

# IR of FAIR - Principles at the Instrument Level

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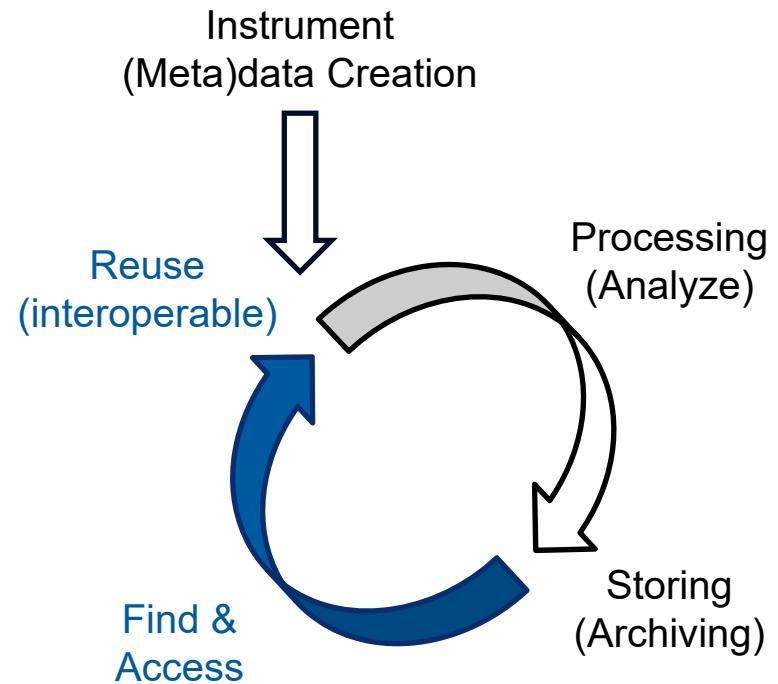
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# FAIR Data Life Cycle

Closing the current (meta)data workflow by ensuring:

- **Findability**
- **Accessibility**
- **Interoperability**
- **Reusability**



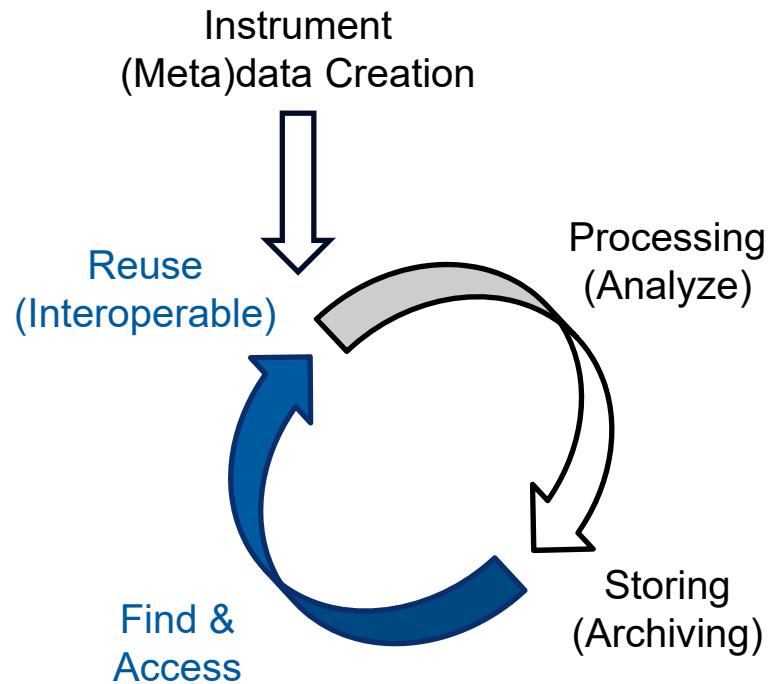
# FAIR Data Life Cycle

Closing the current (meta)data workflow by ensuring:

- Findability
  - Accessibility
  - Interoperability
  - Reusability
- } addressed by repository
- } basis for reuse at instrument level

## Interoperable and reusable raw (meta)data:

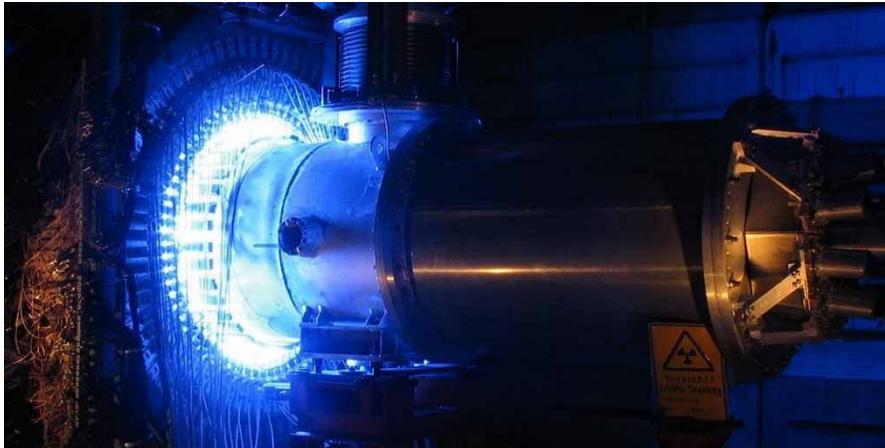
1. Physical representation (file format)
2. Knowledge representation (of file content)
3. Context



# Use Cases



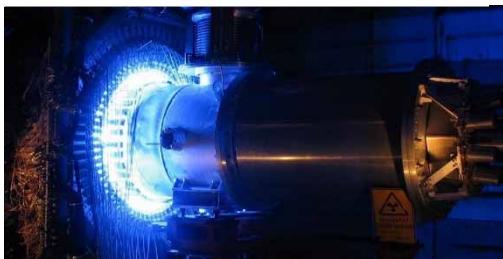
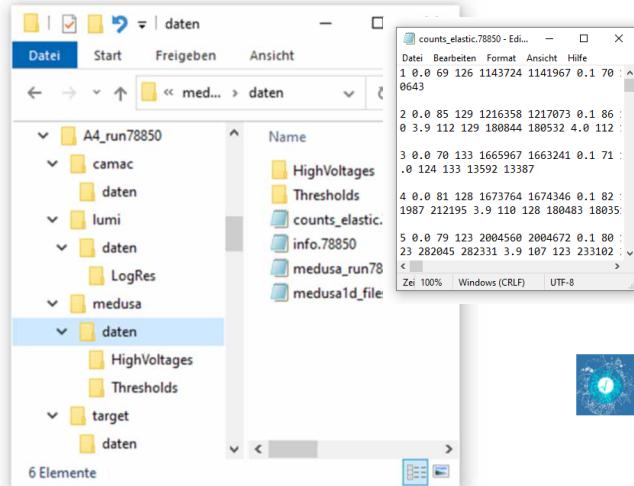
- A4 experiment @ MAMI
- Already dismounted (still producing results)



- Any Light Particle Search @ DESY
- In commissioning phase



# Physical Representation: File Format – A4



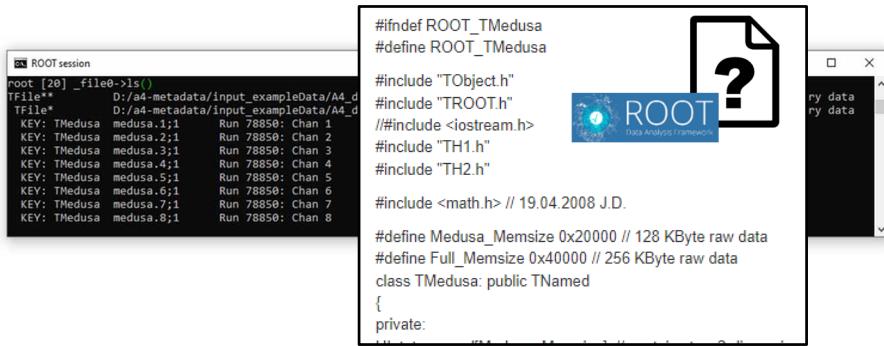
A4 raw instrument output:

- Nested folder structure (vague context)
- ASCII Files: Unformatted, minimum metadata, contain device output and preliminary results  
⇒ **XML (machine-readable)**
- ROOT Files: community-specific standard, contain mainly detector data



# Physical Representation: File Format – A4

Customized C++ class required to read ROOT files:



The screenshot shows a terminal window titled "ROOT session" displaying a file listing:

```
root [20] _file0->ls()
TFile* D:/ad-metadata/input_exampleData/A4_d
TFile* D:/ad-metadata/input_exampleData/A4_d
KEY: TMedusa medusa.1:1 Run 78850: Chan 1
KEY: TMedusa medusa.2:1 Run 78850: Chan 2
KEY: TMedusa medusa.3:1 Run 78850: Chan 3
KEY: TMedusa medusa.4:1 Run 78850: Chan 4
KEY: TMedusa medusa.5:1 Run 78850: Chan 5
KEY: TMedusa medusa.6:1 Run 78850: Chan 6
KEY: TMedusa medusa.7:1 Run 78850: Chan 7
KEY: TMedusa medusa.8:1 Run 78850: Chan 8
```

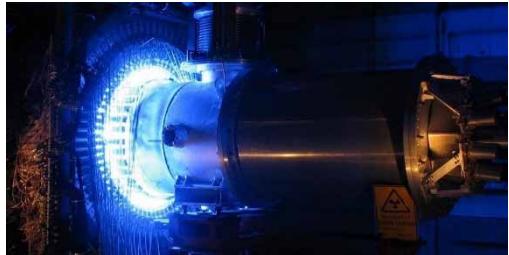
Below the terminal is a code editor window showing a C++ class definition:

```
#ifndef ROOT_TMedusa
#define ROOT_TMedusa

#include "TObject.h"
#include "TROOT.h"
//#include <iostream.h>
#include "TH1.h"
#include "TH2.h"

#include <math.h> // 19.04.2008 J.D.

#define Medusa_Memsize 0x20000 // 128 KByte raw data
#define Full_Memsize 0x40000 // 256 KByte raw data
class TMedusa: public TNamed
{
private:
```

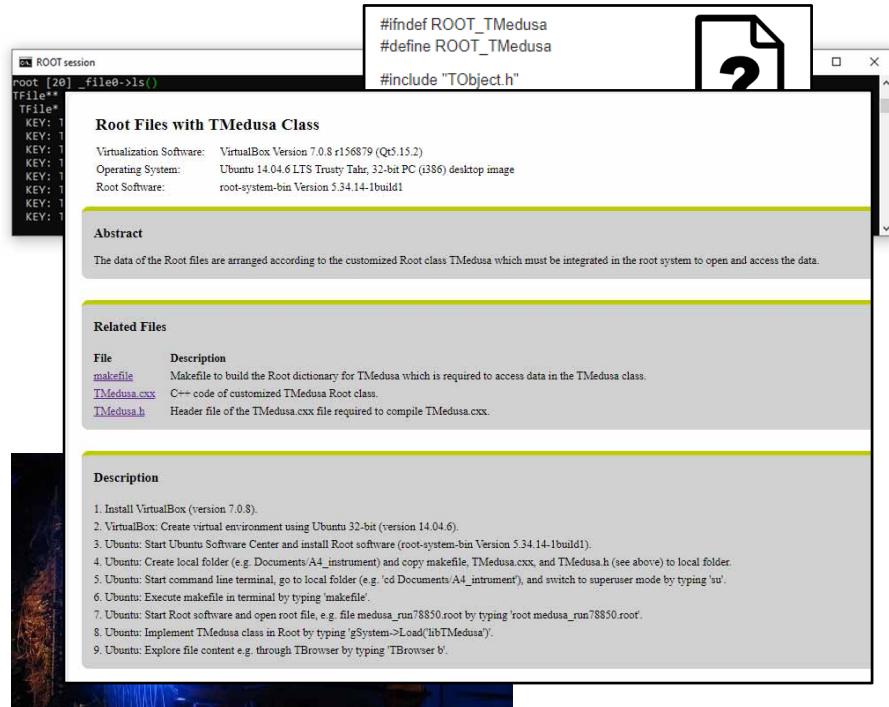


A4 raw instrument output:

- Nested folder structure (vague context)
- ASCII Files: Unformatted, minimum metadata, contain device output and preliminary results  
⇒ **XML (machine-readable)**
- ROOT Files: community-specific standard, contain mainly detector data

# Physical Representation: File Format – A4

Customized C++ class required to read ROOT files:



```
#ifndef ROOT_TMedusa
#define ROOT_TMedusa
#include "TObject.h"
```

Root Files with TMedusa Class

Virtualization Software: VirtualBox Version: 7.0.8 r156879 (Qt5.15.2)  
Operating System: Ubuntu 14.04.6 LTS Trusty Tahr, 32-bit PC (i386) desktop image  
Root Software: root-system-bin Version 5.34.14-1build1

**Abstract**

The data of the Root files are arranged according to the customized Root class TMedusa which must be integrated in the root system to open and access the data.

**Related Files**

File	Description
makefile	Makefile to build the Root dictionary for TMedusa which is required to access data in the TMedusa class.
TMedusa.cxx	C++ code of customized TMedusa Root class.
TMedusa.h	Header file of the TMedusa.cxx file required to compile TMedusa.cxx.

**Description**

1. Install VirtualBox (version 7.0.8).
2. VirtualBox: Create virtual environment using Ubuntu 32-bit (version 14.04.6).
3. Ubuntu: Start Ubuntu Software Center and install Root software (root-system-bin Version 5.34.14-1build1).
4. Ubuntu: Create local folder (e.g. Documents/A4\_instrument) and copy makefile, TMedusa.cxx, and TMedusa.h (see above) to local folder.
5. Ubuntu: Start command line terminal, go to local folder (e.g. 'cd Documents/A4\_instrument'), and switch to superuser mode by typing 'su'.
6. Ubuntu: Execute makefile in terminal by typing 'makefile'.
7. Ubuntu: Start Root software and open root file, e.g. file medusa\_run78850.root by typing 'root medusa\_run78850.root'.
8. Ubuntu: Implement TMedusa class in Root by typing 'gSystem->Load("libTMedusa")'.
9. Ubuntu: Explore file content e.g. through TBrowser by typing 'TBrowser b'.

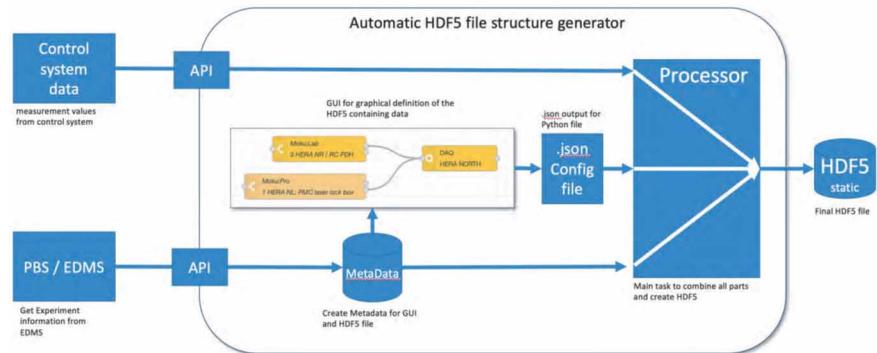
A4 raw instrument output:

- Nested folder structure (vague context)
- ASCII Files: Unformatted, minimum metadata, contain device output and preliminary results
  - ⇒ XML (machine-readable)
- ROOT Files: community-specific standard, contain mainly detector data
  - ⇒ manual HTML page to install customized class

# Physical Representation: File Format – ALPS II

ALPS II raw instrument output:

- Stores (meta)data in local database
- Converted to HDF5 in certain intervals and ingested to globally accessible repository
  - ⇒ HDF5 is de-facto standard in photon and neutron science
  - ⇒ Various software to read HDF5 files



# Knowledge Representation – A4

```
1 1225  
2 1071  
3 1387  
4 1111  
5 1251  
6 1223  
7 1250  
8 1158  
9 1093  
10 1278  
11 1111  
12 1500  
13 1304  
14 1359  
15 1028  
16 1061
```

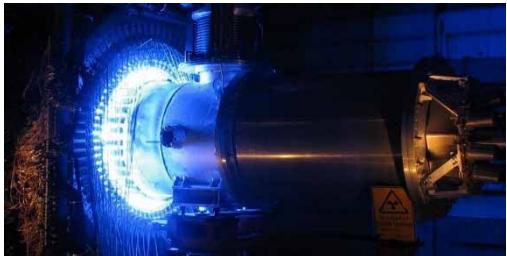
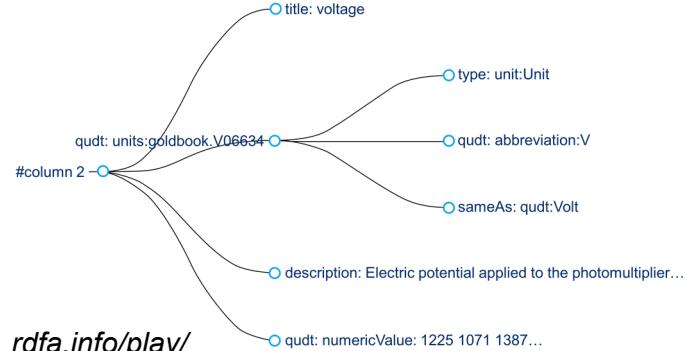
```
+ "col 0": {  
    "name": "channel number",  
    "data_type": "integer",  
    "units": "dimensionless",  
    "comment": "Numbering of de  
},  
"col 1": {  
    "name": "voltage",  
    "data_type": "integer",  
    "units": "Volt",  
    "comment": "Electric potent  
}  
=
```

```
<column2 about="#column2">  
<name type="xsd:string" property="dc:title">voltage</name>  
<units typeOf="unit:Unit" resource="https://doi.org/10.1351/goldbook.V0  
    Volt  
    <abbrev property="qudt:abbreviation" type="xsd:string">V</abbrev>  
    <rel rel="owl:sameAs" resource="qudt:Volt"/>  
</units>  
<comment type="xsd:string" property="dc:description">  
    Electric potential applied to the photomultiplier (PMT) coupled to the cen  
</comment>  
<meanValue>1250.3649706457925</meanValue>  
<rangeBottom>987.0</rangeBottom>  
<rangeTop>1500.0</rangeTop>  
<dataset property="qudt:numericValue" type="xsd:integer">  
    <value>1225</value>  
    <value>1071</value>  
    <value>1387</value>
```

ASCII

Metadata enrichment

Enriched, machine-readable XML



- ROOT files are to some extend self-describing
- ASCII files are neither human nor machine readable:
  - ⇒ Convert to human/machine-readable xml format
  - ⇒ Add metadata through json configuration file (e. g. units)
  - ⇒ RDF provide machine-readable definitions (e.g. using ontology qudt)

# Knowledge Representation – ALPS II

## NeXus Definition Language (NXDL):

- Standard in photon and neutron science to store experimental data
  - Hierarchical, defined structure (definition)
  - Persistent web description (definition, URL)
- ⇒ Human- and machine-readable

### 3.3.1.17. NXentry

#### Status:

base class, extends [NXobject](#)

#### Description:

(required) [NXentry](#) describes the measurement.

The top-level NeXus group which contains all the data and associated information that measurement. It is mandatory that there is at least one group of this type in the NeXus file.

#### Symbols:

No symbol table

#### Groups cited:

[NXcollection](#), [NXdata](#), [NXinstrument](#), [NXmonitor](#), [NXnote](#), [NXparameters](#), [NXprocess](#), [NXtable](#), [NXuser](#)

#### Structure:

**@default:** (optional) [NX\\_CHAR](#)

Declares which [NXdata](#) group contains the data to be shown by default. It is used to resolve ambiguity when one [NXdata](#) group exists. The value [names](#) a child group. If that group itself has a [default](#) attribute, continue this chain until an [NXdata](#) group is reached.

For more information about how NeXus identifies the default data source, see the [Default data source section](#).

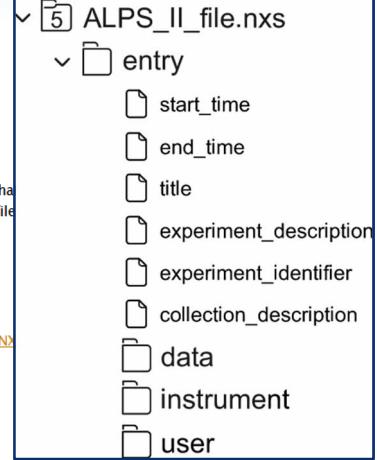
**@IDF\_Version:** (optional) [NX\\_CHAR](#)

ISIS Muon IDF\_Version

**title:** (optional) [NX\\_CHAR](#)

Extended title for entry

**experiment\_identifier:** (optional) [NX\\_CHAR](#)



# Context – A4

## A4 dataset

Boxing Gou<sup>16</sup>, Frank Maas<sup>16</sup>

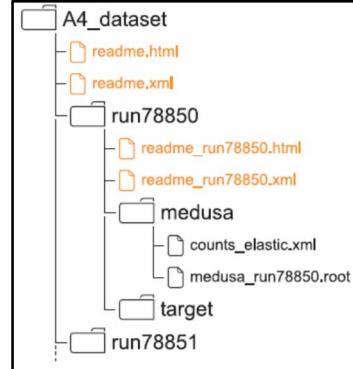
### Abstract

We report on a new measurement of the beam transverse single spin asymmetry in electron-proton elastic scattering at five beam energies from 315.1 to 1508.4 MeV and at a scattering angle of  $30 < \theta < 40$ . The covered Q2 values are 0.032, 0.057, 0.082, 0.218, 0.613 (GeV/c) $^2$ . The measurement clearly indicates significant inelastic contributions to the two-photon-exchange (TPE) amplitude in the low-Q2 kinematic region. No theoretical calculation is able to reproduce our result. Comparison with a calculation based on unitarity, which only takes into account elastic and  $\pi N$  inelastic intermediate states, suggests that there are other inelastic intermediate states such as N, K, and N. Covering a wide energy range, our new high-precision data provide a benchmark to study those intermediate states.

### Included Data Sets

File	Description
<code>logbook_elog_beam191010.html</code>	Electronic Lab-Notebook containing automatic and manual entries.
<code>run78850/README_run78850.html</code>	Measurement with Foerster: 18015, run time: 300s
<code>run78871/README_run78871.html</code>	Measurement with Foerster: 18017, run time: 500s
<code>A4DataMeaning.pdf</code>	Description of the files of a measurement and their content.

Note: Files of the data set were processed with the data converter producing the log file: [conversion\\_report/report\\_data\\_converter.html](#).



## Create Context

- Additional Readme HTML file  
⇒ Human-friendly, browsable

# Context – A4

## A4 dataset

Boxing Gou, Frank Maas

### Abstract

We report on a new measurement of the nuclear modification factor  $R_{AA}$  at mid-rapidity for central collisions at  $\sqrt{s} = 0.613$  (GeV/c)<sup>2</sup>. The measurement is performed at the STAR experiment at RHIC using the low-Q<sub>2</sub> kinematics to test the unitarity, which only requires intermediate states surviving those intermediate states.

## Included Data Set

File  
[logbook\elog\\_beam19.root](#)  
[run78850\README](#)  
[run78871\README](#)  
[A4DataMeaning.pdf](#)

Note: Files of the data



## run78850

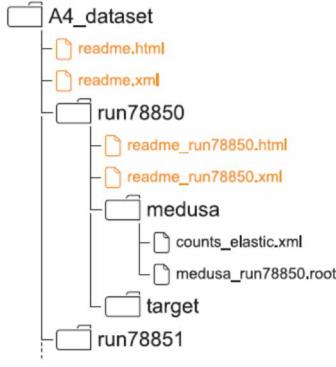
Boxing Gou, Frank Maas

### Key Parameters of Measurement

Subject: Run, Type: Start, Run purpose: asymmetry, Run comment: data, Run time: 300s, Foerster: 18015

### Included Data Files

File	Description
<a href="#">camac\daten\Foersterlog.xml</a>	Record of Foerster probe which is a measure of the beam current per time at race track microtron (RTM3). To get the beam current of RTM3, multiply the value of the third column (Foerster probe) with the value of the second column (Lopes clock) and divide by 1e6. <sup>2)</sup>
<a href="#">camac\daten\scaler00.xml</a>	Record of Lopes clock for this run; values are related to the corresponding column of the Foersterlog file. <sup>2)</sup>
<a href="#">camac\daten\scaler01.xml</a>	Record of Foerster probe for this run; values are related to the corresponding column of the Foersterlog file. <sup>2)</sup>
<a href="#">lumi\daten\lumi_78850.root</a>	Root file containing 'lumi' data related to beam monitors. <sup>1)</sup>
<a href="#">lumi\daten\LogRes_lumi.xml</a>	Log file containing basic parameters of the measurement. <sup>2)</sup>
<a href="#">medusa\daten\counts_elastic.xml</a>	Data from preliminary analysis of raw data. <sup>2)</sup>
<a href="#">medusa\daten\info.xml</a>	Preliminary fit parameters for energy spectrum of scattered particles (according to Eq. 1 of ./A4DataMeaning.pdf). <sup>2)</sup>
<a href="#">medusa\daten\medusa_run78850.root</a>	Root file containing medusa detector data. <sup>1)</sup>
<a href="#">medusa\daten\medusad_files_78850.root</a>	Root file containing medusa detector data. <sup>1)</sup>
<a href="#">medusa\daten\HighVoltages\voltages_run78850.xml</a>	Record of voltage applied to photomultiplier of a channel. <sup>2)</sup>



```
graph TD; A4_dataset[A4_dataset] --> readmeHTML[readme.html]; A4_dataset --> readmeXML[readme.xml]; A4_dataset --> run78850[run78850]; run78850 --> readmeRunHTML[readme_run78850.html]; run78850 --> readmeRunXML[readme_run78850.xml]; run78850 --> medusa[medusa]; run78850 --> target[target]; run78850 --> run78851[run78851]; medusa --> countsElastic[counts_elastic.xml]; medusa --> medusaRunRoot[medusa_run78850.root]
```

## Create Context

- Additional Readme HTML file  
⇒ Human-friendly, browsable

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# Context – A4

**A4 dataset**

Boxing Gou, Frank Maas

**run78850**

Boxing Gou, Frank Maas

**Abstract**

We report on a new measurement of the nuclear modification factor  $R_{AA}$  at mid-rapidity for  $\pi^+$  and  $\pi^-$  produced in p+p collisions at  $\sqrt{s} = 0.613$  (GeV/c)<sup>2</sup>. The measurement is performed in the low- $Q^2$  kinematical range where unitarity, which only requires the existence of intermediate states, is violated by those intermediate states.

**Included Data Set**

File  
[logbook\elog\\_beam19](#)  
[run78850\README](#)  
[run78871\README](#)  
[A4DataMeaning.pdf](#)

Note: Files of the data

**Key Parameters of Measurement**

Subject: Run, Type: Start, Run purpose: asymmetry, Run comment: data, Run time: 300s, Foerster: 18015

**Included Data Files**

```
<column2 about="#column2">
  <name type="xsd:string" property="dc:title">voltage</name>
  <units typeOf="unit:Unit" resource="https://doi.org/10.1351/goldbook.V000001">
    Volt
    <abbrev property="qudt:abbreviation" type="xsd:string">V</abbrev>
    <rel rel="owl:sameAs" resource="qudt:Volt"/>
  </units>
  <comment type="xsd:string" property="dc:description">
    Electric potential applied to the photomultiplier (PMT) coupled to the central channel
  </comment>
  <meanValue>1250.3649706457925</meanValue>
  <rangeBottom>987.0</rangeBottom>
  <rangeTop>1500.0</rangeTop>
  <dataset property="qudt:numericValue" type="xsd:integer">
    <value>1225</value>
    <value>1071</value>
    <value>1387</value>
  </dataset>
</column2>
```

[medusa\daten\counts\\_elastic.xml](#)

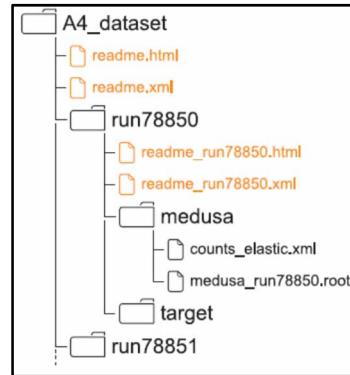
[medusa\daten\info.xml](#)

[medusa\daten\medusa\\_run78850.root](#)

[medusa\daten\medusald\\_files\\_78850](#)

[medusa\daten\HighVoltages\voltages\\_run78850.xml](#)

Record of voltage applied to photomultiplier of a channel.<sup>2)</sup>



## Create Context

- Additional Readme HTML file
- ⇒ Human-friendly, browsable

# Context – A4

**A4 dataset**

Boxing Gou

**Abstract**

We report energies from 0.613 (GeV) in the low-unitarity, intermediate those inter...

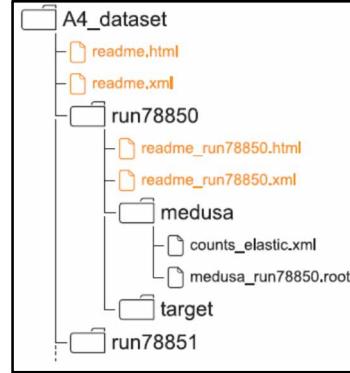
**Included**

File  
logbook\_elastic  
run78850.R  
run78871.R  
A4DataMea

Note: Files



```
<titles>
  <title type="xsd:string" xml:lang="en-US">A4 dataset</title>
</titles>
<creators>
  <creator>
    <creatorName type="xsd:string" nameType="Personal">Gou, Boxing</creatorName>
    <givenName type="xsd:string">Boxing</givenName>
    <familyName type="xsd:string">Gou</familyName>
    <nameIdentifier type="xsd:string" nameIdentifierScheme="ORCID">0000-0002-8918-3514</nameIdentifier>
    <affiliation type="xsd:string">
      Chinese Academy of Sciences, Institute of Modern Physics
    </affiliation>
    <affiliationIdentifier type="xsd:string" affiliationIdentifierScheme="ROR">https://ror.org/03x8hq63</affiliationIdentifier>
  </creator>
  <creator>
    <creatorName type="xsd:string" nameType="Personal">Maas, Frank</creatorName>
    <givenName type="xsd:string">Frank</givenName>
    <familyName type="xsd:string">Maas</familyName>
    <nameIdentifier type="xsd:string" nameIdentifierScheme="ORCID">0000-0002-9271-1883</nameIdentifier>
    <affiliation type="xsd:string">Helmholtz Institute Mainz</affiliation>
    <affiliationIdentifier type="xsd:string" affiliationIdentifierScheme="ROR">https://ror.org/024thra40</affiliationIdentifier>
  </creator>
</creators>
<contributors>
  <contributor contributorType="DataCurator">
    <creatorName type="xsd:string" nameType="Personal">Mannix, Oonagh</creatorName>
    <givenName type="xsd:string">Oonagh</givenName>
    <familyName type="xsd:string">Mannix</familyName>
    <nameIdentifier type="xsd:string" nameIdentifierScheme="ORCID">0000-0003-0575-2853</nameIdentifier>
    <affiliation type="xsd:string">
      Helmholtz-Zentrum Berlin fuer Materialien und Energie
    </affiliation>
    <affiliationIdentifier type="xsd:string" affiliationIdentifierScheme="ROR">https://ror.org/02aj13c28</affiliationIdentifier>
  </contributor>
</contributors>
<publisher type="xsd:string">GSI Helmholtzzentrum fuer Schwerionenforschung</publisher>
<publicationYear type="xsd:integer">2023</publicationYear>
<resourceTypeGeneral type="xsd:string">Dataset/Processed Data</resourceTypeGeneral>
<resourceType type="xsd:string">Dataset</resourceType>
```



## Create Context

- Additional Readme HTML file
  - ⇒ Human-friendly, browsable
- Separate Readme XML file
  - ⇒ Using DataCite (machine-readable)

# Context – ALPS II

Nexus Definition Language (NXDL) contains pre-defined terms providing high-level information, such as

- Start/end time of measurement, duration
- Title, experiment description
- Citations to related publications
- Contacts to experimental team (user)

⇒ Human- and machine-readable

## 3.3.1.17. NXentry

### Status:

base class, extends [NXobject](#)

### Description:

(required) [NXentry](#) describes the measurement.

The top-level Nexus group which contains all the data and associated information. It is mandatory that there is at least one group of this type in the Nexus file.

### Symbols:

No symbol table

### Groups cited:

[NXcollection](#), [NXdata](#), [NXinstrument](#), [NXmonitor](#), [NXnote](#), [NXparameters](#), [NXprocesses](#), [NXuser](#)

### Structure:

**@default:** (optional) [NX\\_CHAR](#)

Declares which [NXdata](#) group contains the data to be shown by default. It is used to resolve ambiguity when one [NXdata](#) group exists. The value [names](#) a child group. If that group itself has a [default](#) attribute, continue this chain until an [NXdata](#) group is reached.

For more information about how Nexus identifies the default data source, see the [Default section](#).

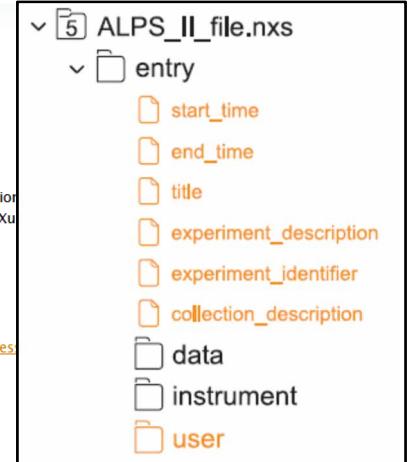
**@IDF\_Version:** (optional) [NX\\_CHAR](#)

ISIS Muon IDF\_Version

**title:** (optional) [NX\\_CHAR](#)

Extended title for entry

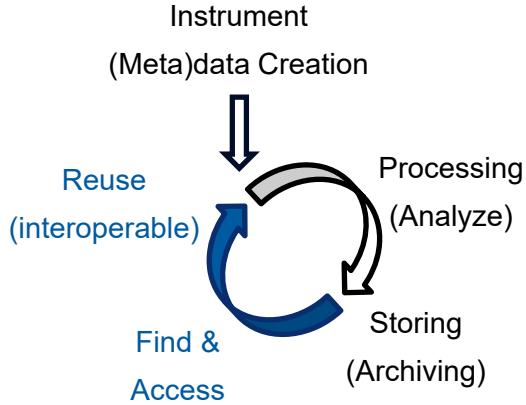
**experiment\_identifier:** (optional) [NX\\_CHAR](#)



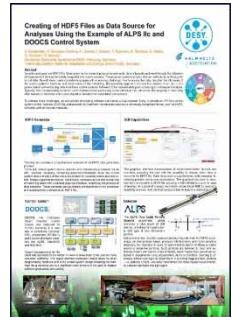
# Summary

FAIRness of raw (meta)data can be improved on the instrument control level:

- Physical representation (file format):
  - open, readable
  - provide manual for reading if required (e. g. C++ files for Root classes)
- Knowledge representation
  - Use existing Standard (e.g. DataCite)
  - Adopting related standard (NeXus for ALPS II)
  - Create your own logic (e. g. through RDF)
- Context:
  - Using schema (e. g. NeXus terms)
  - Additional Readme file



Poster ALPS II



Poster FAIR Data

