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Statistical properties of undulator radiation

Ihar Lobach (UChicago/Fermilab \rightarrow Argonne National Laboratory)

IBIC 2022 MO2I4

Faraday Cup Award

Monday, September 12th, 2022

SLAC

I. Lobach, E. Angelico, UChicago, S. Nagaitsev, G. Stancari, V. Lebedev, A. Romanov, A. Valishev, J. Santucci, Fermilab, A. Halavanau, Z. Huang, V. Yakimenko, SLAC, A. Murokh, Radiabeam, K. J. Kim, ANL, T. Shaftan, BNL

The work is supported by the U.S. Department of Energy, Office of Science, Office of Basic Energy Sciences, under Contract No. DE-AC02-06CH11357.

Fermilab's Integrable Optics Test Accelerator (IOTA)

• First beam Aug 21, 2018

Primary purpose: accelerator science and technology research (not production of radiation for users)

- Particles: electrons/protons
- Main experiments:
	- Nonlinear beam optics
	- Optical stochastic cooling

Circumference: 40 m (133 ns) Electron energy: 100 MeV

*plus some measurements at 150 MeV

Parameters of the undulator in IOTA

Many thanks to our collaborators from SLAC for providing the undulator

Undulator:

- Number of periods: $N_{\rm u} = 10.5$
- Undulator period length: $\lambda_{\rm u} = 55 \text{ mm}$
- Undulator parameter (peak): $K_{\rm u} = 1$
- Fundamental of radiation: 1.1 um
- Second harmonic: visible light

Undulator radiation on the surface of the optical shutter

 $K_{\rm u} = \frac{eB\lambda_{\rm u}}{2\pi m_ec}$

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@100MeV

Layout of the undulator section in IOTA

Previous research about statistical properties of synchrotron radiation

Both theoretical and experimental results:

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- [4] F. Sannibale, G. Stupakov, M. Zolotorev, D. Filippetto, and L. Jägerhofer, Absolute bunch length measurements by incoherent radiation fluctuation analysis, Phys. Rev. ST Accel. Beams 12, 032801 (2009).
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- [6] K.-J. Kim, Start-up noise in 3-D self-amplified spontaneous emission, Nucl. Instrum. Methods Phys. Res., Sect. A 393, 167 (1997).
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- [8] E. L. Saldin, E. Schneidmiller, and M. V. Yurkov, The physics of free electron lasers (Springer Science & Business Media, 2013).
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- [13] T. Chen and J. M. Madey, Observation of sub-Poisson fluctuations in the intensity of the seventh coherent spontaneous harmonic emitted by a RF linac free-electron laser, Phys. Rev. Lett. 86, 5906 (2001).
- [14] J.-W. Park, An Investigation of Possible Non-Standard Photon Statistics in a Free-Electron Laser, Ph.D. thesis, University of Hawaii at Manoa (2019).

Two experiments to study statistical properties of undulator radiation in IOTA

- Experiment #1 with **many electrons** (∼ 109)
	- Fundamental harmonic, ≈ 1.1 $μ$ m
	- InGaAs PIN photodiode
	- Feb-Apr 2019, Feb-Mar 2020
- Experiment #2 with a **single electron**
	- Second harmonic, 450 − 800 nm
	- Single Photon Avalanche Diode (SPAD)
	- Feb-Mar 2020 + Spring-Summer 2021

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Turn-by-turn data in both experiments

Experiment #1 --- many electrons ($\sim 10^9$ **)**

The initial goal was to systematically study var($\mathcal N$) as a function of the electron bunch parameters (charge, size, shape, divergence)

Then, we realized that we could reverse this procedure and infer the electron bunch parameters from the measured var (\mathcal{N})

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Theoretical predictions

$$
\text{var}(\mathcal{N}_{\text{ph}}) = \langle \mathcal{N}_{\text{ph}} \rangle + \frac{1}{M} \langle \mathcal{N}_{\text{ph}} \rangle^2
$$

Discrete quantum nature of light (Poisson fluctuations)

Turn-to-turn variations in relative electron positions and directions of motion

 M is conventionally called the number of coherent modes

E

Simplified 1D model:

Pulses emitted by the electrons:

$$
W \propto \int dt \left| \sum_{i=1}^{n_e} E(t - t_i) \right|^2 = \int d\omega \left| E(\omega) \right|^2 \left| \sum_{i=1}^{n_e} e^{-i\omega t_i} \right|^2
$$

The set of arrival times of the electrons $\{t_i\}$ is different during every revolution in the ring. Hence, the radiated energy W fluctuates from turn to turn. $\sigma_t = \sqrt{\langle t_i^2 \rangle - \langle t_i \rangle^2}$

$$
(\omega)|^2 \propto e^{-\frac{(\omega - \omega_0)^2}{2\sigma_\omega^2}} \quad M = \sqrt{1 + 4\sigma_\omega^2 \sigma_t^2}
$$

General case

In general, M is a function of

- Detector's angular acceptance
- Detector's spectral sensitivity, polarization sensitivity
- Spectral-angular properties of the radiation (undulator or bending magnet)
- Electron bunch density distribution over x, y, z, x', y', δ_p

Featured in Physics

Open Access

Measurements of undulator radiation power noise and comparison with ab initio calculations

Ihar Lobach, Sergei Nagaitsev, Valeri Lebedev, Aleksandr Romanov, Giulio Stancari, Alexander Valishev, Aliaksei Halavanau, Zhirong Huang, and Kwang-Je Kim Phys. Rev. Accel. Beams 24, 040701 - Published 1 April 2021

Physics See synopsis: Using Fluctuations to Measure Beam Properties

We accounted for this

part for the first time

The obtained expression is very complex and includes a multidimensional integral:

$$
\frac{1}{M} = (1 - 1/n_e) \frac{\sqrt{\pi}}{\sigma_z^{\text{eff}}} \frac{\int dk d^2 \phi_1 d^2 \phi_2 d^2 r' \mathcal{P}_k(r', \phi_1 - \phi_2) \mathcal{I}_k(\phi_1, r') \mathcal{I}_k^*(\phi_2, r')}{\langle \mathcal{N}_{\text{s.e.}} \rangle^2},
$$
\n(2)

with

$$
\mathcal{P}_k(\mathbf{r}',\boldsymbol{\phi}_1-\boldsymbol{\phi}_2)=\frac{1}{4\pi\sigma_{x'}\sigma_{y'}}e^{-\frac{(x')^2}{4\sigma_{x'}^2}\frac{-(y')^2}{4\sigma_{y'}^2}}e^{-ik\Delta_x(\phi_{1x}-\phi_{2x})x'-ik\Delta_y(\phi_{1y}-\phi_{2y})y'}e^{-k^2\Sigma_x^2(\phi_{1x}-\phi_{2x})^2-k^2\Sigma_y^2(\phi_{1y}-\phi_{2y})^2},
$$
\n(3)

$$
\mathcal{I}_k(\boldsymbol{\phi}, \boldsymbol{r}') = \sum_{s=1,2} \eta_{k,s}(\boldsymbol{\phi}) \mathcal{E}_{k,s}(\boldsymbol{\phi}) \mathcal{E}_{k,s}^*(\boldsymbol{\phi} - \boldsymbol{r}'), \qquad (4)
$$

$$
\langle \mathcal{N}_{\text{s.e.}} \rangle = \sum_{s=1,2} \int \mathrm{d}k \mathrm{d}^2 \boldsymbol{\phi} \eta_{k,s}(\boldsymbol{\phi}) |\mathcal{E}_{k,s}(\boldsymbol{\phi})|^2, \qquad (5)
$$

where $s = 1$, 2 indicates the polarization component, n_e is the number of electrons in the bunch, $k = 2\pi/\lambda$ is the magnitude of the wave vector; $\phi = (\phi_x, \phi_y)$, $\phi_1 =$ (ϕ_{1x}, ϕ_{1y}) and $\phi_2 = (\phi_{2x}, \phi_{2y})$ represent angles of direction of the radiation in the paraxial approximation. Hereinafter, x and y refer to the horizontal and the vertical axes, respectively, and

where
$$
\rho(z)
$$
 is the electron bunch longitudinal density
distribution function, $\int \rho(z) dz = 1$, and σ_z^{eff} is equal to
the rms bunch length σ_z for a Gaussian bunch; $r' = (x', y')$
represents the direction of motion of an electron at
the radiator center, relative to a reference electron; $\sigma_{x'}$
and $\sigma_{y'}$ are the rms beam divergences, $\sigma_{x'}^2 = \gamma_x \epsilon_x + D_x^2 \sigma_p^2$,
 $\sigma_{y'}^2 = \gamma_y \epsilon_y$; $\Sigma_x^2 = \epsilon_x / \gamma_x + (\gamma_x D_x + D_x \alpha_x)^2 \beta_x \epsilon_x \sigma_p^2 / \sigma_{x'}^2$,
 $\Sigma_y^2 = \epsilon_y / \gamma_y$, $\Delta_x = (\alpha_x \epsilon_x - D_x D_x \sigma_p^2) / \sigma_{x'}^2$, $\Delta_y = \alpha_y / \epsilon_y$,
where α_x , β_x , γ_x , α_y , β_y , γ_y are the Twiss parameters of
an uncoupled focusing optics in the synchrotron radiation

 $\sigma_z^{\rm eff} = 1 / \left(2 \sqrt{\pi} \int \rho^2(z) dz\right)$

 (6)

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Transversely Gaussian beam • Arbitrary longitudinal density distribution

$$
\mathcal{E}_{k,s}(\boldsymbol{\phi}) = \sqrt{\frac{\alpha k}{2(2\pi)^3}} \int \mathrm{d}t \boldsymbol{e}_s(\boldsymbol{k}) \cdot \boldsymbol{v}(t) e^{ickt - ik \cdot \boldsymbol{r}(t)}
$$

• Assumes known Twiss-functions

The code for numerical computation is available at https://github.com/IharLobach/fur

Quantum optics description $var(\mathcal{N}_{ph})=$ Quantum Classical

At negligible electron recoil the radiated field is in a **coherent state**:

$$
\text{var}(n) = \langle \alpha | \big(\hat{a}^\dagger \hat{a} - \langle n \rangle\big)^2 |\alpha \rangle = |\alpha|^2 = \langle n \rangle
$$

A unified description leading to the above expression is possible withing the framework of **quantum optics using the density operator formalism**:

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Statistical properties of spontaneous synchrotron radiation with arbitrary degree of coherence

Ihar Lobach, Valeri Lebedev, Sergei Nagaitsev, Aleksandr Romanov, Giulio Stancari, Alexander Valishev, Aliaksei Halavanau, Zhirong Huang, and Kwang-Je Kim Phys. Rev. Accel. Beams 23, 090703 - Published 11 September 2020

Details about Experiment #1 --- many electrons (10⁹) Spectral-angular radiation distribution

In Experiment #1:

 $#1$ Detect the fundamental (≈ 1.16 um). InGaAs p-i-n photodiode #2 Wide band (≈ 0.14 um FWHM). Large acceptance angle $> 1/\gamma$ Simulated total intensity: 9.1×10^{-3} photoelectrons/electron <u>Measured:</u> 8.8×10^{-3} photoelectrons/electron (We use a focusing lens)

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Details about the apparatus

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Comb (notch) filter

*the idea to use the comb filter was proposed by S. Nagaitsev. The components were provided by B.J. Fellenz, K. Carlson, and D. Frolov

Our comb filter had some imperfections:

- Cross-talk $(< 1\%)$
- Small reflected pulse in one of the arms

*they could be taken into account and did not affect final results

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Noise filtering algorithm

- The instrumental noise due to the oscilloscope's pre-amp and due to the integrator's op-amp was about 0.3 mV (rms)
- Therefore, signal-to-noise ratio was about 1

We had to use a special noise filtering algorithm.

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Measurements and simulations

$$
M = M(\epsilon_x, \epsilon_y, \sigma_p, \sigma_z^{\text{eff}})
$$

For the simulation,

- ϵ_x and ϵ_y were estimated using bending magnet synchrotron radiation monitors and known Twiss functions.
- σ_z^{eff} and σ_p were estimated using the wallcurrent monitor signal

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Note that the simulation with beam divergence taken into account agrees better

Measurement of transverse bunch size: 7 synclight stations $M1L$

Bending magnet radiation (not undulator)

*built by A. Romanov, J. Santucci, G. Stancari, N. Kuklev, …

Measurement of longitudinal bunch length and shape: Bunch length monitor

- Wall-current monitor \rightarrow long cable \rightarrow amplifier \rightarrow oscilloscope
- The web-server runs on a Raspberry Pi on the Fermilab controls network. It receives the signal from the scope and applies the inverse of the transmission function of the long cable and the amplifier to reconstruct the shape of the electron

Valeri Lebedev and Kermit Carlson helped with measurement of the transmission function. Dean Edstrom helped with network communication with the oscilloscope.

Neutral density (ND) filters

- ND filter is a filter that has constant attenuation in a wide spectral range
- ND filter does not change the number of coherent modes M , however, it does change the average number of detected photons $\langle \mathcal{N} \rangle$ Remote controls for the apparatus

The filter wheel was built by Sasha Romanov

Measurements with ND filters (right-hand side)

Reconstruction of transverse emittances from the measured $var(\mathcal{N})$

We verified our method with a "round" beam, whose emittances could be independently measured by synchrotron radiation monitors, (a) and (c):

Then, we used our fluctuations-based method to **measure the unknown small vertical emittance of a "flat" beam**, (b) and (d):

Limitations (or strengths?)

The fluctuations must not be dominated by the Poisson noise

$$
\langle \mathcal{N} \rangle \lesssim \frac{1}{M} \langle \mathcal{N} \rangle^2 \qquad \qquad \frac{\langle \mathcal{N} \rangle}{M} = \alpha \left(\frac{\pi}{2} \right)^{\frac{3}{2}} F_h(K_{\rm u}) \frac{\gamma^2 N_{\rm u}^2 n_e}{\sigma_x \sigma_y \sigma_z k_0^3} \gtrsim 1
$$

M must be sensitive to changes in σ_x , σ_y (ϵ_x , ϵ_y)

The sensitivity of this technique improves with shorter wavelength. Therefore, this technique may be particularly beneficial for existing state-of-the-art and next-generation low-emittance high-brightness ultraviolet and x-ray synchrotron light sources. For instance, this technique can measure $\epsilon_x \approx \epsilon_y \approx 30$ pm in the Advanced Photon Source Upgrade at Argonne.

Usage of slits and masks

• Measurement of fluctuations with slits or masks would allow measurement of more than one electron bunch parameter.

$$
M = \sqrt{1 + 4\sigma_k^2 \sigma_z^2} \sqrt{1 + 4k_0^2 \sigma_{\theta_x}^2 \sigma_x^2} \sqrt{1 + 4k_0^2 \sigma_{\theta_y}^2 \sigma_y^2}
$$

Original angular distribution:

Experiment #2 --- a single electron in the ring

Next step is a single electron because it is free from any collective effects. It is a very repeatable and well controlled system to study possible deviations from Poisson statistics.

Goal #1 Verify that the photostatistics in the single-electron case is Poissonian:

Super-Poissonian light:

 $var(\mathcal{N}) > \langle \mathcal{N} \rangle$

Sub-Poissonian light:

 $\text{var}(\mathcal{N}) < \langle \mathcal{N} \rangle$ unusual – non-classical state of the radiated field

Most sources suggest Poissonian photostatistics for a single electron (at negligible electron recoil):

PHYSICAL REVIEW

VOLUME 131. NUMBER 6 15 SEPTEMBER 1963

Coherent and Incoherent States of the Radiation Field*

ROY J. GLAUBER Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts (Received 29 April 1963)

However, this fairly similar experiment reports observation of Sub-Poissonian statistics:

VOLUME 86, NUMBER 26 PHYSICAL REVIEW LETTERS **25 JUNE 2001**

Observation of Sub-Poisson Fluctuations in the Intensity of the Seventh Coherent Spontaneous Harmonic Emitted by a rf Linac Free-Electron Laser

> Teng Chen and John M.J. Madey Department of Physics and Astronomy, University of Hawaii at Manoa, Honolulu, Hawaii 96822 (Received 18 April 2000)

Goal #2 Use the photocount arrival time information to study the synchrotron motion of the single electron

Obtaining a single electron in the ring

- Injecting very low current from linac
- Changing RF voltage quickly to scrape electrons
- The number of electrons is easily determined by looking at photocounts rate
- Lifetime ≈1-2 hours

Time [hh:mm]

- Real time footage of **one electron** from M2R camera after specially developed noise cancellation algorithms (bending magnet radiation)
	- Clearly visible "stopping" points are due to integration time of less than damping time

*video borrowed from Sasha Romanov's presentation at the workshop "Single-electron experiments in IOTA"

Design of the experiment with a single electron

Controls

- Live camera video
- Photocount rate

• x, y, z motors

• Optical shutter

- Detector power switch
- LED power switch

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Photocount rate. Simulation vs. measurements

Total efficiency in the simulation takes into account:

- two mirrors
- vacuum chamber window
- one lens
- low-pass filter
- high-pass filter
- quantum efficiency of the detector.

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Simulated photocount rate for one electron (assuming focusing to a point): 46kHz

Angular intensity distribution

Simulation:

7 measured x-scans at different values of y (far from the focal plane):

Analysis of the statistical properties

Collected data (binary detector): 000001000001100000000001000100000001110000000… 0 - no detection, 1 – one or more photons detected $\widehat{\text{Oissen}}$ distribution \rightarrow Bernoulli trials: $\text{var}(\mathcal{N}) = (1-p)\langle \mathcal{N} \rangle$ *on average one detection per 304 revolutions *Probability to detect a photon(s) in one revolution: $p = 0.00330$

Measurements with two SPAD detectors

Collected data:

000001000001100000000002000100000001120000000…

• So far, no deviations from our expectations

Detector $\#1: \sim 30$ kHz

Detector #2: ~15 kHz

Detector #1 & Detector #2: ~70 Hz

No correlation or anticorrelation between the two detectors

Future experiments: Mach-Zehnder interferometry

Interference of the photons in emitted photon pairs with two detectors:

A possible diagnostic tool: Synchrotron motion of a single electron

The SPAD's timing resolution is ≈ 0.4 ns (the error bars)

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The outliers could also be the dark counts

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Simulation of the single electron's synchrotron motion

Synchrotron motion amplitude as a function of time

The measurement and the simulation have similar behavior:

Inference of the rms rf phase jitter

Here we use several data sets, the combined length is 150 seconds.

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Synchrotron motion period as a function of amplitude

We can count the exact number of full synchrotron motion oscillations in a time interval

Thus, we can investigate sync. motion period as a function of amplitude:

Effect of the detector's timing resolution

The distribution of residuals describes the random delay introduced by the SPAD detector:

A real time video of the electron's longitudinal position with 0.1 sec-long "exposure":

(the residuals were removed)

Thesis advisors: IOTA team:

Sergei Nagaitsev (UChicago/Fermilab)

Giulio Stancari (Fermilab)

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Aleksandr Romanov and Alexander Valishev tuned the ring and the beam. Mark Obrycki, James Santucci, Wayne Johnson, Dean Edstrom, and Kermit Carlson helped build the apparatus. Greg Saewert constructed the photodiode detection circuit and provided the test light source. Brian Fellenz, Daniil Frolov, David Johnson, and Todd Johnson provided some equipment and assisted during our detector tests. We had useful discussions about theoretical description with Valeri Lebedev and our collaborators from SLAC --- Aliaksei Halavanau and Zhirong Huang --- who also kindly provided the undulator.

Thank you for your attention!