

FEL SIMULATION USING LIE METHOD

Advances in FEL Simulation

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Outline

- 1 Introduction
- 2 Generalize WPA
 - How?
 - Review : Perturbative Lie Map
 - Hamiltonian
 - Generator
 - Effective Hamiltonian
- 3 Improve Shot Noise Modeling
 - Review of shot-noise modeling methods
 - Improved shot-noise modeling methods
 - IMPACT code suit and example
- 4 Conclusion

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- FEL design optimization often involve multiple times simulation including start-to-end.
 - Wiggler-Period-Averaging (WPA) : highly efficient
- Generalize WPA perturbatively using Lie map method
 - Leading order : conventional WPA
 - Next order corrections : coupling between betatron and wiggling motion, field envelope gradients,...
- Improve shot-noise model especailly suited for WPA
 - Further improvement : smoother numerical discretization

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How to generalize WPA from simple averaging?

- In general, a *perturbation* map is built in order of *small parameters*.
- However, If we build a *map over a undulator period*,
 - the *wiggling motion average out*
 - leaves small coupling effects between the fast wiggling and slow motions like betatron motion.
- This idea allow us to generalize WPA with perturbative Lie Map method

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Perturbative Lie Map

- Split the Hamiltonian $H = S + F(z) + V(z)$
 - $S = \oint H dz / \lambda_u$ represent slow motion
 - $V(z)$ is the radiation field potential
 - $F(z)$ is the rest : the fast wiggling motion
- Accordingly, Lie map from $z = 0$ to $z = \lambda_u$ is factored as

$$\mathcal{H}(\lambda_u) = \mathcal{S}(\lambda_u) \mathcal{F}(\lambda_u) \mathcal{V}(\lambda_u)$$

$$\mathcal{S}(\lambda_u) = e^{\mathcal{G}_S(\lambda_u)}$$

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Magnus Series

- Slow

$$\mathcal{G}_S(z) = -z : S :$$

- Fast

$$\begin{aligned} \mathcal{G}_F(z) = & -\int_0^z dz_1 : F^{\text{int}}(z_1) : \\ & + \frac{1}{2!} \int_0^z dz_1 \int_0^{z_1} dz_2 : [F^{\text{int}}(z_2), F^{\text{int}}(z_1)] : \\ & - \frac{1}{3!} \int_0^z dz_1 \int_0^{z_1} dz_2 \int_0^{z_2} dz_3 : [F^{\text{int}}(z_3), [F^{\text{int}}(z_2), F^{\text{int}}(z_1)]] \\ & + [[F^{\text{int}}(z_3), F^{\text{int}}(z_2)], F^{\text{int}}(z_1)] : \end{aligned}$$

$$\text{where } F^{\text{int}}(z_i) \equiv \mathcal{L}(z_i)F(z_i)$$

- Field Potential

$$\mathcal{G}_V(z) = -\int_0^z dz : \mathcal{L}(z) \mathcal{F}(z) V(z) :$$

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Next leading order

	Leading order (WPA)	Next leading order
G_S	integrand S is linear	S includes higher order terms
G_F	integrand is F	integrand is $\mathcal{I}F$
G_V	integrand is $\mathcal{F}V$	integrand $\mathcal{I}\mathcal{F}V$

Hamiltonian

Example

Start from

$$H(\mathbf{x}, \mathbf{p}, ct, -\gamma; \mathbf{z}) = -\sqrt{\gamma^2 - 1 - (\mathbf{p}_x - \mathbf{a}_x)^2 - (\mathbf{p}_y - \mathbf{a}_y)^2}$$

where

$$a_x = K \cosh(k_x x) \cosh(k_y y) \cos(k_u z) + a_r$$

$$a_y = K \frac{k_x}{k_y} \sinh(k_x x) \sinh(k_y y) \cos(k_u z)$$

and we write the vector potential of the radiation field as

$$a_r = \Re \sum_{h \geq 1} K_h(x, t; z) e^{ihk_r(z-ct)}$$

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Co-moving frame / FEL phase

- Canonical transformation using

$$G_2(ct, \eta) = [k_r(z - ct) + k_u z] \eta$$

- New Hamiltonian

$$H = (k_u + k_r) \eta - \sqrt{k_r^2 \eta^2 - 1 - (p_x - a_x)^2 - (p_y - a_y)^2}$$

- New longitudinal conjugate pair

$$\theta \equiv k_r(z - ct) + k_u z, \quad \eta \equiv \gamma/k_r$$

- $\theta' \simeq 0$ on resonance (in undulator), $\theta' \simeq k_u$ in drift

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Split Hamiltonians

Propagated Field Model

- Slow Hamiltonian

$$\begin{aligned}
 S \equiv & \frac{k_u}{k_s} \gamma + \frac{1}{2\gamma} \left[1 + p_x^2 + p_y^2 + \frac{K^2}{2} (1 + k_x^2 x^2 + k_y^2 y^2) \right] \\
 & + \frac{K^2}{4\gamma} \left[\frac{1}{3} (k_x^4 x^4 + k_y^4 y^4) + k_x^2 k_y^2 x^2 y^2 \right] \\
 & + \frac{1}{(2\gamma)^3} \left(1 + K^2 + \frac{3}{8} K^4 \right) + O\left(\frac{q_{\perp}^6}{\gamma}, \frac{q_{\perp}^2}{\gamma^3}, \frac{1}{\gamma^5}\right)
 \end{aligned}$$

- Fast Hamiltonian

$$F \equiv \frac{K_{\text{eff}}^2}{4\gamma} \cos(2k_u z) + \frac{K_{\text{eff}}}{\gamma} p_x \cos(k_u z) + O\left(\frac{q_{\perp}^3}{\gamma}, \frac{1}{\gamma^3}\right)$$

$$\text{where } K_{\text{eff}} \equiv K \left(1 + k_x^2 \frac{x^2}{2} + k_y^2 \frac{y^2}{2} \right)$$

- Field Potential

$$V \equiv -\Re \sum_h \left[\frac{K_{\text{eff}}}{\gamma} \cos(k_u z) + \frac{p_x}{\gamma} \right] K_h e^{ih(\theta - k_u z)} + O\left(\frac{K_h q_{\perp}^2}{\gamma}, \frac{K_h}{\gamma^3}, \frac{K_h^2}{\gamma}\right)$$

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Slow / Fast Map

- Slow Map

$$\mathcal{G}_S(\lambda_u) = -\lambda_u S$$

- Fast Map

$$\mathcal{G}_F(\lambda_u) = -\lambda_u \frac{K^4 k_x^2}{16 k_u^2 \gamma^3}$$

- coupling b/w slow betatron and fast wiggling motion
- small coupling due to large frequency ratio b/w slow and fast motion
- coupling can become relevant when strong quanta present on top of undulator

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Propagated Field Model

Split Hamiltonians

- Need field modeling in order to evaluate the integration

$$\mathcal{G}_V(z) = - \int_0^z dz : \mathcal{S}(z_i) \mathcal{F}(z_i) V(z_i) :$$

- assuming slowly varying, we model the propagated field envelope by

$$K_h^{\text{int}} \equiv \mathcal{S} \mathcal{F} K_h \simeq \mathcal{F} K_h \equiv \mathbb{K}_h + \frac{K_{\text{eff}}}{k_u \gamma} \sin(k_u z) \frac{\partial}{\partial x} \mathbb{K}_h + z \partial_z \mathbb{K}_h$$

- $\mathbb{K}_h = \frac{1}{z} \int_0^z K_h dz$: averaged field envelope
- $\partial_z \mathbb{K}_h$: first order longitudinal variation
- where we used

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- $\partial_z \mathbb{K}_h$: first order longitudinal variation
- where we used

$$\mathcal{F}(z) x = \frac{K_{\text{eff}}}{k_u \gamma} \sin(k_u z)$$

Propagated Field Model

Split Hamiltonians

- Need field modeling in order to evaluate the integration

$$\mathcal{G}_V(z) = - \int_0^z dz : \mathcal{S}(z_i) \mathcal{F}(z_i) V(z_i) :$$

- assuming slowly varying, we model the propagated field envelope by

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Propagated Potential

- Similarly the FEL phase becomes

$$\theta^{\text{int}} \equiv \mathcal{S} \mathcal{F} \theta = \theta + \dot{\theta} z - \xi \sin(2k_u z) - \zeta \sin(k_u z)$$

where

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Field Potential Map

Split Hamiltonians

- We can write the propagated field potential by

$$V^{\text{int}} \equiv \mathcal{S} \mathcal{F} V = -\Re \sum_h \left[\frac{K_{\text{eff}}}{\gamma} \cos(k_u z) + \frac{p_x}{\gamma} \right] K_h^{\text{int}} e^{ih(\theta^{\text{int}} - k_u z)}$$

- Therefore, finally, the generator of the field potential reads,

$$\begin{aligned} \mathcal{G}_V &= -\int_0^{\lambda_u} V^{\text{int}} dz \\ &= \lambda_u \Re \sum_h \frac{e^{ih\theta}}{\gamma} \left[K_{\text{eff}} \int_C^h + p_x \int_1^h + K \int_{zC}^h \partial_z + \frac{K_{\text{eff}}^2}{k_u \gamma} \int_{SC}^h \partial_x \right] \mathbb{K}_h \end{aligned}$$

where $C \equiv \cos(k_u z)$, $SC \equiv \sin(k_u z) \cos(k_u z)$, and the integration parameter is, for example,

$$\int_C^h \equiv \frac{e^{-ih\theta}}{\lambda_u} \int_0^{\lambda_u} \cos(k_u z) e^{ih(\theta^{\text{int}} - k_u z)} dz$$

explicitly....

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Integration Parameters

To the 1st order of $\dot{\theta}$, ζ , and $\Delta\xi \equiv \xi - \xi_R$ where $\xi_R \equiv \frac{k_r K^2}{8k_u \gamma_R^2}$

$$\int_C^h = \frac{1}{2} \left(J_{-\frac{h-1}{2}}^{h\xi_R} + J_{-\frac{h+1}{2}}^{h\xi_R} \right) \left(1 + \frac{ih\dot{\theta}\lambda_u}{2} \right) - \frac{1}{2} \frac{h\dot{\theta}}{k_u} \left(\sum_{l \neq -\frac{h-1}{2}} \frac{J_l^{h\xi_R}}{(2l+h-1)} + \sum_{l \neq -\frac{h+1}{2}} \frac{J_l^{h\xi_R}}{(2l+h+1)} \right)$$

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\int_{SC} vanishes for *odd* harmonics but can be large for *even* harmonics.

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Effective Hamiltonian

- Map not yet practically useful
 - map is not solvable
 - step size is fixed by one undulator period
- Instead, we build effective Hamiltonian using Baker-Campbel-Hausdorff (BCH)

$$H_{\text{eff}} = -\frac{1}{\lambda_u} (\mathcal{G}_S + \mathcal{G}_F + \mathcal{G}_V) - \frac{1}{2\lambda_u} (: \mathcal{G}_S : \mathcal{G}_F + : \mathcal{G}_S : \mathcal{G}_V + : \mathcal{G}_F : \mathcal{G}_V) + \dots$$

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Example

Hamiltonian

Figure

: Pusher Comparison

- $\Delta\theta \equiv |\theta - \theta_{\text{ref}}|$
- $\theta_{\text{ref}} \leftarrow$ using exact H
- Fixed envelope

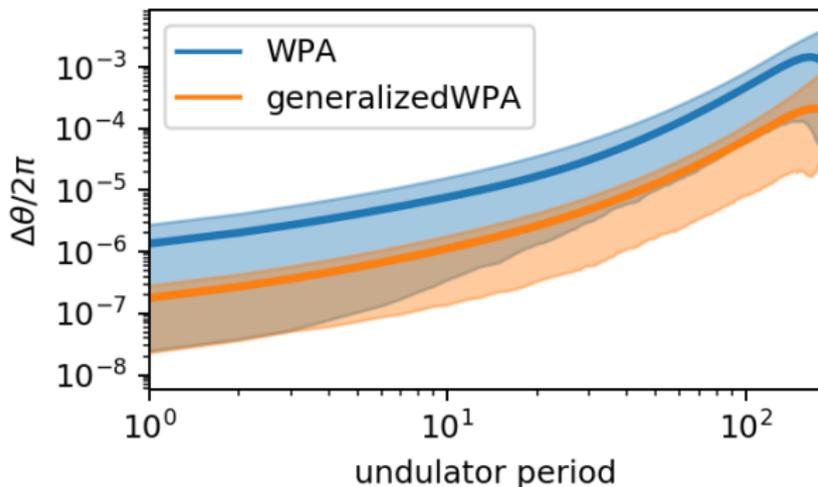
$$\mathbb{K}_1 = A_0 e^{-x^2/\sigma_x^2} e^{z/L_G}$$

$$\sigma_x = 55 \mu\text{m}$$

$$L_G = 50\lambda_u$$
- eBeam param

$$\gamma = 1000$$

$$\Delta\gamma/\gamma = 2 \times 10^{-4}$$



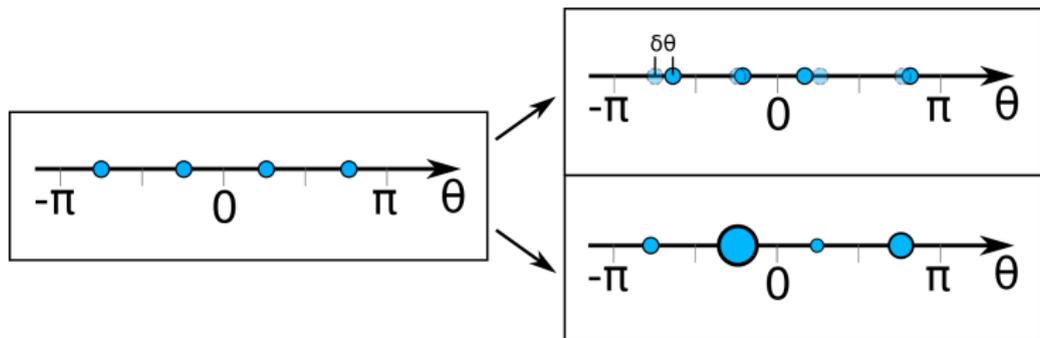
Outline

- 1 Introduction
- 2 Generalize WPA
 - How?
 - Review : Perturbative Lie Map
 - Hamiltonian
 - Generator
 - Effective Hamiltonian
- 3 Improve Shot Noise Modeling
 - Review of shot-noise modeling methods
 - Improved shot-noise modeling methods
 - IMPACT code suit and example
- 4 Conclusion

1D Model

5D mirroring

- Here, we review two 1D methods by Dr. Fawley and Dr. McNeil et.al.

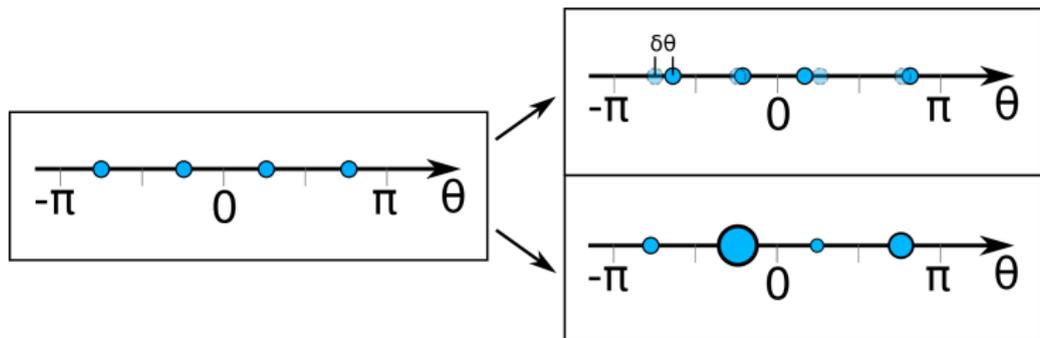


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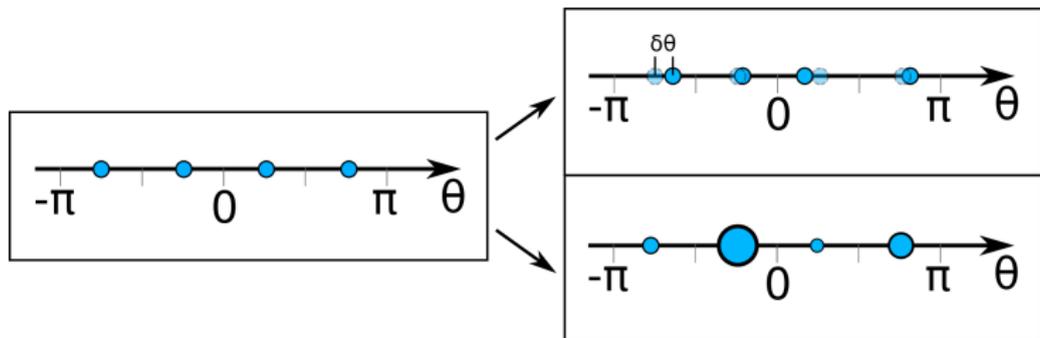


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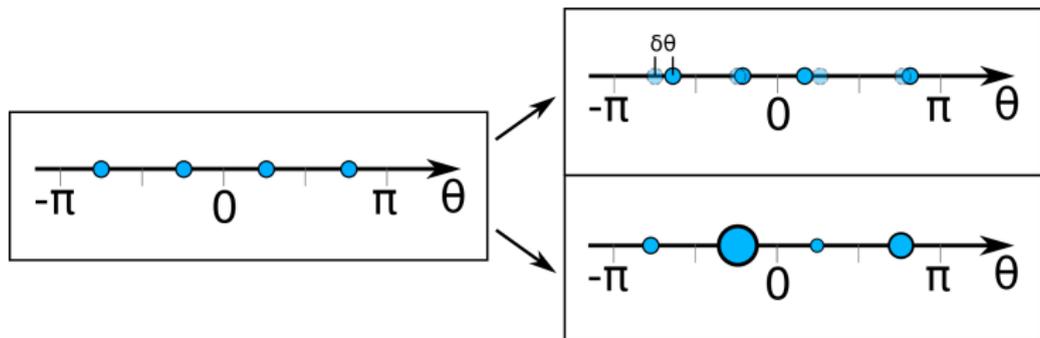


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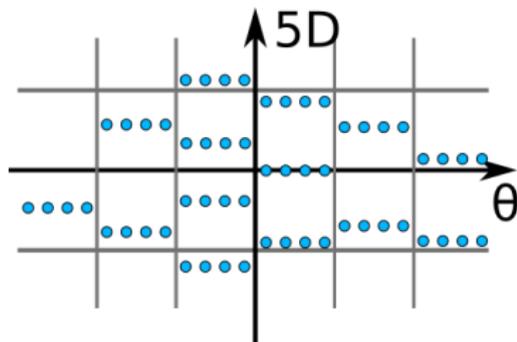
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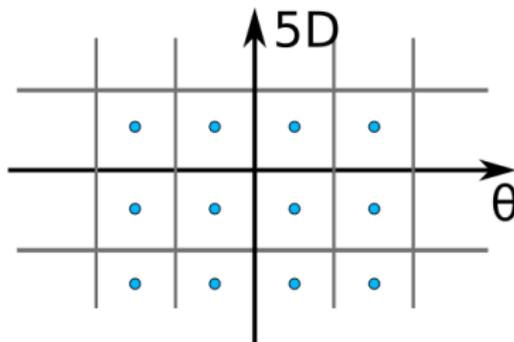
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6D extension

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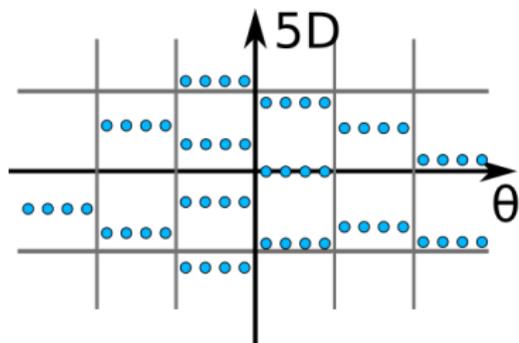
5D mirroring (Fawley)



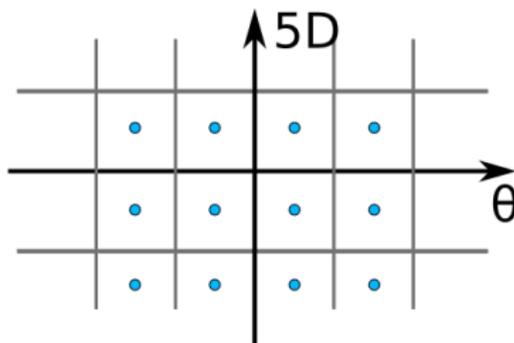
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Remarks

1D Model

- 5D mirroring
 - same 5D coordinates x, y, p_x, p_y, γ among a set of particles called “beamlet”
 - each beamlet is based on 1D model
 - member particles of a beamlet are **not** statistically independent
 - numerical shot-noise upon particles *migration* across the numerical mesh
- 6D volume division
 - comes with the charge perturbation ← Poisson principle
 - all particles are statistically independent
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Beamlet : a statistically independent entity

- We adopt the 5D mirroring strategy
- Our idea is to interpret one beamlet as one statistically independent entity
 - based on the fact that member particles are not statistically independent and
 - a fraction of beamlets are macroscopic ($\sim 10^6$)
 - a fraction of member particles are microscopic ($\sim 10^3$)
 - phase-space coordinate of a beamlet is given by the average over the member particles in it
 - This allows natural loading method :
 - low random number of particle density functions or
 - extra natural beamlet sampling code

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Beamlet : a statistically independent entity

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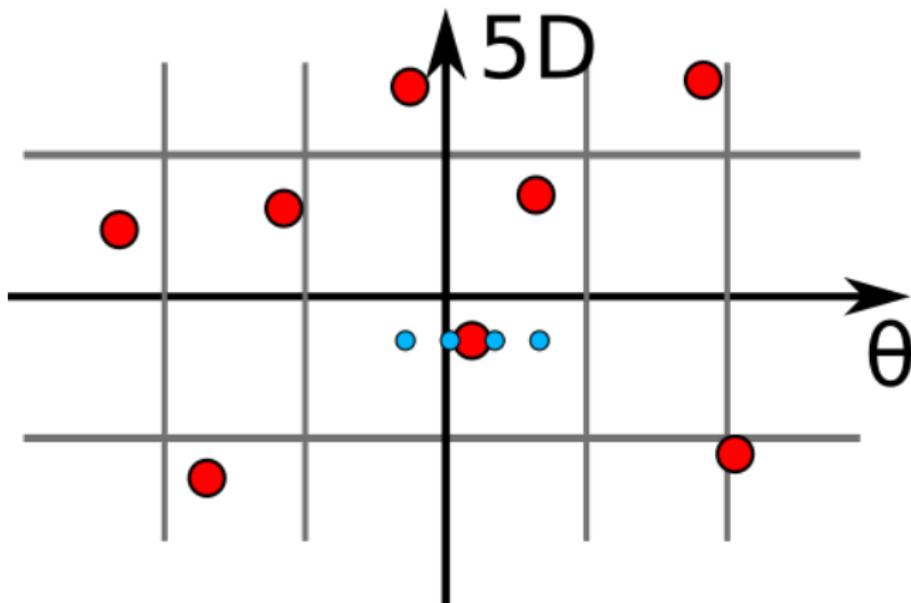
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Particle Loading



● : beamlet

● : member particle

Benchmark / shot-noise from migration

- Migrate all member particles when a beamlet migrate
- Smoother numerical discretization
 - weight and shape functions are evaluated at the beamlet position

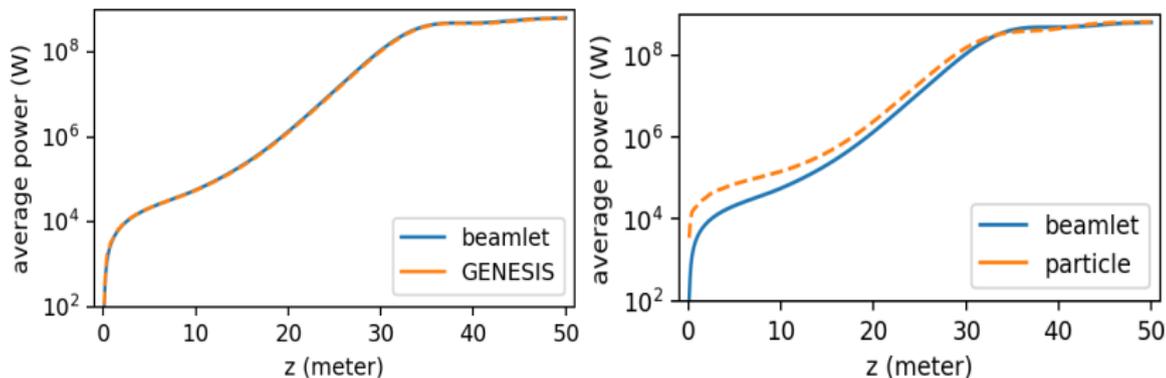


Figure. Benchmark : Beamlet vs individual particle migration.
NGLS-like parameters are used.

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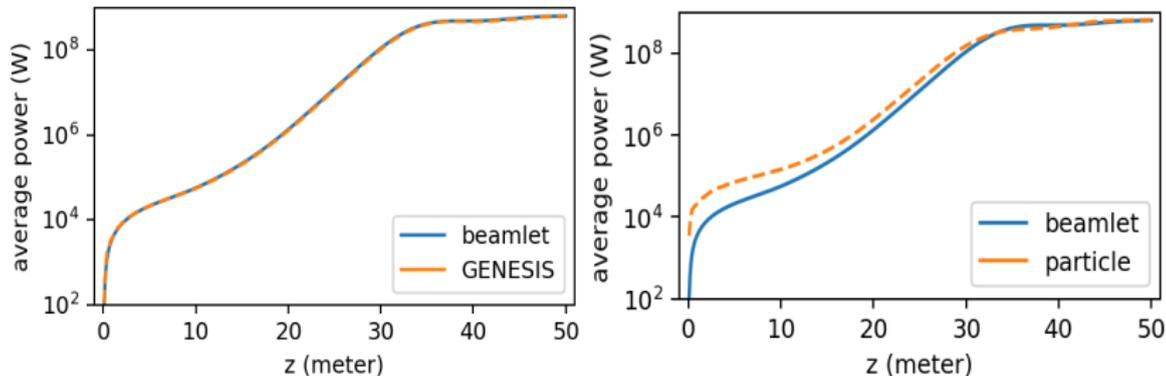


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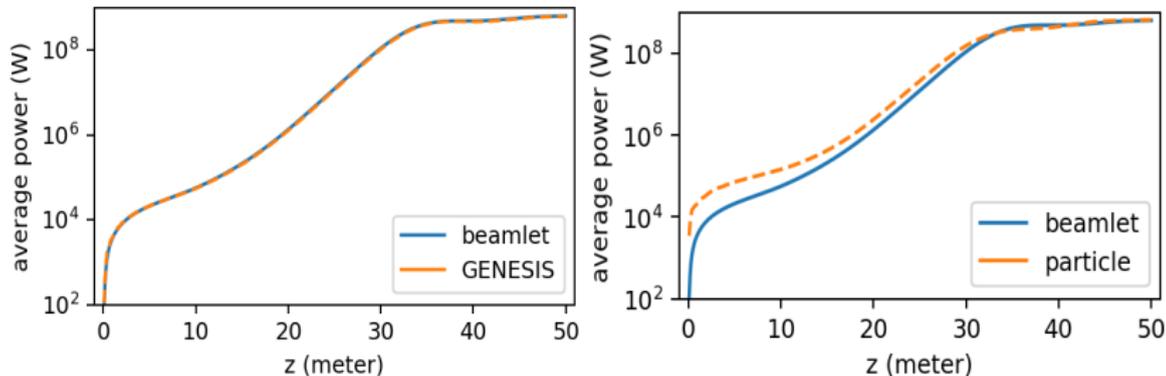


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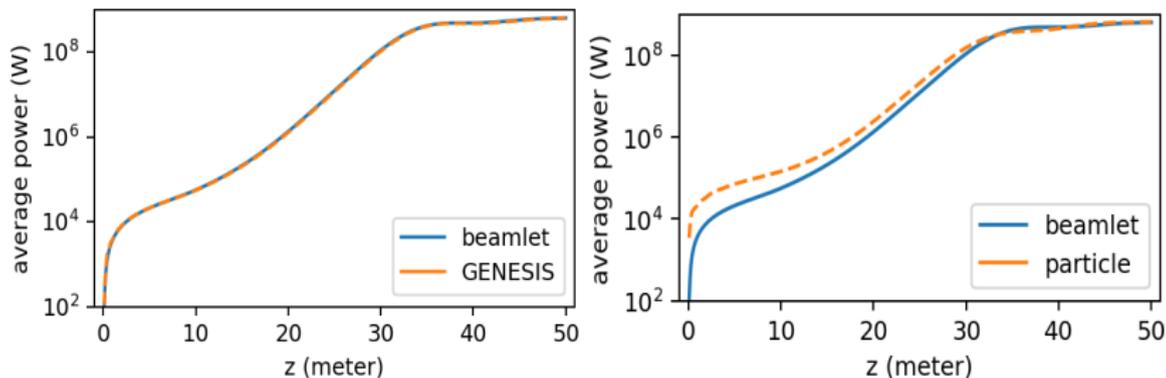


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Slippage Resolution

- Typical implementation of slippage is to copy the field data from the previous temporal mesh point to the next temporal mesh point
- Beamlet migration enables arbitrary slippage resolution through moving window
 - It also allows natural slippage modeling through arbitrary length of non-resonant transport like drift

Data Copy from previous slice

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for i in [0,1,2,...,nt]:  
    Fld.data[:, :, nt-i] = Fld.data[:, :, nt-i-1]
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Moving window : change of domain

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Fld.domain.theta[:]  
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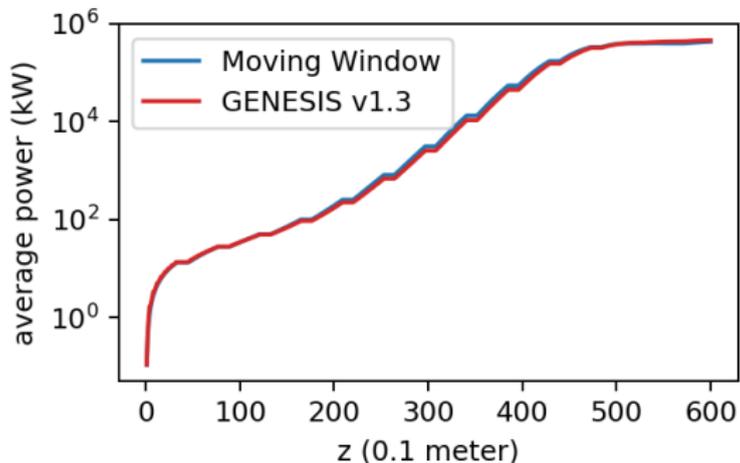
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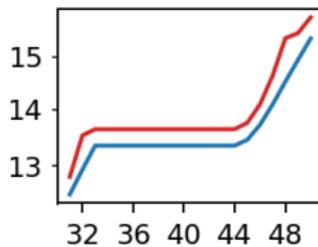
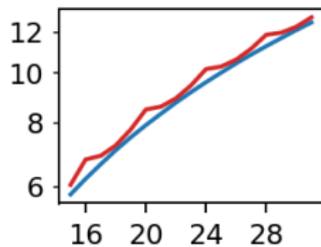
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Copying Data vs Moving Window



- integration step size = $5\lambda_u$
- temporal mesh size = $20\lambda_r$
- One slippage operation every 4 step when copying data is used.

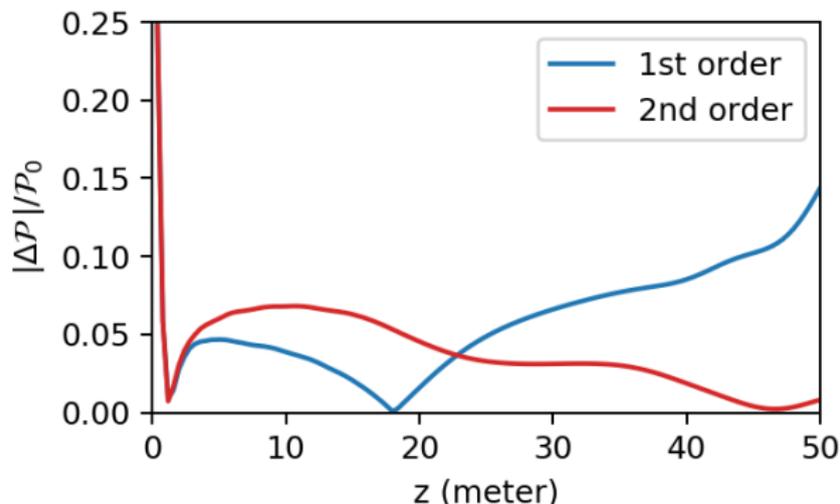


Split and Composition

- Field solver can be split into two operation - diffusion \mathcal{F}_\perp and slippage \mathcal{F}_\parallel
- 2nd order composition method

$$\mathcal{F}_\parallel \left(\frac{\Delta z}{2} \right) \mathcal{F}_\perp (\Delta z) \mathcal{F}_\parallel \left(\frac{\Delta z}{2} \right)$$

is possible due to arbitrary slippage resolution.

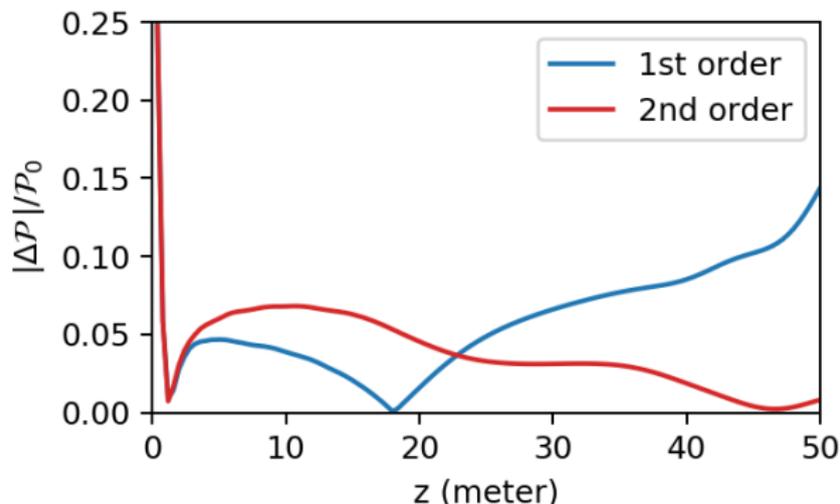


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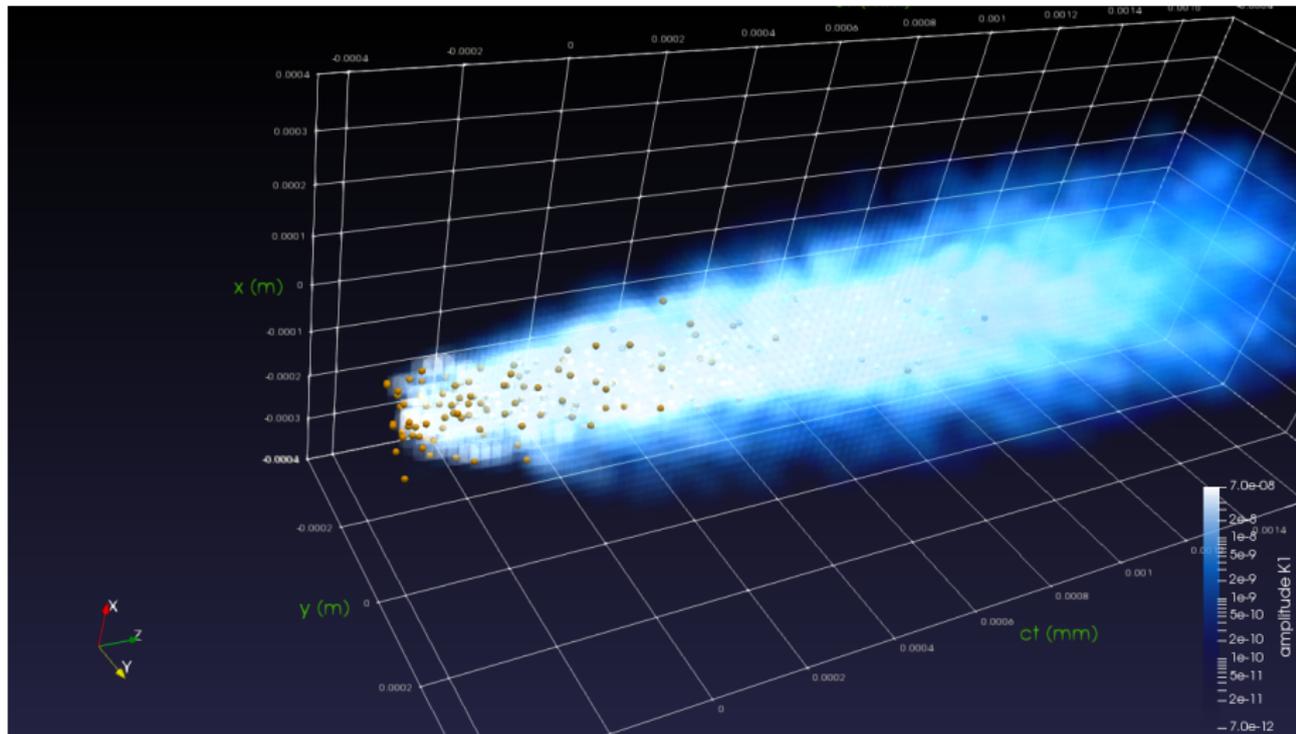
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IMPACT code suite and example

All the methods presented are implemented in IMPACT code suite. Example:



Outline

- 1 Introduction
- 2 Generalize WPA
 - How?
 - Review : Perturbative Lie Map
 - Hamiltonian
 - Generator
 - Effective Hamiltonian
- 3 Improve Shot Noise Modeling
 - Review of shot-noise modeling methods
 - Improved shot-noise modeling methods
 - IMPACT code suit and example
- 4 Conclusion

Conclusion

- Advances in numerical methods for FEL simulation under the WPA are presented
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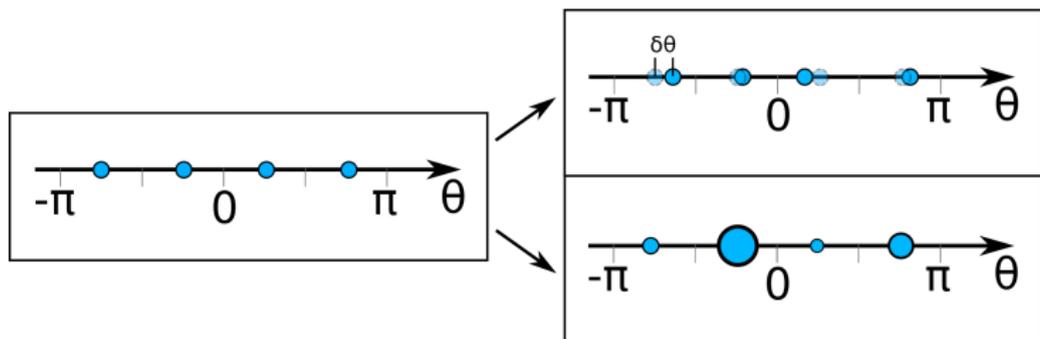
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1D Model

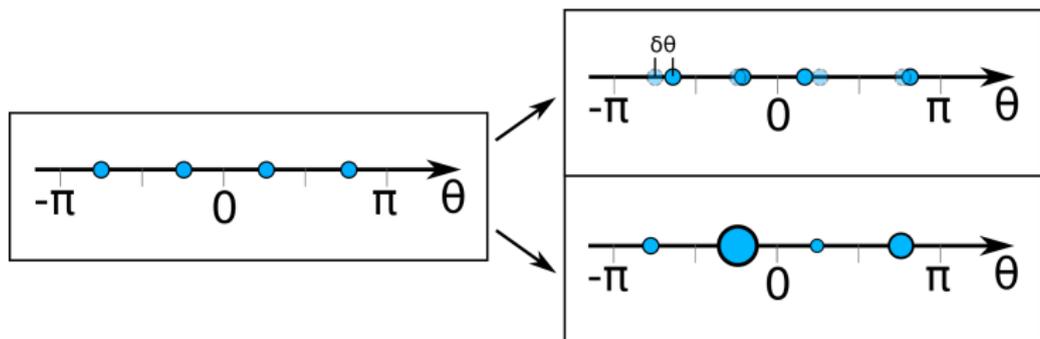


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- Temporal coordinate perturbation (Fawley)

$$\delta\theta_j \equiv \sum_{h'=1}^{M/2} \xi_{h'} e^{-ih'\theta_j}$$

- Bunching Factor becomes

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