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EFFECT OF RANDOM FLUCTUATIONS ON SYNCHROTRON PHASE MOTION

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### Summary

Previous treatment of this subject has been given by Hereward and Johnsen.1 In this note a unified presentation is made of the growth of longitudinal phase area occupied by the beam due to random fluctuations of the magnetic field, radio frequency, and cavity voltage. The description is characterized by a linear treatment of the synchrotron motion, introduction of an envelope function similar to that used in betatron motion, and the use of Nyquist's theorem to obtain power spectra. Application is made to the NAL booster.

# Linearized Unperturbed Phase Motion

If one uses canonically conjugate variables  $(P,\eta)$  to express the longitudinal motion of a particle relative to the synchronous or reference particle, one has in the linear approximation 22

$$\dot{\mathbf{P}} = -\frac{\mathbf{V}}{2\pi\hbar} \cos\phi_{\mathbf{R}} \eta \qquad \dot{\eta} = \frac{\hbar^2 \omega_{\mathbf{R}}^2 \kappa_{\mathbf{R}}}{E_{\mathbf{R}}} \mathbf{P}.$$
(1)

The peak voltage per turn is V,  $\phi_R$  is the reference phase, h the harmonic number,  $\omega_R$  the angular frequency of the reference particle,  $E_R$  the total energy of the reference particle, and

$$\kappa_{\rm R} = \frac{\gamma_{\rm T}^2 - \gamma_{\rm R}^2}{\gamma_{\rm T}^2 \left(\gamma_{\rm R}^2 - 1\right)} \quad . \tag{2}$$

Here  $\gamma_R$  is the kinematical gamma of the reference particle and  $\gamma_T$  is the transition gamma.

In the following it is useful to change the independent variable from time to s using  $^{2}$ 

$$s = \int_{0}^{t} \frac{h^2 \omega_R^2 \kappa_R}{E_R} dt. \quad [(eV-sec)^{-1}] \quad (3)$$

The motional equations become

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$$\mathbf{P}' = -\mathbf{K}\mathbf{n} \qquad \mathbf{n}' = \mathbf{P}, \qquad (4)$$

where

$$K = \frac{E_R V}{2\pi h^3 \omega_R^2 \kappa_R} \cos \phi_R. \quad [(eV-sec)^2]$$
(5)

and prime indicates differentiation with respect to s. In this form one sees by analogy with betatron motion that the solution of

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Eq. (4) may be put in the form

$$\eta = \sqrt{2\beta W} \sin \left( \int_{0}^{s} \frac{ds}{\beta} + \gamma \right)$$
 (6)

where  $\beta$  is the amplitude function satisfying

 $\frac{1}{2} \beta \beta'' - \frac{1}{4} \beta'^2 + \beta^2 K = 1.$ 

Since the constants of the motion  $(W,\gamma)$  are canonically conjugate one has for the phase area

$$E = \iint dPd\eta = \iint dWd\gamma = 2\pi W, \qquad (8)$$

where the last equality is true if W is interpreted as the boundary curve of a group of particles

$$W = \frac{1}{2} \beta P^2 + \alpha P \eta + \frac{1}{2} \gamma \eta^2.$$
(9)

Here α =

$$= -\frac{1}{2} \beta' \qquad \gamma = \frac{1}{\beta} (1+\alpha^2).$$
 (10)

#### Perturbed Phase Motion

If the reference motion is perturbed such that  $V \rightarrow V + \Delta V$ ,  $\omega_{R} + \omega_{R} + \Delta \omega_{R}$ , and  $\omega_{RF} + \omega_{RF} + \Delta \omega_{RF}$  where  $\omega_{RF}$  is the angular frequency of the rf, then Eq. (4) becomes<sup>3</sup>

$$J' = -K\eta + F$$
  $\eta' = J + G$ , (11)

where

$$F = \frac{E_R \Delta V \delta \lambda n \phi_R}{2\pi h^3 \omega_R^2 \kappa_R}$$

$$G = \frac{E_R}{h^2 \omega_R^2 \kappa_R} \left( \frac{h \omega_R}{\gamma_T^2} \frac{\Delta B}{B} + \Delta \omega_{RF} \right) .$$
(12)

It will be noted that  $\Delta \omega_R$  has been expressed in terms of  $\Delta B$  the perturbation in the magnetic field. The units are (eV-sec)<sup>2</sup> for F and eV-sec for G.

Our only concern will be with the change in the invariant W due to the perturbations. Thus using the solution of Eq. (11) substituted into Eq. (9) one has

$$W = \frac{1}{2} (X_1 + H_1)^2 + \frac{1}{2} (X_2 + H_2)^2, \qquad (13)$$

where

$$\begin{aligned} x_1 &= n_1(0) P(0) - P_1(0) n(0) \\ x_2 &= n_2(0) P(0) - P_2(0) n(0), \end{aligned} \tag{14}$$

and

$$H_{1} = \int_{0}^{s} \eta_{1} F ds - \int_{0}^{s} P_{1} G ds$$

$$H_{2} = \int_{0}^{s} \eta_{2} F ds - \int_{0}^{s} P_{2} G ds.$$
(15)

Here  $(P_1,\eta_1)$  and  $(P_2,\eta_2)$  are two independent solutions of Eq. (4) such that  $\eta_2P_1 - \eta_1P_2 = 1$ .

In terms of these independent solutions the general solution of Eq. (7) that represents the motion of a group of particles matched to the small amplitude bucket shape is

$$\beta = \eta_1^2 + \eta_2^2.$$
 (16)

From Eq. (10) one then finds

$$\alpha = -(\eta_1 P_1 + \eta_2 P_2) \qquad \gamma = P_1^2 + P_2^2.$$
(17)

### Statistical Treatment of Fluctuations

Chandrashekar<sup>4</sup> shows that a density distribution  $\rho(W,t)$  in which the variable W is governed by a random walk process obeys the Fokker-Planck equation

$$\frac{\partial \rho}{\partial t} = \frac{\partial}{\partial W} \left[ -D_1 \rho + \frac{1}{2} \frac{\partial}{\partial W} (D_2 \rho) \right]$$
(18)

where

$$D_{1} = \frac{d}{dt} \left\langle \Delta W \right\rangle_{AV} \qquad D_{2} = \frac{d}{dt} \left\langle \left( \Delta W \right)^{2} \right\rangle_{AV} \qquad (19)$$

where the brackets represent ensemble averages.

By associating  $\Delta W$  with W(s) - W(0) and invoking the ergodic theorem which asserts that ensemble averages and time averages are identical, Eqs. (13) and (19) give for Eq. (18):

$$\frac{\partial \rho}{\partial t} = \left[\frac{1}{2t} \left(H_1^2 + H_2^2\right)\right] \cdot \frac{\partial}{\partial W} \left(W_{\partial W}^{\partial \rho}\right).$$
(20)

In this form one sees that a new independent variable is useful. Namely,

$$w = \frac{1}{2} \int_{0}^{t} \frac{1}{t} \left( H_{1}^{2} + H_{2}^{2} \right) dt.$$
 (21)

Then Eq. (20) becomes

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$$\frac{\partial \rho}{\partial w} = \frac{\partial}{\partial W} \left( \frac{w \partial \rho}{\partial W} \right)$$
(22)

whose fundamental solution is

$$\rho = \frac{1}{w} e^{-\frac{w}{w}}.$$
 (23)

from which w is seen to be the average value of W over the distribution.

If the individual contributions to the fluctuations are independent, one may consider

each one in turn and add. If, on the other hand, feedback is employed to correlate  $\Delta\omega_R$  with  $\Delta\omega_{RF}$ , the problem is more complex and is not considered here. The contributions to  $H_1^2$  +  $H_2^2$  are

$$\left(H_{1}^{2}+H_{2}^{2}\right)_{cav} = \pi \int_{0}^{t} \frac{\sin^{2}\phi_{R}}{4\pi^{2}h^{2}} \beta J_{cav}(\Omega) dt, \quad (24)$$

$$\left(H_{1}^{2}+H_{2}^{2}\right)_{Mag} = \pi \int_{0}^{t} \frac{h^{2}\omega_{R}^{2}}{\gamma_{T}^{4}B^{2}} \gamma J_{Mag}(\Omega) dt, \qquad (25)$$

$$\left(H_{1}^{2}+H_{2}^{2}\right)_{RF} = \pi \int_{0}^{t} \gamma J_{RF}(\Omega) dt \qquad (26)$$

where by Nyquist's theorem the spectral densities are

$$J_{cav}(\Omega) = \frac{2}{\pi} kT R_{cav}(\Omega)$$
(27)

$$J_{Mag}(\Omega) = \frac{2}{\pi} kT \left| T_{B}(\Omega) \right|^{2} \text{ Real } Z_{Mag}(\Omega)$$
 (28)

$$J_{RF}(\Omega) = \frac{2}{\pi} kT \left| T_{RF}(\Omega) \right|^{2} \text{ Real } Z_{RF}(\Omega)$$
 (29)

and  $\boldsymbol{\Omega}$  is the synchrotron frequency

$$\Omega = \frac{s}{\beta} . \tag{30}$$

The other quantities are Boltzmann's constant k, the absolute temperature for the unit under consideration T, the transfer function between magnetic field and volts across magnet  $T_B$  in G/V, the transfer function between the angular rf frequency and the low level rf voltage  $T_{\rm RF}$  in  $2\pi Hz/V$ . The impedances in Ohms are:  $R_{\rm CaV}$  for the shunt impedance of rf cavities around the ring,  $Z_{\rm mag}$  the total magnet impedance, and  $Z_{\rm RF}$  the impedance of the low level unit driving the low level frequency changing circuit.

#### Results for NAL Booster

Only the random fluctuation  $\Delta\omega_{\rm RF}$  is significant in producing a growth in the longitudinal phase space area associated with the beam. The function  $\gamma(t)$  characterizing the beam bunch shape is peaked at transition. Equation (21) gives the average value of  $W = E/2\pi$  to be expected at any time during the cycle due to random fluctuations. Using kT = 5 x 10<sup>-21</sup>J, T<sub>RF</sub>(0) = 2\pi x 7.5 MHz/V and Z<sub>RF</sub>(0) = 1 M\Omega one finds at transition

$$\Delta E = .006 \text{ eV-sec (one bunch)}. \tag{31}$$

This is to be compared with an initial beam area of

$$E = .02 \text{ eV-sec (one bunch)}. \tag{32}$$

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