



# 6D Phase Space Diagnostics Based on Adaptive Tuning of the Latent Space of Encoder-Decoder Convolutional Neural Networks

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# Funding

## **Los Alamos National Laboratory**

Laboratory Directed Research and Development (LDRD)

Directed Research (DR) Project

**20220074DR Charged Particle Beam Control and Diagnostics using Adaptive Machine Learning**

## **Department of Energy, Office of Science, High Energy Physics**

Accelerator Stewardship, LAB 20-2262

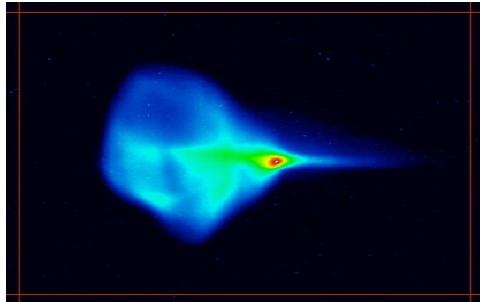
**Advanced Adaptive Control Systems for Compact Particle Accelerators**



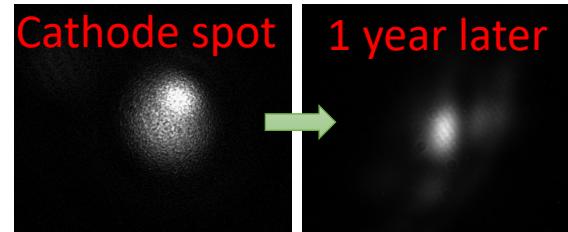
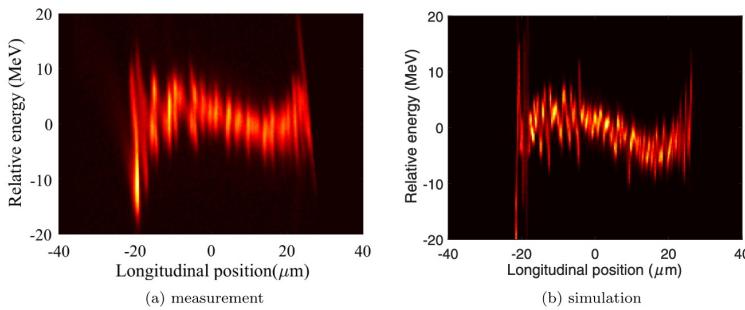
# Motivation: Initial beam distributions are time-varying and beam dynamics are governed by complex collective effects such as wakefields, space charge, and coherent synchrotron radiation

Physics based models can simulate exquisite detail including  $\mu$ Bunch instabilities (10 hours on thousands of NERSC cores!).

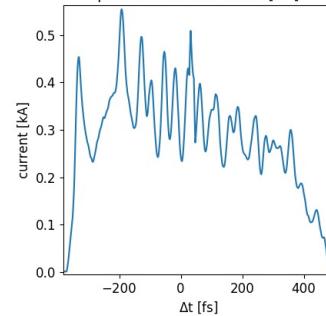
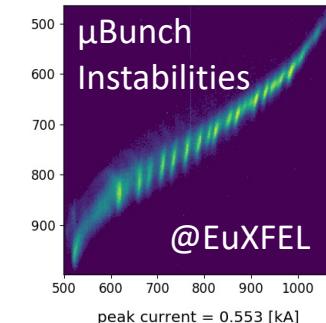
J. Qiang et al. PRAB, 20, 054402, 2017



Typical 2D (x,y) beam profile,  
not a simple Gaussian.



Time-Varying Input Beam  
Distributions

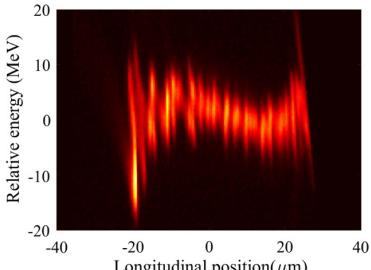


Bunch compression amplifies small time-varying perturbations in initial beam distributions.

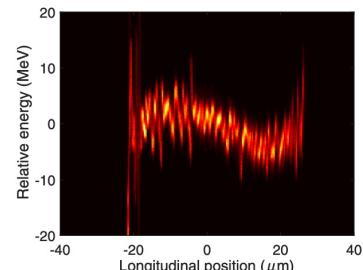
# Motivation: Initial beam distributions are time-varying and beam dynamics are governed by complex collective effects such as wakefields, space charge, and coherent synchrotron radiation

Physics based models can simulate exquisite detail including  $\mu$ Bunch instabilities (10 hours on thousands of NERSC cores!).

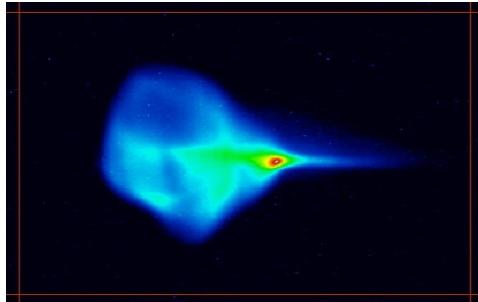
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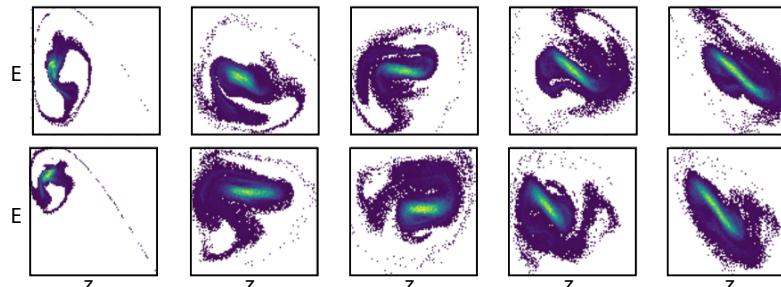
(a) measurement



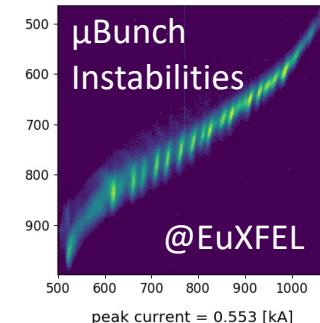
(b) simulation



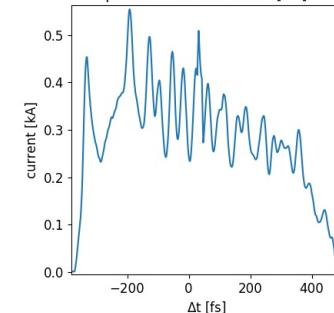
Typical 2D (x,y) beam profile,  
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LANSCE: Space Charge



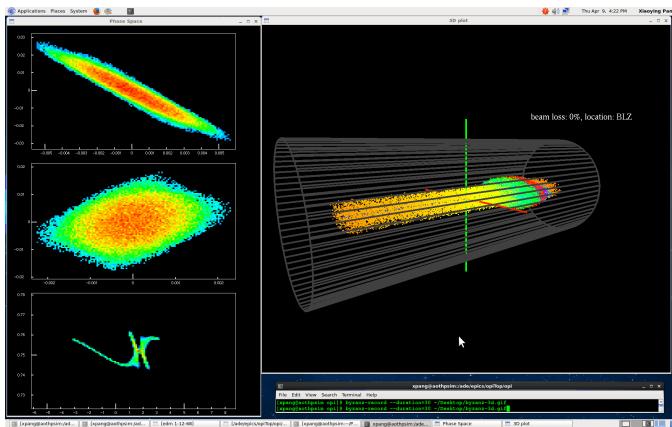
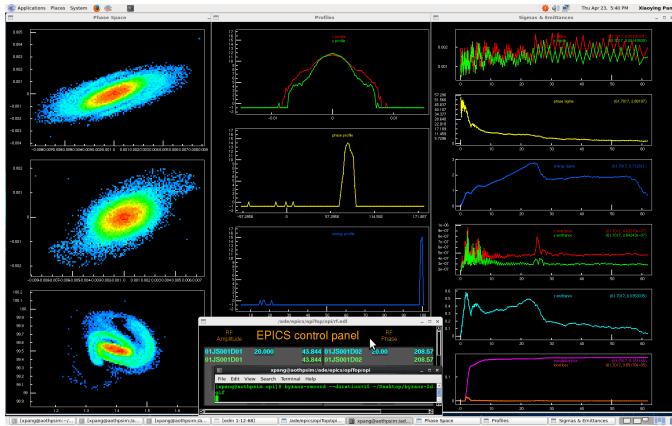
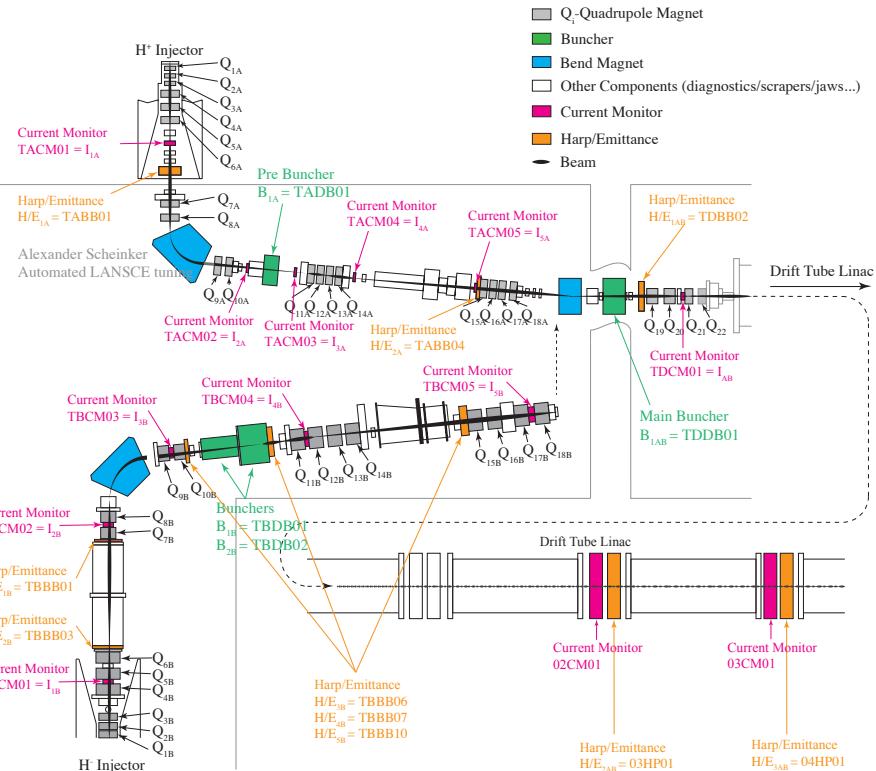
@EuXFEL



Bunch compression amplifies small  
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initial beam distributions.

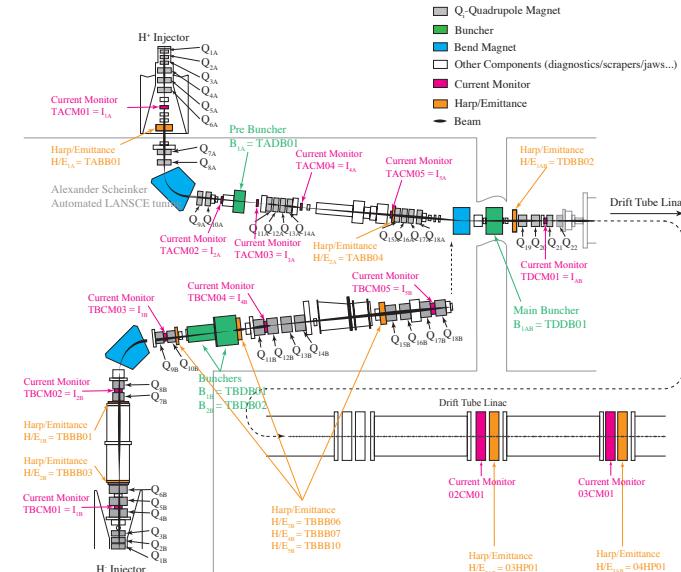
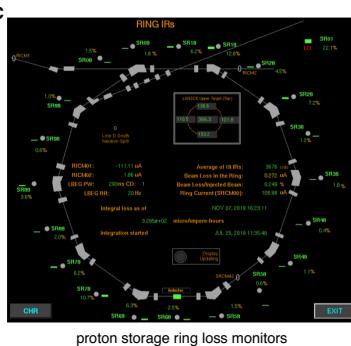
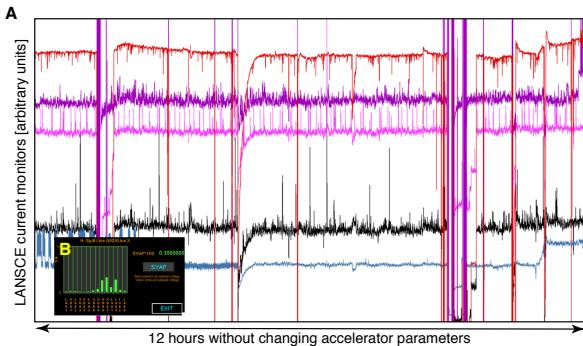
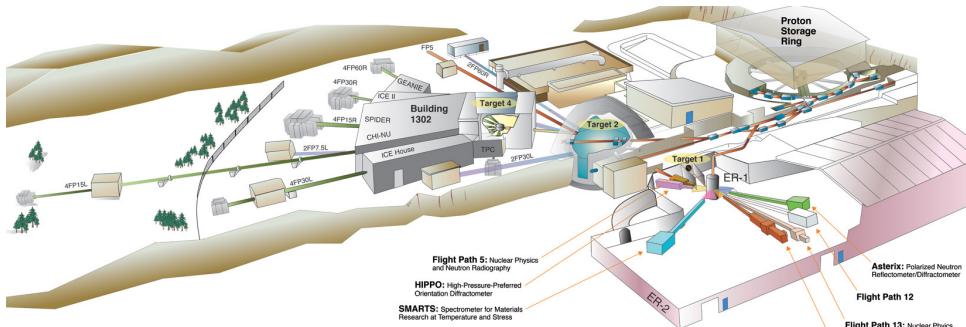


# Even for fast simulations, correct initial conditions are unknown



# LANSCE

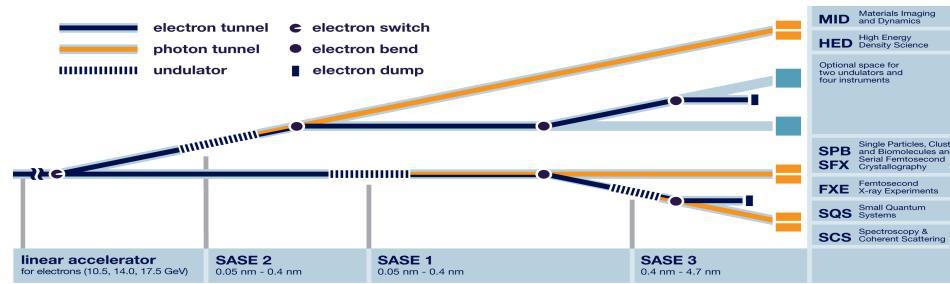
~5-6 weeks of tune up time, performance drifts



# Advanced Light Sources: LCLS-I/II, EuXFEL, ... DMMSC



LCLS/LCLS-II

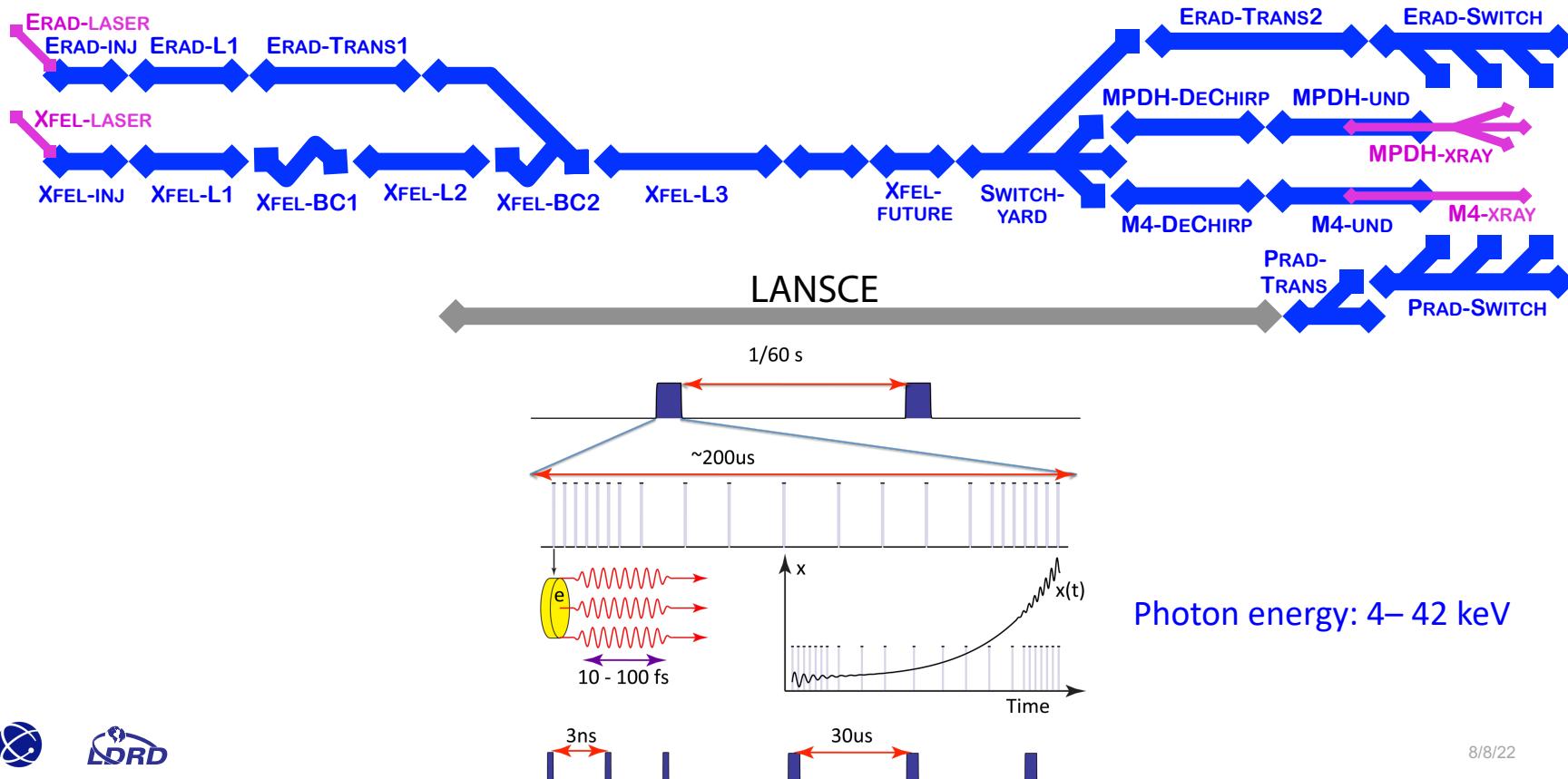


EuXFEL

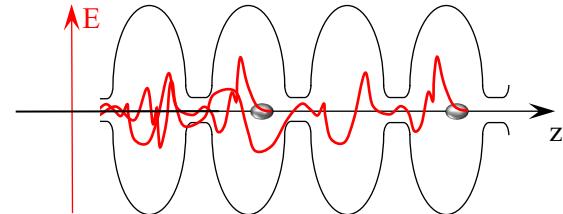
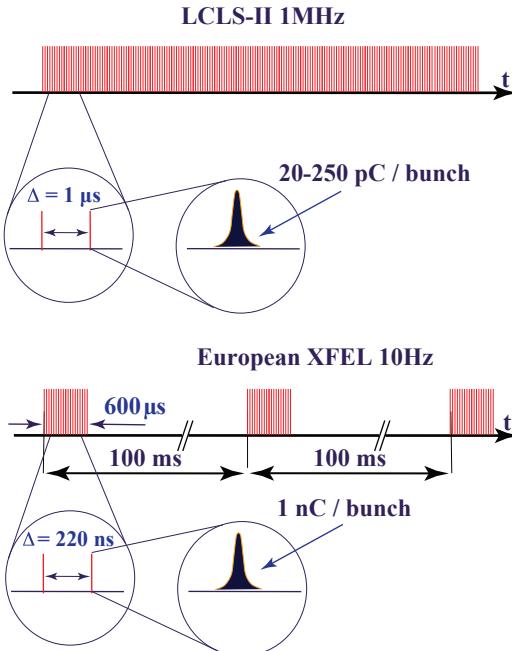
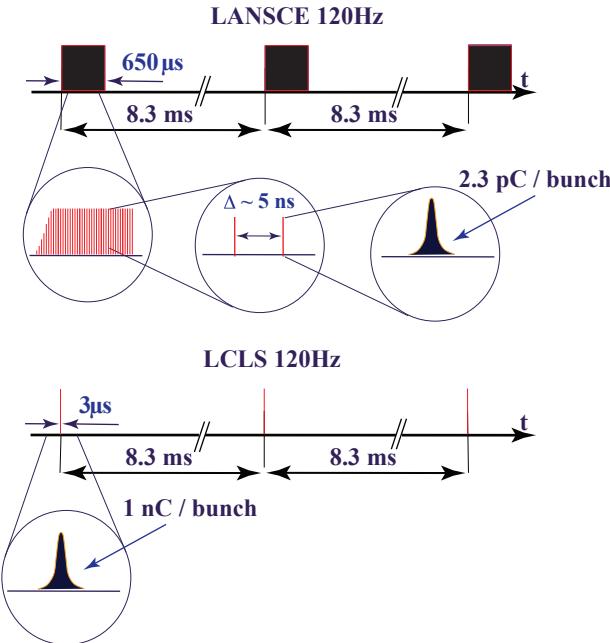
**LCLS 2015:** > 400 hours were spent in dedicated tuning ~10 user experiments and a \$12M USD value in operation time.



# MaRIE for Dynamic Mesoscale Material Science Capability (DMMSC)

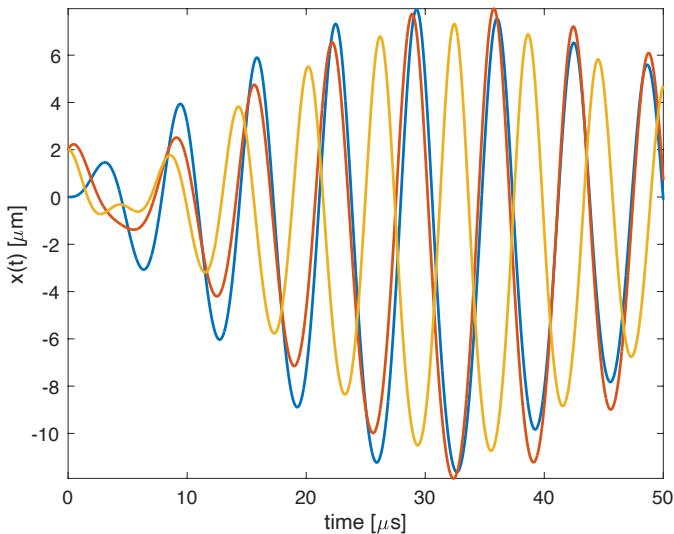


# Advanced Accelerator Capabilities (DMMSC/MaRIE)

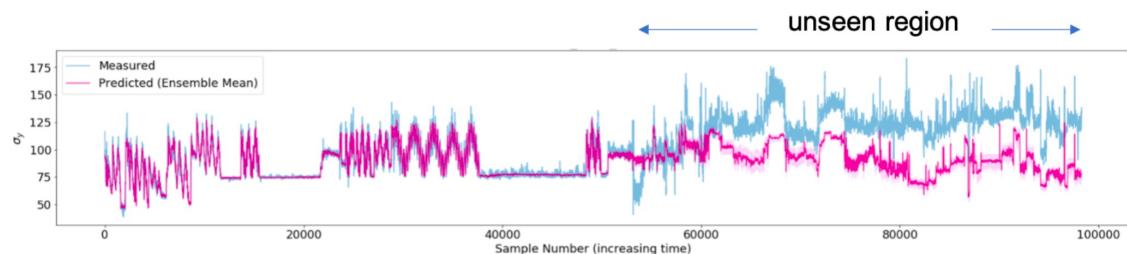


# Need for new Robust Machine Learning Techniques for Time-Varying Systems (Distribution Shift).

$$\ddot{x}(t) = -w^2 [x(t) + \epsilon x^2(t)] - b\dot{x}(t) + f(t)$$

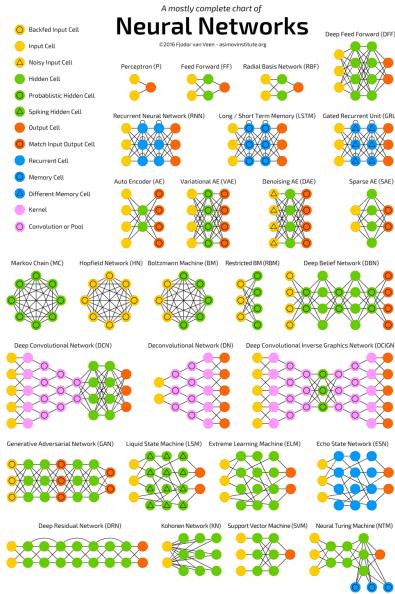


Neural network predicting  $\sigma_y$  beam size.



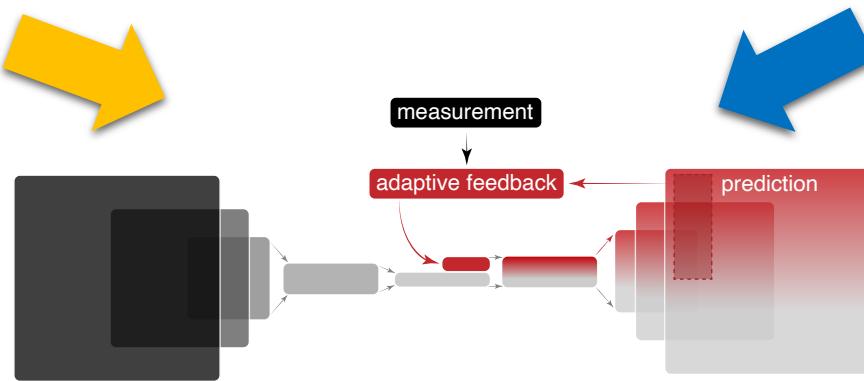
# Approach: Adaptive ML combines robust model-independent adaptive feedback with deep physics-informed CNNs.

## Machine Learning

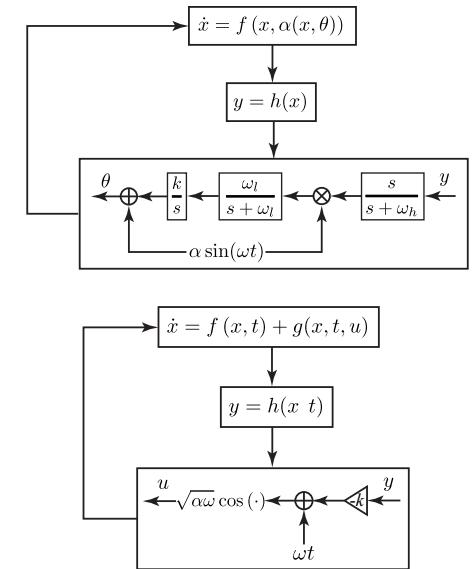


- Deep RL
- Global learning
- Cannot handle time-varying systems

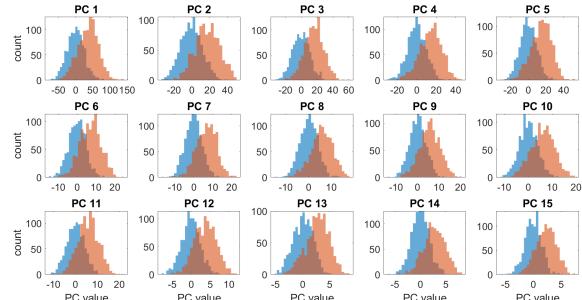
## Robust Adaptive Machine Learning



## Model—Independent Feedback



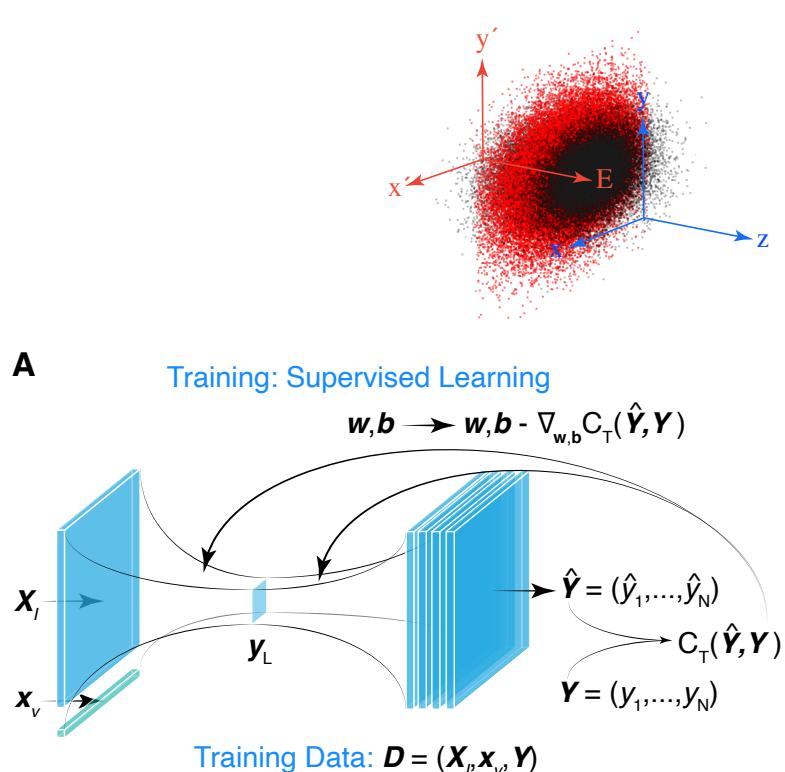
For Time-Varying systems with distribution shift



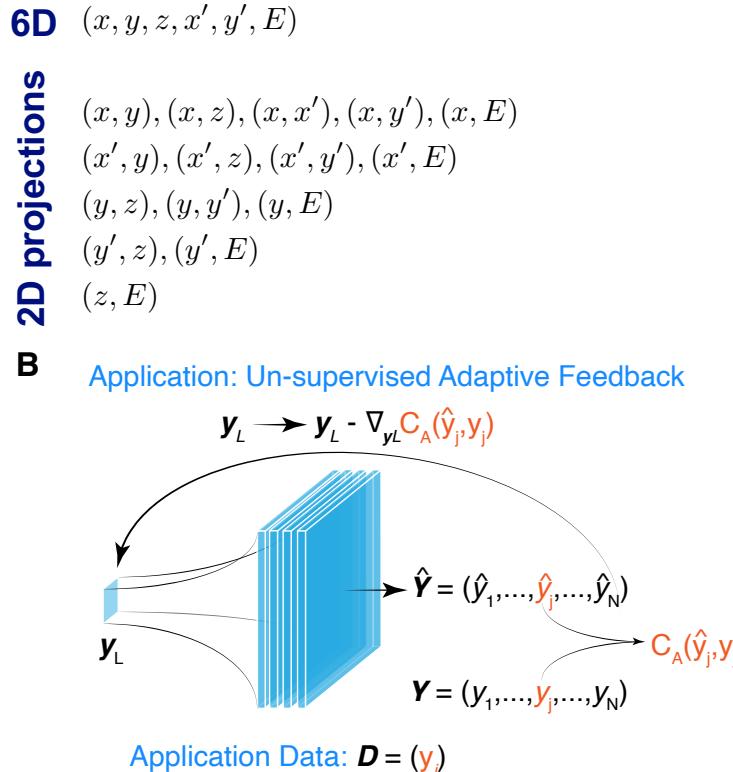
- Adaptive Control
- Robust to time-variation
- Local feedback, local minima



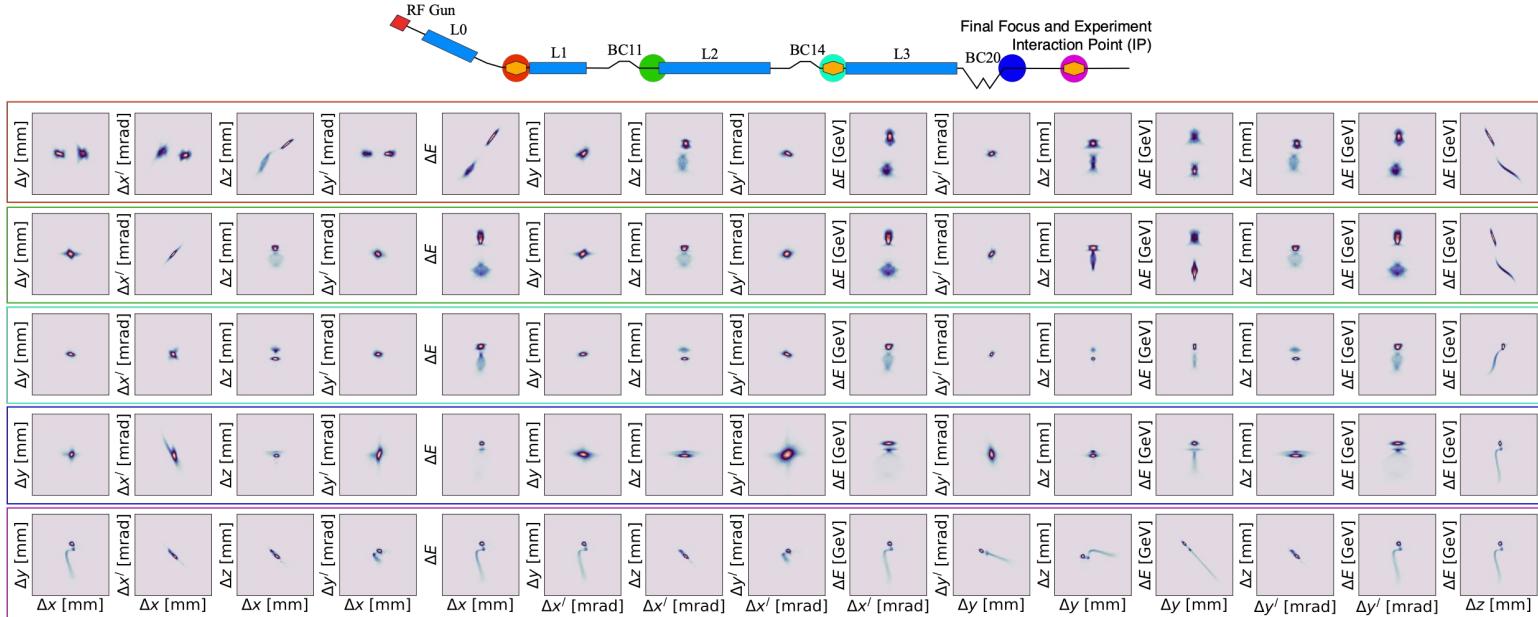
**Physics-Informed Adaptive ML for 6D phase space diagnostics.** Observational biases introduced directly through data that embody the underlying physics to learn functions that reflect the physical structure of the data. **Encoder-decoder CNN for nonlinear data compression: Low-dimensional latent space tuning.**



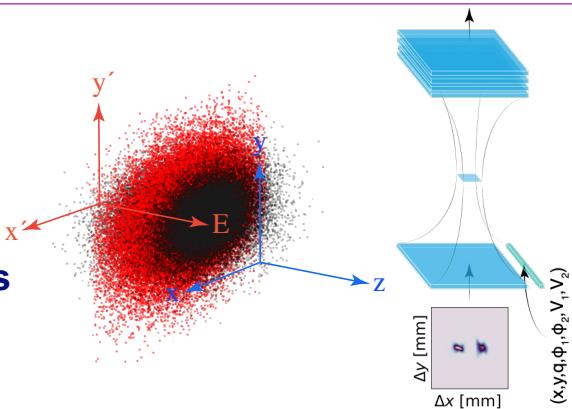
A. Scheinker. "Adaptive machine learning for time-varying systems: low-dimensional latent space tuning." *Journal of Instrumentation* 16.10 (2021): P10008.



A. Scheinker, F. Cropp, S. Paiagua, & D. Filippetto. "An adaptive approach to machine learning for compact particle accelerators." *Scientific Reports* 11, 19187, 2021.



**Predicting all 2D projections of 6D phase space at FACET-II at 5 different locations**



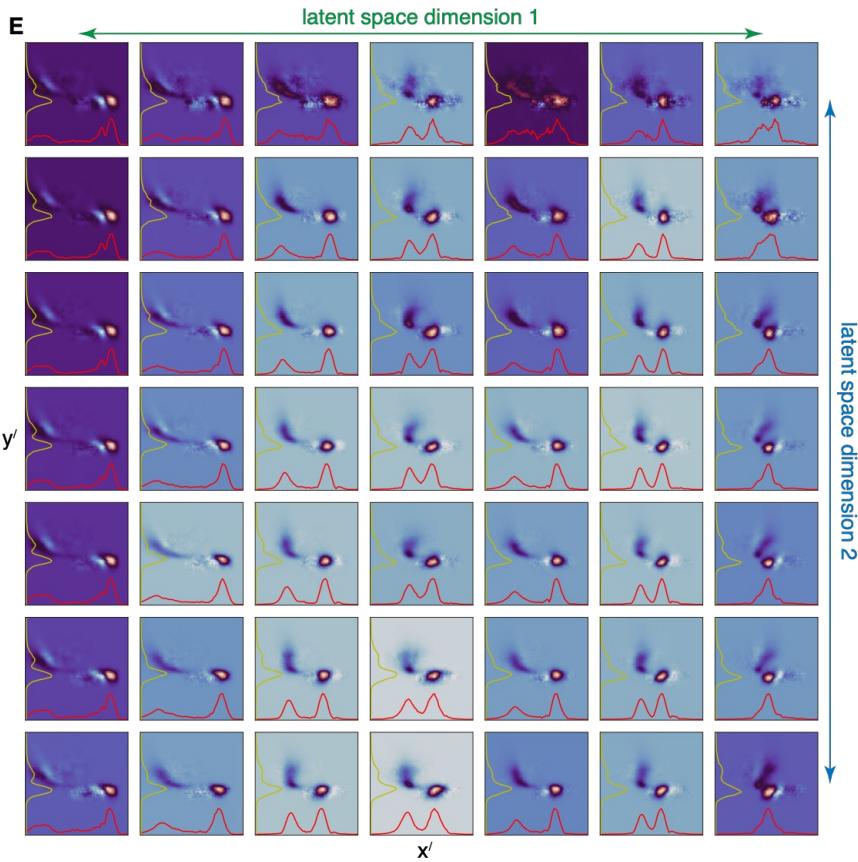
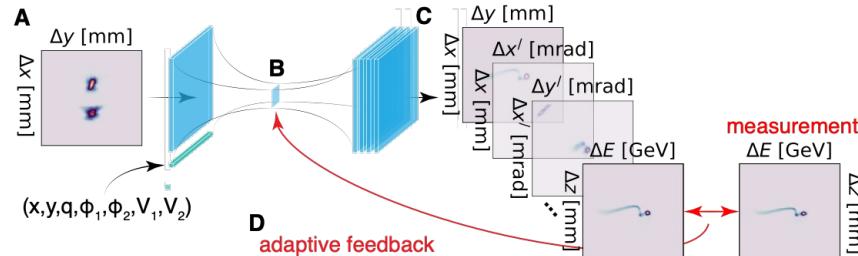
**6D**  $(x, y, z, x', y', E)$

**2D projections**

$(x, y), (x, z), (x, x'), (x, y'), (x, E)$   
 $(x', y), (x', z), (x', y'), (x', E)$   
 $(y, z), (y, y'), (y, E)$   
 $(y', z), (y', E)$   
 $(z, E)$



## Looking at only $(z, E)$ to predict other phase space projections

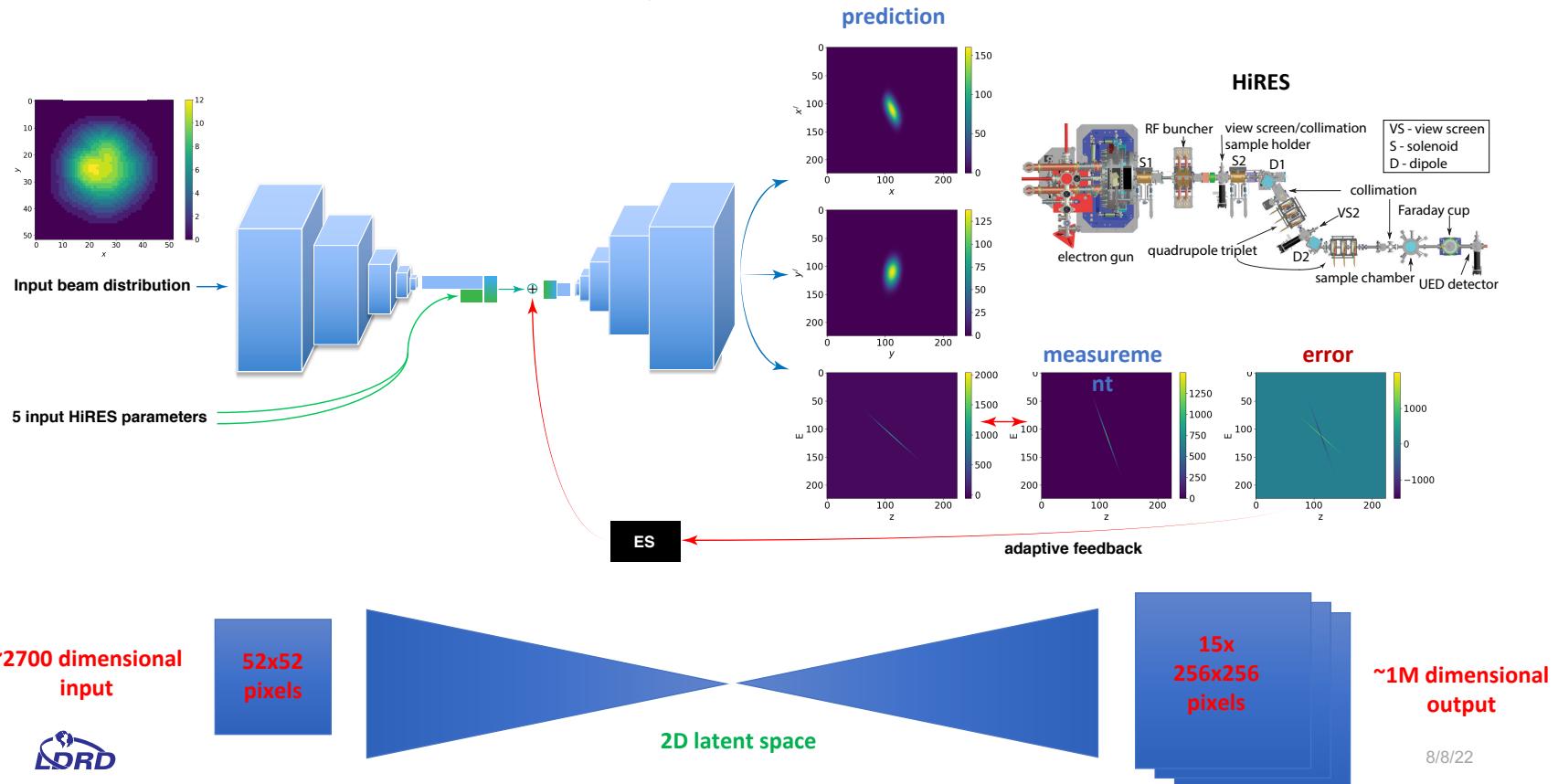


A. Scheinker. "Adaptive machine learning for time-varying systems: low dimensional latent space tuning." *Journal of Instrumentation* 16.10 (2021): P10008.  
<https://doi.org/10.1088/1748-0221/16/10/P10008>



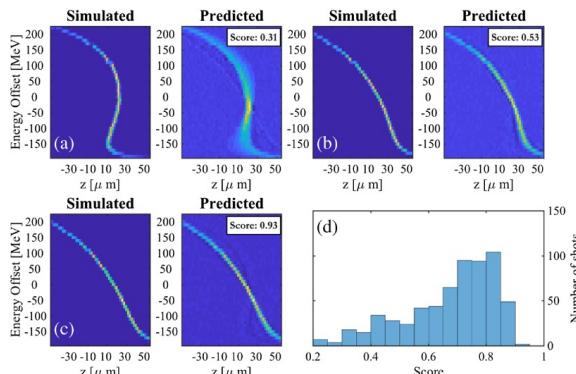
# AML Development at HiRES – Compact Ultra-fast Electron Diffraction (UED)

Longitudinal phase space measurements used to guide adaptive feedback within 2D latent space to predict all 2D projections of a beam's 6D phase space.

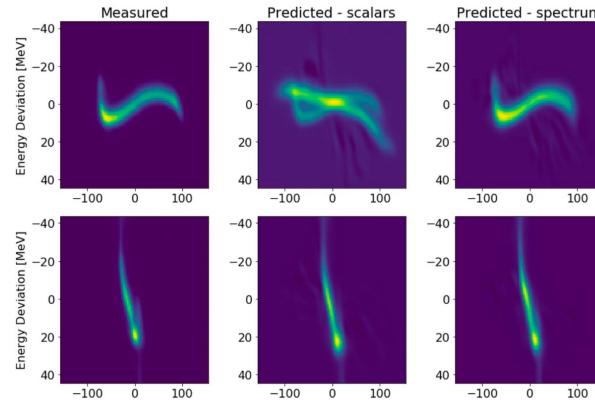


# Adaptive, ML, and direct LPS measurements are widely available

## LCLS, LCLS-II, FACET-II

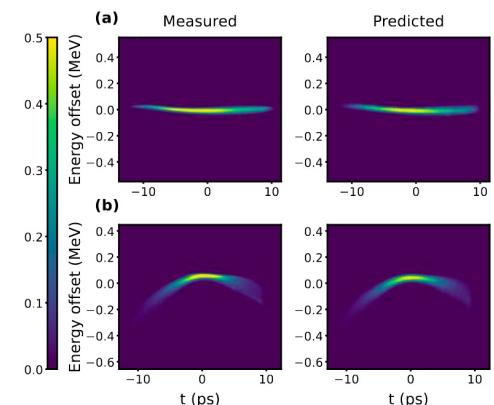


Emma, C., et al. "Machine learning-based longitudinal phase space prediction of particle accelerators." *Physical Review Accelerators and Beams* 21.11 (2018): 112802.

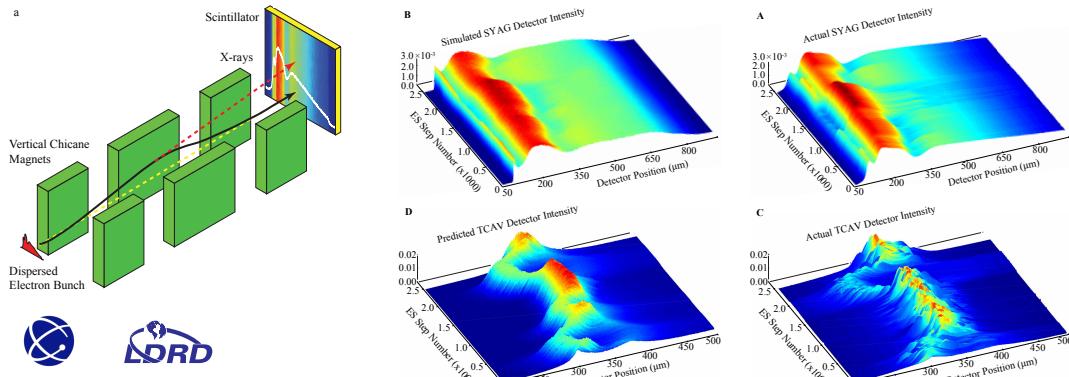


Hanuka, Adi, et al. "Accurate and confident prediction of electron beam longitudinal properties using spectral virtual diagnostics." *Scientific Reports* 11.1 (2021): 1-10.

## EuXFEL



Zhu, Jun, et al. "Deep Learning-Based Autoencoder for Data-Driven Modeling of an RF Photoinjector." *arXiv preprint arXiv:2101.10437* (2021).

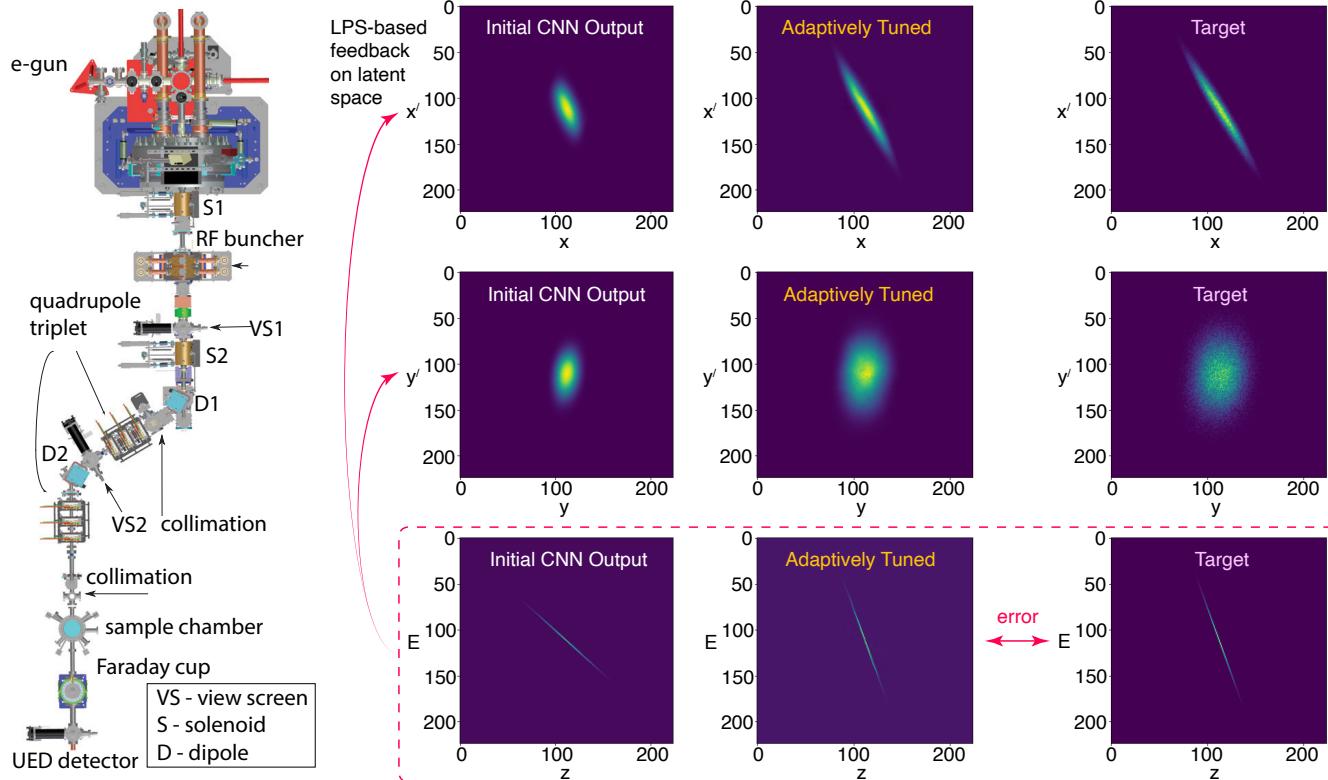


## FACET

A. Scheinker and S. Gessner, "Adaptive method for electron bunch profile prediction." *Physical Review Accelerators and Beams*, 18(10), 102801, 2015.

# Adaptive ML-Based Diagnostics @ HiRES

Work with: Eric Cropp and Daniele Filippetto

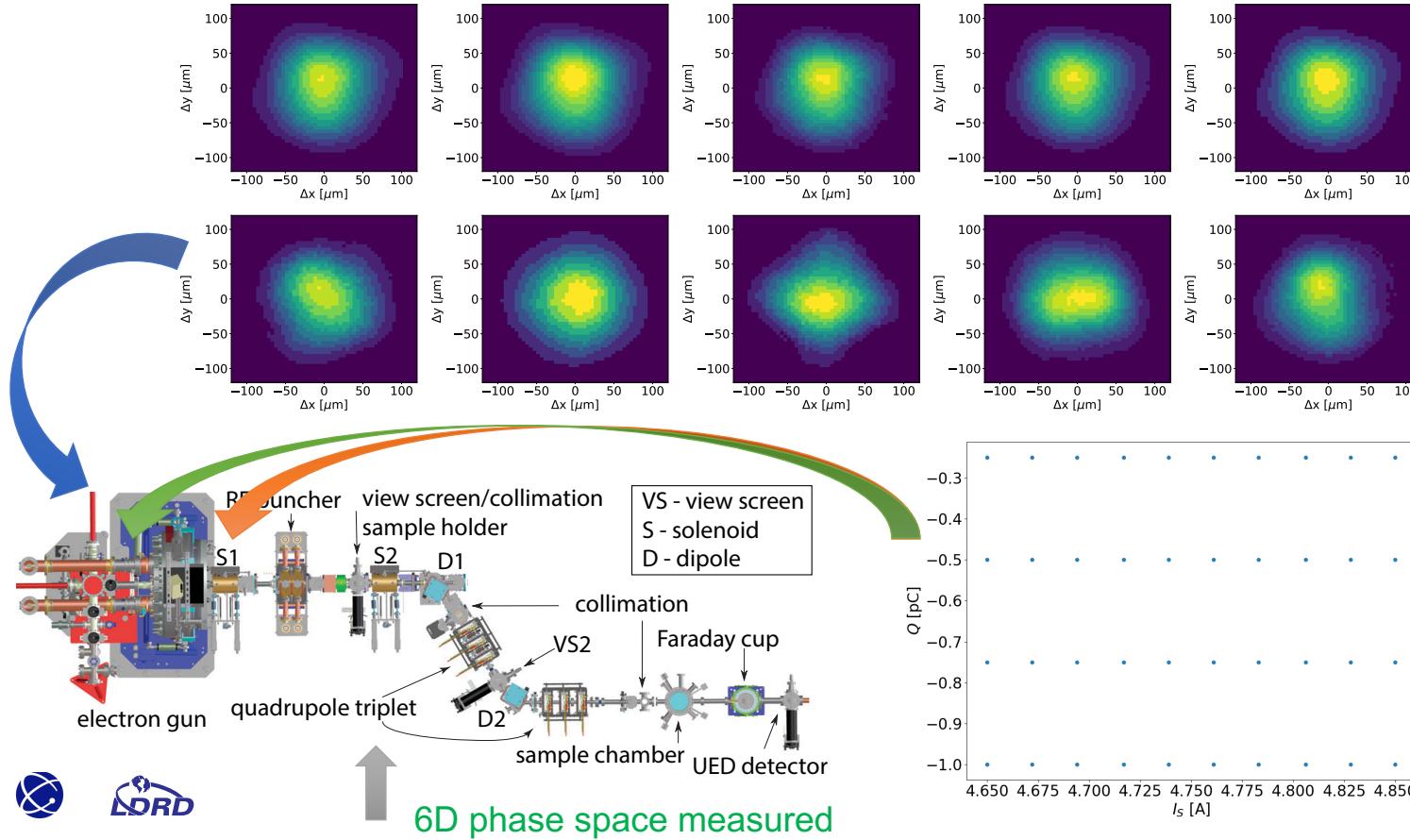


A. Scheinker. "Adaptive machine learning for time-varying systems: low dimensional latent space tuning." *Journal of Instrumentation* 16.10 (2021): P10008.

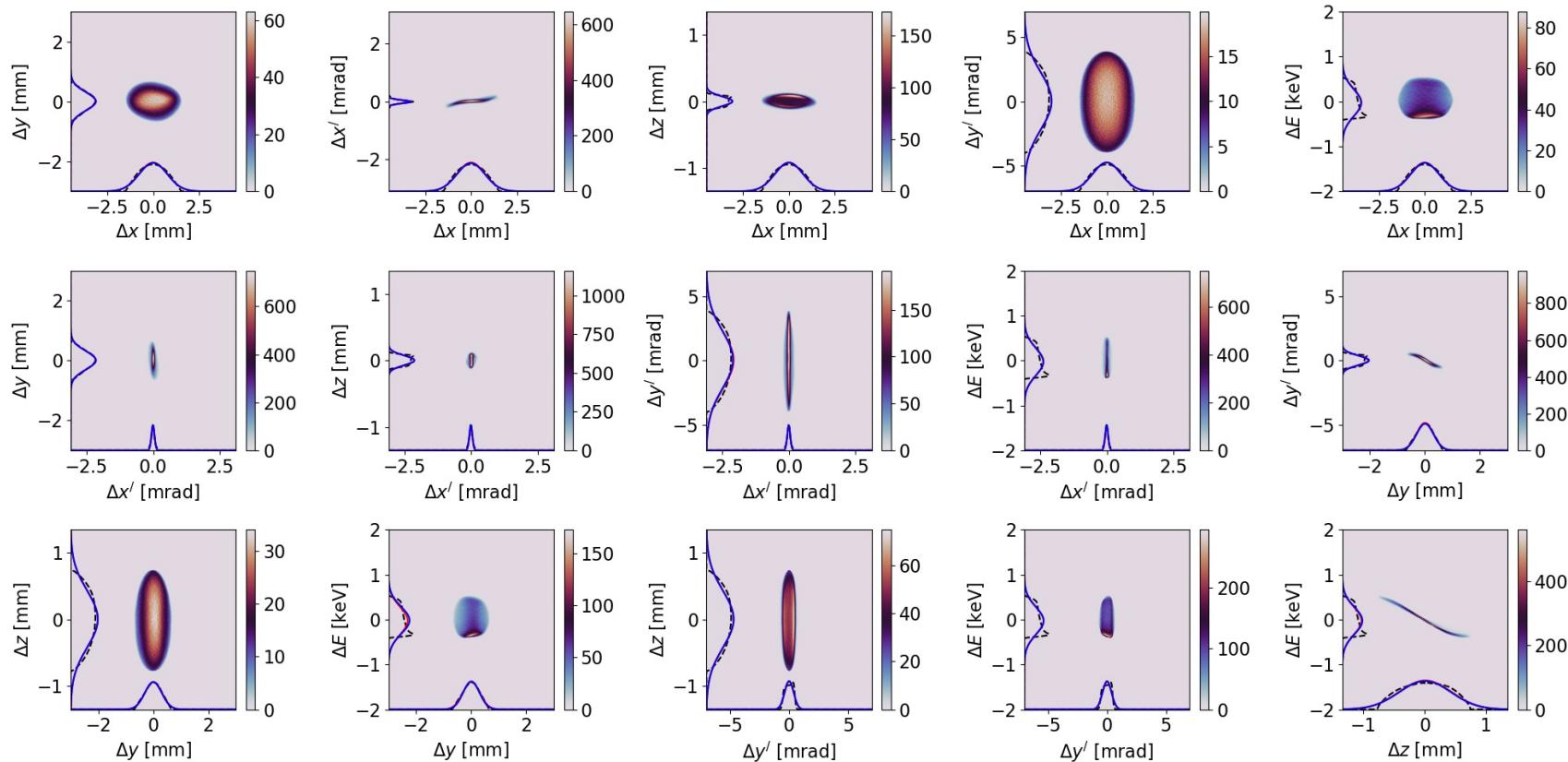
<https://doi.org/10.1088/1748-0221/16/10/P10008>

# HiRES Numerical Study – AML for 6D Phase Space

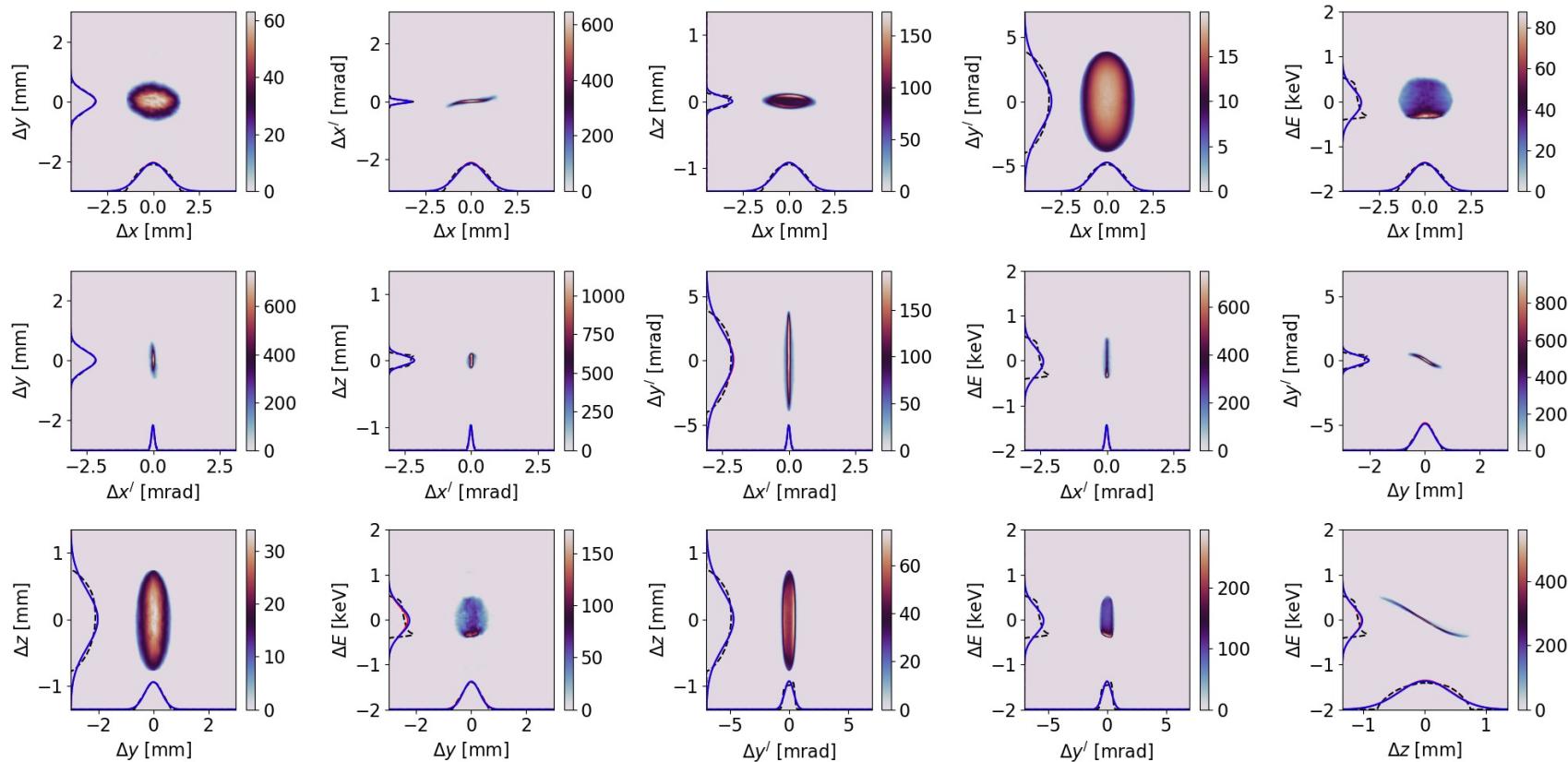
Trained with Measured and Synthetic Input Images



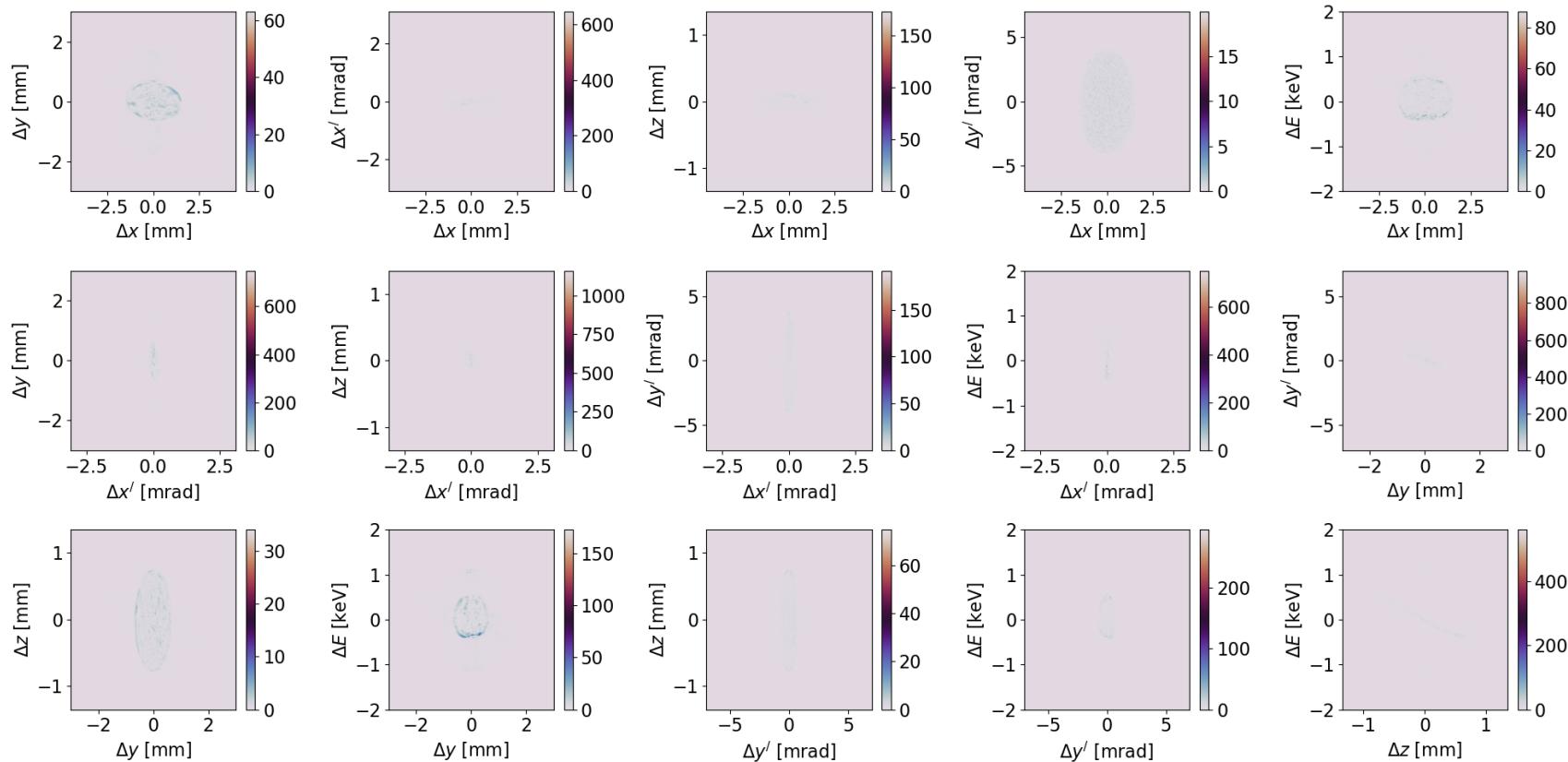
# 15 projections of 6D phase space @ Q=0.25 pC, S=4.650 Amps



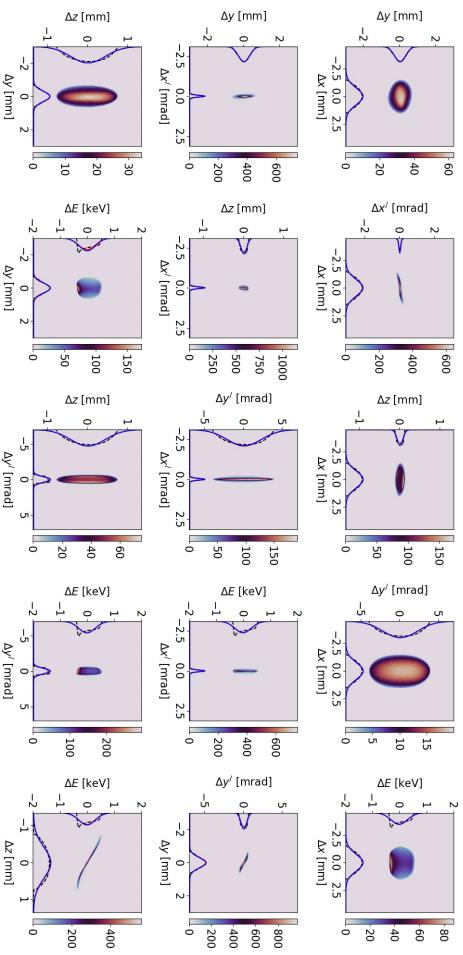
# CNN: 15 projections of 6D phase space @ Q=0.25 pC, S=4.65 Amps



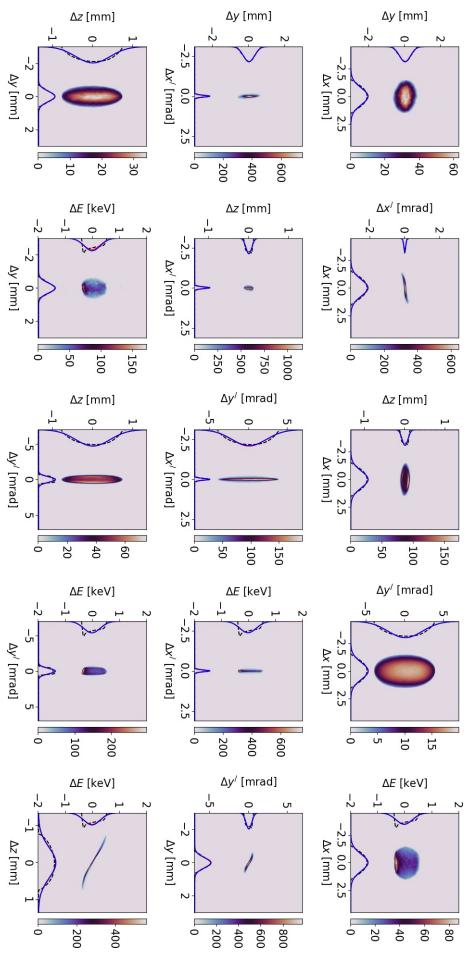
# Difference



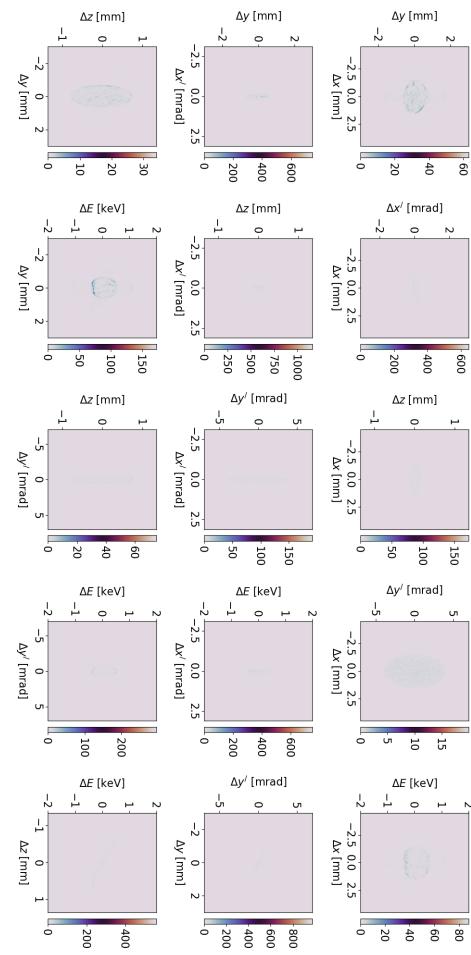
True



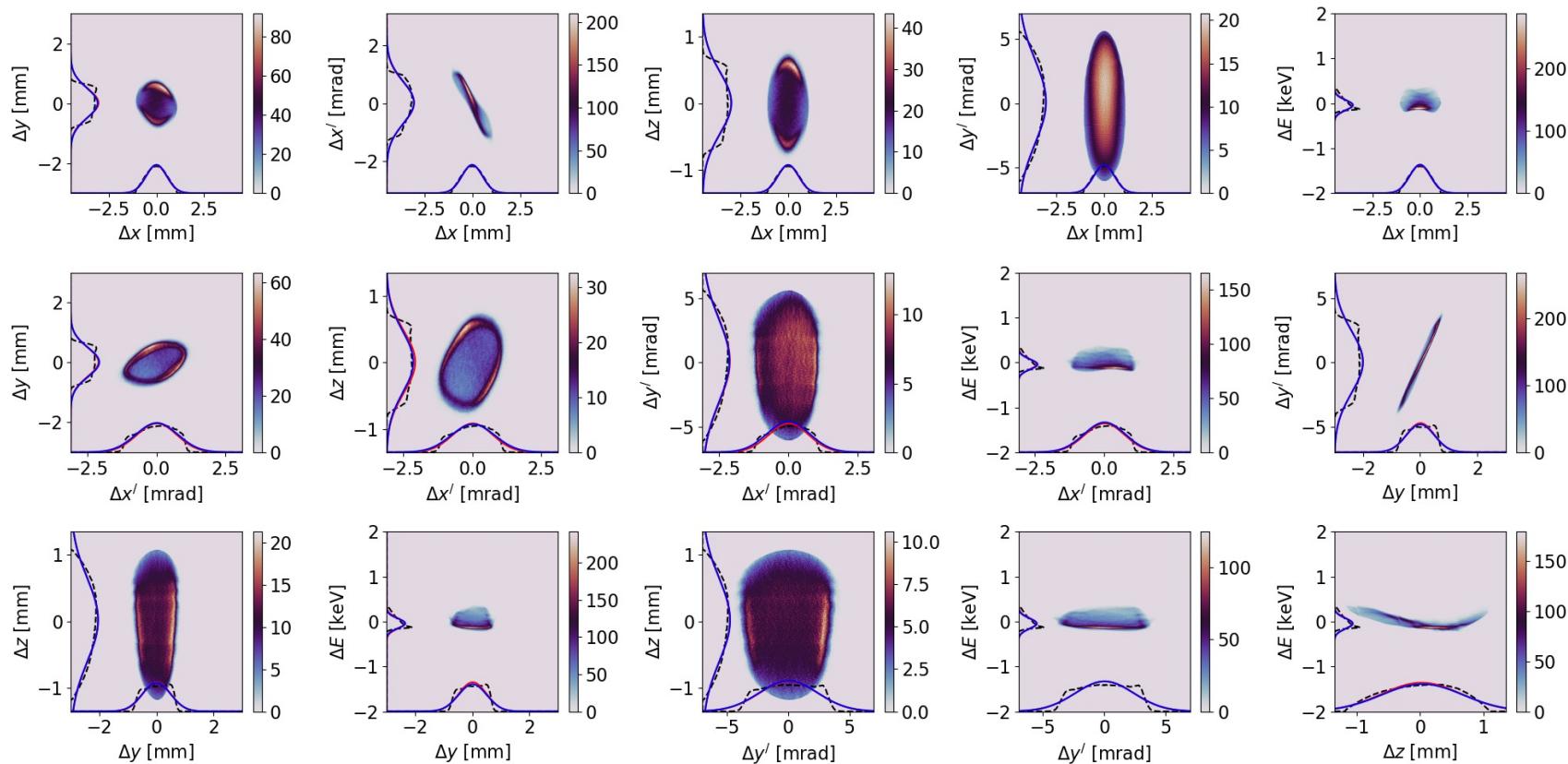
CNN



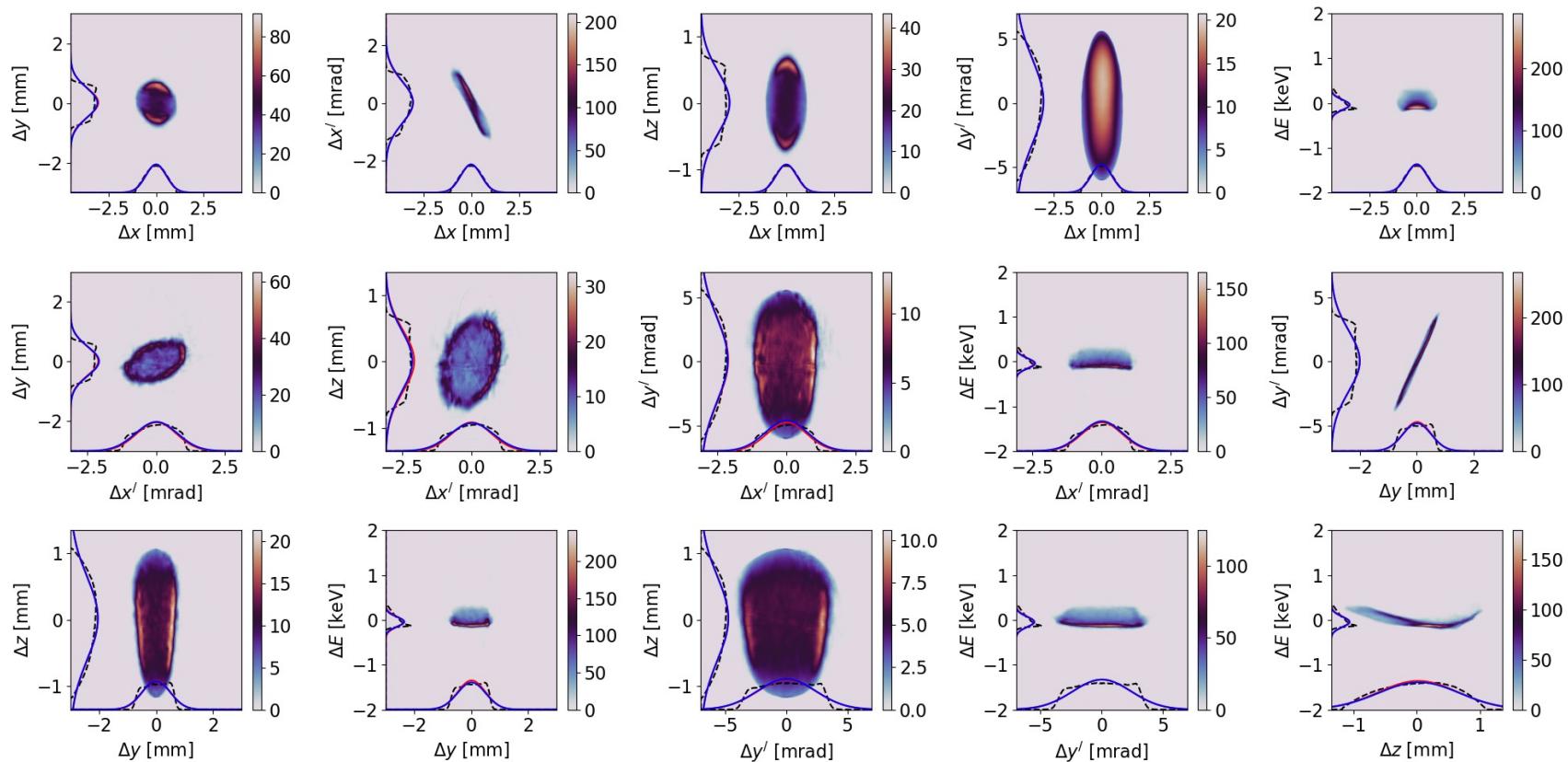
Difference



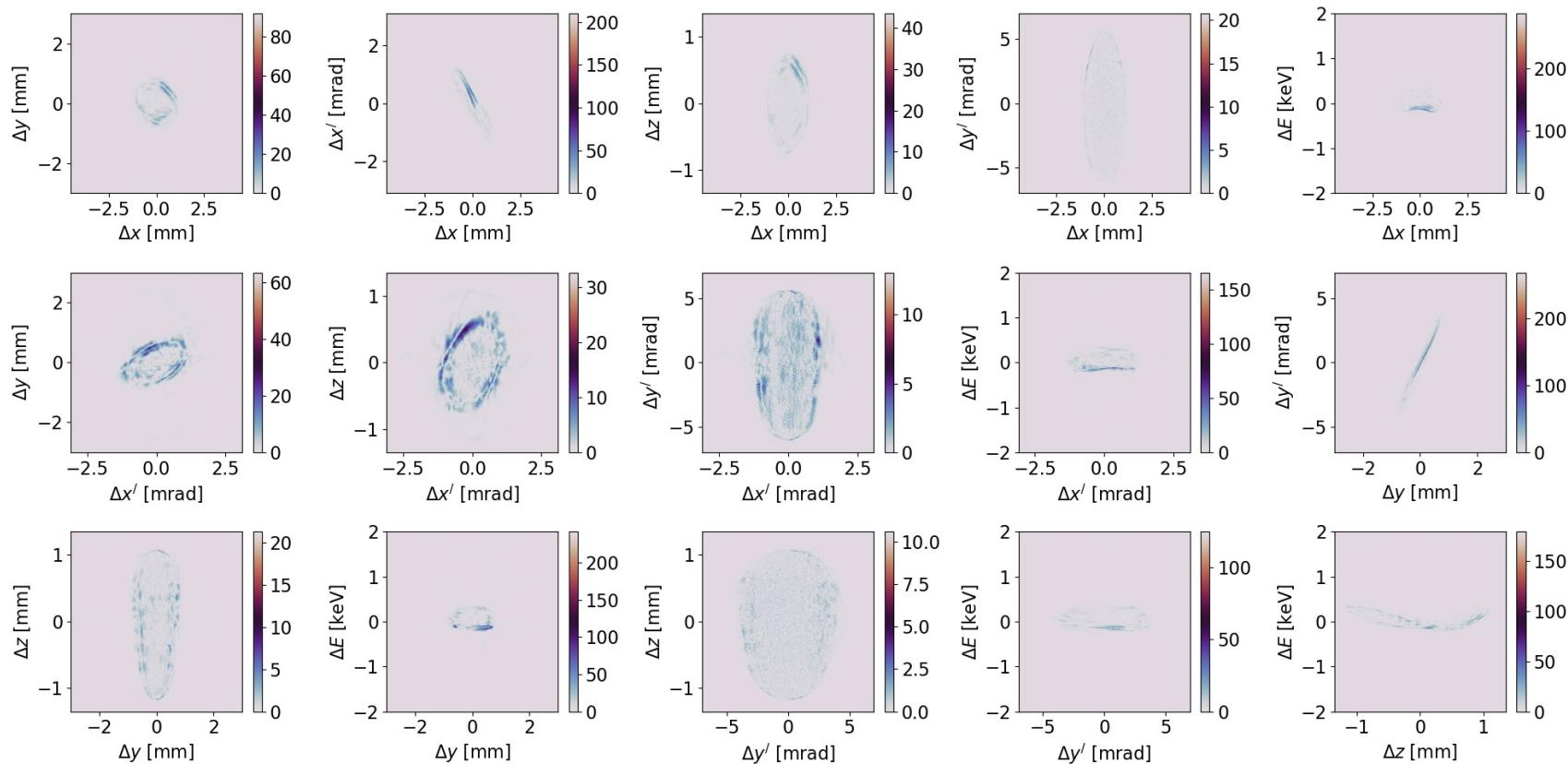
# 15 projections of 6D phase space @ Q=1.0 pC, S=4.85 Amps



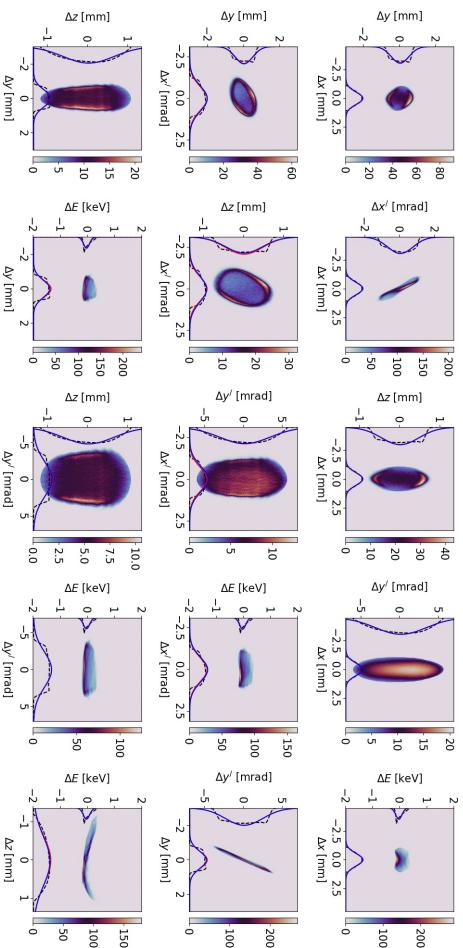
# CNN: 15 projections of 6D phase space @ Q=1.0 pC, S=4.85 Amps



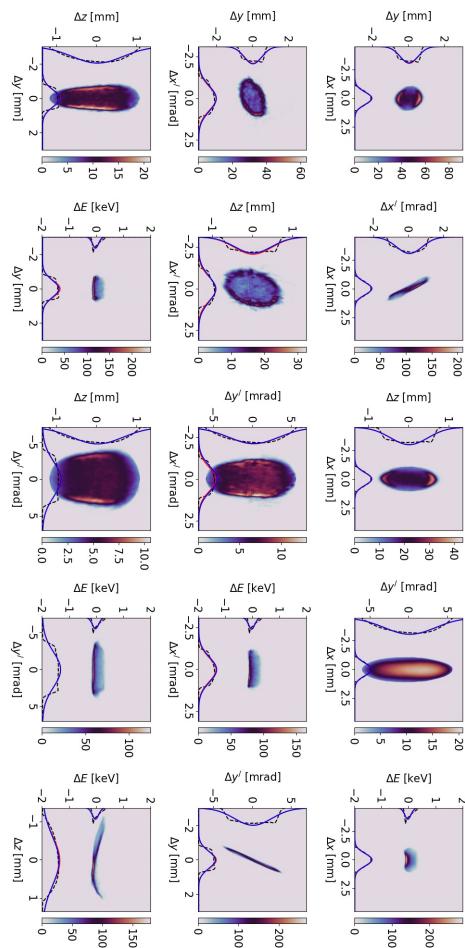
# Difference



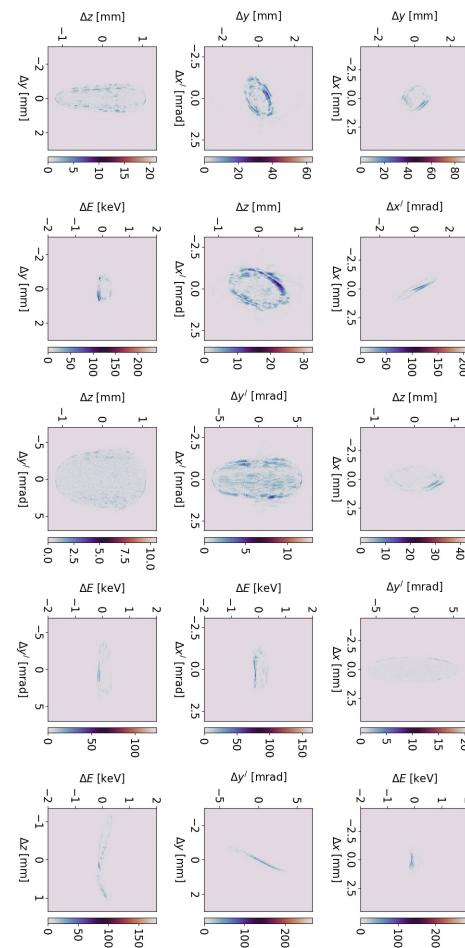
True



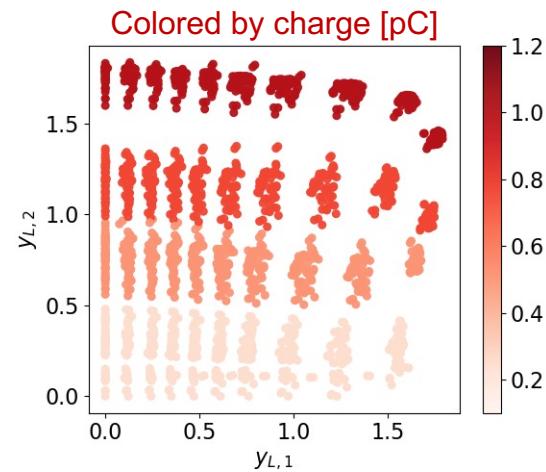
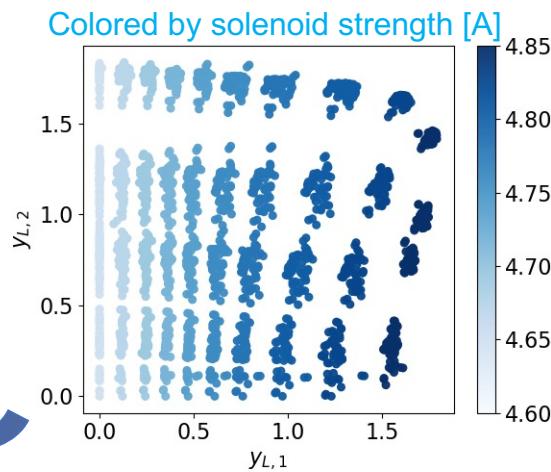
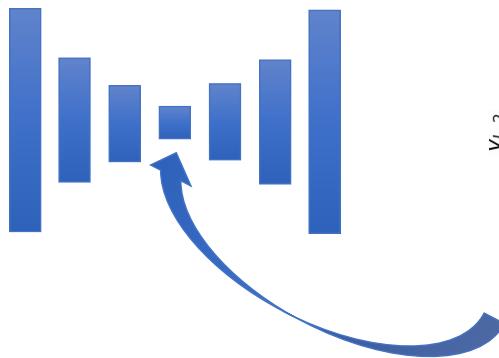
CNN



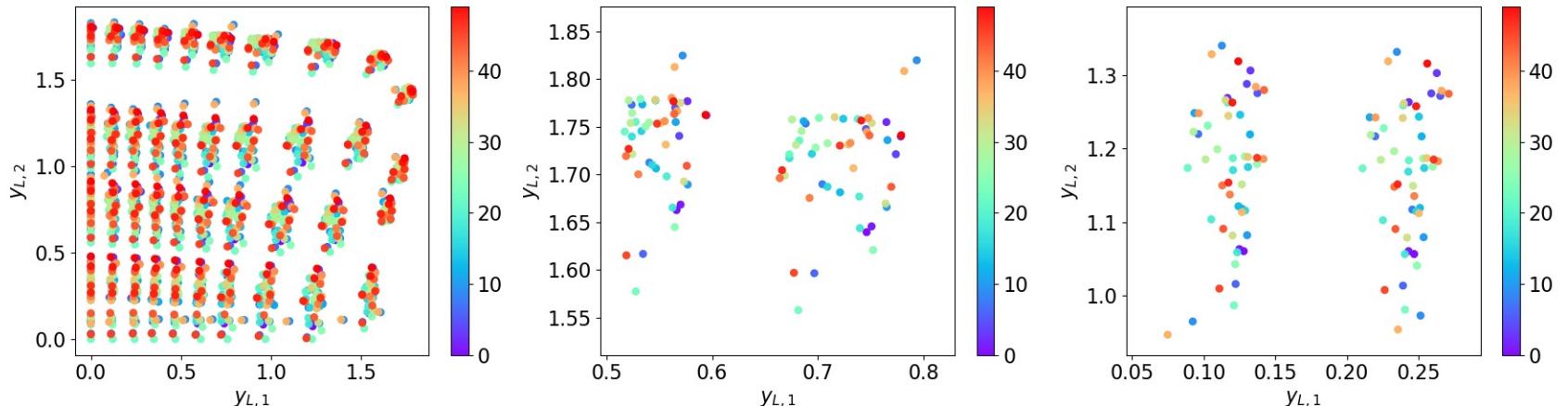
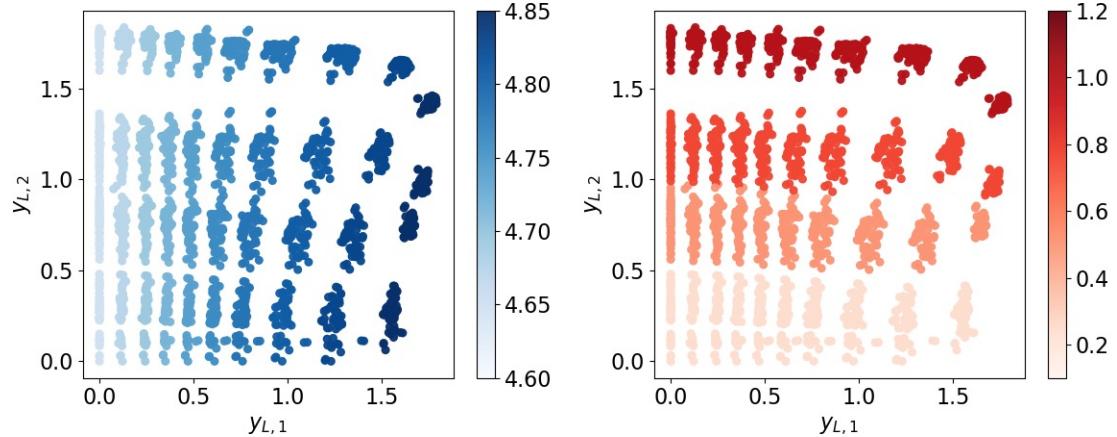
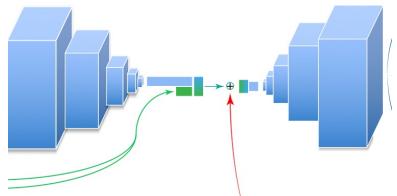
Difference



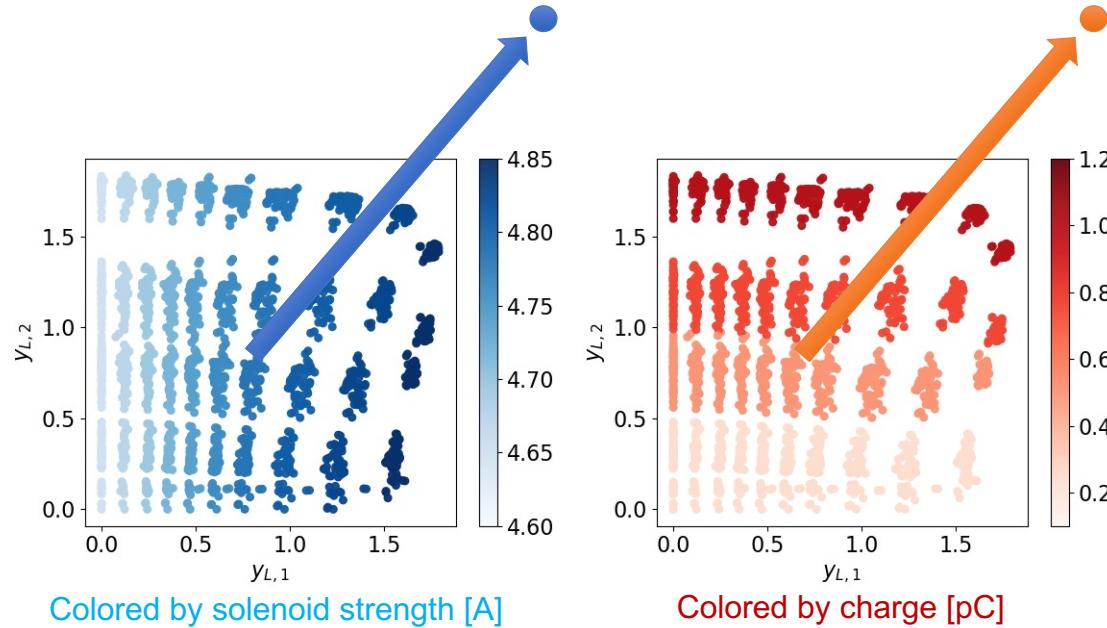
**Looking into the CNN's 2D Latent space representation of 100 input beams, we see that it has naturally clustered bunches by solenoid strength and charge.**



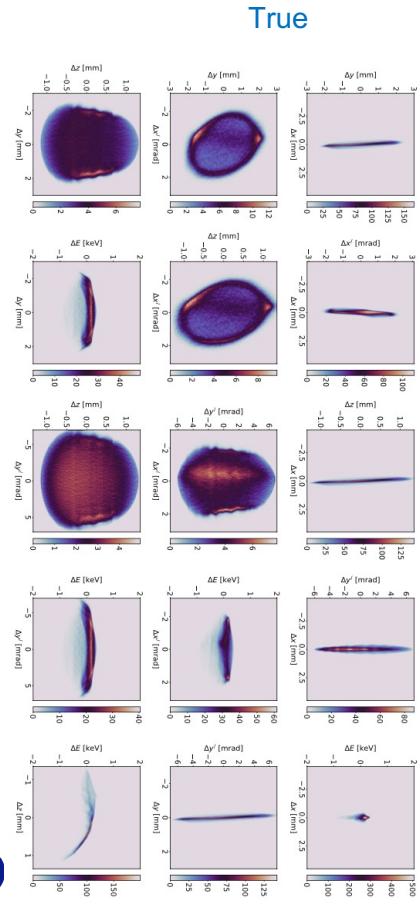
# HiRES – Latent space representation



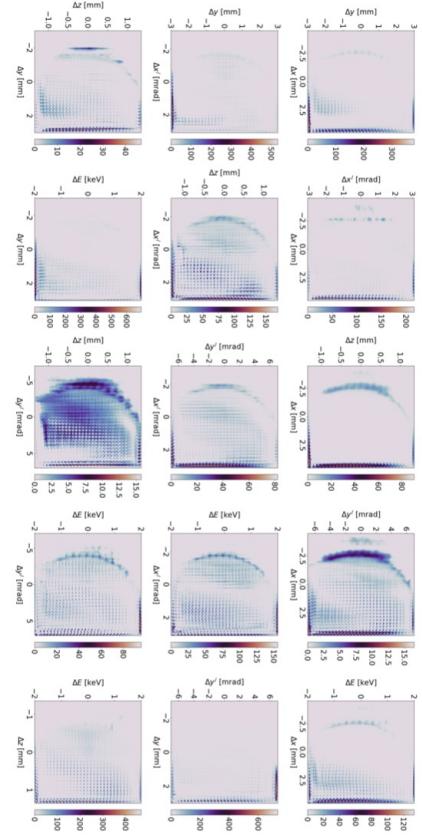
**Robustness test: Moving far beyond the span of the training data to a unseen input beam distribution, higher solenoid strength, and larger charge.**



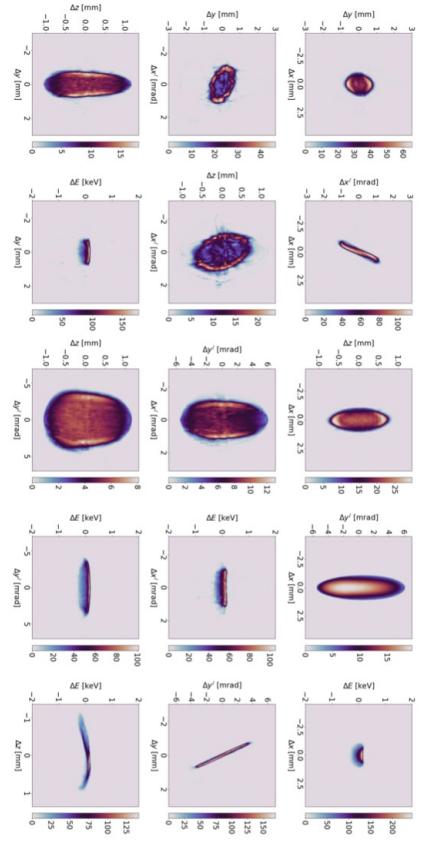
# 6D phase space projections for beam and parameters far outside of training set.



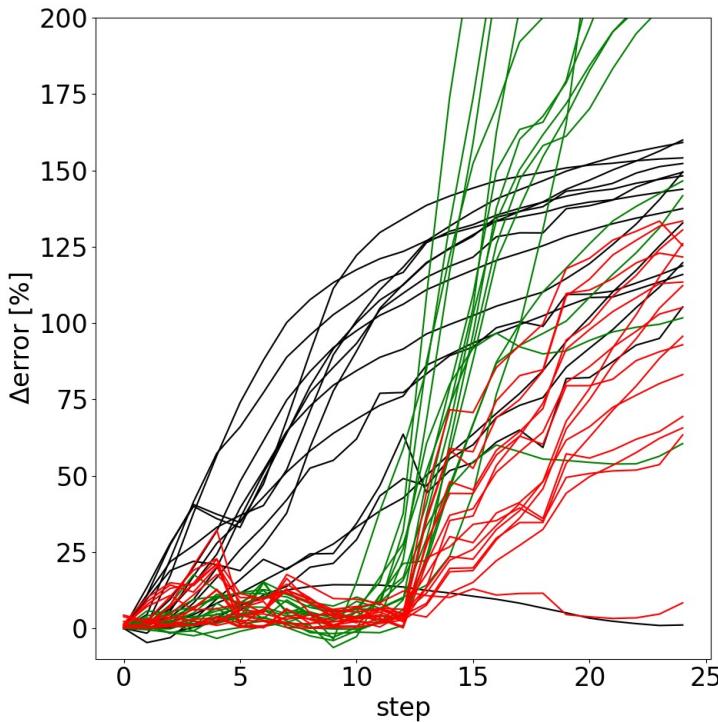
CNN using known beam distribution, solenoid, and charge as inputs



AML with adaptive feedback and unknown beam distribution, solenoid, and charge.



## Showing the errors [%] of 15 projections of the 6D phase space as the input beam distribution, solenoid current and bunch charge leave the span of the training set .



**Change:** % difference of the 15 projections relative to initial input and parameter settings as the beam changes.

**CNN:** % difference of the 15 projections if the input beam and parameter settings are known. The error remains small within the span of the training set and then the CNN catastrophically fails as the training set is left behind (it is actually worse than doing nothing), as expected.

**AML:** % error of the 15 projections if the input beam and parameter settings are unknown, but adaptive ML is used for active feedback based on  $(z, E)$  measurements, resulting in higher accuracy tracking and no catastrophic failure with this robust approach.