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# Pulsed High Power Klystron Modulators for ESS Linac based on the Stacked Multi-Level (SML) Topology

Carlos A. Martins

ESS – Accelerator Division - RF Electrical Power Systems

[www.europeanspallationsource.se](http://www.europeanspallationsource.se)

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# ESS Linac and modulator requirements

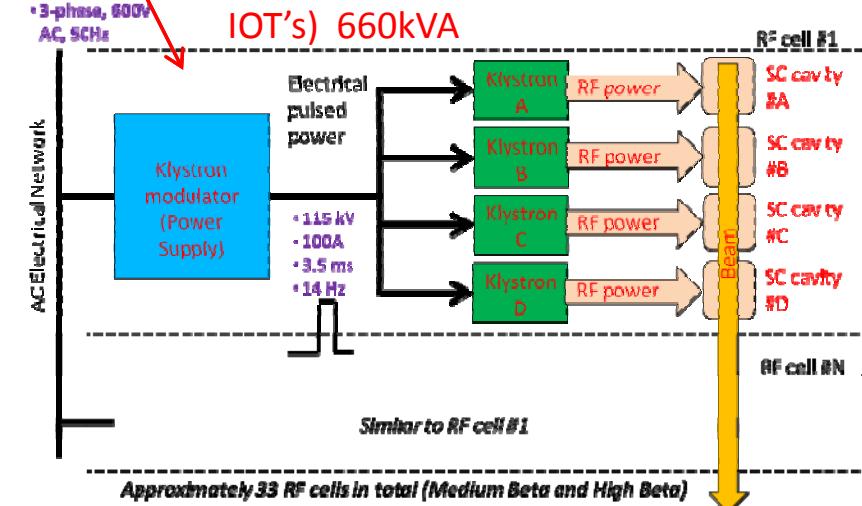
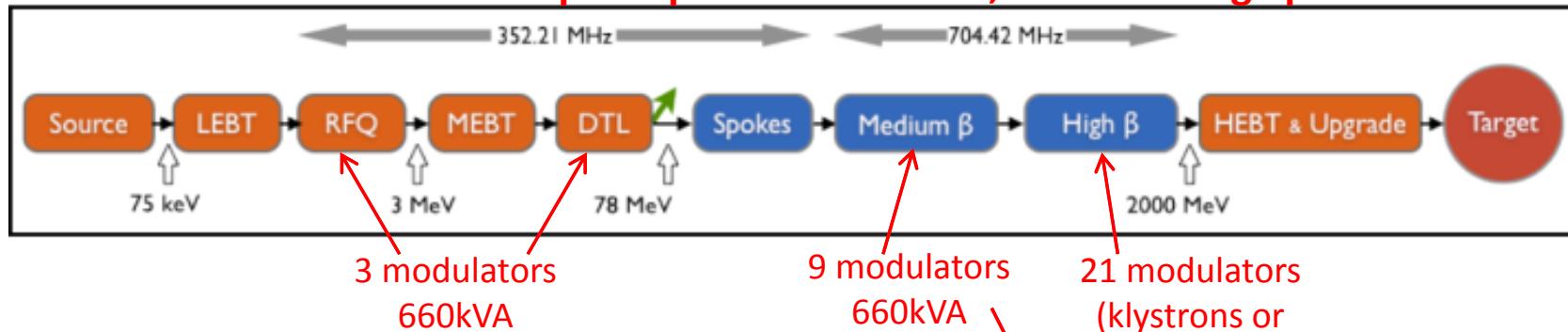


## ➤ ESS Linac layout

### Pulsed Linac:

**Beam pulse length = 2.86ms; Pulse repetition rate = 14Hz**

**Beam pulse power = 125 MW; Beam average power = 5MW**



➤ **In total ~380MWpk of installed pulse power capacity**

# Modulators for high power RF sources, inc. ESS:

## *- A challenging component to design and procure*



### ➤ Sophisticated power electronic systems

#### **1)- Multidisciplinary design:**

- Power Electronics; High Voltage; Mechanical;
- Thermal and cooling;
- Advanced control architectures and software development;

#### **2)- Complex circuits, with different topologies possible;** modulator

- Some topologies have opposite principles and construction techniques:  
(Modularity vs monolithic, redundancy, HV vs LV staging and insulation);



#### **3)- Diversified type of electronic components required;**

- HV components, Power semiconductors, Advanced control electronics, Large capacitors, etc.

#### **4)- Impacts directly on operations' safety**

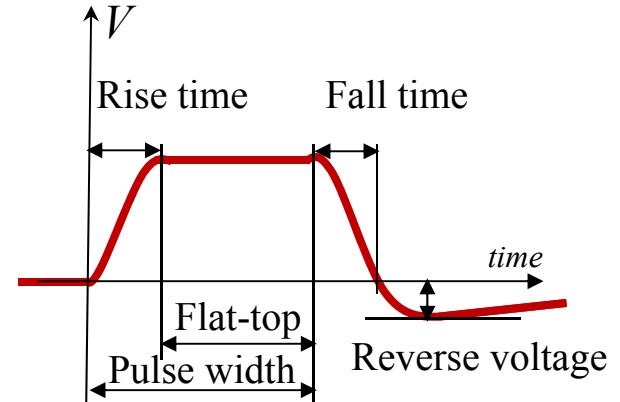
- High voltage devices (above hundred kV); High electrical short circuit power (up-to tens MVA);
- Large energy stored (up-to tens of kJ); Heavy loads to handle and transport;

# Modulators for high power RF sources, inc. ESS:

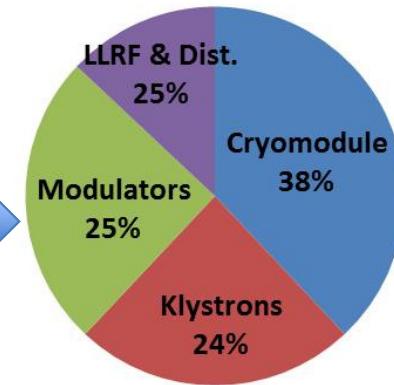
## *- A challenging component to design and procure*

### ➤ High Voltage pulse quality

- 1)- Pulse rise time and Pulse width;
- 3)- Pulse power amplitude;
- 4)- Pulse flat-top droop and ripple;
- 6)- Pulse flat-top stability (pulse-to-pulse and long term drifts);
- 7)- Maximum energy in case of arc;
- 8)- Maximum reverse voltage;



### ➤ High cost impact in the whole accelerator



- Few specialized companies worldwide, most of them SMB's (Small Medium Business)
- 1)- Engineering design capabilities;
- 2)- Construction capacity and testing capabilities;
- 3)- Quality Assurance and risk mitigation capacity;
- High impact in accelerator availability

# Modulators for high power RF sources, inc. ESS:

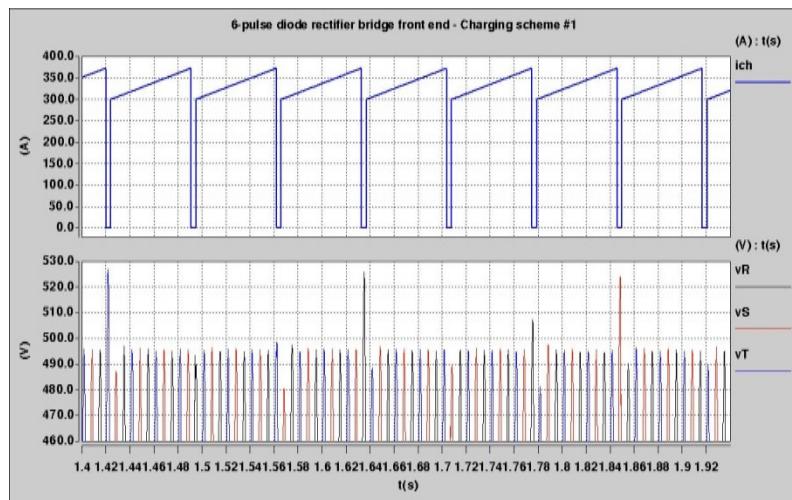
## *- A challenging component to design and procure*



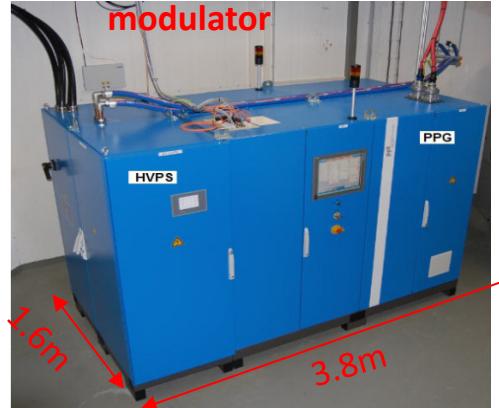
- Large footprints, impacting strongly on the sizing of the RF gallery

- AC electrical grid power quality

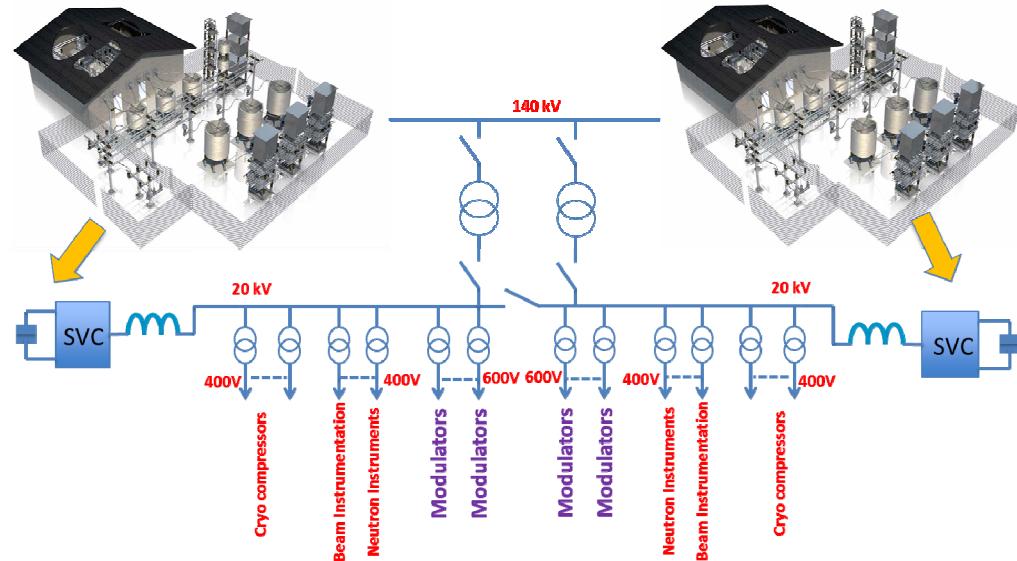
- 1)- Current harmonic distortion & Power factor (typical problematics in power electronics);
- 2)- Flicker (if pulsed with  $10\text{mHz} < \text{PRR} < 20\text{Hz}$ ) (typical of pulsed loads like in long pulsed Linacs);



If conventional charging adopted, then  $\sim 15\text{MW}$  of pulsed power at  $14\text{Hz}$  in the ESS power line



Vertical klystron



- SVC footprint (outdoors):
- SVC estimated cost :

$2 \times (10\text{m} \times 15\text{m}) = 300 \text{ m}^2$  (PROHIBITIVE !)  
 $2 \times \sim 6 \text{ M}\text{\euro} = \sim 12 \text{ M}\text{\euro}$  (PROHIBITIVE !)

# Modulators for high power accelerators:

*– A wide spectrum of business opportunities in scientific applications*



➤ Business opportunities by scientific project worldwide, over next 10 to 15 years

Accelerator project name	Country	Quantity	Average power, per unit (kVA)	Total average installed power (MVA)	Pulsed: P (pulse length in ms) or CW	Total estimated cost (M€)
ESS (Mβ+Hβ) – ESS ERIC	Sweden	33	660	21.8	P (3.5ms)	
SPL - CERN	Switzerland	90	660	59.4	P (1.8ms)	
FCC - CERN	Switzerland	600	600	360	CW	
ILC	Japan	450	150	67.5	P (1.5ms)	
X Project - Fermilab	USA	200	70	14	CW	
All projects		Total installed power = 516 MVA				~ 800

# Historic on klystron modulators development for ESS:



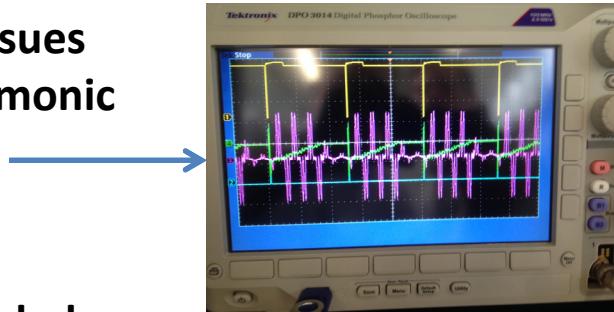
## ➤ 1<sup>st</sup> ESS modulator procurement (monolithic topology, pulse transformer based):

Rated 115kV/25A; 2.8ms/20Hz; 160kVA -> enough to power one 1.4MWpk klystron;

Procurement started in July 2011; Delivered in May 2014 (~34 months procurement time);

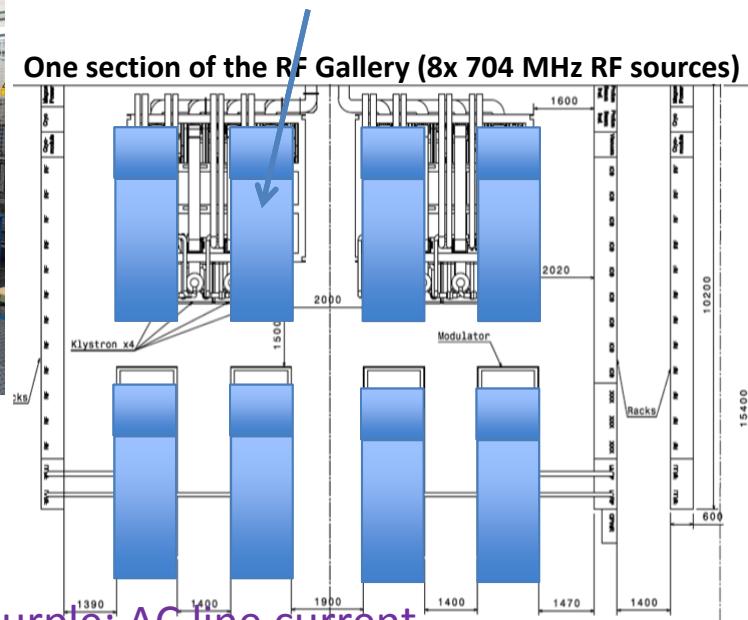
### Limited performance:

- Rise time = 350µs;
- Flat-top droop = 3%;
- Efficiency = 88%;
- Power density = 22kVA/m<sup>2</sup> (no space in RF Gallery)
- AC power quality issues (flicker, current harmonic distortion)



8 modulators per RF cell needed

One section of the RF Gallery (8x 704 MHz RF sources)



Purple: AC line current

Green: Capacitor bank voltage

### High capital cost:

- ~150 modulators needed

# Historic on klystron modulators development for ESS:



## ➤ 2<sup>nd</sup> ESS modulator procurement (modular topology, HF transformers based):

Rated 115kV/50A; 3.5ms/14Hz; 330kVA -> enough to power two 1.4MWpk klystron in //;

Procurement started in July 2014; Delivered in Dec. 2016 ? (~30 months procurement time);

### Expect. performance:

- Rise time = ~100μs;
- Flat-top droop < 1%;
- Efficiency = 89%;
- Power density = 66kVA/m<sup>2</sup>
- Good AC power quality: Active Front End;
- Reliability concern due to huge number of components and limited design margins in HV

### Still high capital cost:

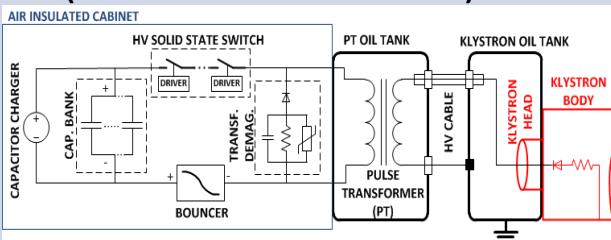
- ~75 modulators needed

# Pulsed modulators for non gridded tubes (i.e. klystrons):

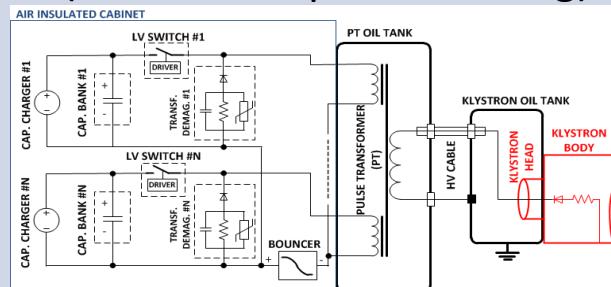
*- main topologies (modulators having been fully assembled and tested)*

## “Pulse” transformer based

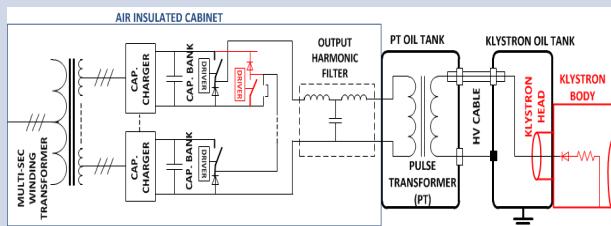
- Pulse transformer based (ver. 1-monolithic PT)



- Pulse transformer based (ver. 2-multi prim. winding)

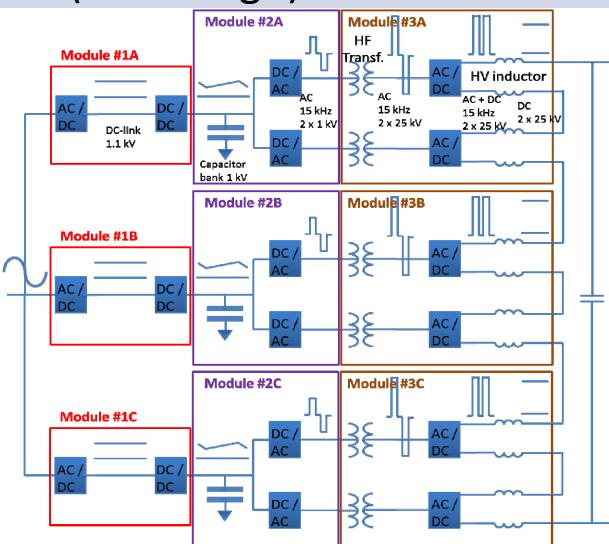


- Pulse transformer based (ver. 3- stacked converters)

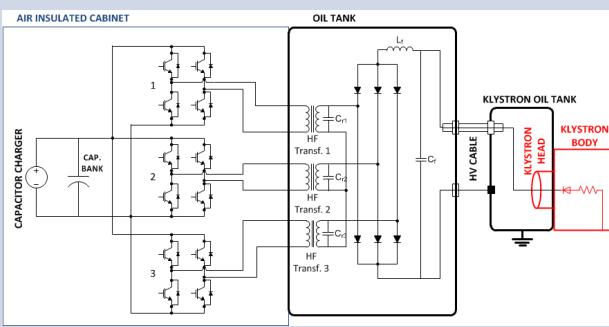


## “HF” transformer based

- Stacked Multi-Level (ESS design)

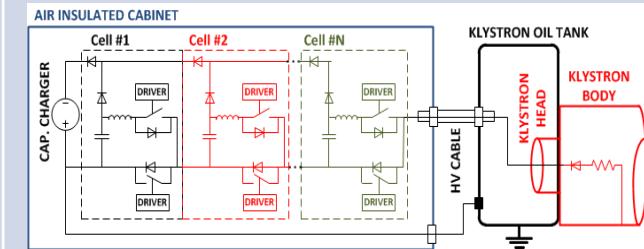


- Resonant polyphase

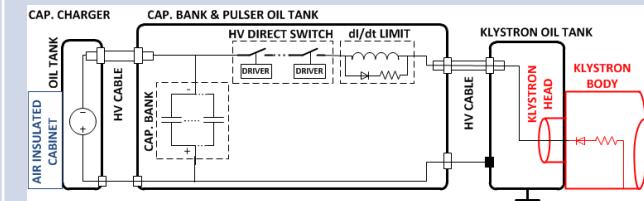


## Transformerless

- Marx generator



- Direct switch

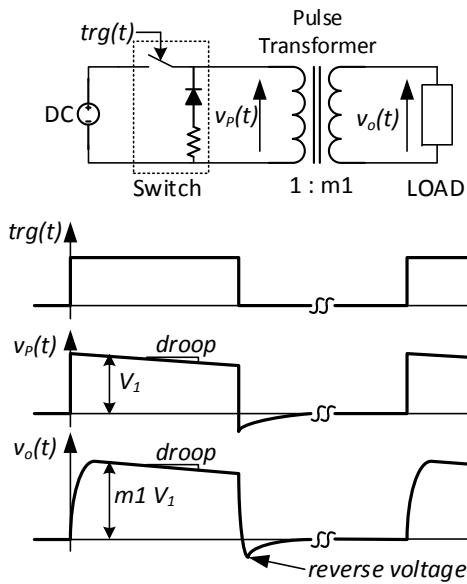


# Pulsed modulators for klystrons:

*- the two most common topologies (on my view)*

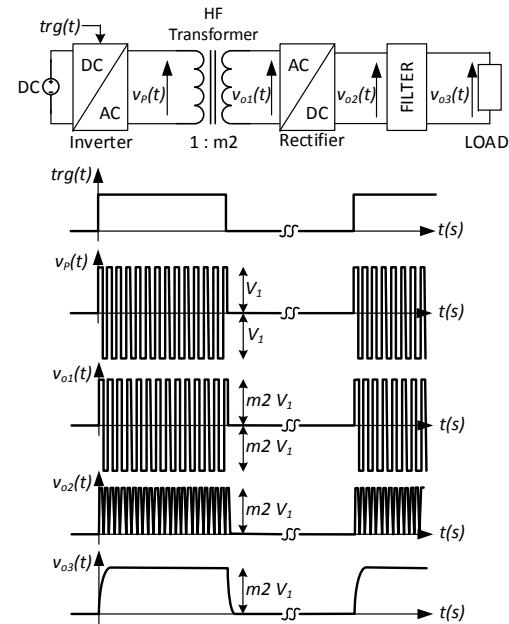


Topology 1: Pulse Transformer based



Topology 2: HF transformer based

HV HF  
transformer +  
AC/DC + filter



LV DC/AC  
Inverter

# Pulsed modulators for klystrons:

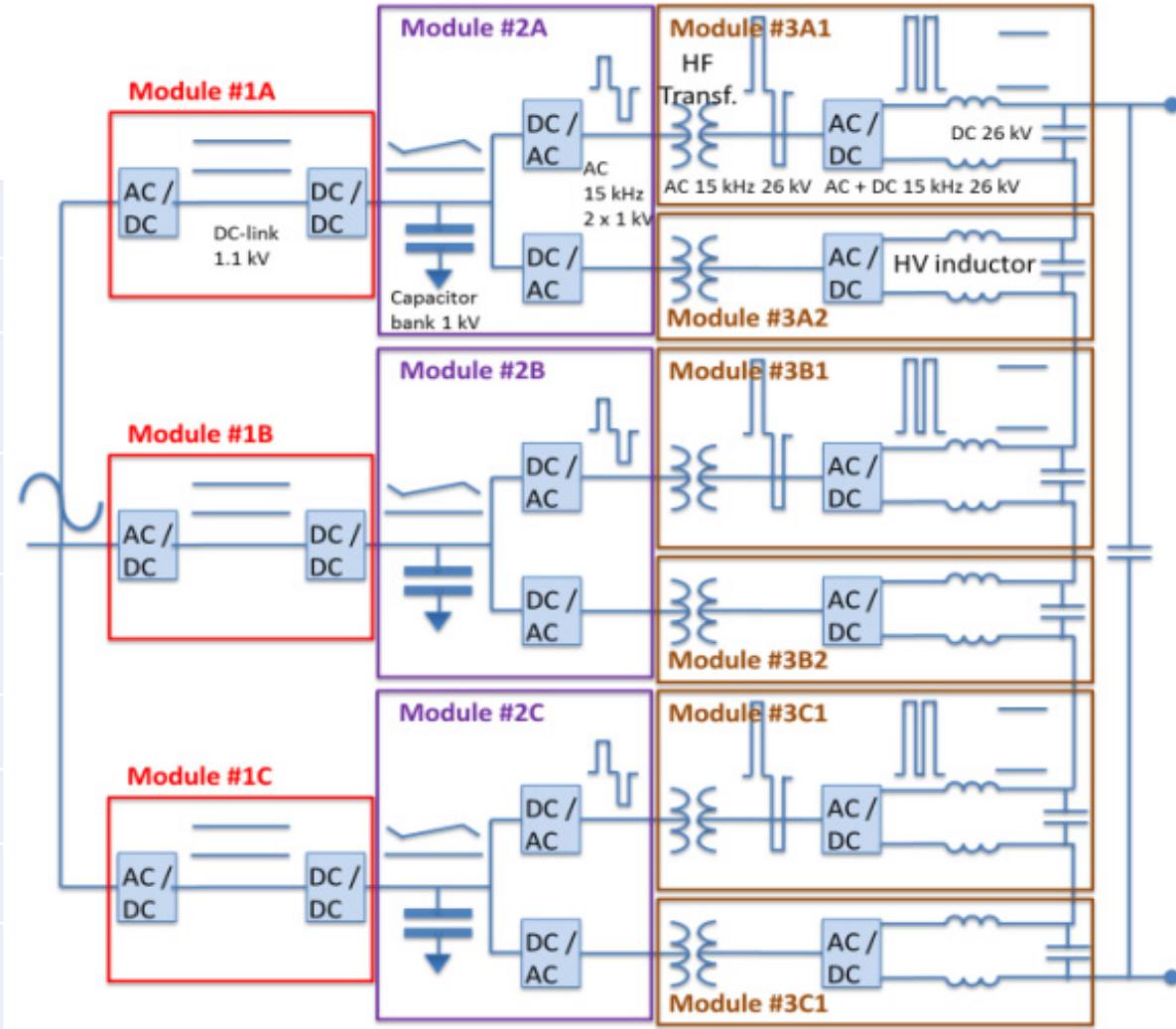
- Topology based on HF transformers.

The ESS Stacked Multi-Level (SML) modulator



Ratings: 115kV / 100A;  
pulsed at 3.5ms/14Hz

Max. pulse rise time (0..99%)	120µs
Max. pulse overshoot	2% of $U_N$
Max. pulse reverse (backswing) voltage	10% of $U_N$
Max. voltage droop on pulse flat-top	1% of $U_N$
Max. voltage ripple on pulse flat-top	0.15% of $U_N$
AC grid line voltage	600V
Max. flicker on AC grid	0.3% of $U_N$
Max. current THD on AC grid	3%
Min. efficiency, AC grid to HV output	91%



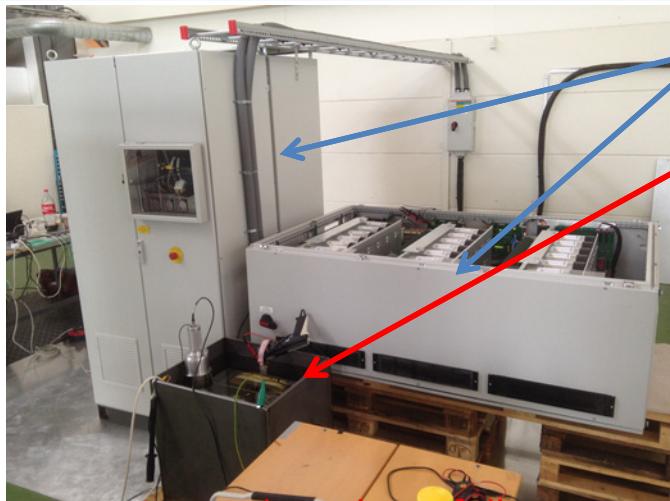
# SML modulator :

## - *Design and prototyping*

( prototype rated:  $115\text{kV}/20\text{A}$ ;  $3.5\text{ms}/14\text{Hz}$  )



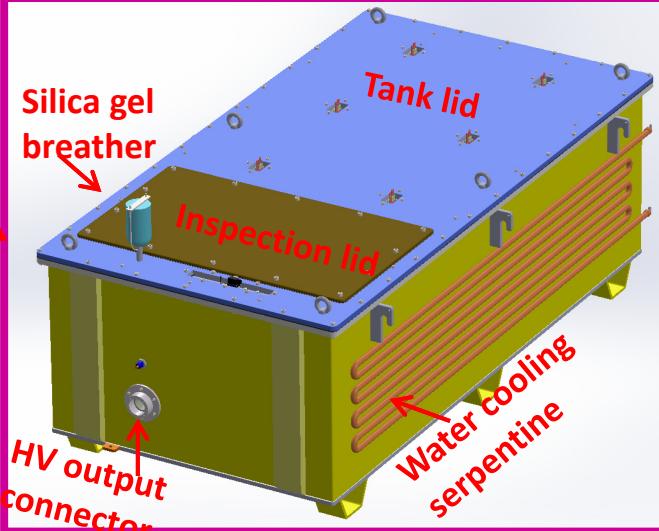
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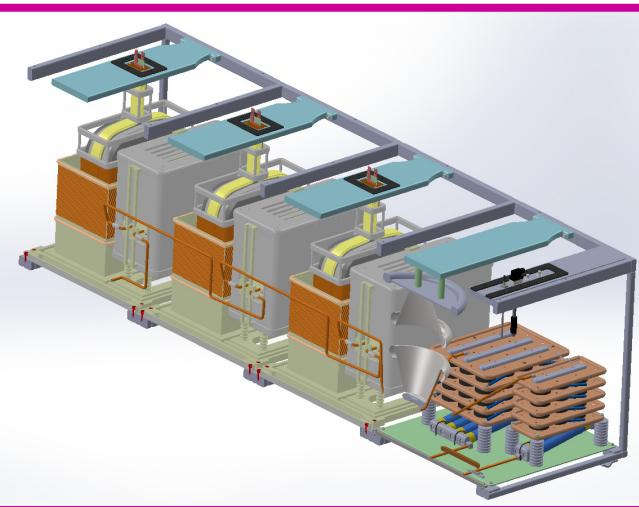
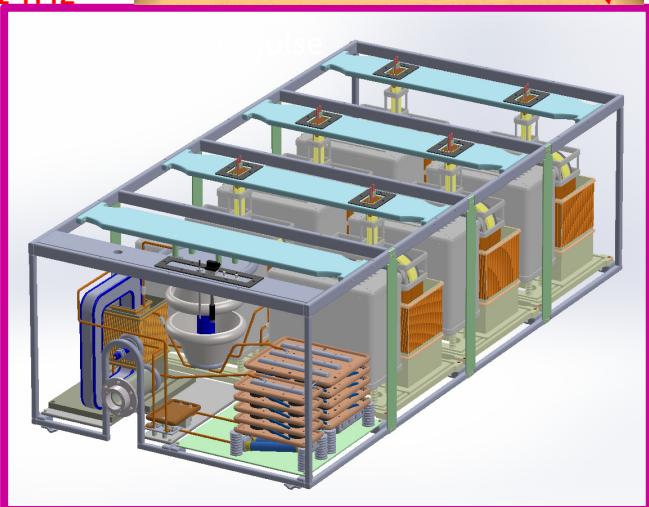
Part I – Low voltage  
power converter  
Part II – HV modules  
in oil tank



Experimental results at full power:  
Pulsed at  $20\text{kV}/20\text{A}$ ,  $3.5\text{ms}/14\text{Hz}$

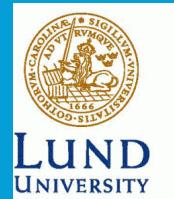


HV module prototype in  
assembly workshop at LTH



# SML modulator :

- ***Testing of the reduced scale prototype***  
*( prototype rated: 115kV/20A; 3.5ms/14Hz )*

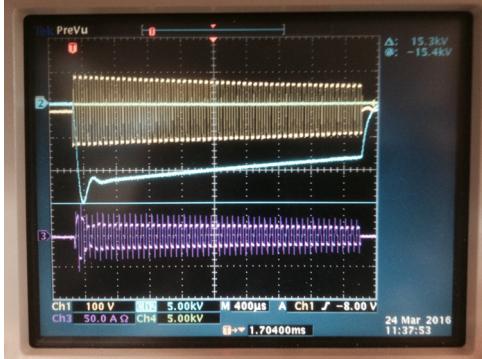


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1. HV oil tank assembly received at test stand - 4<sup>th</sup> March 2016



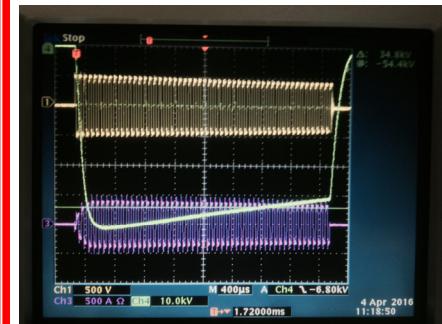
2. Preliminary testing of HV oil tank assembly at low voltage - 24<sup>th</sup> March 2016;



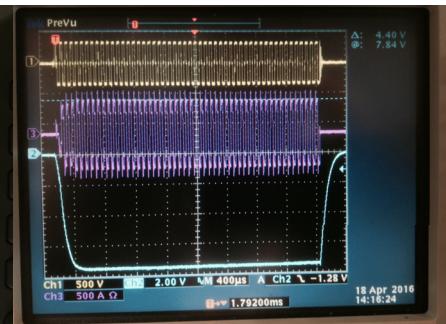
- 3 & 4 Testing of complete system



3. 1<sup>st</sup> testing at High Voltage - 4<sup>th</sup> April 2016



4. 90kV with droop comp. - 18<sup>th</sup> April 2016



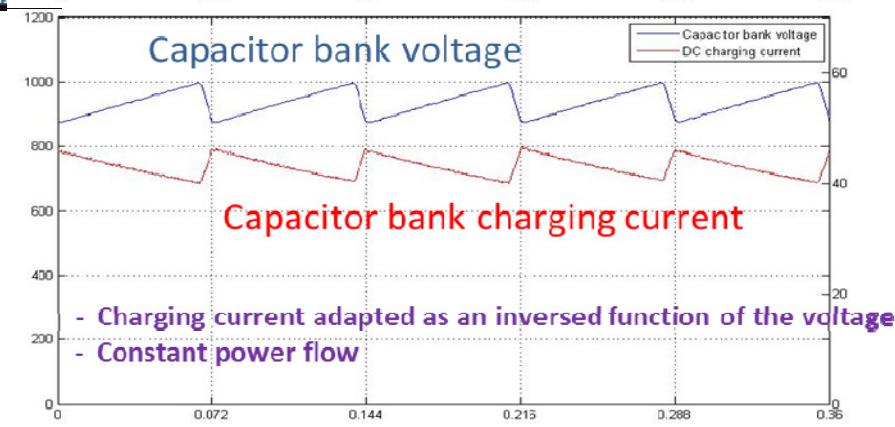
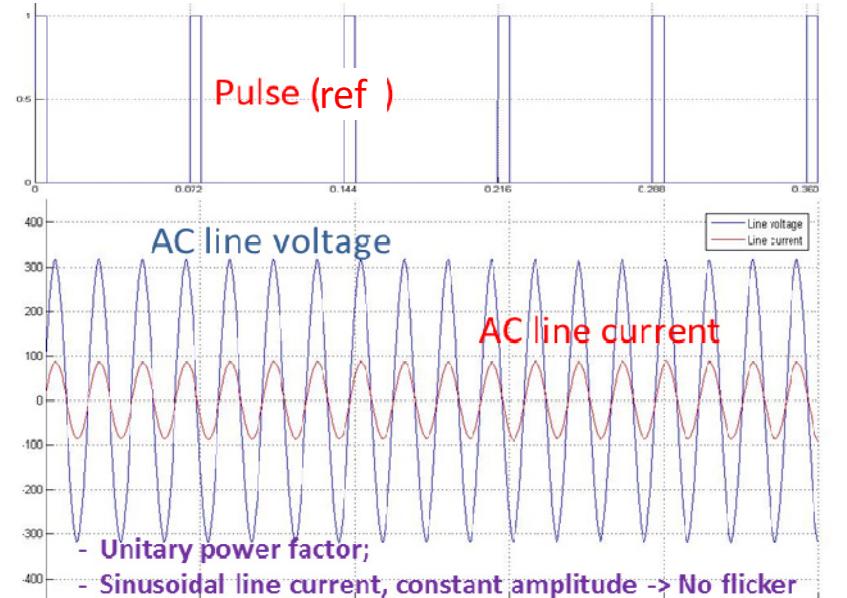
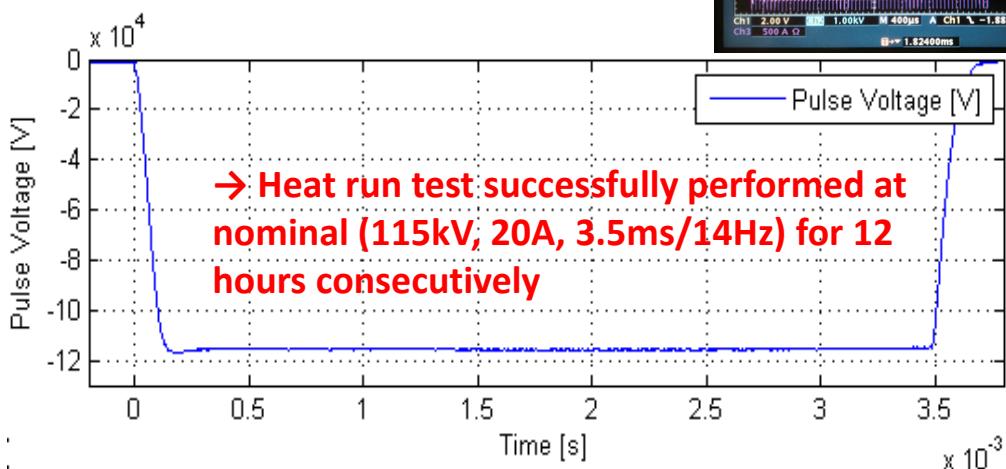
# SML modulator :

- *Testing of a reduced scale prototype*  
( prototype rated: 115kV/20A; 3.5ms/14Hz )



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## Integrated test of complete system (Part I + Part II)

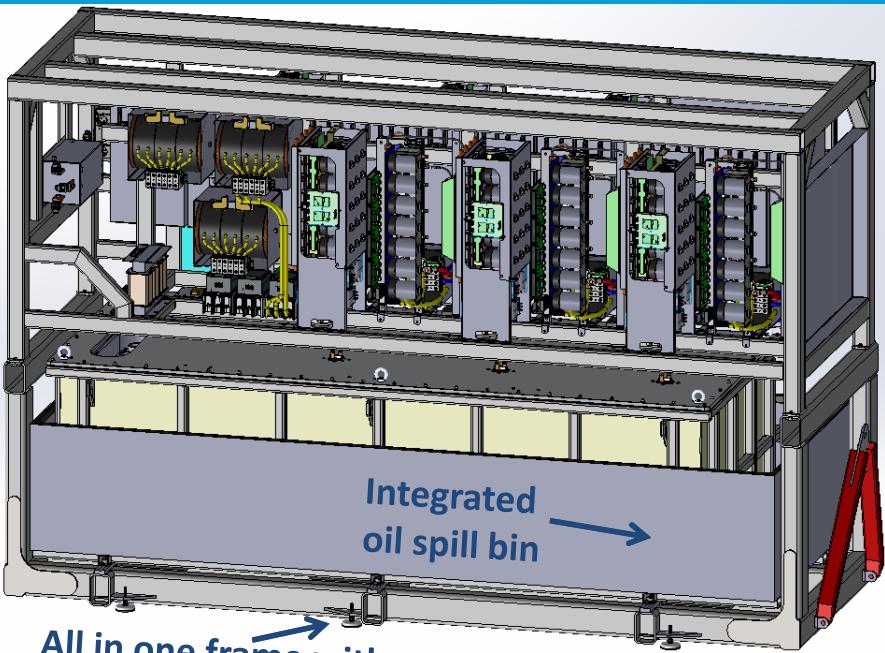


# SML modulator, Full Scale design (660kVA)

- Supply of  $4 \times 1.4 \text{ MW}_{pk}$  klystrons in parallel

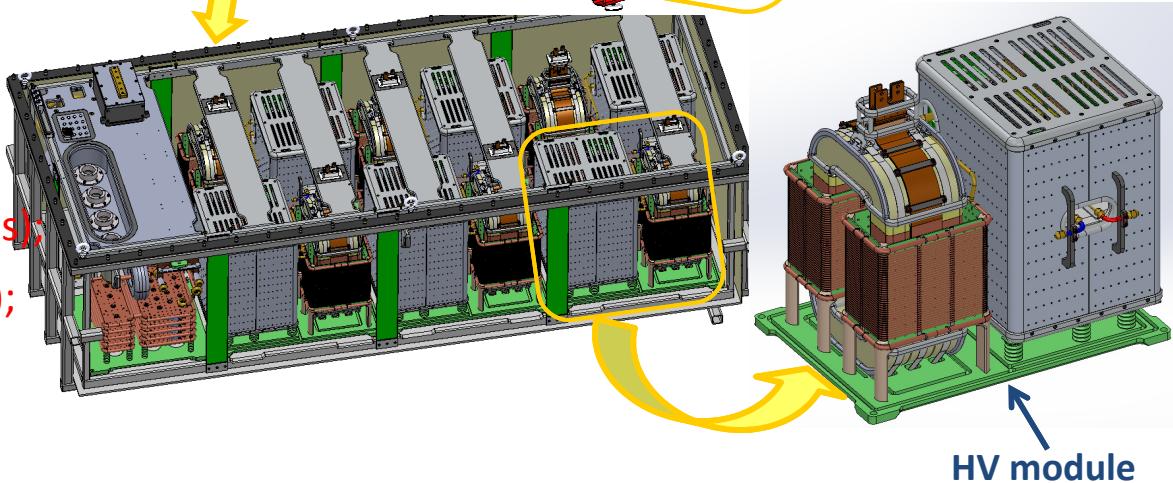
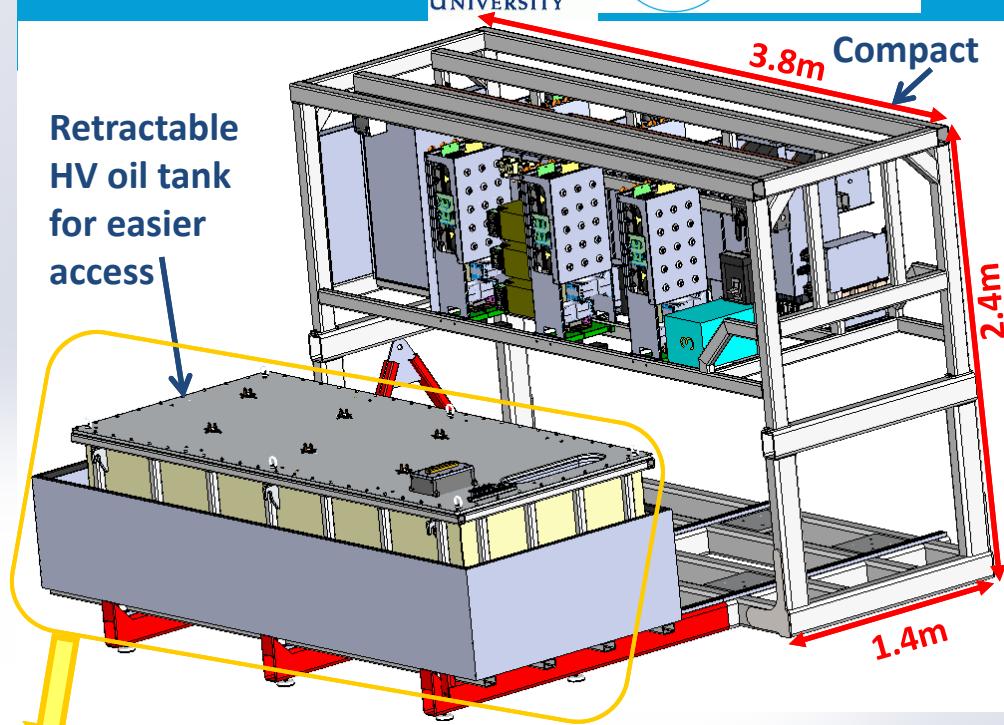


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Integrated  
oil spill bin

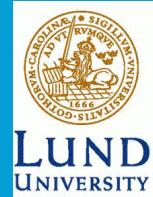
All in one frame with permanently  
mounted wheels for easy transportation



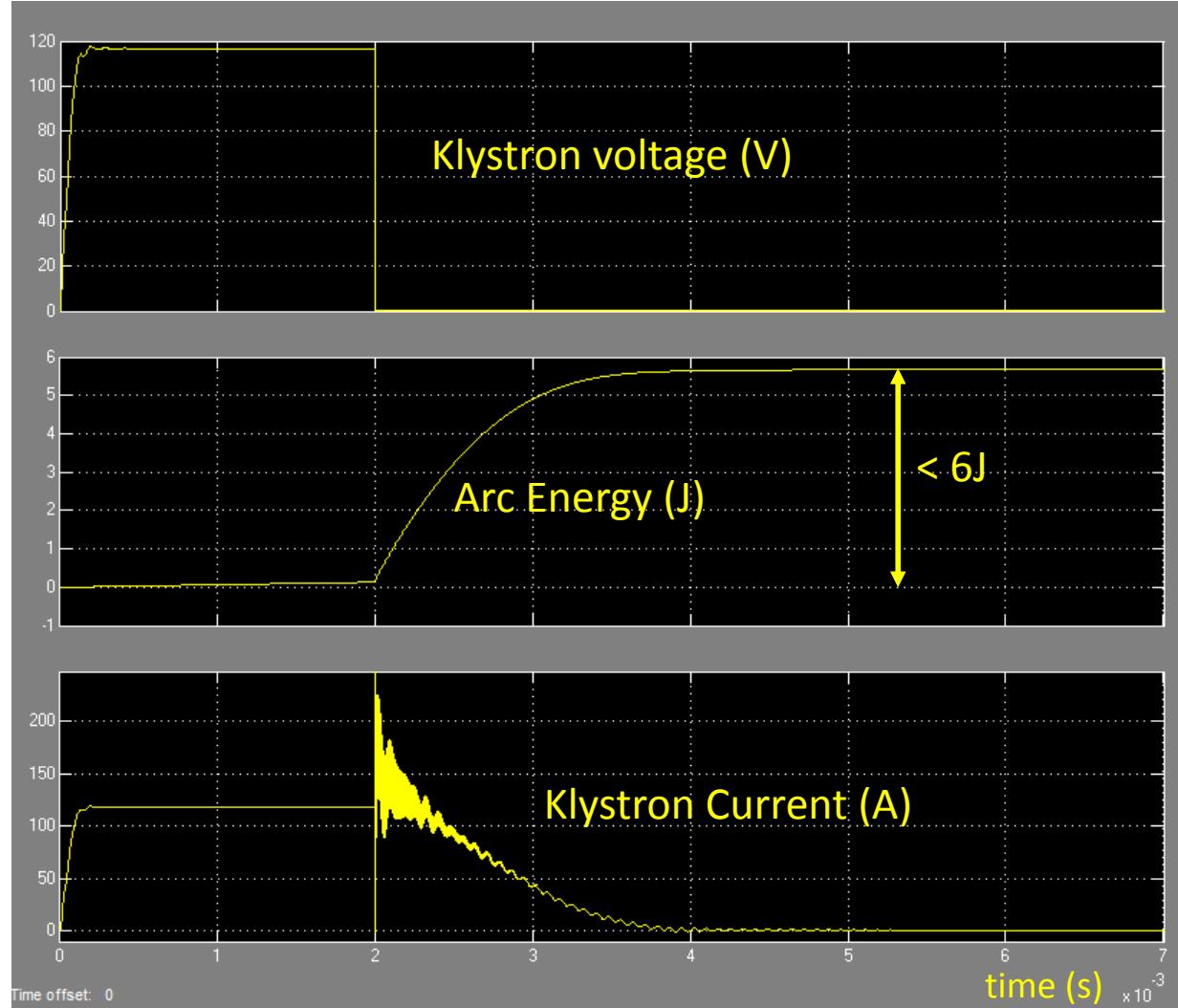
- Total footprint: 3.8m x 1.4m  
(planned was 5.5m x 1.6m, i.e. 40% less);
- Total weight: < 5.5 tons (without oil);
- Total volume of oil: ~ 2000 liters;

# SML modulator, Full Scale design (660kVA)

## - Arc simulation in a klystron



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# The Stacked Multi-Level (SML) modulator: - Keypoints



- ❑ Modular concept, allowing the increase of rated power by adapting the number of modules. Facilitates maintenance;
- ❑ Up to 660 kVA average power possible, allowing the supply of 4 x 1.4MW klystrons / IOT's in parallel;
- ❑ Power density in the order of 124 kVA/m<sup>2</sup> (~5.6x higher than ESS 1<sup>st</sup> mod.; ~1.9x higher than ESS 2<sup>nd</sup> mod.)
- ❑ Compatible both with PULSED and CW operations and with different types of RF amplifiers (Klystrons, IOT's, tetrodes, etc.);
- ❑ Lower cost due to limited component count and usage of standard LV components into a great extent. Less modulators needed for the project (33 in case of ESS);
- ❑ No active power electronic devices inside oil tanks (facilitates maintenance);
- ❑ Improved efficiency (~92%), due to minimal number of conversion stages;
- ❑ Good pulse quality (~120µs rise time 0..99%, <0.17% flat-top ripple, <1% voltage droop);
- ❑ Good AC grid power quality. AC/DC + DC/DC allow constant power absorption:
  - flicker-free operation (<0.3%), sinusoidal current absorption (THD < 5%), unitary power factor (>0.98);