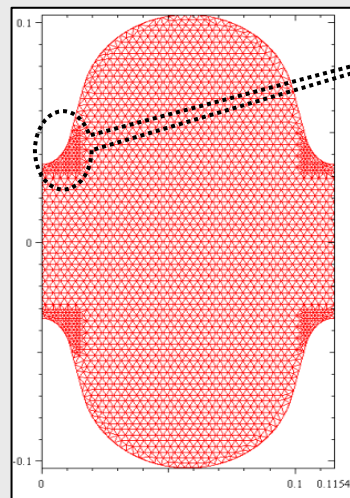
## 5. Tools for RF-design

The FEM is superior in mapping of curvilinear boundary, which is essential for modeling of:

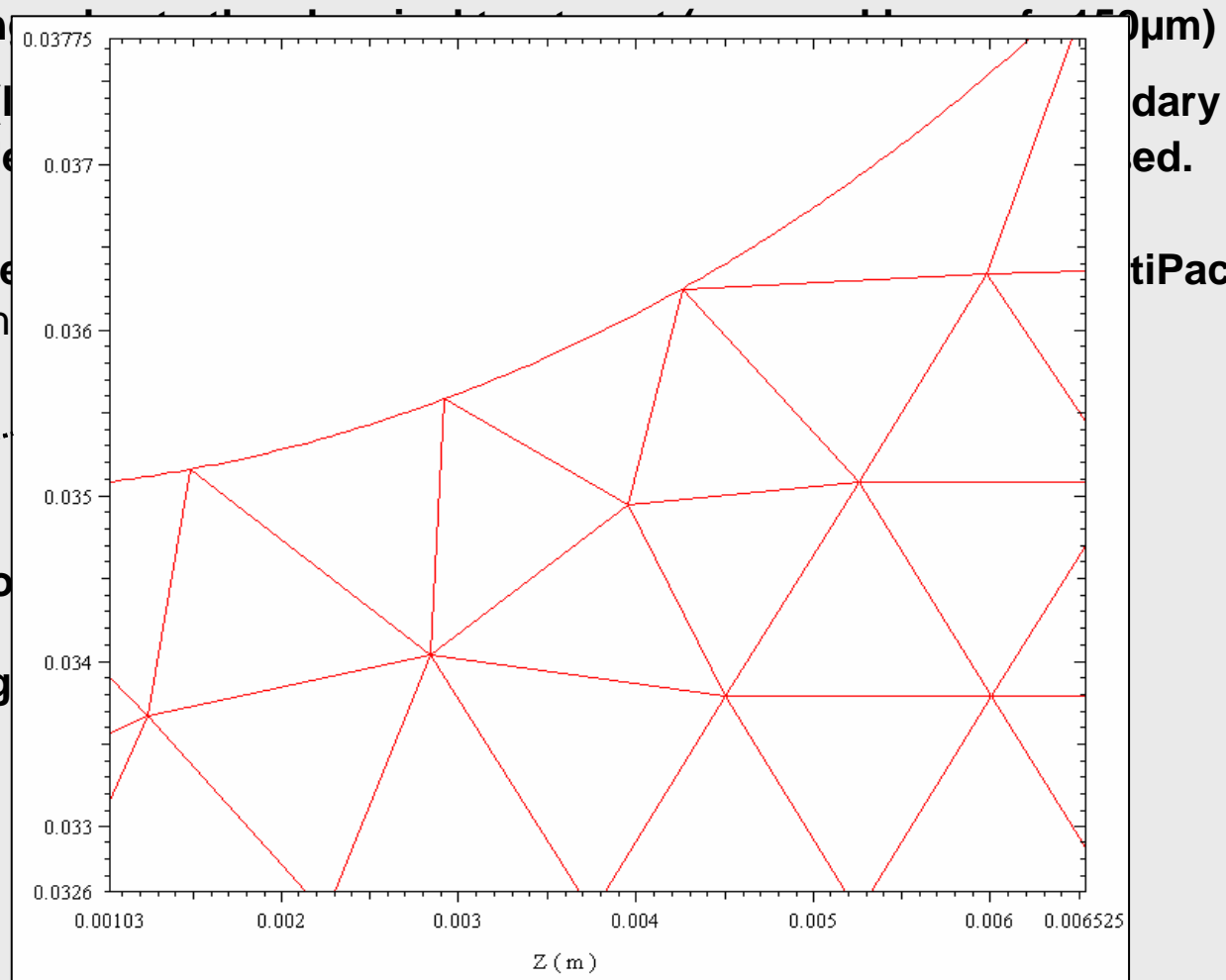
- ◆ Multipacting
- ◆ Electron emission from the metal wall and generation of dark current
- ◆ Frequency change

2D codes like SUPERFISH (1D approximation) or FEM-codes

Example from the FEM code by P. Yla-Oijala and D. Proch

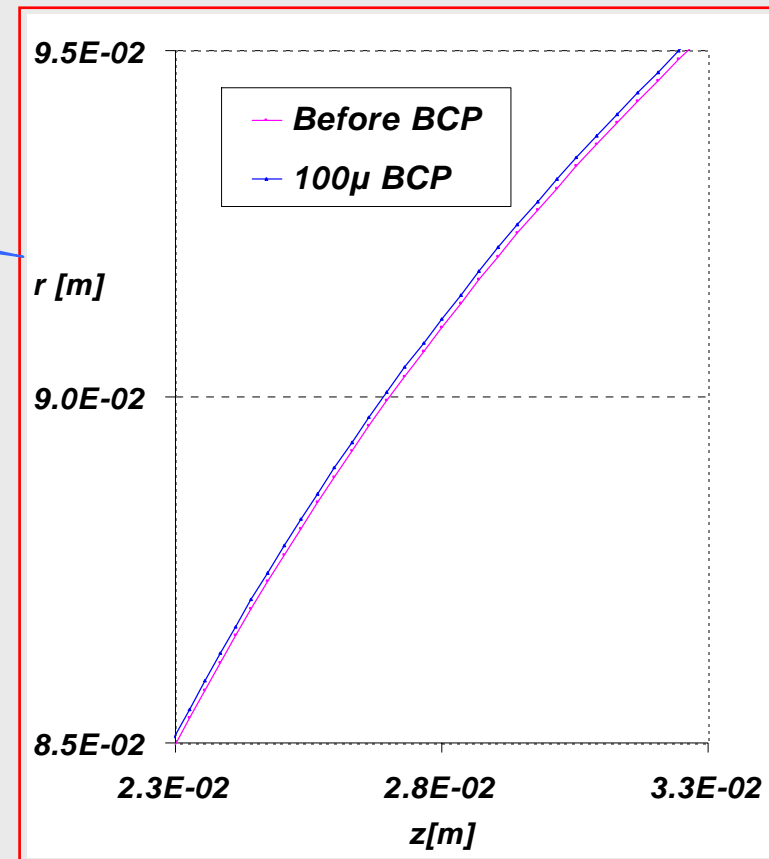
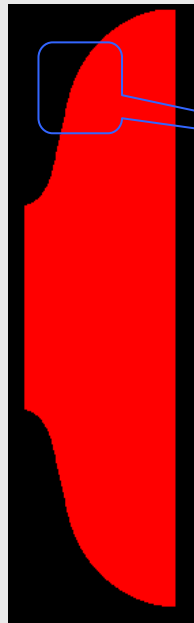


Smooth  
force  
a “zig



## 5. Tools for RF-design

Example: FEM-code modeling of the frequency change due to the chemical treatment (removed layer of 100 $\mu$ m)



Zoomed difference in shape of the TESLA mid cup after 100 $\mu$ m BCP

2D Modeling takes ~2min

$$\frac{\partial f}{100 \text{ } \mu\text{m}} = -10\text{kHz} / \text{ } \mu\text{m}$$

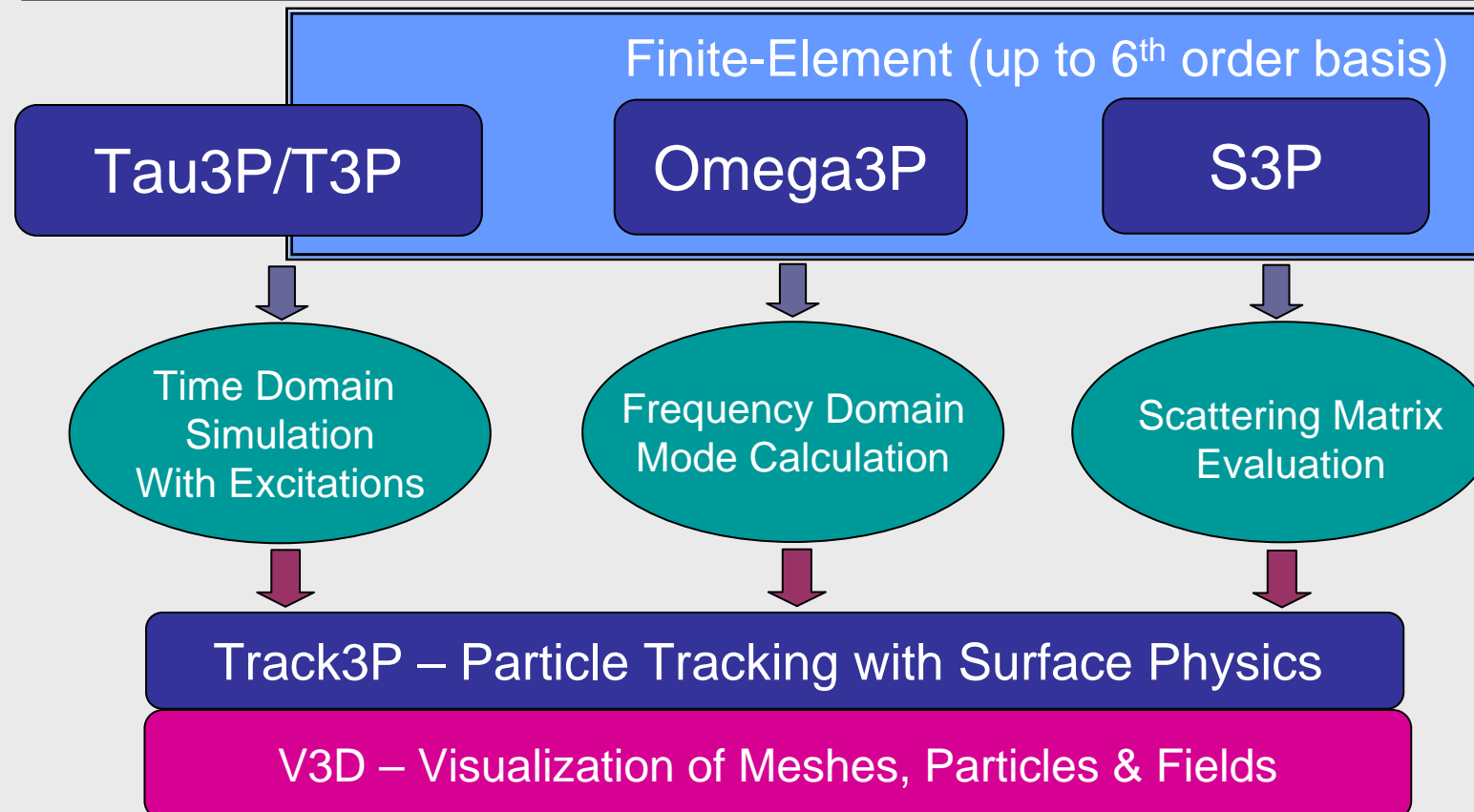
which was measured for the uniform removal



## 5. Tools for RF-design

### Electromagnetic Code Development at SLAC by ACD

Solves Maxwell's equations with particles in time & frequency domains using High Resolution modeling and End-to-end simulation.

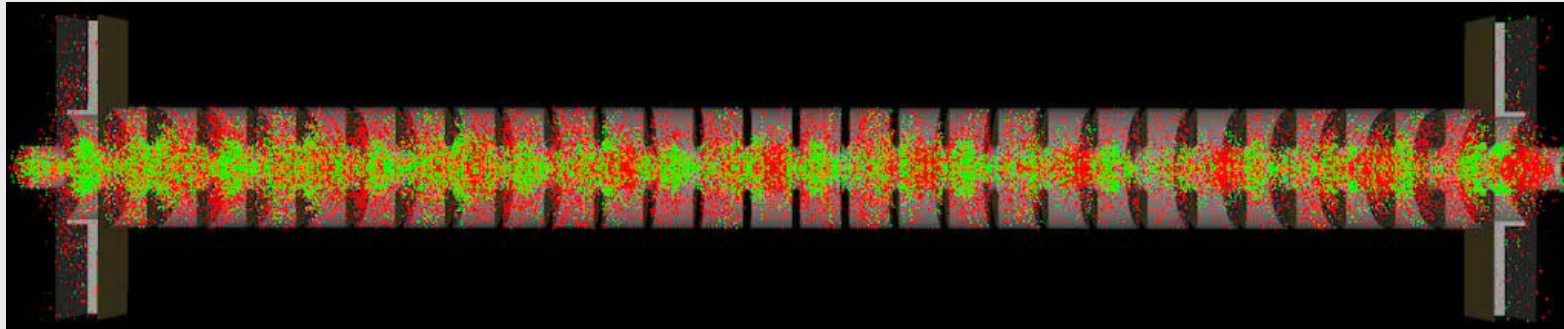


(Courtesy of Kwok Ko and ACD Members)



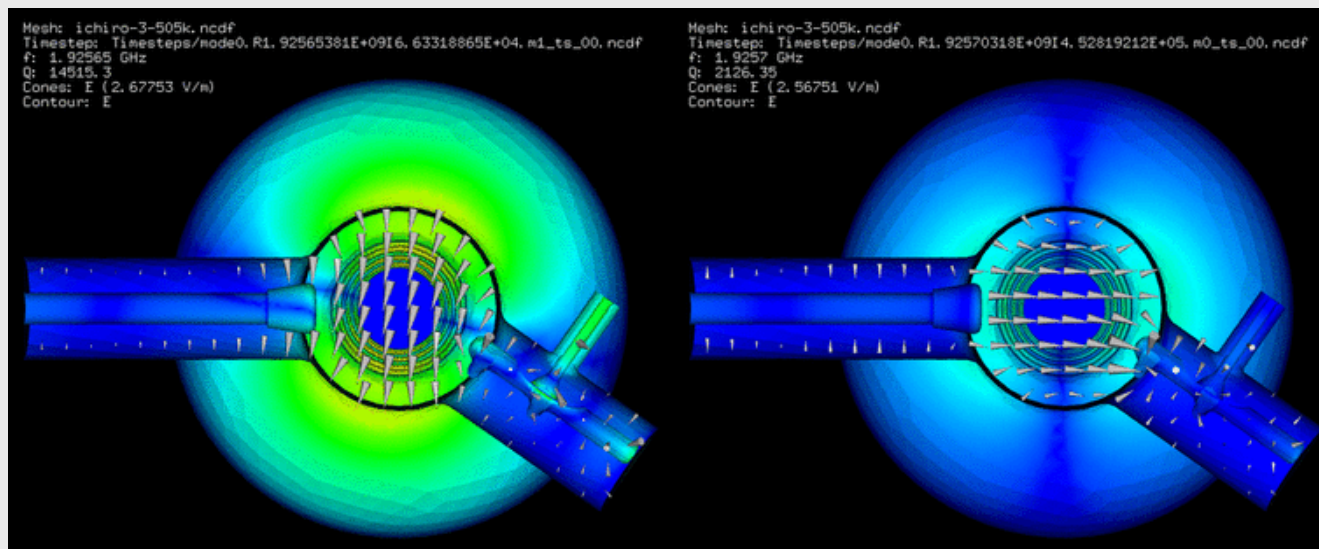
## 5. Tools for RF-design

Example of 3D Dark current simulation in the NLC structure (Track3P)



Red – Primary particles, Green – Secondary particles

Example of 3D two dipoles overlapping modeling in the TESLA cavity with Omega3P



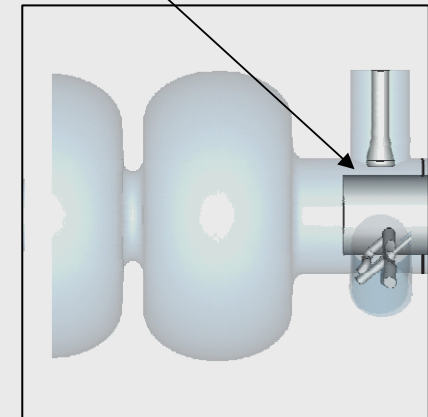
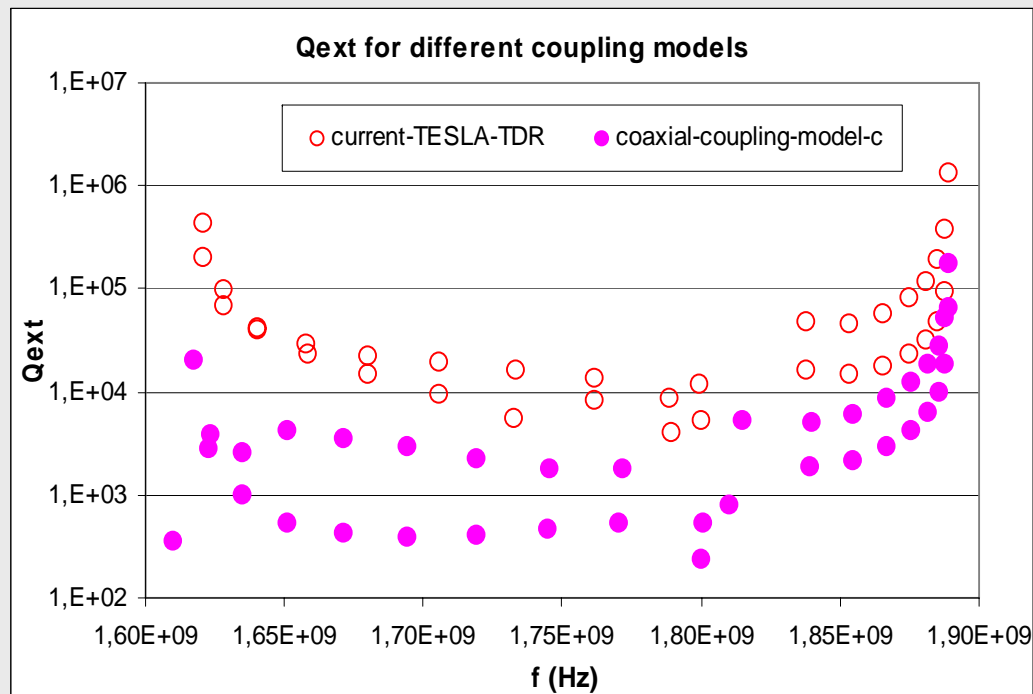
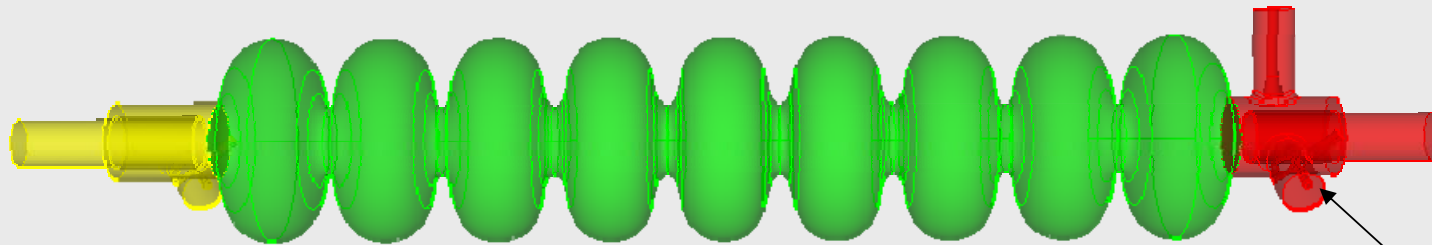
(Courtesy of Kwok Ko and ACD Members)





## 5. Tools for RF-design

Example of 3D dipole damping modeling for the TESLA cavity with the coaxial beam tube coupling (Omega3P, L. Xiao, ACD SLAC)

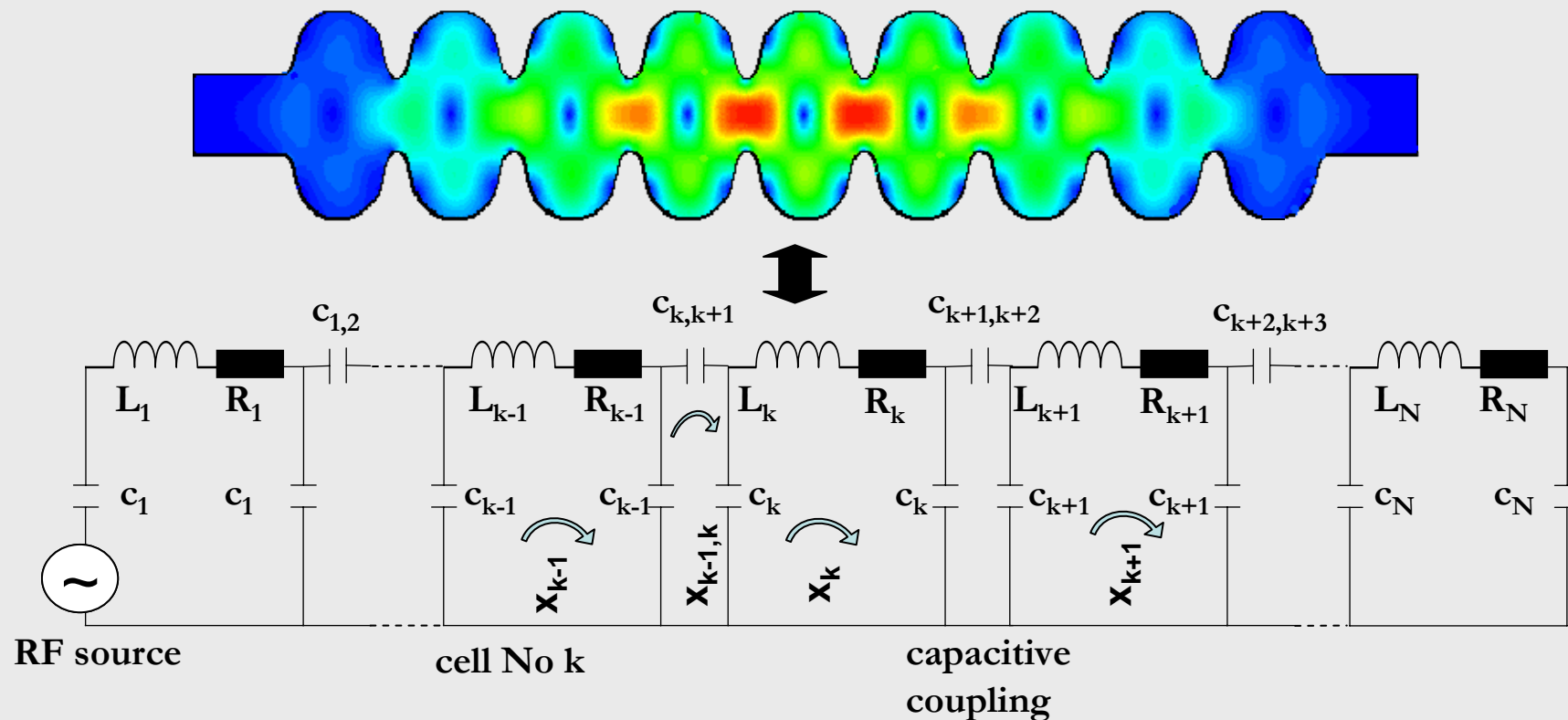


4<sup>th</sup> order multipacting was found in the coupler at 26 MV/m, not necessary dangerous one



## 6. LEC and Transient State

In the RF design process we use 2D-codes (SUPERFISH, SLANS, FEM..) and 3D-codes (Microwave Studio, HFSS, MAFIA and OMEGA-3P) but still the Lumped Element replacement Circuit can be helpful to investigate some RF properties.



$$\text{Where: } 2\pi f_{FM} = (L_k \cdot c_k)^{-0.5}; (R/Q)_{FM} = (L_k/c_k)^{0.5}; R = (R/Q)_{FM} \cdot Q_{L,FM};$$



## 6. LEC and Transient State

What makes sense to be done by means of the LEC:

- Cavity tuning after the fabrication and main chemical treatment
- Investigation of the field profiles sensitivity to cell frequency errors ( $\partial f/f < 10^{-4}$ ) for the FM passband
- Investigation of the FM passband frequency sensitivity to cell frequency errors ( $\partial f/f < 10^{-4}$ )
- Modeling of the transient state (mode beating)
- Modeling of the voltage stability during acceleration

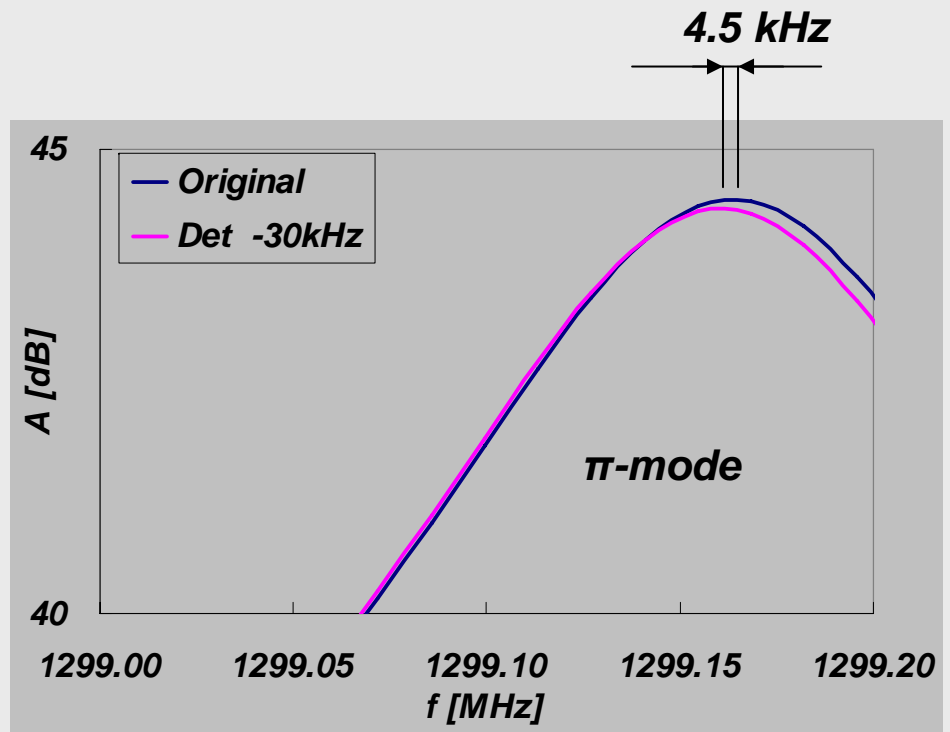
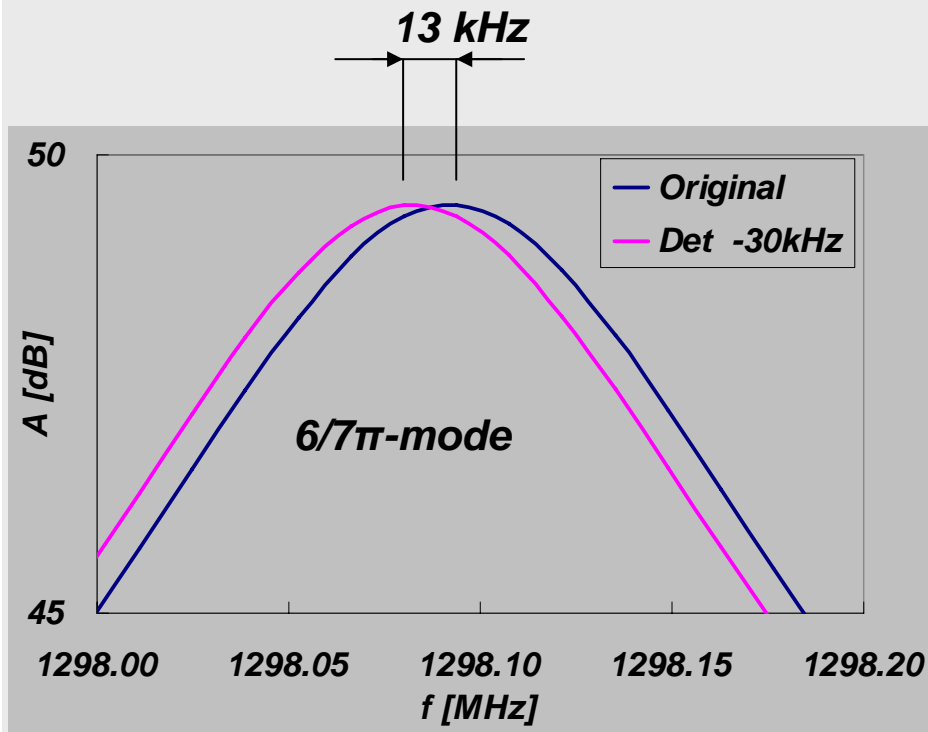
**\*for blue marked implementations examples are shown on next slides**



## 6. LEC and Transient State

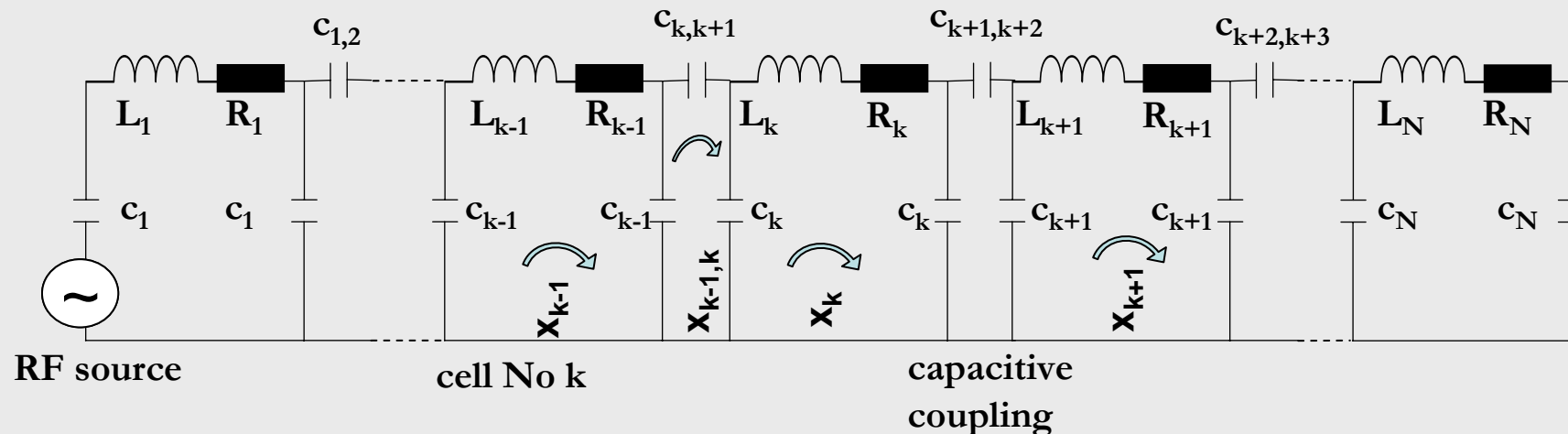
- Investigation of the FM passband frequencies sensitivity to cell frequency errors ( $\partial f/f < 10^{-4}$ )

Example: 7-cells,  $k_{cc}=1.85\%$ , 1st cell detuned by  $-30\text{kHz}$  (= the cell length change  $-11\text{ }\mu\text{m}$  !!, hard to model for 2D and 3D codes)



## 6. LEC and Transient State

### Transient State: Mode beating in pulse operation



Solving the set of Kirchoff equations:

$$R_1 \cdot x_1(t) + L_1 \cdot \dot{x}_1(t) + \frac{1}{c_1} \cdot \int_0^t x_1(\tau) d\tau - \frac{1}{c_1} \cdot \int_0^t x_{1,2}(\tau) d\tau = U_{-1}(t) \cdot e(t)$$

.....

$$-\frac{1}{c_k} \cdot \int_0^t x_{k-1,k}(\tau) d\tau + R_k \cdot x_k(t) + L_k \cdot \dot{x}_k(t) + \frac{1}{c_k} \cdot \int_0^t x_k(\tau) d\tau - \frac{1}{c_k} \cdot \int_0^t x_{k,k+1}(\tau) d\tau = 0$$

.....

$$-\frac{1}{c_N} \cdot \int_0^t x_{N-1,N}(\tau) d\tau + R_N \cdot x_N(t) + L_N \cdot \dot{x}_N(t) + \frac{1}{c_N} \cdot \int_0^t x_N(\tau) d\tau = 0$$

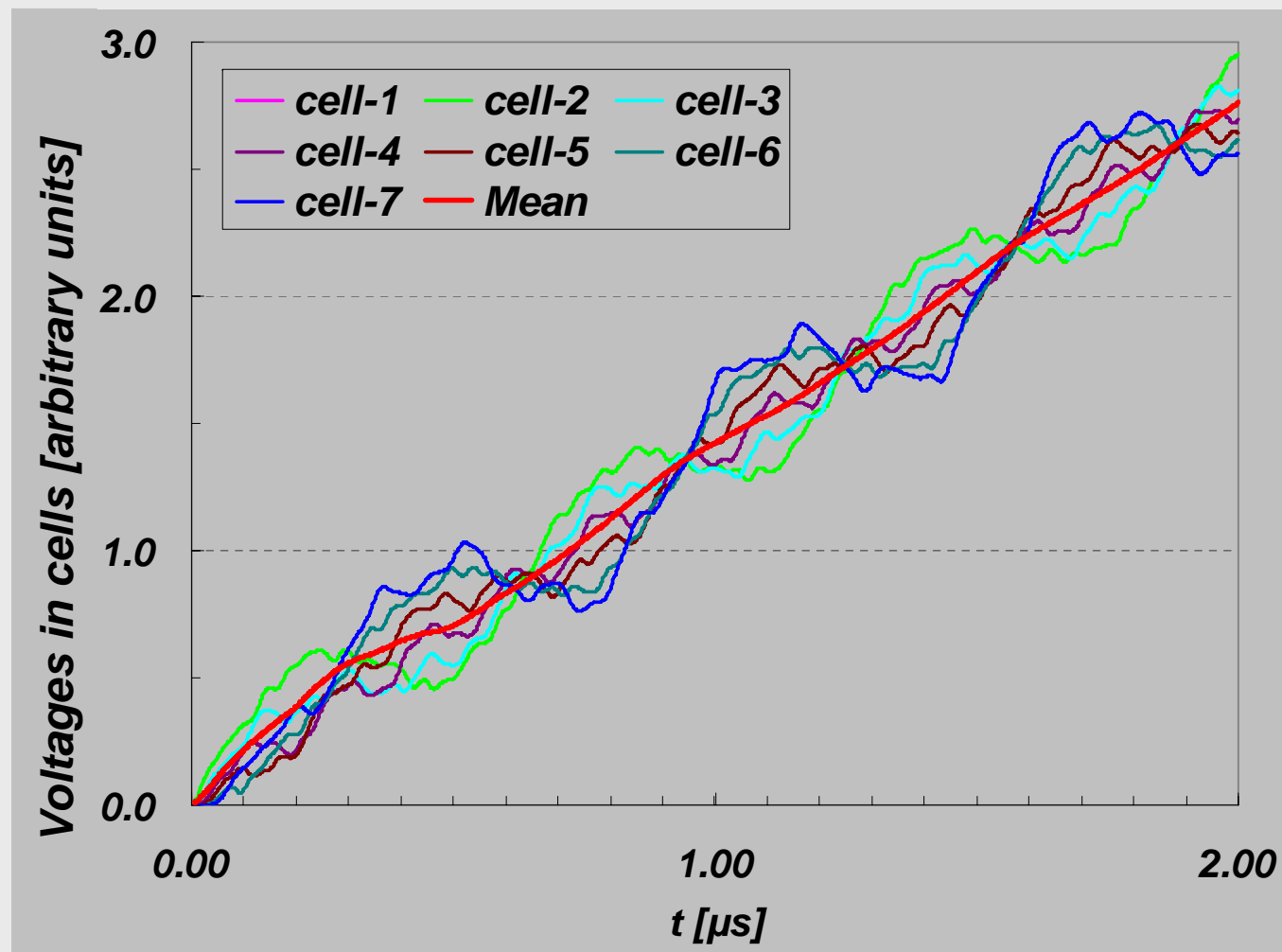
one can find voltages right after the RF-source is switched on and during the acceleration



## 6. LEC and Transient State

### ► Modeling of the transient state (mode beating)

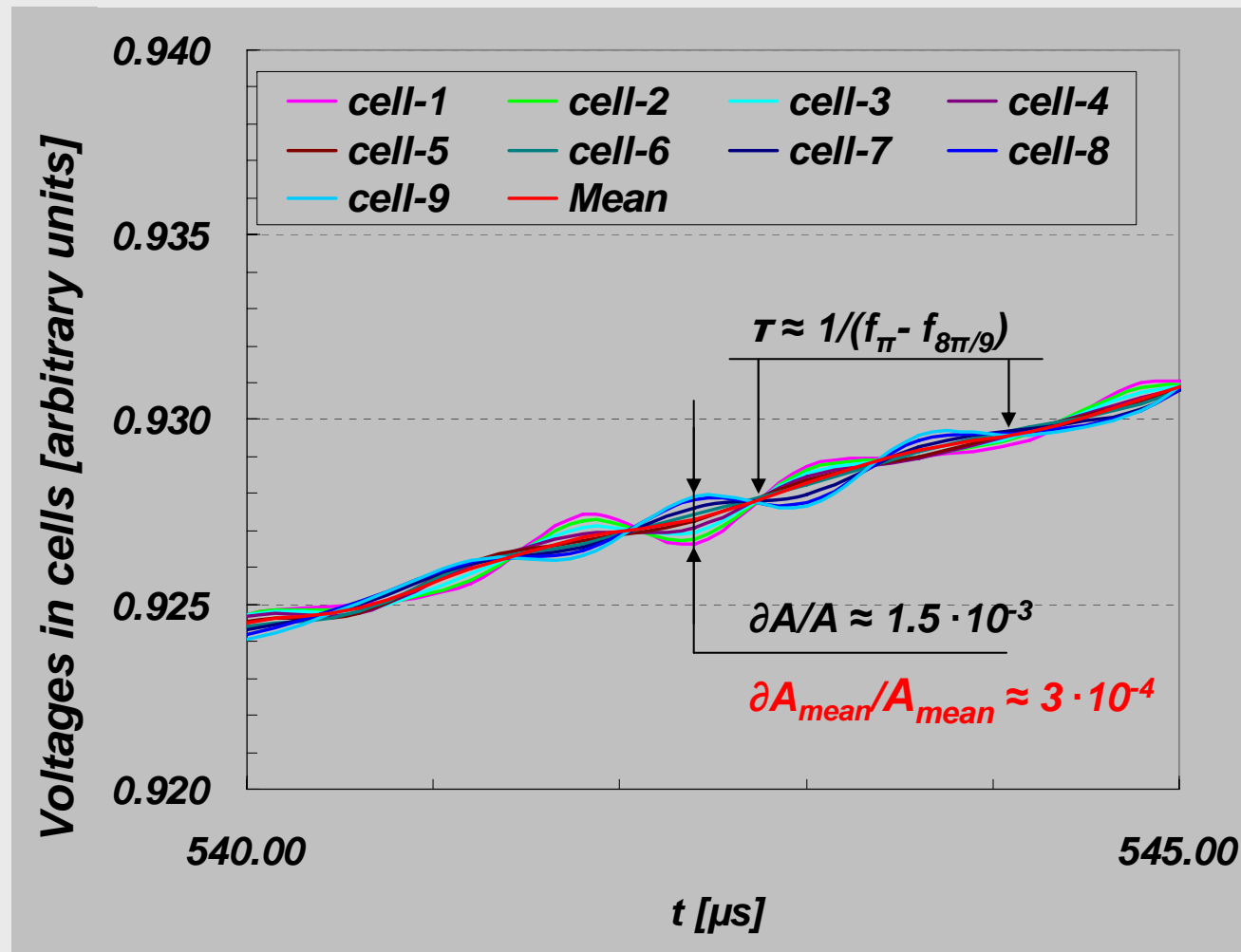
Example: 7-cells,  $k_{cc}=1.85\%$ ,  $Q_L=3.4 \cdot 10^6$



## 6. LEC and Transient State

### ► Modeling of the transient state (mode beating at the beam arrival time)

Example: 9-cell TESLA structure,  $k_{cc}=1.85\%$ ,  $Q_L=3.8 \cdot 10^6$



## 7. Performance test

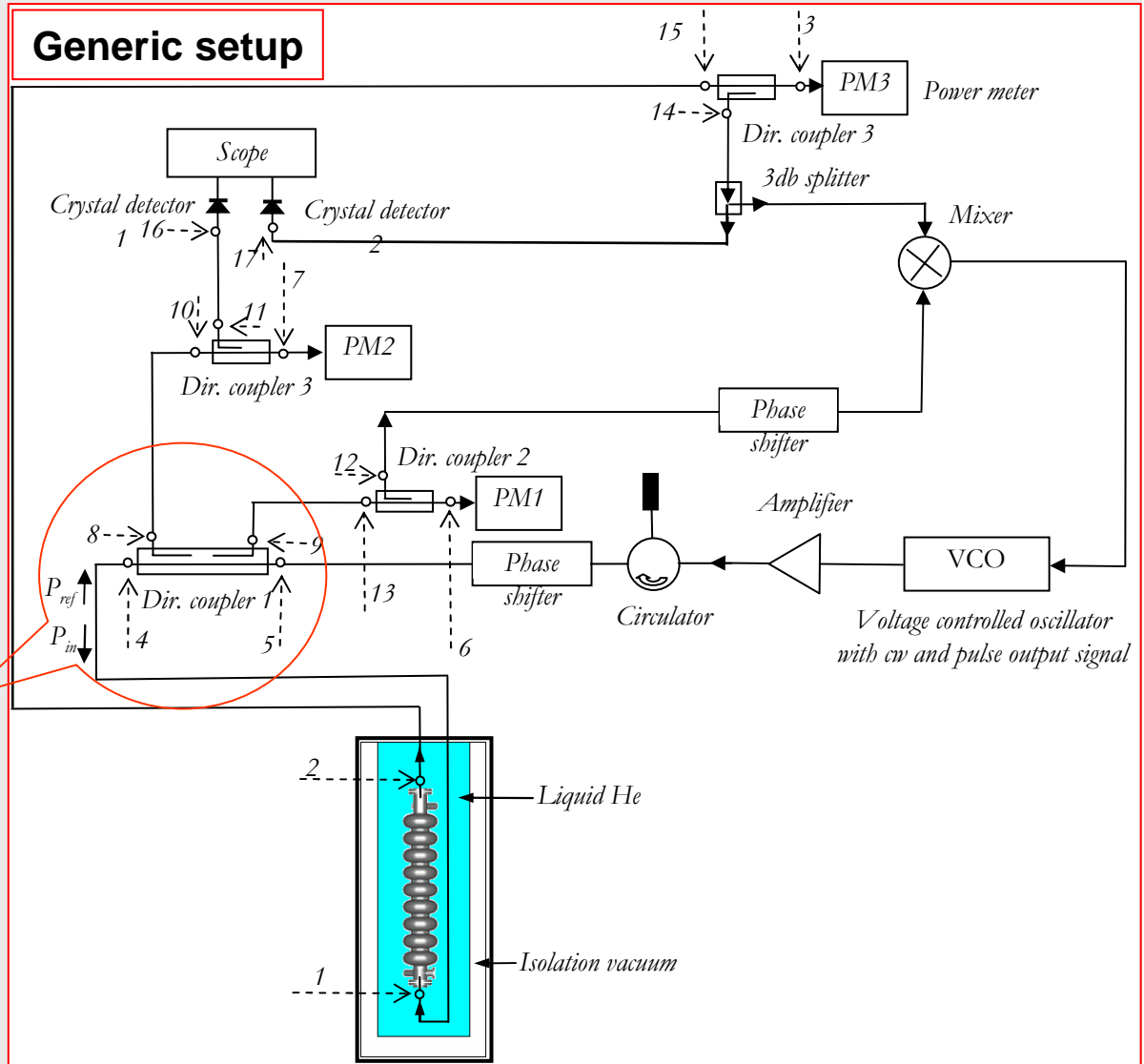
“Vertical test” at  $T < T_c$  (usually  $\leq 2K$ ):

The goal is:  $Q_0$  vs.  $E_{acc}$  ( $E_{peak}$ )

Two remarks:

- Half width of the resonance is  $< 1Hz$ , VCO follows the frequency of the tested cavity
- The main error is due to the limited directivity of the directional coupler ( $\sim 30dB$ )

### Generic setup

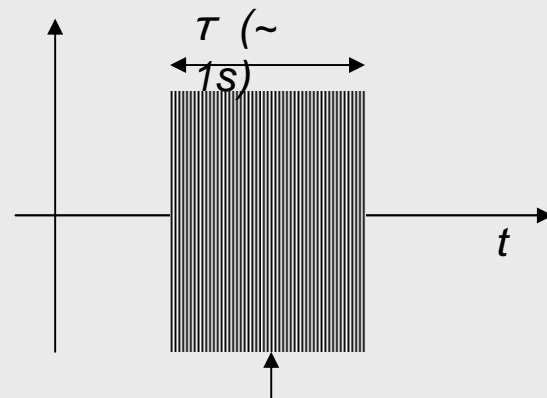




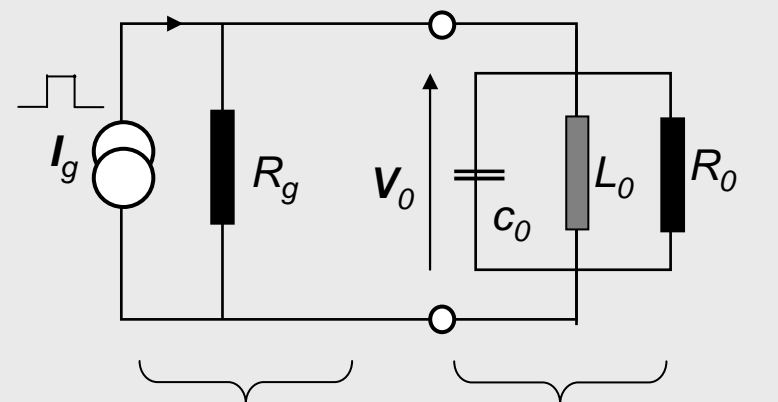
## 7. Performance test

At first, in these tests one measures the coupling strength  $\beta_L$  and  $\beta_{out}$  of the input and output antennae.

**Step 1. Response (shape of the reflected wave amplitude) of the cavity to the rectangular RF-pulse**



$\sim 10^9$  RF oscillations at the resonant frequency



Replacement LEC  
for the RF-source

Replacement LEC  
for the tested  
cavity



## 7. Performance test

$$f1(t) = \frac{1-\beta_L}{1+\beta_L} - \frac{2\beta_L}{1+\beta_L} e^{-\frac{\omega_0 t}{2Q_L}} S(t) \quad \text{for } t \in \langle 0, \tau_p^- \rangle$$

$$f2(t) = f1(t) + f1(t-\tau_p) S(t-\tau_p) \quad \text{for } t \in \langle \tau_p, \infty \rangle$$

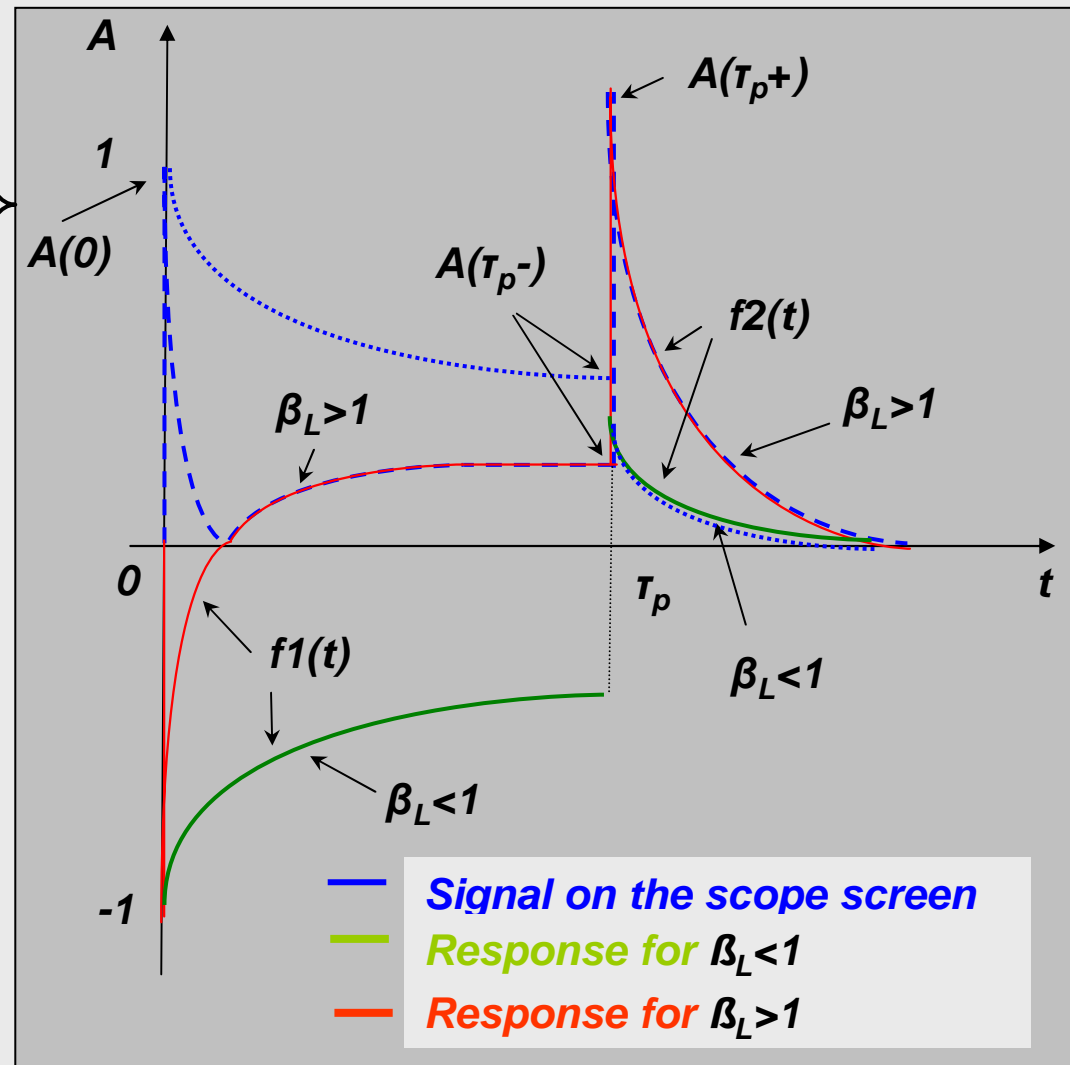
where  $S(t)$  is the step function.

$\beta_L$  can be computed with there formulas:

$$\beta_L = \frac{A(0) - A(\tau_p^-)}{A(0) + A(\tau_p^-)}$$

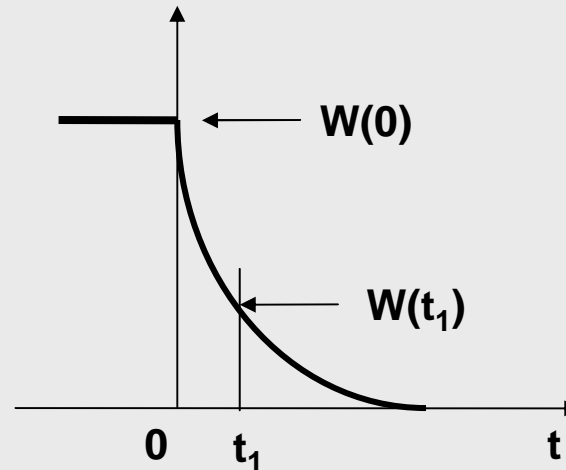
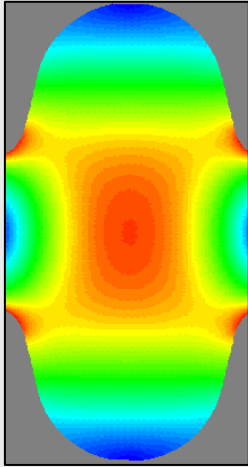
$$\beta_L = \frac{A(\tau_p^+)}{2A(0) - A(\tau_p^+)}$$

$$\beta_L = \frac{A(\tau_p^+)}{2A(\tau_p^-) + A(\tau_p^+)}$$



## 7. Performance test

Step 2. Energy decay right after the RF-pulse is switched off



$$W(t) = W(\tau_p +) e^{-\frac{\omega_0(t - \tau_p +)}{Q_L}}$$

and measuring the input and transmitted power ( $P_{in}$  and  $P_{tran}$ ), one obtains:

$$Q_0 = Q_L (1 + \beta_L) \left( 1 + \frac{P_{tran}}{P_{in} - P_{tran}} \right)$$

$$Q_{out} = Q_0 \frac{P_{in} - P_{tran}}{P_{tran}}$$

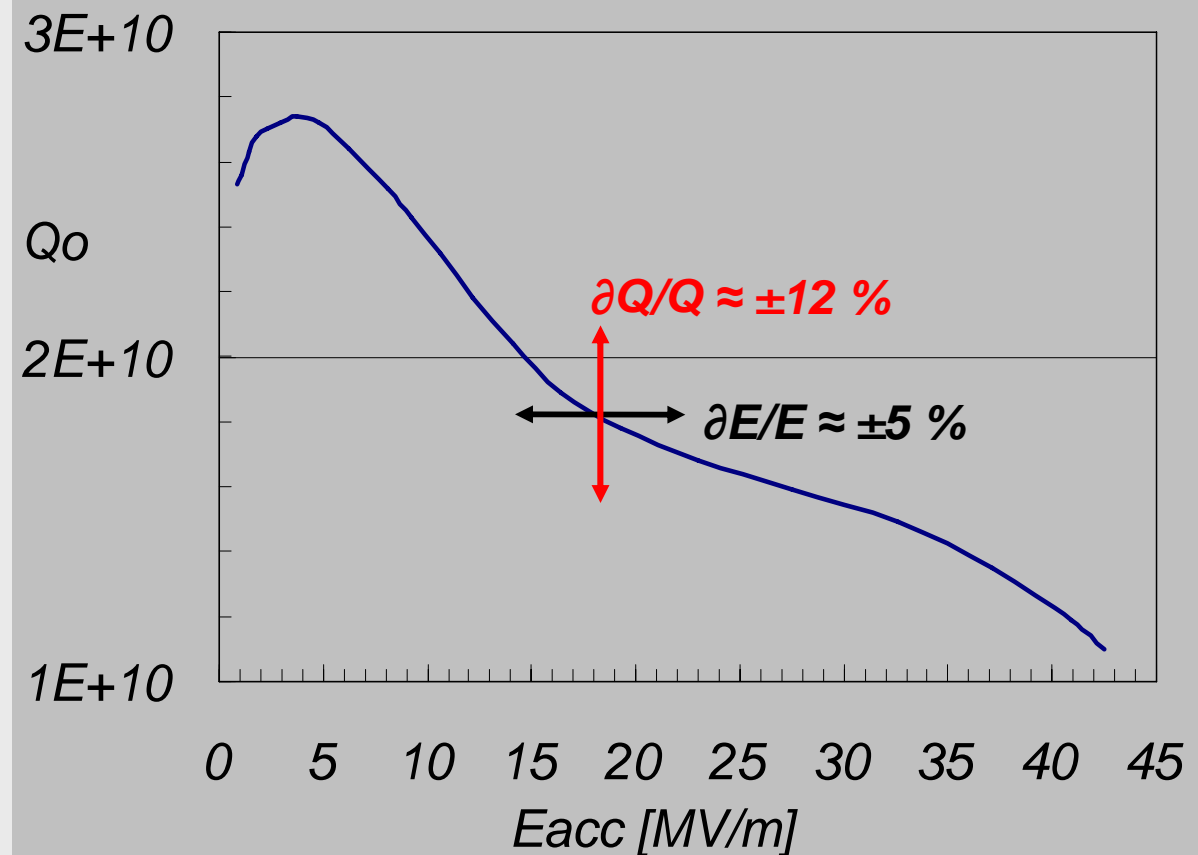


## 7. Performance test

The directivity of the directional coupler in the input line is  $\sim 30$  dB (commercially available best double directional couplers).

The measurement of  $\beta_L$  has error due to the interference of the forwards and reflected wave.

With no other errors, the directivity makes following uncertainties in the measured data.

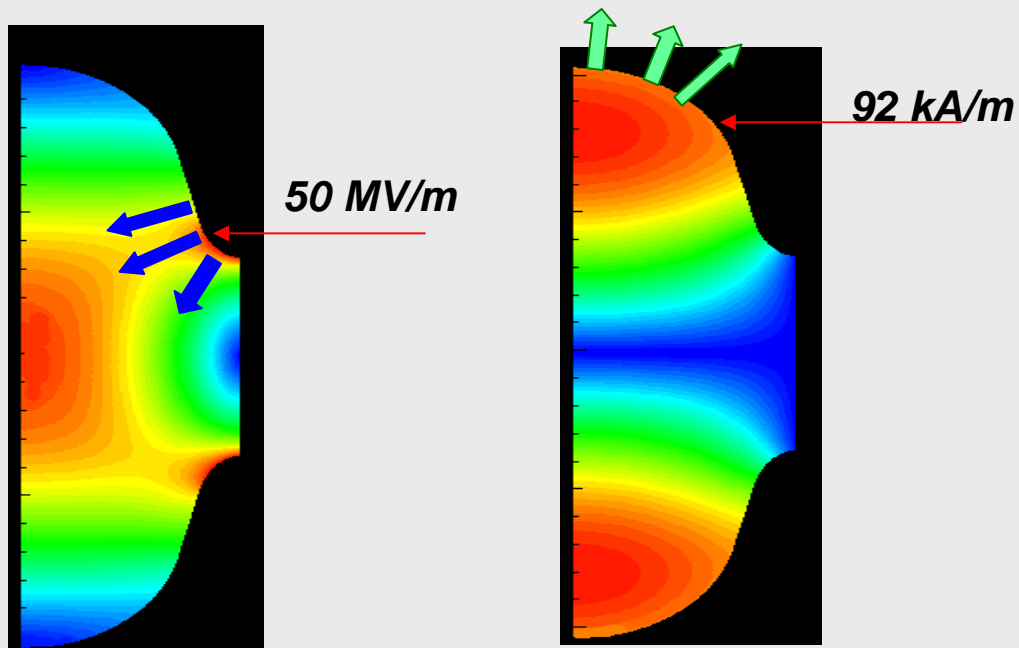


## 8. Mechanical Design

The mechanical design of a cavity follows its RF design:

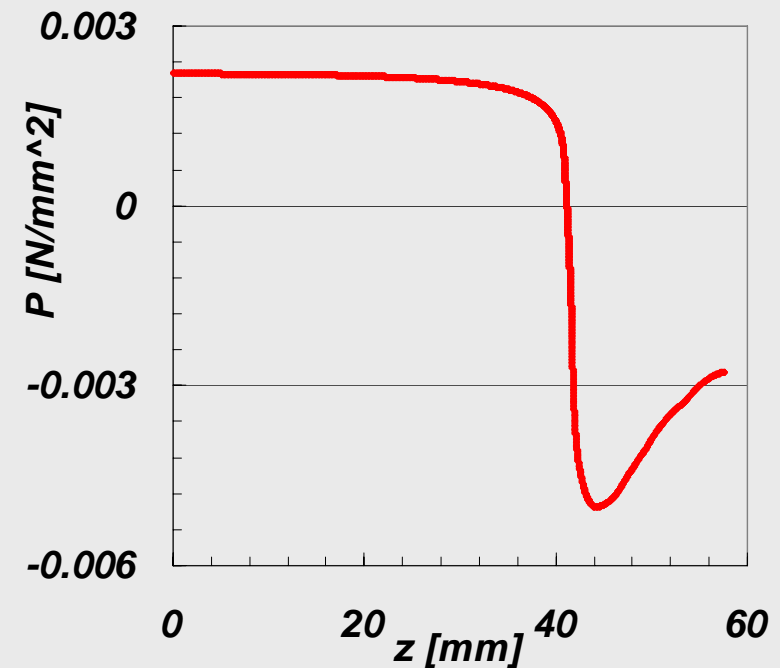
- Lorentz Force Detuning
- Mechanical Resonances

Lorentz Force Detuning

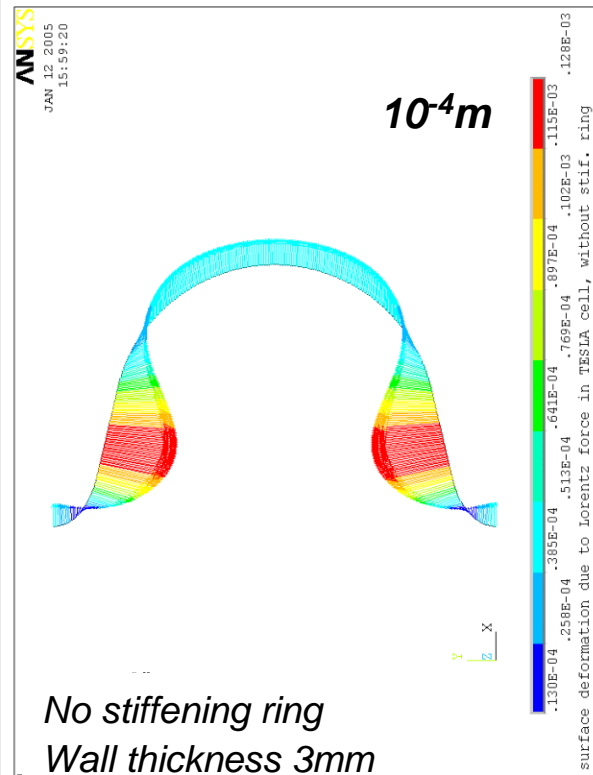
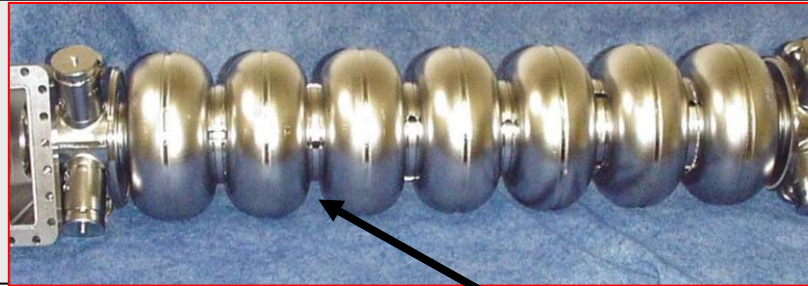


*E and H at  $E_{acc} = 25$  MV/m in TESLA inner-cup*

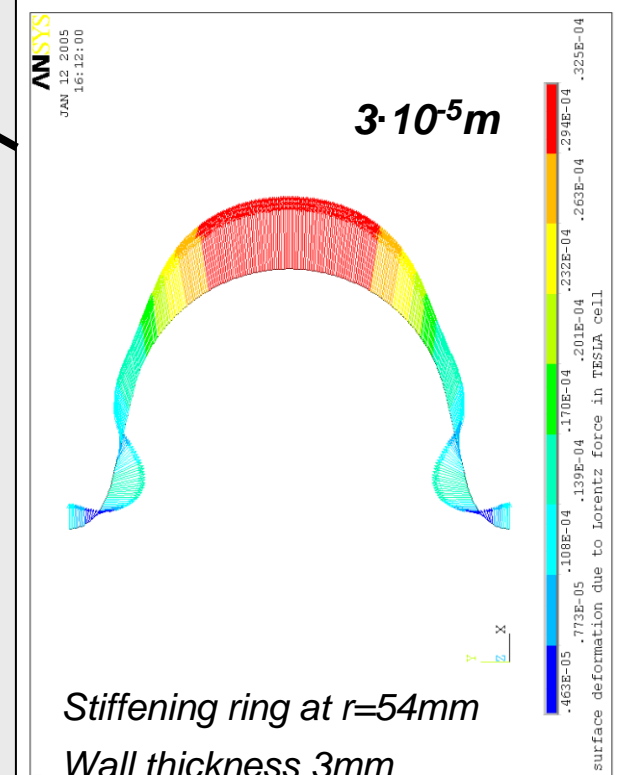
$$P = \frac{\mu_0 H_s^2 - \epsilon_0 E_s^2}{4}$$



## 8. Mechanical Design



Surface deformation without  
and with stiffening ring  
(courtesy of I. Bonin, FERMI)



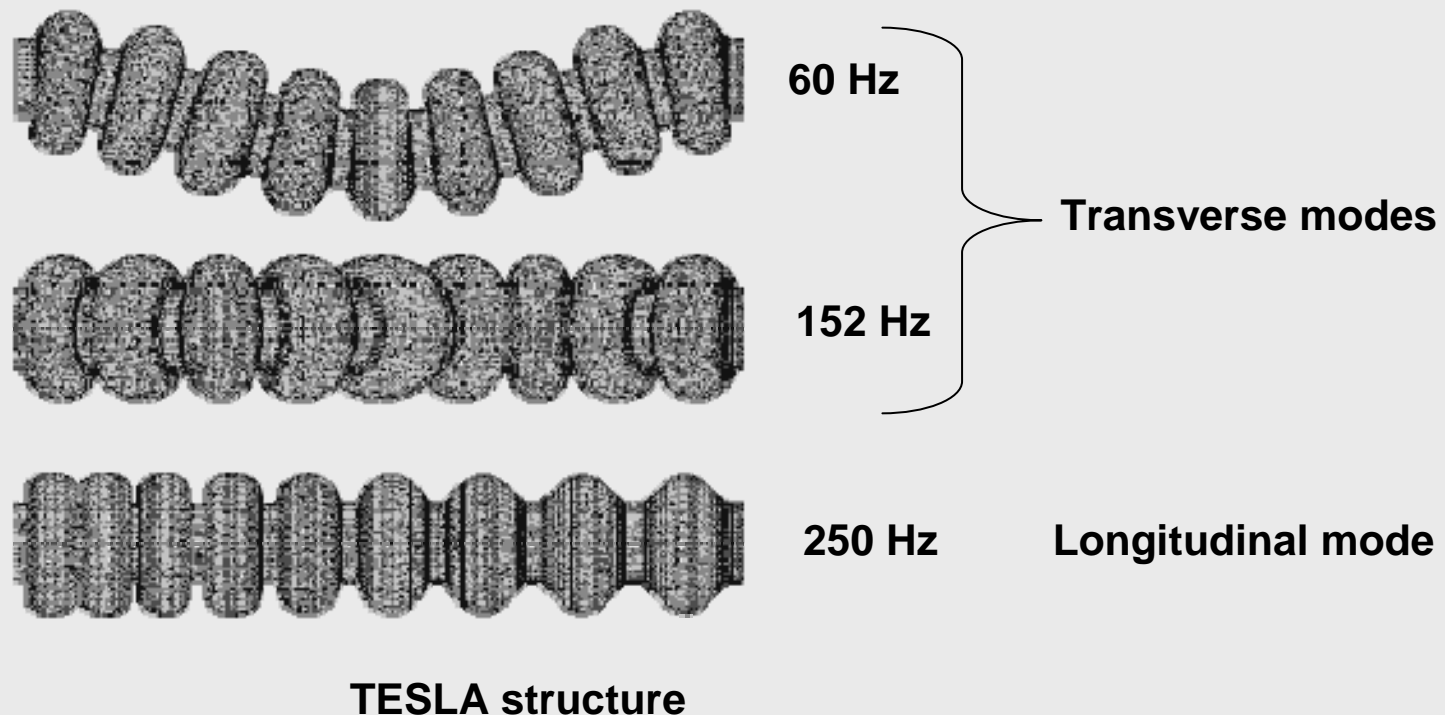
Essential for the operation of a pulsed accelerator  $\Delta f = k_L (E_{acc})^2$

$$k_L = -1 \text{ Hz}/(\text{MV}/\text{m})^2$$



## 8. Mechanical Design

### Mechanical Resonances of a multi-cell cavity



The mechanical resonances modulate frequency of the accelerating mode.  
Sources of their excitation: vacuum pumps, ground vibrations...



## 9. Final Remarks

- Both RF- and Mechanical design are well understood
- We have day by day better tools for designing of accelerating cavities
- There is not a “golden” cavity suitable for all applications
- Not all requirements can be fulfilled at once and cavities must be tailored to their applications.

### References:

1. H. Padamsee, J. Knobloch, T. Hays, “RF-Superconductivity for Accelerators”, Wiley Series in Beam Physics and Accelerator Technology, 1998.
2. Proceedings of all SRF Workshops
3. TESLA TDR, DESY-Report 2003.
4. J.S. , “TESLA Superconducting Accelerating Structures” Institute of Physics, Journal of Measurement Science and Technology, 18 (2007) 2285-2292.

