# **CLIC CONCEPTUAL DESIGN AND CTF3 RESULTS**

D. Schulte, CERN, Switzerland, for the CLIC Collaboration

### Abstract

The international CLIC collaboration is carrying out an extensive R&D programme to prepare a multi-TeV electron-positron collider. This year the collaboration will produce a conceptual design report (CDR) to establish the feasibility of the technology. The CLIC concept will be introduced and the status of key studies of critical issues will be discussed, with a focus on the CLIC Test Facility 3 (CTF3), which is a small scale facility to produce and use a high current drive beam.

#### **OVERVIEW**

Fundamental CLIC parameters can be found in table 1, the conceptual layout in Fig. 1; more detail is given in [1] and the CDR, which will be released soon.

The main (colliding) beams are produced in conventional electron and positron sources and accelerated to about  $2.8 \, {\rm GeV}$ . The beam emittances are reduced in a predamping ring followed by a damping ring. In the ring-tomain-linac transport system (RTML) the beams are compressed longitudinally and accelerated to  $9 \, {\rm GeV}$ . The main linac uses  $100 \, {\rm MV/m}$ ,  $12 \, {\rm GHz}$ , normal conducting accelerating structures to achieve the final beam energy. In the beam delivery system (BDS) the beam is cleaned by collimation and compressed to the tiny size at collision.

The necessary RF power in for the main linac accelerating structures is extracted from a high-current, low-energy drive beam that runs parallel to the colliding beams and is generated in a central complex.

The most important design challenges of CLIC will be discussed in the following:

- The main linac gradient; an issues of the accelerating stuctures.
- The two beam concept, which is essential to provide the main linac RF power; i.e. the drive beam generation, PETS (power extraction and transfer structures), two beam module and the drive beam deceleration.
- The ultra low beam emittances and sizes to reach high luminosity. In particular alignment and stabilisation of the main linac and BDS components.
- The machine protection system.

The experimental conditions are also crucial, but will not be covered in the paper since the evaluations are still ongoing. It should be noted that the CLIC parameters are the result of a full cost optimisation [2], which took into account reasonable contraints on the RF structures and the beam dynamics. Hence, a priory we expect to be able to achieve the required performances.



Figure 1: Conceptual layout of CLIC.

Table 1: Fundamental CLIC Parameters. The luminosity quoted is within 1% of the nominal centre-of-mass energy.

Centre-of-mass energy	3	TeV
Luminosity	$2 \times 10^{34}$	$\mathrm{cm}^{-2}\mathrm{s}^{-1}$
particles per bunch	$3.72 \times 10^9$	
horizontal IP beam size	$\approx 40$	nm
vetical IP beam size	$\approx 1$	nm
bunches per pulse	312	
bunch separation	0.5	ns
pulse rate	50	$s^{-1}$

### MAIN LINAC GRADIENT

Each main linac contains about 70000 23 cm-long accelerating structures, the total ratio of active length to linac length is almost 80%. The structure design has been carefully optimised using empirical constraints to achieve a gradient of 100 MV/m [3]. The main limitation arises from so-called breakdowns, i.e. sparks that can occur in the structure during the RF pulse, which can give transverse kicks to the beam. The kick size will be measured in CTF3. Typically the breakdown probability p increases with the gradient G and pulse length  $\tau$  as  $p \propto G^{30}\tau^5$  [5]. We conservatively assume that a single breakdown in a main linac structure renders the beam pulse useless for luminosity. This should happend only in 1% of the beam pulses at the target gradient of 100 MV/m, which results in a target breakdown rate of  $\leq 3 \times 10^{-7}$  m per pulse.

Four designs are tested: T18, TD18, T24 and TD24. TD24 corresponds to the CLIC structure; T24 is simplified by the absence of the damping wave guides. T18 and TD18 correspond to an earlier, less developed design,

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Figure 2: Gradient and breakdown rate achieved with different CLIC structures [4]. The actual measurements are marked with squares, the expected breakdown rate for the nominal pulse length with circles and the expected gradient for the nominal breakdown rate with crosses.

which would be less efficient; again "D" indicates the presence of damping waveguides. At SLAC and KEK [6] klystrons with 11.424 GHz are used; and the structures that have been simply scaled in all dimensions to the klystron frequency. At CERN 12 GHz power can be produced in the CTF3 two-beam test stand (TBTS) and soon with a klystron. However, in TBTS the currently low repetion rate does not allow to fully condition the structure.

The tests have been performed with pulse lengths and breakdown rates similar to the CLIC parameters. The measurement data is shown in Fig. 2 together with the expected CLIC gradients, obtained by scaling the pulse length and breakdown rate to the the CLIC values using the above scaling formula. Two T18 (built at SLAC and KEK) achieved an unloaded gradient of about  $105 \,\mathrm{MV/m}$ ; it is not understood why the third CERN-built structure performs less. The two TD18 achieved an average gradient of about 87 MV/m. The T24 achieved an unloaded gradient above  $120 \,\mathrm{MV/m}$ ; while first tests of the TD24 failed, due to an erroneous installation. The input power for the  $120 \,\mathrm{MV/m}$  unloaded gradient in T24 is the same as for  $100 \,\mathrm{MV/m}$  in the loaded case. A dedicated experiment in planned in CTF3 to verify the breakdown rate for the latter, which might be lower than unloaded since the power flow in the structure is reduced.

### **TWO BEAM SCHEME**

The RF frequency of the drive beam accelerator (DBA) is 1 GHz. The injector produces a 140  $\mu$ s-long electron beam pulse; switching from filling odd to filling even buckets (and vice versa) every 240 ns. Either a thermionic or an RF source could be used. The DBA accelerates the beam to about 2.4 GeV with an RF to beam efficiency of 97%. An  $_0.5$  GHz RF deflector separates the 240 ns-long sub-pulses and sends every other into a delay loop, so that its bunches can be interleaved with those of the next undelayed sub-pulse. This produces a sequence of 240 ns-long sub-pulses



Figure 3: Layout of CTF3.



Figure 4: The drive beam combination by a factor eight in CTF3. The blue, green and red line show the current before, in and after the delay loop. The black line is the current in the combiner ring, showing the build-up turn by turn.

spaced by 240 ns-long gaps. Three of these sub-pulses are merged in the first combiner ring and subsequently four of the new sub-pulses in the second. Thus each of the 24 final sub-pulse have 24-times the initial current and only 2.5 cm bunch spacing. Each will feed one drive beam decelerator in the main linac. This scheme allows a total compression of the drive beam power by a factor 576.

To demonstrate the two-beam scheme, CTF3 has been constructed and commissioned at CERN; the layout is shown in Fig. 3 and fundamental parameters in 2. This programme is close to completion. CTF3 consists of a drive beam source, the drive beam accelerator operating at 3 GHz, the delay loop and one combiner ring. This allows to increase the initial beam current by a factor eight. The produced drive beam can be used in the two-beam test (TBTS) stand, which also includes a probe beam that simulates the CLIC main beam. Alternatively it can be sent into the test beam line (TBL), which is a small decelerator.

### Drive Beam Production

The drive beam accelerator of CTF3 accelerates routinely a current of about 3.5 A. It has shown full beamloading, in which case 95% of the RF that is coupled into the accelerating structure is transmitted to the beam [9]. Using the delay loop and the combiner ring, the beam combination by a factor eight has been demonstrated, yielding a

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parameter	unit	CLIC	CTF3
accelerated current	Α	4.2	3.5
combined current	Α	101	28
accelerated pulse length	$\mu { m s}$	140	1.6
final pulse length	ns	240	140
acceleration frequency	GHz	3	1
final bunch frequency	GHz	12	12

 Table 2: Typical CLIC and CTF3 Drive Beam Parameters



Figure 5: Pulse current variation measured at the end of the CTF3 drive beam linac.

current of up to 29 A [8]. Figure 4 shows the combination for a slightly smaller final current.

In CLIC, the normalised beam emittance targets at the entrance of the decelerator are  $\epsilon_{x,y} \leq 150 \,\mu\text{m}$ . At the end of the CTF3 linac one routinely achieves  $\epsilon_{x,y} \approx 50 \,\mu\text{m}$  [10]. This confirms that drive beam accelerator wakefield effects are small as predicted by simulations. After the combination system a single bunch emittance of  $\epsilon_{x,y} \approx 60 \,\mu\text{m}$  has been observed for a single turn in the combiner ring. For more turns the beam emittance increases in the horizontal plane up to  $140 \,\mu\text{m}$  and the bunches that made a different number of turns in the combiner ring are not on the same orbit, which increases the emittance of the total pulse. It is planned to tune the ring to remove this problem until the end of the year [10].

CLIC has very tight requirements for the phase and amplitude stability of the drive beam. In the DBA, the RF RMS phase jitter tolerance is  $0.05^{\circ}$  for a constant error along the whole drive beam train [11] and 0.2% for the RF amplitude. In CTF3, an RF phase jitter of 0.035° has been measured with respect to the external reference for a good klystron [11]. The power stability has been 0.21%. The required CLIC beam current stability is 0.075%. Measurements at the end of the CTF3 drive beam linac, showed an RMS pulse-to-pulse jitter of 1.5% [11] and after adding a pulse-to-pulse feedback 0.054% [12]. The current stability during the pulse could not be measured, since the resolution of the instrumentation has not been good enough. An upper limit of about 0.2% charge variation for each 10 nsslice of the pulse has been found. The evaluation of the current jitter after the beam combination system remains to be done, once the operation of these systems has been optimised.

# PETS

The 48 drive beam decelerators each contain about 21.3 cm-long 1500 PETS. They have an aperture of 23 mm and each produce about 130 MW RF power, which feeds two main linac accelerating structures. Details can be found in [13].

Since individual accelerating structures or PETS could break down at a lower than nominal gradient or output power, it is mandatory to be able to switch off individual PETS or even better to control their output power. Otherwise the current in the concerned decelerator would have to be reduced to the level acceptable for the weakest structures thus severely compromising the overall performance. A mechanism has been developed to control the PETS power build-up [14], which allows to control the output of each individual PETS.

A PETS has also been tested at SLAC using klystrons; this prototype did include damping material or but not the on-off mechanism. An input coupler for the klystron power had to be integrated in this PETS, which could compromise the RF performance. Since the pulse power varied during the tests, only those exceeding the CLIC target have been considered for the statistics. The average power and pulse length have been 7% and 10% higher than nominal, respectively. After some conditioning, the PETS ran for 80 hours with no breakdown. Based on this an expected breakdown rate of less than  $2.4 \times 10^{-7}$  m<sup>-1</sup> per pulse has been estimated [15], which is not far from the CLIC target of  $1 \times 10^{-7}$  m<sup>-1</sup>. Significantly more testing time will be needed to more precisely determine the breakdown rate.

### Two-beam Acceleration

The TBTS currently consists mainly of one PETS, one accelerating structure and the necessary instrumentation. A full two-beam module will be installed later, followed by a string of modules. The CTF3 drive beam generates power in the PETS and a test beam can be sent through the accelerating structure. Since the drive beam current is lower in CTF3 than in CLIC recirculation is used. A part of the output power of the PETS is injected at the PETS entrance, which seeds the produced RF and increases the output power at the cost of a reduced pulse length at full power.

Gradients up to 145 MV/m have been achieved in the TBTS [16]. The deceleration of the drive beam, the RF power measured and the probe beam acceleration are all consistent, also with the theoretical predictions.

# Drive Beam Decelerator

The CLIC decelerator will decelerate the beam from 2.4 GeV to 0.24 GeV. It is mandatory to achieve small losses and avoid any instability. Simulations of the decelerator have been performed to study the drive beam stability and the impact of static and dynamic imperfections. They show that the beam remains stable even if the wakefield

Table 3: Normalised Main Beam Target Emittances in CLIC

	$\epsilon_x [\mathrm{nm}]$	$\epsilon_y [\mathrm{nm}]$
Damping ring exit	500	5
RTML exit	600	10
main linac exit	660	20

damping is less efficient than expected and that alignment tolerance are less stringent than for the main linac [17].

In CTF3 a test beam line (TBL) is being constructed to test this deceleration. It contains 4 PETS and has space available for 16. In September it will be upgraded with an additional 4 PETS and early next year with another 4.

The initial TBL beam energy (120 MeV) is much smaller than even the final CLIC decelerator energy (240 MeV). The resulting larger beam size will limit the maximum deceleration. The highest beam current in TBL sofar has been 19 A, leading to the expected output power of 60 MW per PETS. The beam deceleration of 10–11 MV is corresponds to the expectation [18]. The optics has been understood and the beam can be transported without losses, within the limitation of the current monitor accuracy.

### LUMINOSITY

CLIC has very small target normalised transverse emittances, see table 3. They are generated in the damping rings (DRs), which use strong wigglers. The emittance is a factor 7 in the horizontal vertical plane then that achieved in ATF (Accelerator Test Facility) at KEK and a factor 3 in the vertical [19]. Even with the ATF emittances, CLIC would already reach 40% of the nominal luminosity. Detailed simulation studies of the damping and intra-beam scattering in the CLIC DR lattice design show that the target performance can be reached with some margin [20]. Also other effects, e.g. electron cloud build-up and fast beam-ion instability, have been studied but cannot be covered here.

Emittance budgets have been defined for the RTML and the main linac for the design, the static and dynamic imperfections. In the BDS the beam develops tails, hence the performance budget has been defined in terms of the luminosity: with no imperfections in the BDS and the target emittance the luminosity would be 20% larger than nominal. For the whole beam tranport lines from the damping rings to the collision point lattices exist. Simulations show, that the machine would yield  $5 \times 10^{34} \,\mathrm{cm}^{-2} \mathrm{s}^{-1}$  with no imperfections [21].

# Survey and Beam-Based Alignment

Transverse misalignments of the main linac and BDS components are the main source of static emittance dilution. The survey reference system consists of overlapping wires that run along the machine. The beam line elements are mounted on girders—some sharing one girder. The girders measure the offset to the wires with sensors and can be moved with motors. The beam position can be measured with high resolution beam position monitors (BPMs) at each quadrupole. Also each accelerating structure contains a wakefield monitor [22].

The main linac performance target is a vertical emittance growth of less than 5 nm with a probability of 90%. Simulations have been performed using a detailed model of the mechanical pre-alignment. Dispersion free steering (DFS), which minimises the orbit of the nominal beam and its difference to off-energy beams, is used to correct the dispersion by moving BPMs and quadrupoles. The structure supporting girders are aligned to the beam minimising the signal in the wakefield monitors. The performance target has been clearly met [23]. Tests of the DFS are planned in FACET [24].

The target for the BDS is to achieve 110% of the nominal luminosity with 90% probability, in presence of static imperfections and starting with beam emittances from the main linac correspoding to table 3. An RMS misalignment of 10  $\mu$ m is assumed for all components, which is close to the main linac accuracy. Beam-based alignment is used followed by optimisation of tuning knobs that change the beam properties at the IP. Currently, 70% of the simulated machines reach the target of 110% and 90% reach at least 90% [25]. The algorithm will be tested in ATF2.

The alignment system will be integrated in the TBTS. The most difficult challange is to achieve the  $10 \,\mu\text{m}$  accuracy from the wire to the reference point of the girder. First tests used reference plates each with two wire sensors and a hydrostatic leveling system sensor. In the vertical, the plate position has been determined by averaging the three measurements. The RMS deviation of the wire sensor measurements from those positions has been  $11 \,\mu\text{m}$  [26]. This indicates an accuracy of  $13.5 \,\mu\text{m}$ .

### Component Stabilisation

The main beam is very sensitive to magnet motions in the main linac and BDS, due to—site dependent—ground motion or technical noise. We focused on the former, since the latter can also be addressed by component design, which we plan to do in the future. As a conservative benchmark, we use a ground motion model based on measurements of the CMS experimental hall floor [27], which includes some technical noise.

The main linac and BDS magnets are equipped with active stabilisations systems, which use motion sensors and piezo-electric actuators controlled by a local feedback/feed-forward system [28]. A prototype system has been developed and the transfer of the ground motion to the magnet has mreadsured and compared to simulations with reasonable agreement. Based on the results of the first simulation studies, an improved system concept has also been developed, which will be constructed in the future. The final quadrupoles are mounted on a large conrete block that is supported by airsprings [29], since they are

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most sensitive to motion. The different transfer functions are implemented our the simulation code.

The beam-based orbit feedback uses an optimised controller and is based on the main linac and BDS correctors and BPMs [30]. A beam-beam feedback achieves subnanometre resolution by measuring the deflection in the collision.

The luminosity budget for dynamic imperfections is about 20%. Simulations show that 13% of this budget is used assuming the calculated curve of the prototype stabilisation and beam-based feedback. The improved stabilisation system will loose only 3%. It is explored whether ground motion sensors can be used at ATF2 to fully determine the beam orbit jitter pulse-to-pulse [31].

# MACHINE PROTECTION AND OPERATION

A basic machine protection system concept has been developed [32]. The beam interlock system will switch the beam off, if the previous pulse has been bad or if an equipment failure is detected between pulses up to 2 ms before the next pulse. Very fast failures will need an inherently robust design.

One of the most critical failures is a large energy error of the main beam at the end of the main linac, e.g. due to failure of one drive beam sector [33]. The beam delivery system and the detector are protected against these failures by the energy collimation system, which has been designed with the intention to allow for the impact of a full beam train with no damage [34]; studies remain ongoing.

A first start-up procedure for the drive and main beam has also been defined based on CTF3 experience. The operation of CLIC at different centre-of-mass energies has also been explored concluding that one could expect the luminosity to scale roughly with  $\sqrt{s}$  [35].

### **CONCLUSION AND OUTLOOK**

The CDR will document the current CLIC conceptual design and feasibility. The first two volumes will be available as drafts end of this year and cover the machine, physics and detectors. The third volume will contain the executive summary and the plans. For the project preparation phase, which should last until 2016, workplans and -packages have already been developed, which will be finalised this year. After 2016, the project implementation can start.

In the past, the CLIC study has focused on the concept of a machine at 3 TeV to prove the feasibility to reach such a high energy. Limited effort has been put into the concept of a 500 GeV machine. It is therefore timely to develop a staged approach to the project that takes the physics findings—in particular of the LHC—into account.

A first stage of such a scenario could for example cover the Higgs—provided a low mass Higgs is found at the LHC—and the top followed by two stages at higher energies to explore super-symmetry. Optimisation of the machine performance, cost and schedule will be required for each stage as well as for the overall project; and a strategy will need to be devised how the physics findings can be used to define the project stages.

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