



SiPMs for Beam Instrumentation

Ideas From High Energy Physics

**D. Ciaglia, G. Fernandez, D. Gascon, S. Gomez, R. Graciani ,
J. Mauricio, N. Rakotonavalona, D. Sanchez, A. Sanuy**

SIUB & ICCUB

Universitat de Barcelona



I. Motivation

II. SiPMs: state of the art

III. Signal conditioning

IV. Use cases in HEP

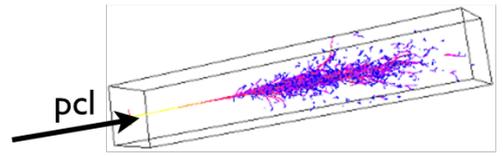
V. Possible applications for BI

I. Motivation: photodetectors in HEP

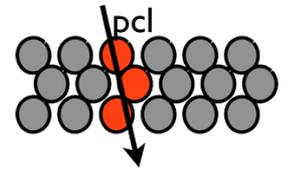
Where do we need photodetectors?

scintillators readout

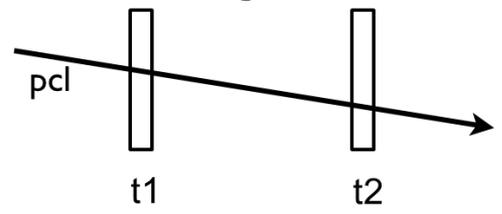
Calorimetry (elm & hadr)



Tracking (with scintillating fibers)



Time-Of-Flight i.e. PID

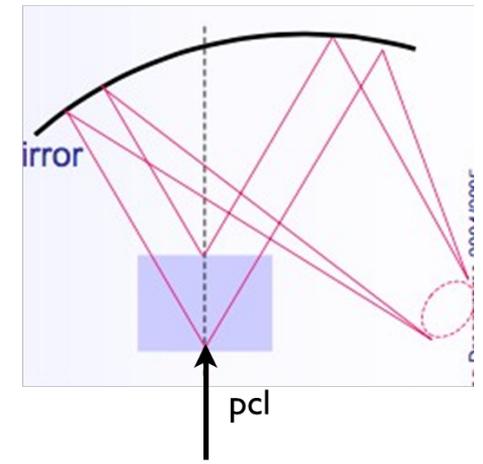


detection of Cherenkov light

PID (Particle ID)

Cherenkov Threshold detectors

or RICH
Ring
Imaging
CHerenkov



partially also in calorimetry

I. Motivation: photodetectors in HEP

for Cherenkov emission (namely RICH):

- blue / UV light sensitivity (large spectral response)
- single photons sensitivity
- optimize spatial resolution of single photons
- maximize number of detected photons
- large detector areas

photodetectors in HEP

} *Particle ID*

for scintillation:

(most requirements here are specifically application-driven)

- visible light (mostly blue / green)

- nr. of photons

for fibers => few photons

} *Tracking*

for large calorimeters => ~ 100s - 10000s photons

depending on scintillator

depending on energy deposition

=> large dynamic range

} *Calorimetry*

I. Motivation: photodetectors in HEP

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photodetectors in HEP

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- nr. of photons

for fibers => few photons *Tracking*

for large calorimeters => ~ 100s - 10000s photons
 depending on scintillator
 depending on energy deposition
 => large dynamic range

Calorimetry

SiPM becomes a competitor for PMTs in all domains

particle ID



I. Motivation

II. SiPMs: state of the art

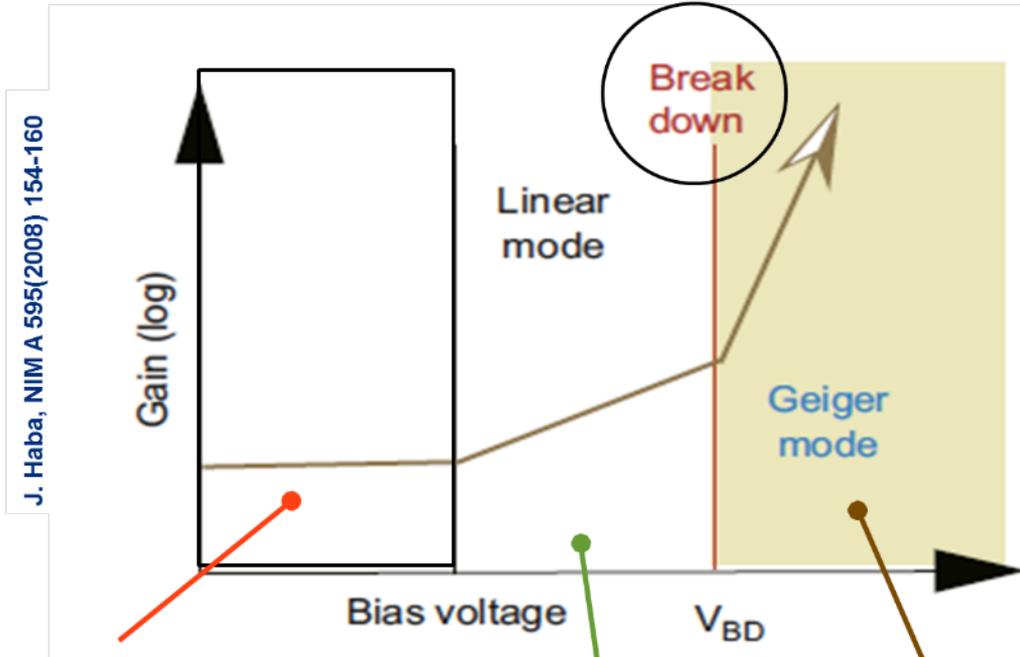
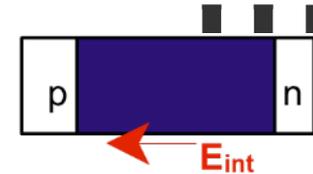
III. Signal conditioning

IV. Use cases in HEP

V. Possible applications for BI

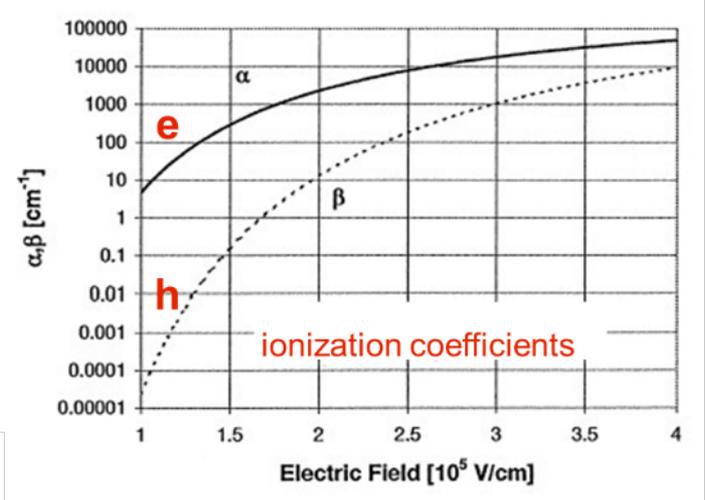
II. SiPMs: P-N junctions

Reversed bias pn junction - Different regimes



V_{bias} :

- enlarge depletion region
- increase electric field
- secondary ionization



PIN Diode

- no bias
- no gain

AVALANCHE PHOTODIODE (APD)

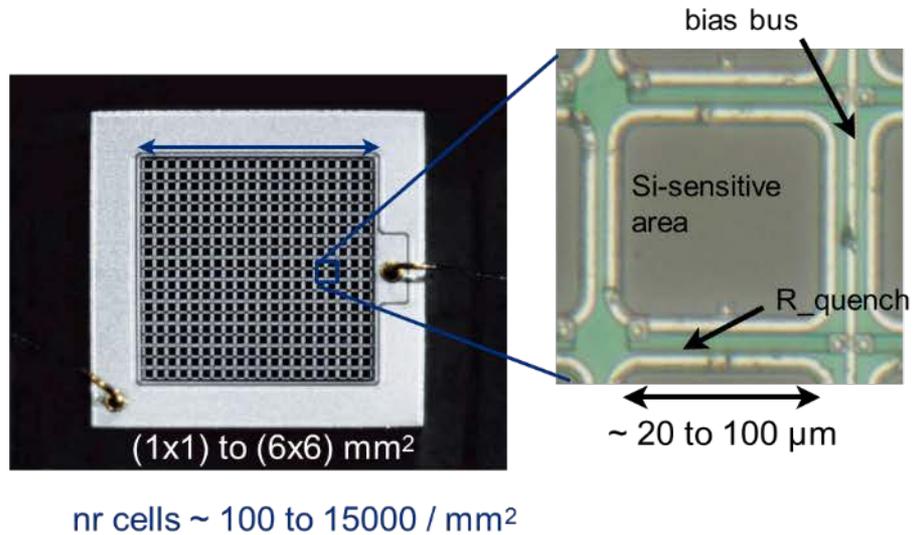
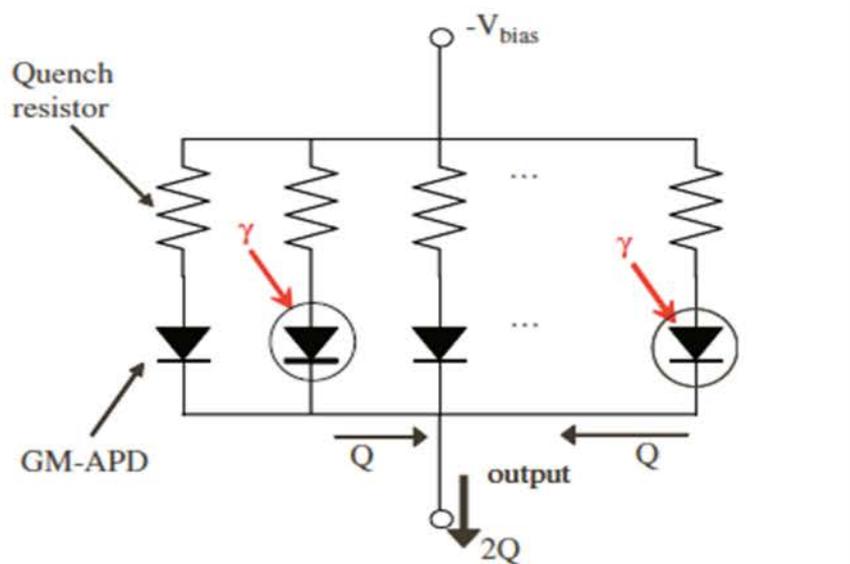
- voltage
- secondary ionization from electrons
- avalanche
- linear regime

GEIGER MODE AVALANCHE (G-APD)

- $V > V_{breakdown}$
- secondary ionization from electrons and holes
- “broken” junction , avalanche
- Geiger regime, not linear anymore

SILICON PHOTOMULTIPLIER (SiPM) :
array of micro-cells operated in G-APD

II. SiPMs: structure



SiPM: array of micro-cells APD-like operated in G-mode connected to a **common bias** through **independent quenching resistors**, all integrated within a sensor chip. The output is the **analogue sum of all cells**

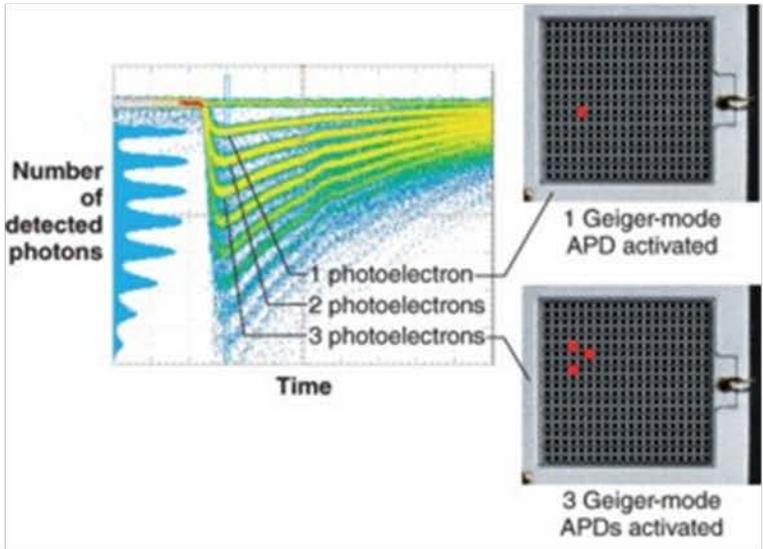
individual cell (i.e. one diode. APD-like)

- $V_{bias} > V_{breakdown}$
- Gain $\sim 10^6 - 10^7$
- Geiger regime (fully saturated)
- **No analogue info at the single cell level !**

- when hit by 1(2,3...n) photon(s)
=> full discharge
=> $Q_{cell} = C_{cell} (V_{bias} - V_{breakdown})$
overvoltage

V_{ov} 8

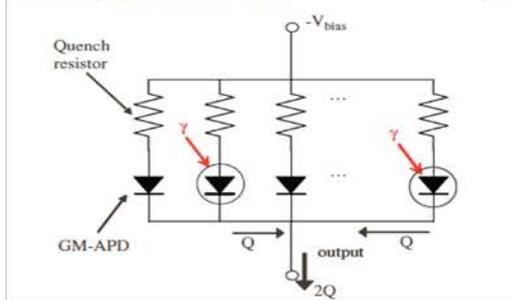
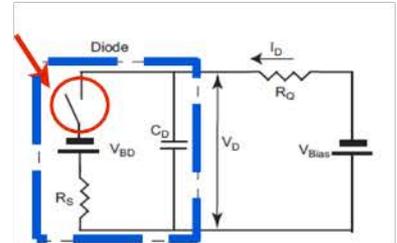
II. SiPMs: photon counting



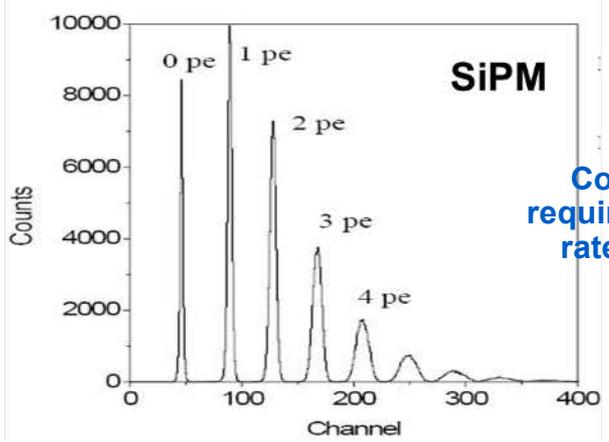
The output signal is 'quantized' and proportional to the Nr of fired cells

$$Q_{1\text{cell}} = C_{\text{cell}} V_{\text{ov}}$$

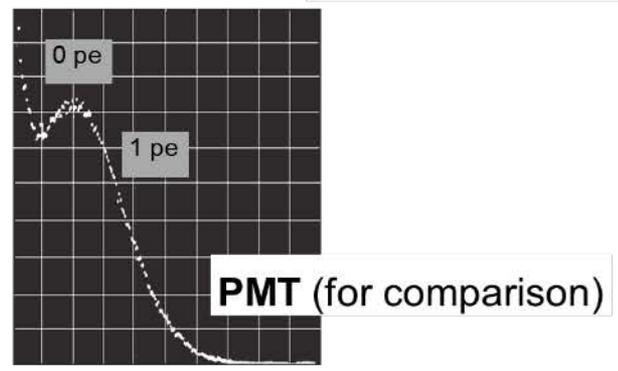
$$Q_{\text{total}} = N Q_{1\text{cell}}$$



Excellent single photon counting capability



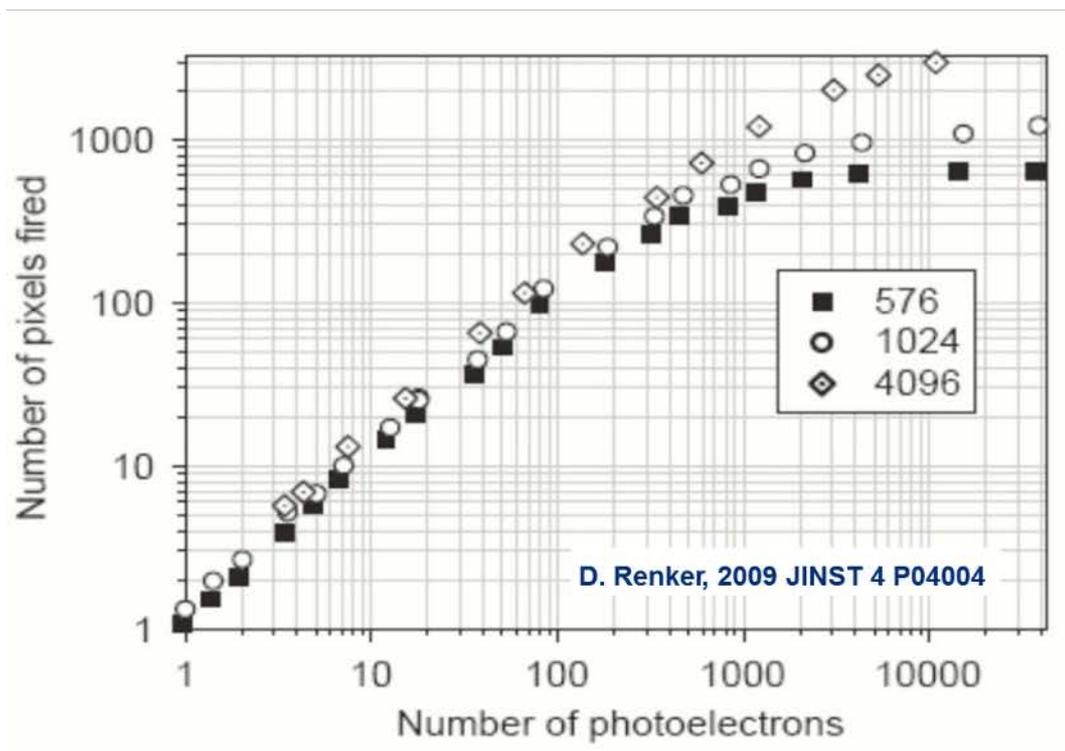
Cooling is required for low rates (DCR)



D. Renker, 2009 JINST 4 P04004

II. SiPMs: linearity

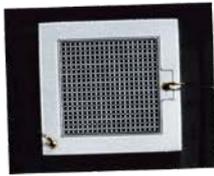
Intrinsic non-linearity in the response to high N_r incident photons



Linear but only as long as
 $N_r_detected_photons < N_r_cells$
 after that : saturation

II. SiPMs: noise and drawbacks

the 'dark side' of SiPM



- **dark counts**

impurities and/or thermal generation of free charges

=> permanent rate of avalanches not induced by photons

- **cross-talk**

correlated noise : avalanches induced by the 'primary' avalanche in a neighbor pixel at the same time of the primary avalanche

- **afterpulses**

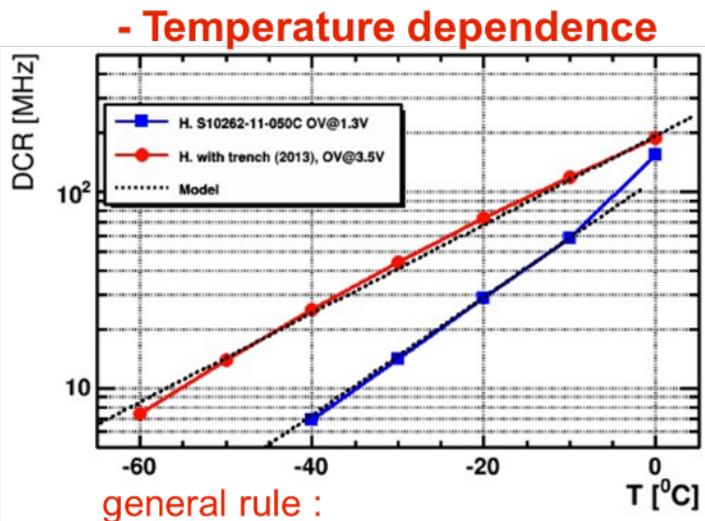
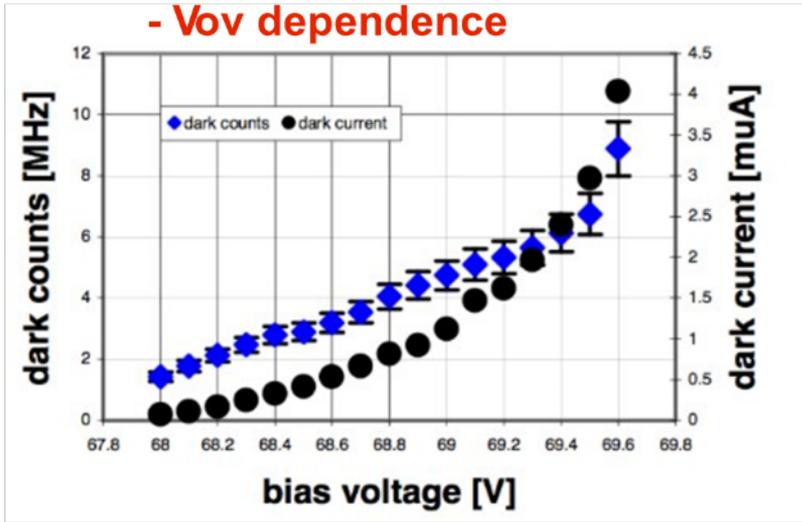
correlated noise : avalanches induced by the 'primary' avalanche in the same pixel at a later time

Noise depends strongly on V_{ov} and Temperature!

II. SiPMs: dark count

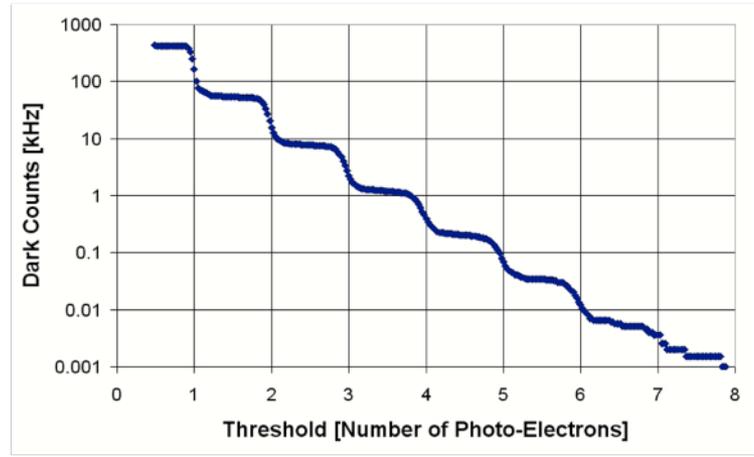
Counts registered by SiPM in absence of light
 Due to **thermal generation** of charge carriers
 and/or tunnelling

typical values DARK COUNT RATES
100 kHz - MHz / mm² (@0.5 pe thr)
 - function of the triggering thr



general rule :
 dark rate is halved every ~ 8-10 K

D. Renker, 2009 JINST 4 P04004



II. SiPMs: correlated noise

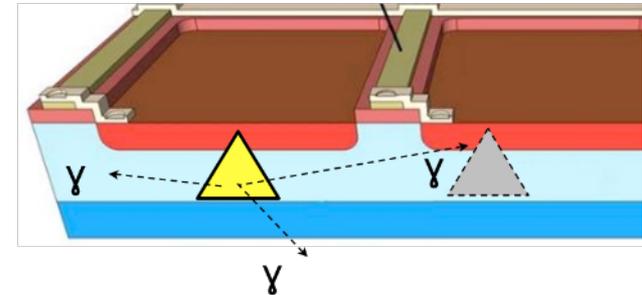
Optical Cross Talk

During the avalanche a large nr of photons are produced { **$O(1\text{photon}/10^5\text{ charge carriers})$** }
 => Reach neighbours pixels and start a second avalanche

correlated noise

contribution **added** to the primary signal
 stochastic process => contributes to ENF

- larger V_{ov} => larger gain => higher P_{XT}
- smaller pixel size => higher P_{XT}
- $XT \sim 30 - 40\%$ (w/o trenches)
- significant impact of trenches = optical separation

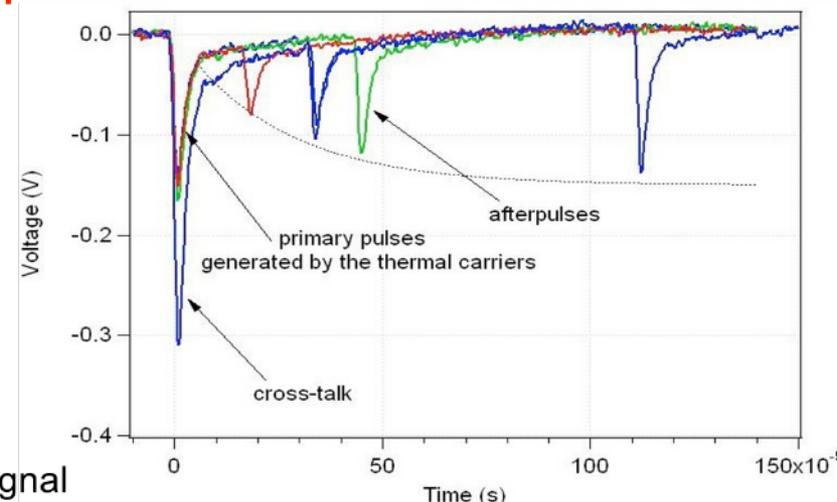


Afterpulses

Charge carriers temporarily trapped in the lattice defects and released near the avalanche region (same cell) with some time delay

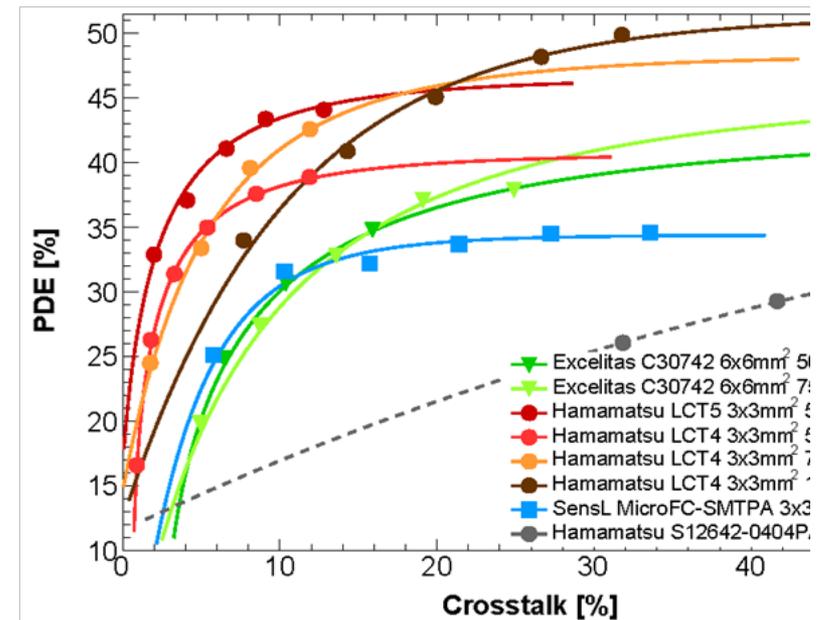
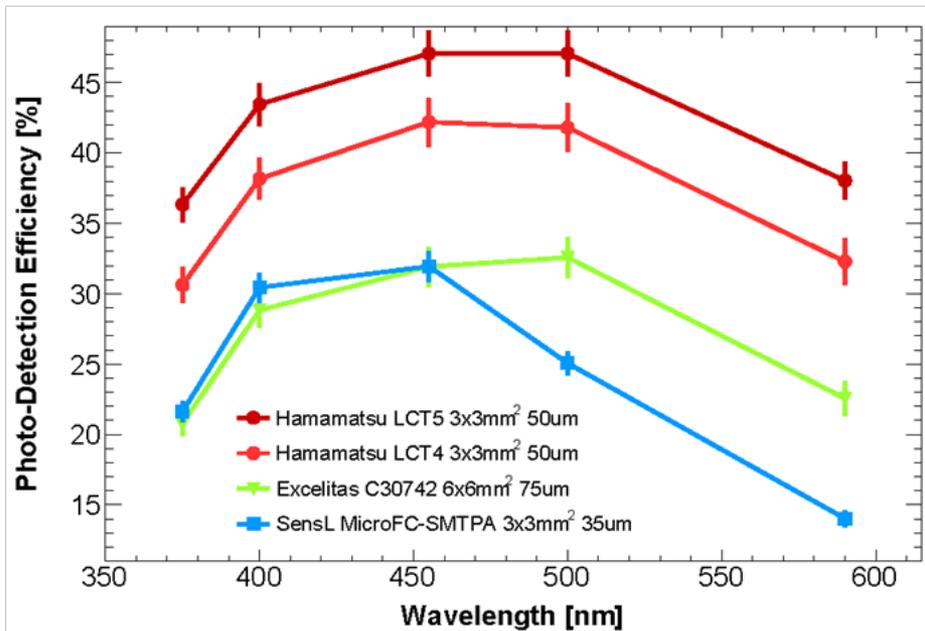
correlated noise

contribution delayed, occurring **after** the primary signal



II. SiPMs: state of the art

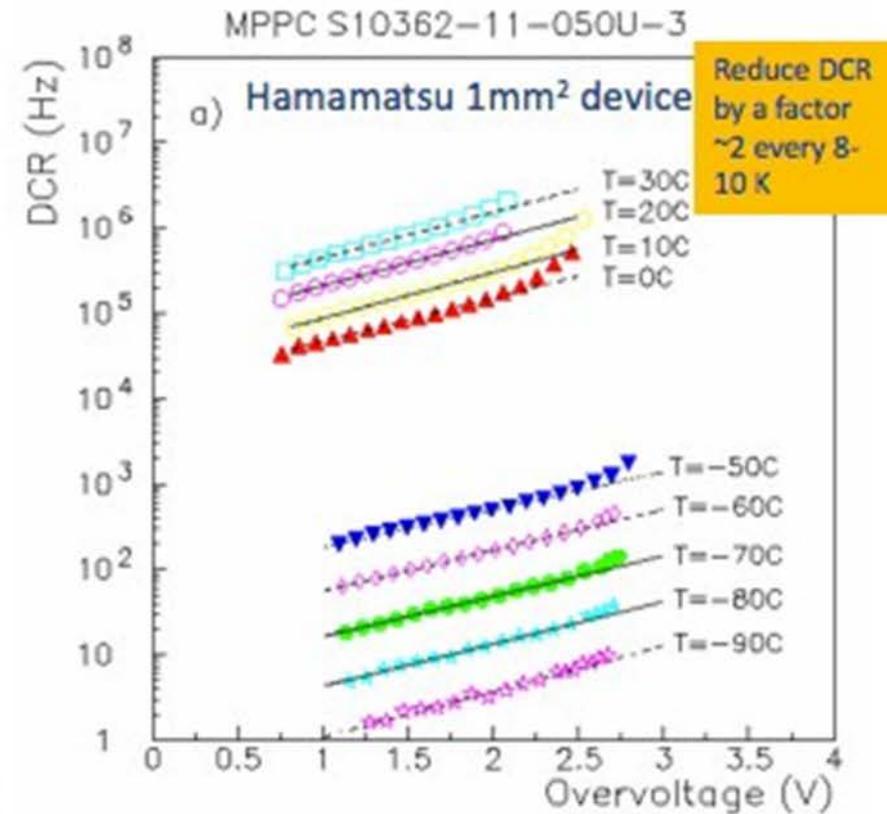
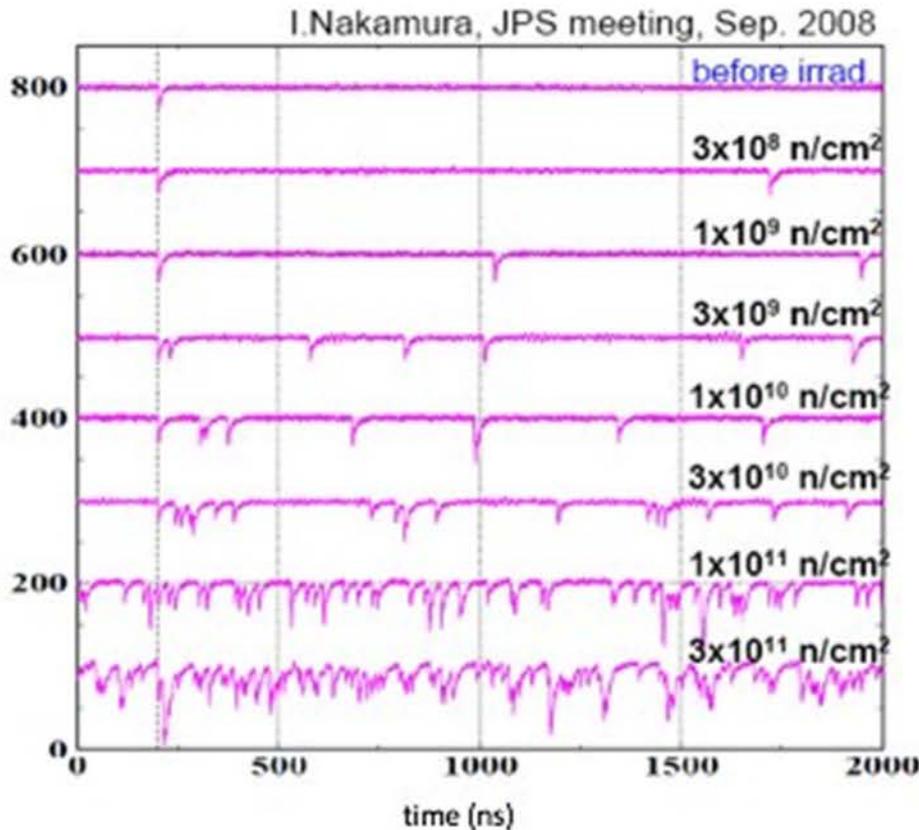
- High progress in SiPM technology: high PDE & low crosstalk
- DCR has been also reduced down to 100 KHz/mm²
- Still a **trade-off between PDE and crosstalk** exists
- Also for other parameters: linearity, rate, etc
- **SiPM configuration** (pixel size, number, area, overvoltage, model) **has to be chosen accurately for each specific application**



J. Biteau et al. "Performance of Silicon Photomultipliers for the Dual-Mirror Medium Sized Telescopes of the Cherenkov Telescope Array", ICRC2015

II. SiPMs: radiation damage

Like the other Si devices, SiPM are sensitive to NIEL (Non Ionizing Energy Loss) damages by hadrons => damage of the Si lattice => increase in DCR and I_{leakage}

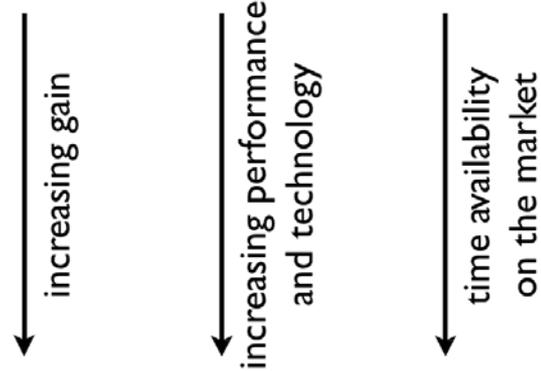


significant increase on DCR with irradiation

mitigated with COOLING !

II. SiPMs: solid state photodetectors

- PIN diodes
- APD (Avalanche Photo Diode)
- SiPM (Silicon Photomultiplier)



Advantages wrt PMT

- compact
- not sensitive to magnetic field
- robust
- operated at low voltages
- high QE (lambda dependent)
- well established production methods
- integration in large system (large area)
- “pixelisation” (**high granularity device**)

Disadvantages wrt PMT

- dark rate
- temperature dependance of response (gain) and noise

II. SiPMs: solid state photodetectors

- PIN diodes
- APD (Avalanche Photo Diode)
- **SiPM (Silicon Photomultiplier)**

↑
increasing gain

↑
increasing performance
and technology

↑
time availability
on the market

- detection of low light level (single photons detection)
- excellent timing performance

Applications in HEP

- PIN / APD : Calorimetry
- SiPM : ~ Everywhere!! (compatibly with the maturity of the technology)
Calorimetry / Timing / Cherenkov single photon detection / Tracker...

note : not all applications are suitable for SiPM!!!



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- II. SiPMs: state of the art
- III. Signal conditioning**
- IV. Use cases in HEP
- V. Possible applications for BI

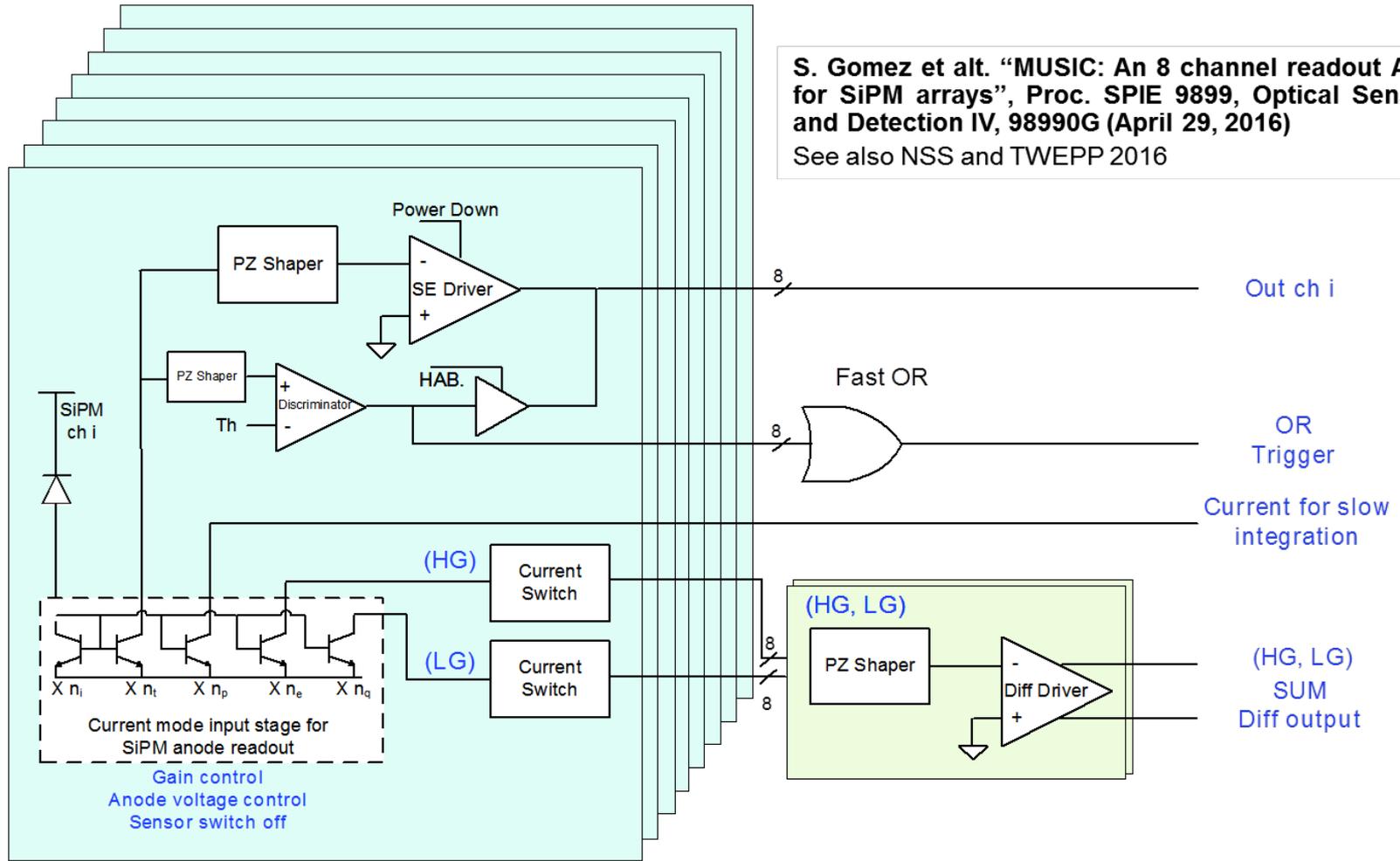
III. Signal processing: need

- **Front end electronics for SiPM is needed to:**
 - Adapt impedances
 - SiPM capacitances range from 30 pF to more than 1 nF
 - Preamply to optimize the SNR
 - Even if “nominal” gain is in the order of 10^6 only a fraction of the charge is used for fast read-out systems
 - Shape the input signal
 - Large SiPM time constant may cause saturation or distortion because of pile up
 - Combine (sum) the signal of several SiPMs
 - Sometimes equalize over-voltage in SiPM arrays

III. Signal processing: example (MUSIC)

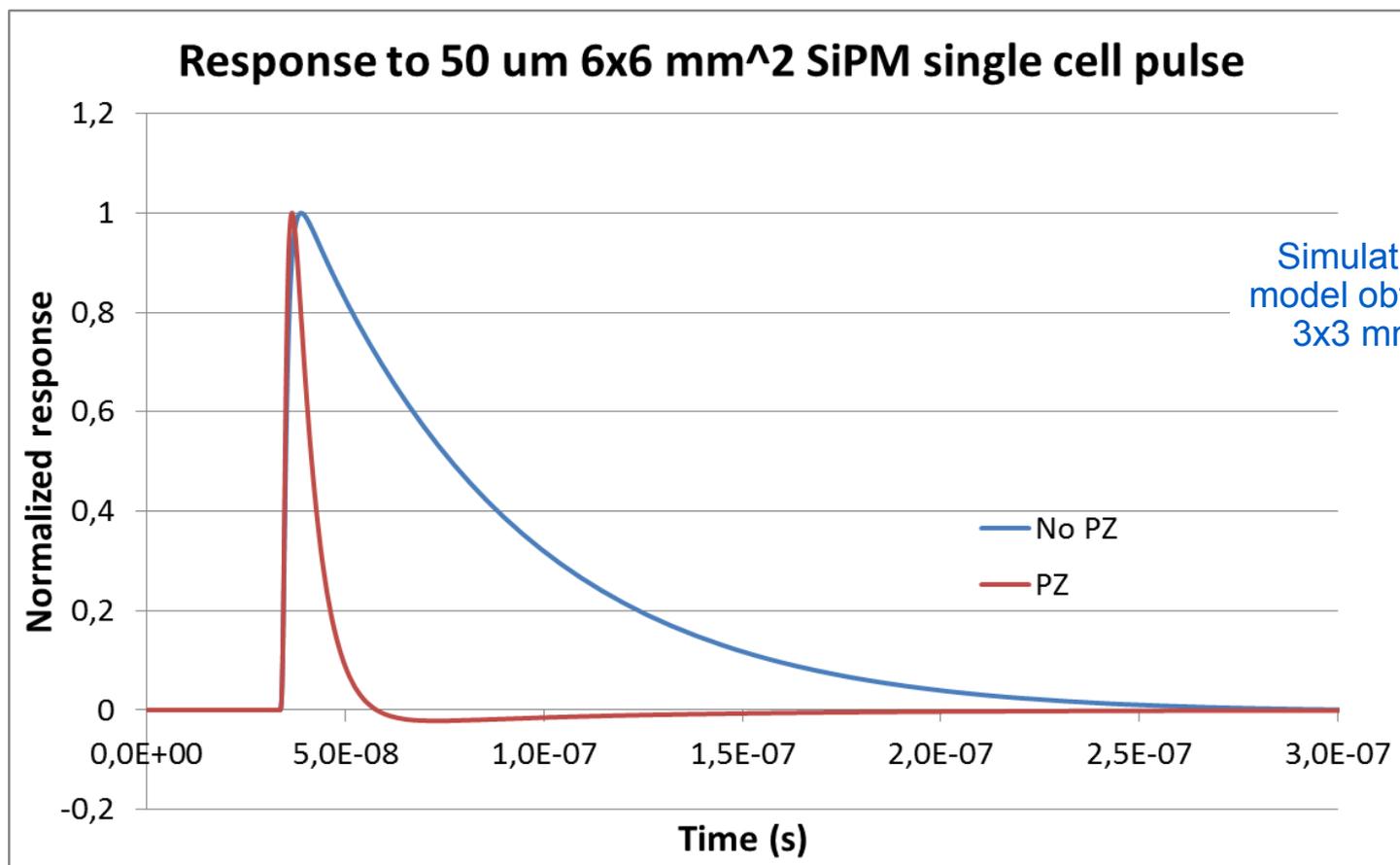
- MUSIC ASIC incorporates many of those functions:
 - It will be used to illustrate them

S. Gomez et al. "MUSIC: An 8 channel readout ASIC for SiPM arrays", Proc. SPIE 9899, Optical Sensing and Detection IV, 98990G (April 29, 2016)
 See also NSS and TWEPP 2016



Pole zero shaping

- Pole-Zero cancellation of the SiPM recovery time constant
- Parameters of the PZ cancellation are tunable to deal with different sensors
 - Up to 100 ns time constant
- After PZ cancellation: output pulse FWHM < 5 ns



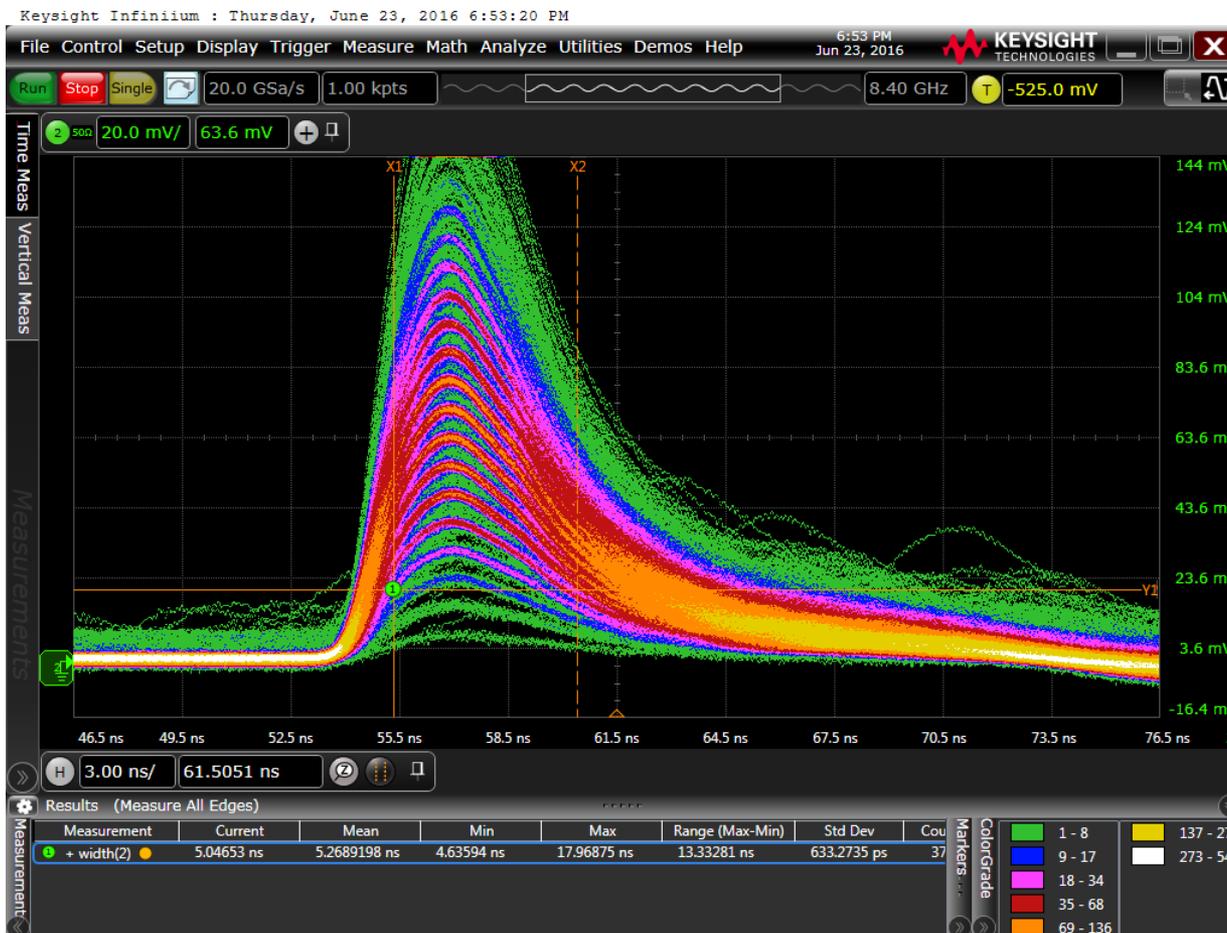
III. Signal processing: example (MUSIC)

- Output for a LCT4 MPPC (3x3 mm², 75 um cell)
- No pole-zero cancellation
- Large SiPM tail: pulse width > 100 ns



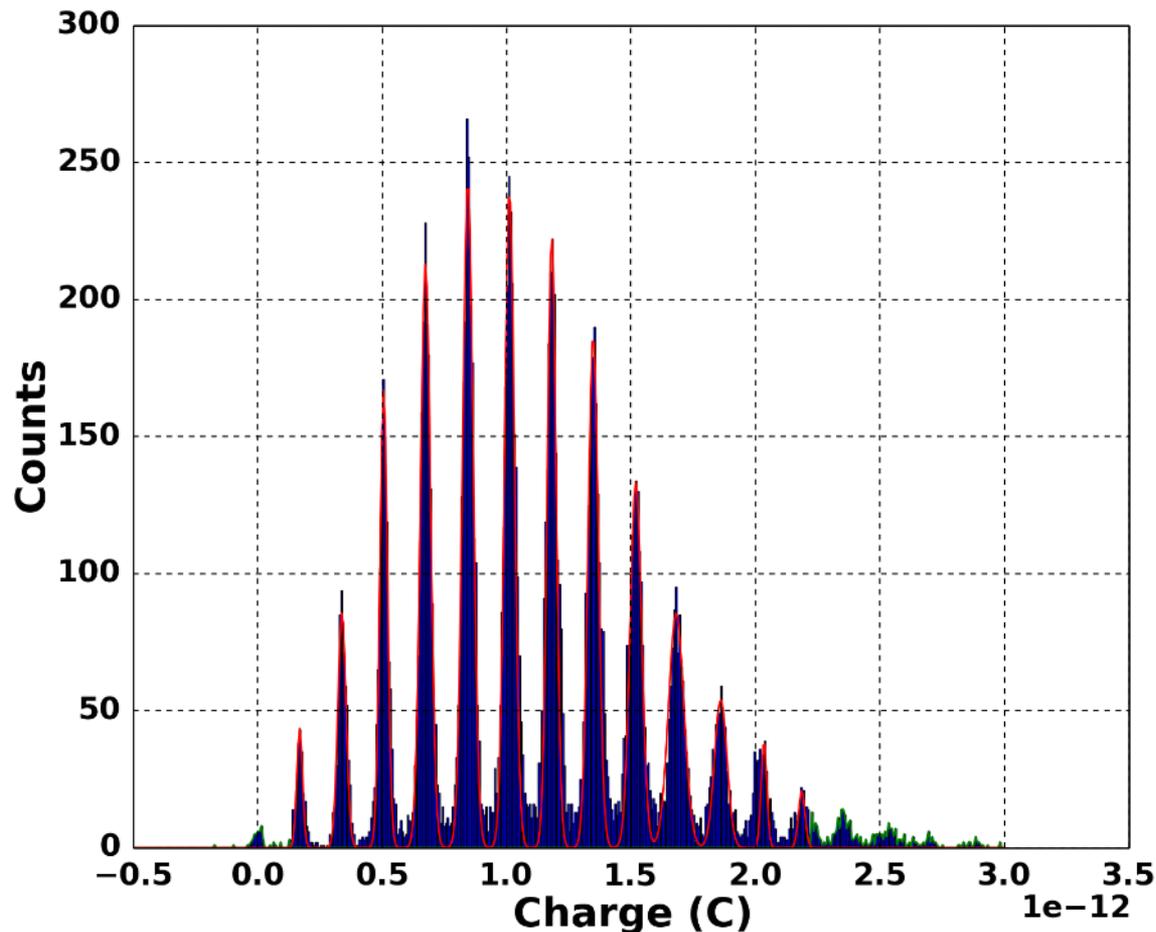
III. Signal processing: example (MUSIC)

- Output for a LCT4 MPPC (3x3 mm², 75 um cell)
- Pole-zero cancellation
- Excellent resolution with FWHM of about 5 ns
- Possible to reach 2-3 ns FWHM for other SiPM models



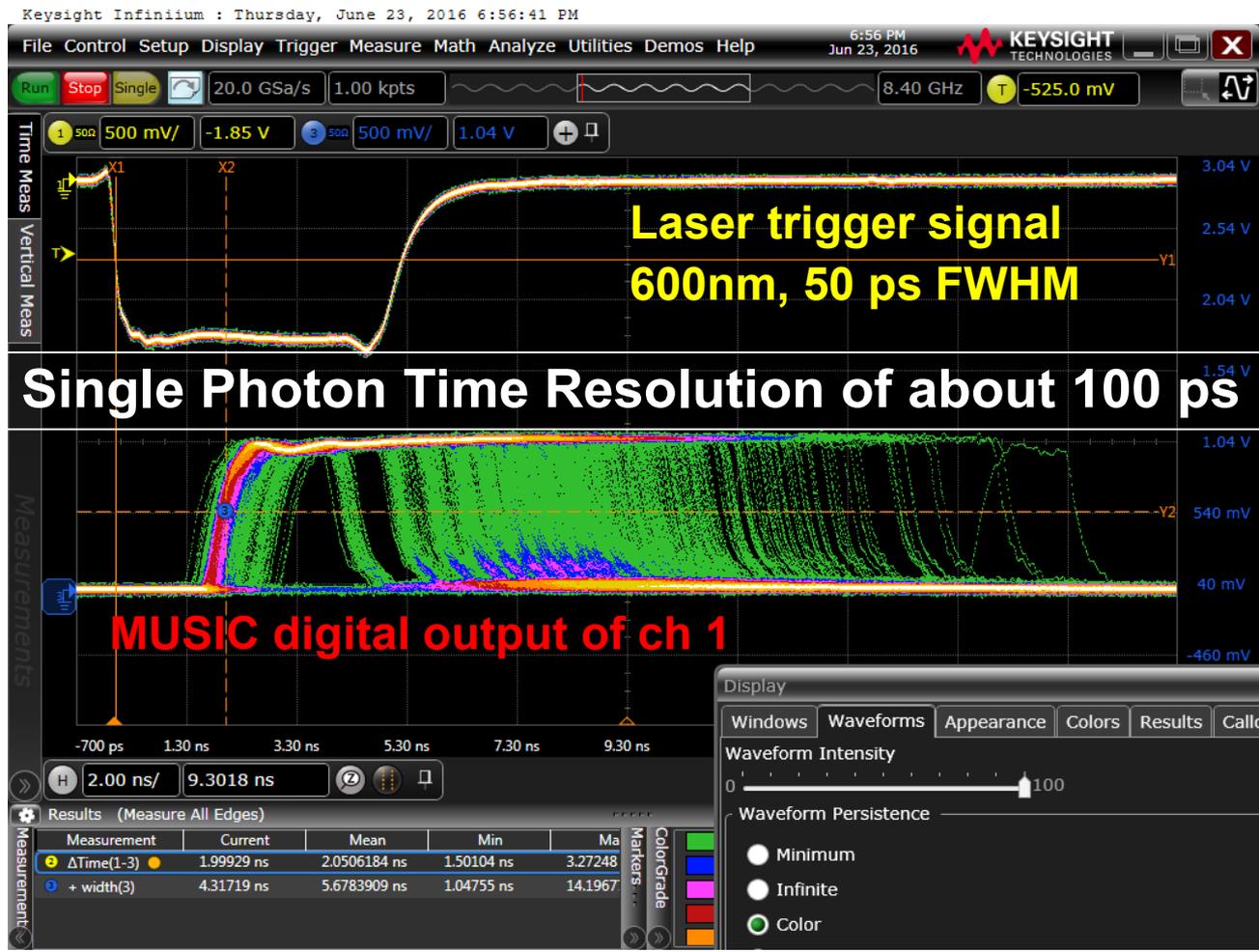
III. Signal processing: example (MUSIC)

- Charge spectrum for a LCT4 MPPC (3x3 mm², 75 um cell)
- Pole-zero cancellation
- Excellent resolution with FWHM of 5 ns



III. Signal processing: example (MUSIC)

- Binary output for a LCT4 HPKK MPPC (3x3 mm², 75 um cell)
- Pole-zero cancellation





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- IV. Use cases in HEP**
- V. Possible applications for BI

IV. Use in HEP: Scintillating Fiber Tracker

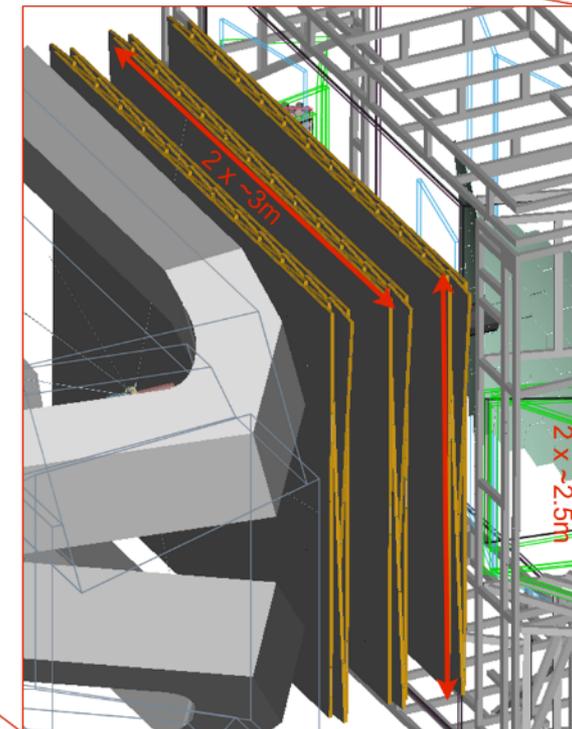
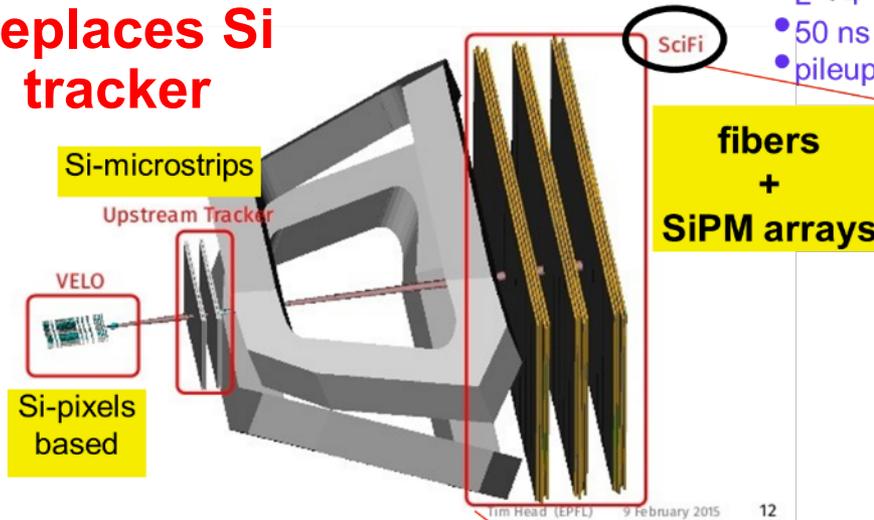
- upgrade for the tracker system in LHCb experiment (LHC, CERN)
- aim at the same performance as in current conditions with the high luminosity upgrade

Replaces Si tracker

- $L < 4 \cdot 10^{32} \text{ cm}^{-2}\text{s}^{-1}$
- 50 ns
- pileup ~ 1.7

- $L \sim 2 \cdot 10^{33} \text{ cm}^{-2}\text{s}^{-1}$
- 25 ns
- pileup ~ 7.6
- readout at interaction rate (40 MHz)

LHC Run3 (>2019)



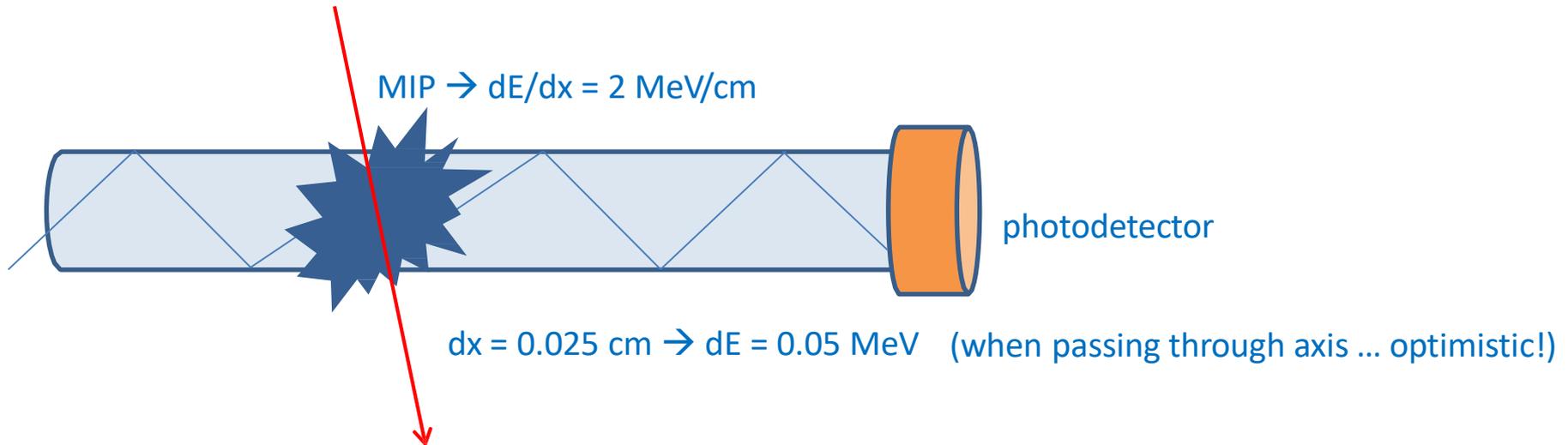
LHCb SciFi : not the first tracker based on scintillating fibers but the first one to face the demanding challenges of large dimensions / rates / radiation

Requirements :

- hit detection efficiency $> 98\%$; noise/signal cluster rate $< 10\%$
- spatial resolution $< 100 \mu\text{m}$
- 40 MHz readout
- radiation environment :
 - scintillating fibers : up to 35kGy ionizing dose
 - SiPM : $6 \cdot 10^{11} \text{ n}_{\text{eq}} / \text{cm}^2$ (with n shield) + 100 Gy ionizing dose

IV. Use in HEP: SciFi Tracker Fibers

Back-of-the-envelope estimate of photoelectric yield in a 0.25 mm double cladded fibre, 1 m from photodetector. Non-irradiated.



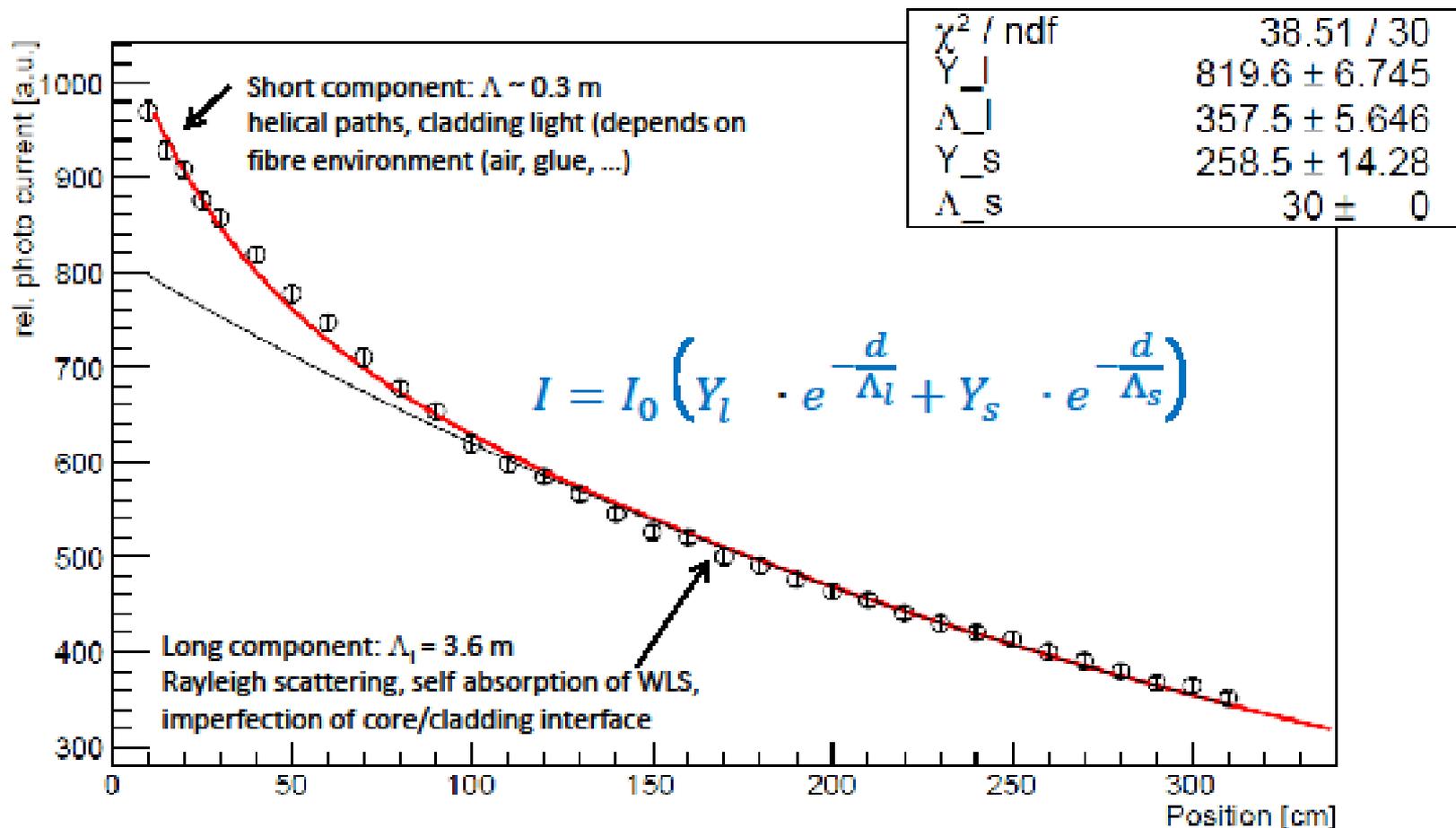
- Scintillation yield: $dY_\gamma/dE = 8000 \text{ ph / MeV}$ $\rightarrow Y_\gamma = 400$
- Trapping inside fibre (1 hemisphere): 5.4% $\rightarrow Y_\gamma \sim 20$
- Attenuation losses over 1 m: 22% $\rightarrow Y_\gamma \sim 16$
- Efficiency of photodetector (typ. PMT): 25% $\rightarrow Y_{p.e.} \sim 4$

- \rightarrow Need more traversed fibre thickness \rightarrow increase thickness in particle direction (fiber stack)
- \rightarrow Need higher photodetector efficiency \rightarrow SiPM with PDE $\sim 50\%$
- \rightarrow Need to recover light in the second hemisphere \rightarrow mirror at the fiber end

IV. Use in HEP: SciFi Tracker Fibers

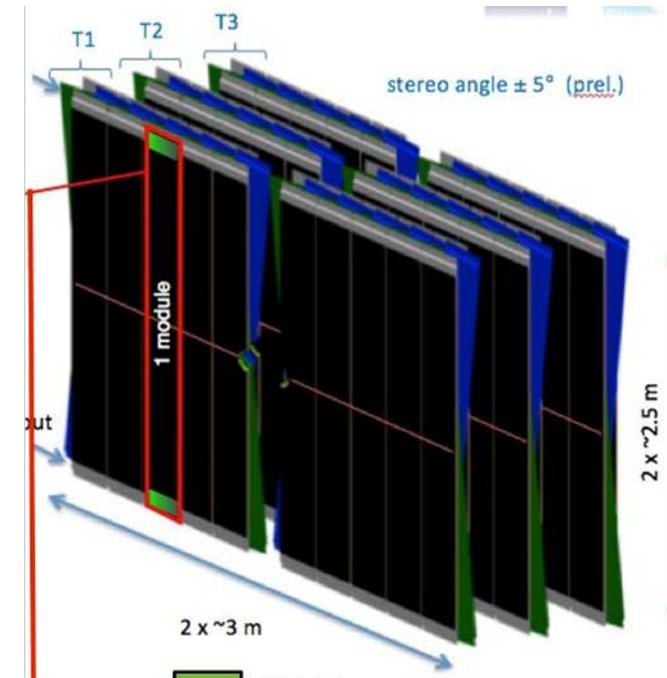
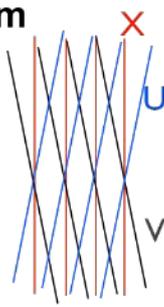
The majority of SciFi R&D and prototyping has been performed with SCSF-78MJ, \varnothing 0.25 mm, from Kuraray (JP).

Attenuation in a 3.5 m long SCSF-78 fibre (\varnothing 0.25 mm) in air, averaged over emission spectrum

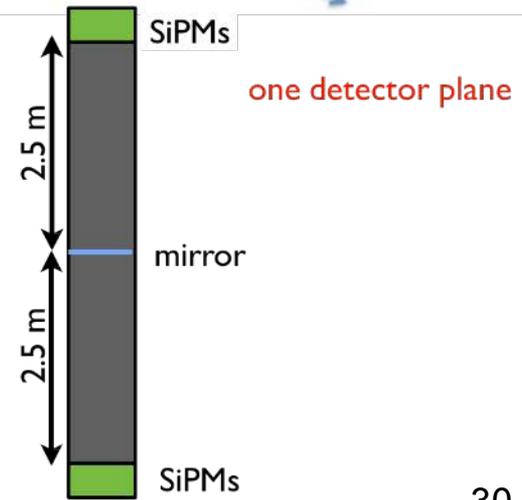
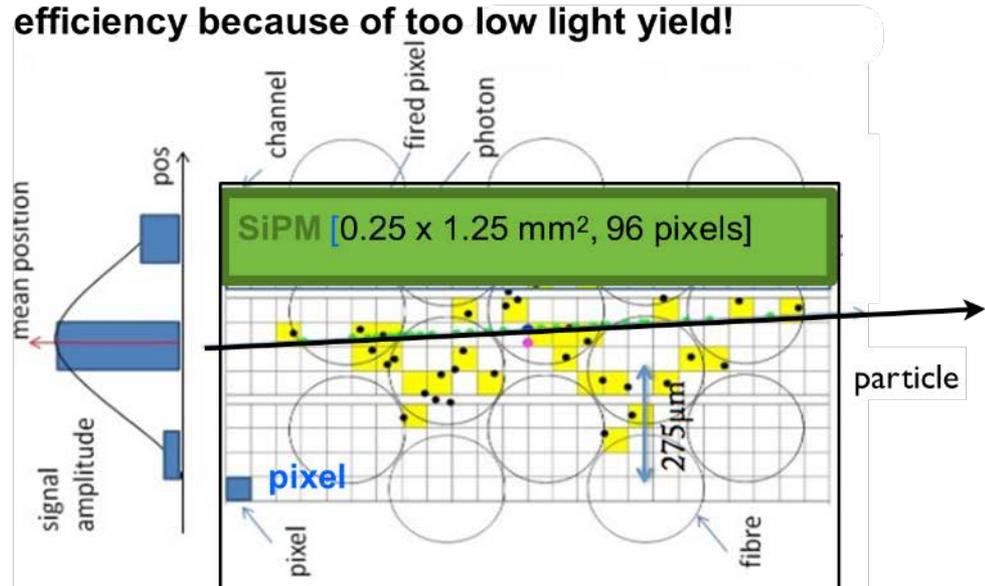


IV. Use in HEP: SciFi Tracker Modules

- scintillating fibers $\varnothing = 250 \mu\text{m}$; $L = 2.5 \text{ m}$
- assembled in modular geometry :
 - 3 tracking stations
 - 4 planes / station (“XUVX” stereo)
- each plane : **stack of staggered layers**
 - 6 layers close to the beampipe
 - 5 layers elsewhere



A single fiber cannot guarantee 100% hit efficiency because of too low light yield!

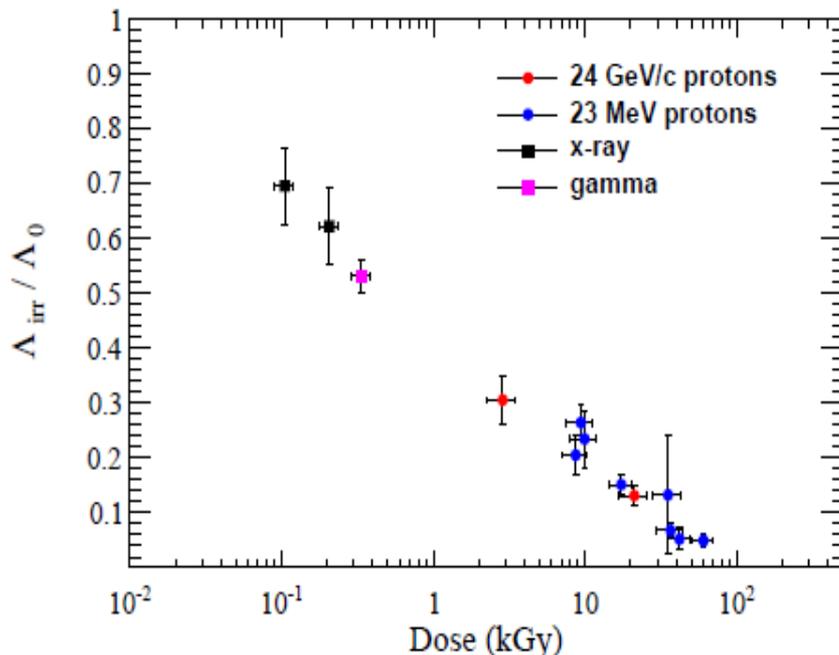


IV. Use in HEP: SciFi Tracker Radiation

Main radiation damage is transparency loss \rightarrow decreasing attenuation length

Summary of SciFi irradiation experiments

Beam Type	Facility	Doses (kGy)	Dose rate (kGy/h)
24 GeV/c protons	CERN PS	3, 22	1.7, 0.4
24 MeV protons	KIT	9 – 60	$1.8 \cdot 10^3$
$F^{18}(e^+ \text{ to } 511 \text{ keV } \gamma)$	CERN/AAA	0.5	$\sim 2 \cdot 10^{-2}$
35 kV x-ray	Uni. HD	0.1, 0.2	$3.5 \cdot 10^{-3}$



The irradiation tests suggest

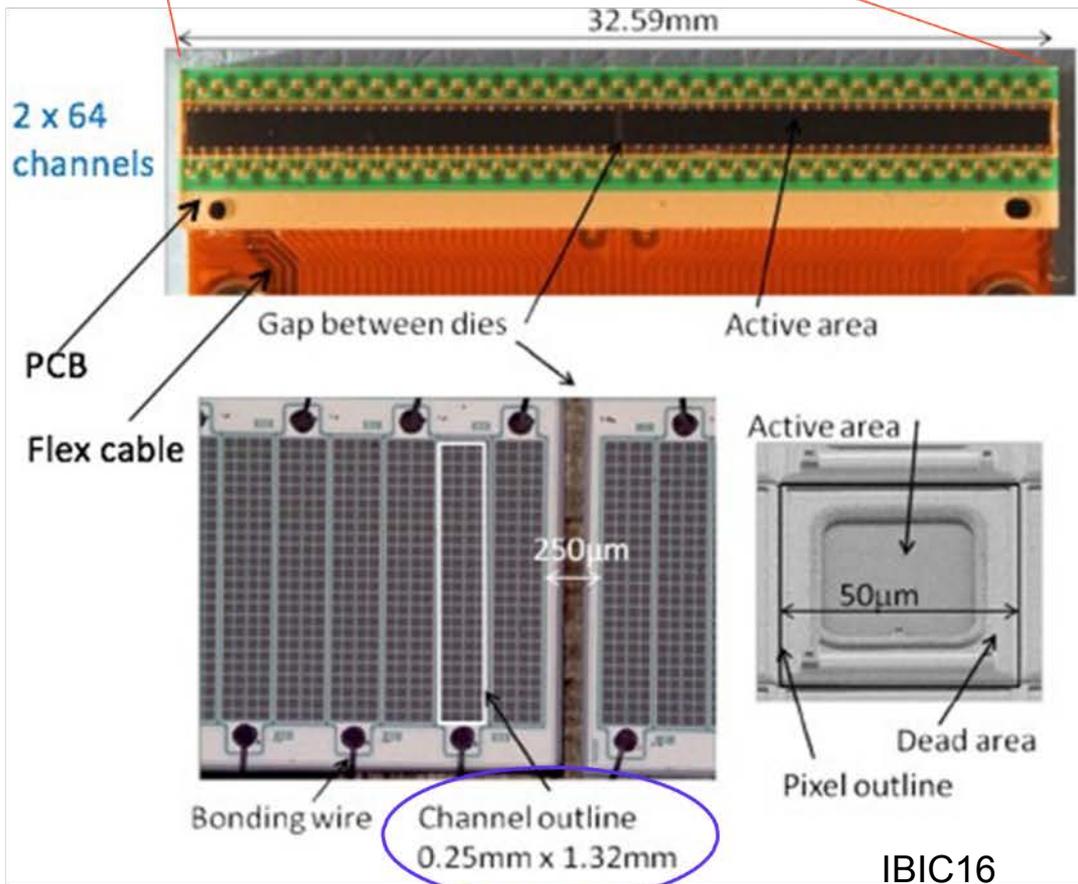
- A early onset of the damage ($\Lambda/\Lambda_0 \sim \log D$)
- No strong effect of dose rate visible
- Recovery effects not clearly established

Combination of dose distribution and damage-vs-dose relation let us expect, at the end of the lifetime of the detector, a signal reduction by about 40%.

IV. Use in HEP: SciFi Tracker SiPMs

custom developments by **Hamamatsu** and **KETEK** are meeting the requirements

one SiPM array = 128 SiPMs (splitted in 2x64ch monolithic arrays)
for yield and reliability reasons



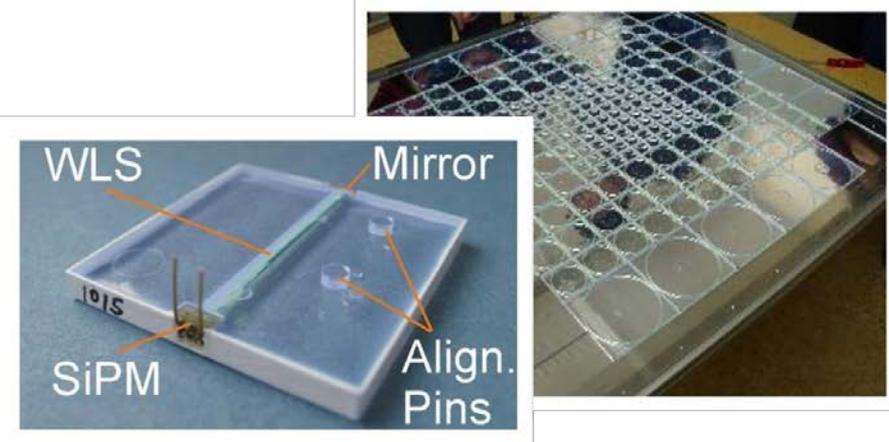
SiPM = “channel”

- 96 pixels/channel
- area/channel : 0.4 mm²
- pixel size ~ 50 µm (large=>PDE)
- **with trenches**
- **<100 µm epoxy (Hamamatsu) / glass (KETEK) protection layer**

IV. Use in HEP: others

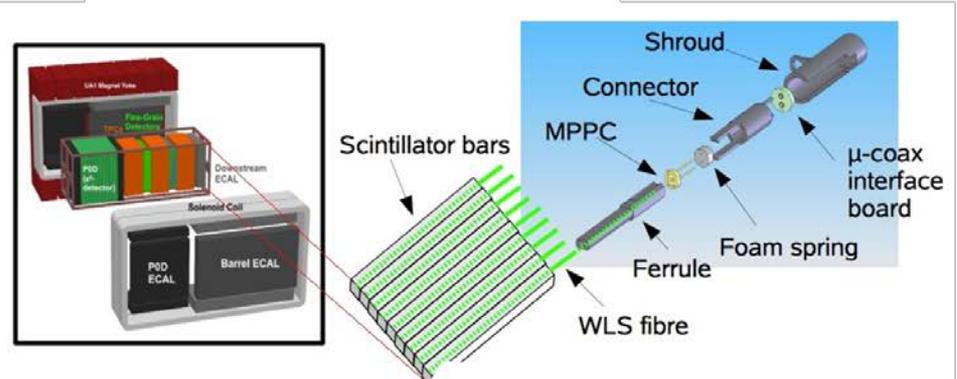
CALICE Analogue HCAL

- for future linear collider detectors
- high granularity calorimeter for particle flow applications
- scintillator tiles individually readout by SiPM through WLS fibers
- first large scale SiPM application in HEP



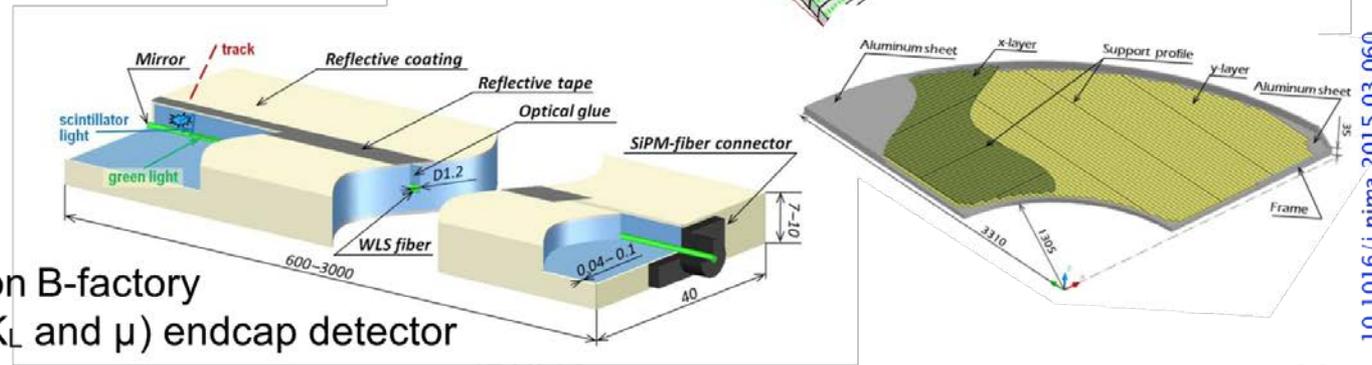
T2K experiment

- long-baseline neutrino experiment
- off-axis near detector
- electromagnetic calorimeter for the ND280



Belle II

- new generation B-factory
- for the KLM (K and μ) endcap detector



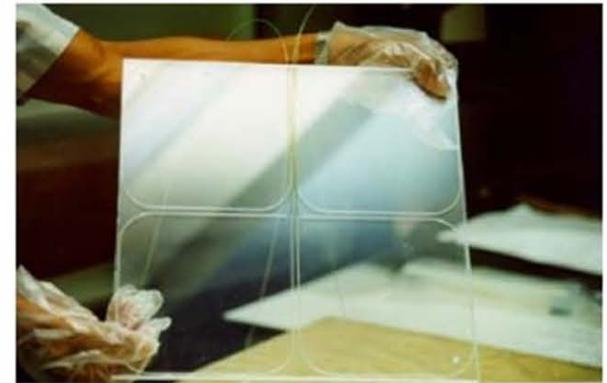
IBIC16

10.1016/j.nima.2015.03.060

IV. Use in HEP: **others**

CMS HCAL HO (Outer Hadron Calorimeter)

- part of the HCAL as “tail catcher”
- outside magnet (still in return yoke field)
- actually the first large-scale (~ 1600 SiPM) operating in hadron collider
- replaced the HPD (during LS1)

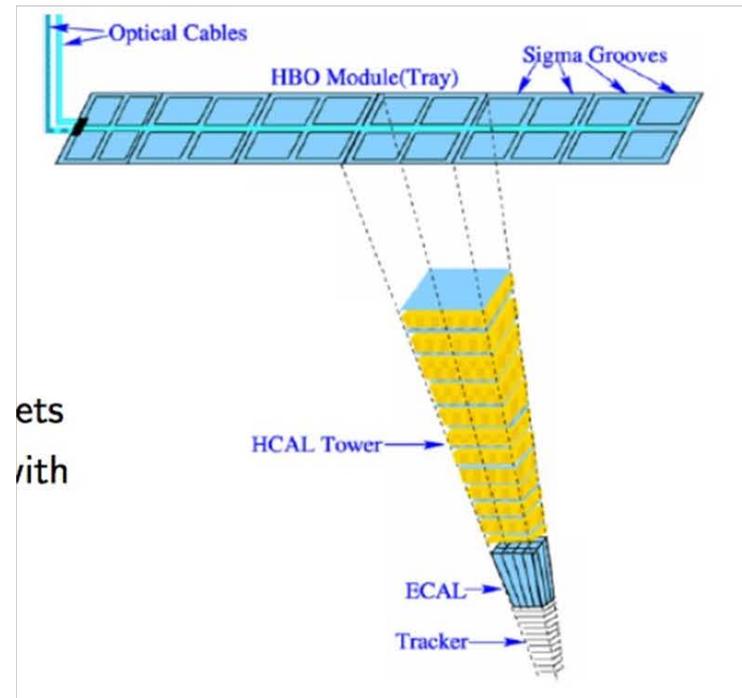


arXiv:1408.5709

- scintillator tiles with WLS fibers

CMS HCAL UPGRADE

- SiPM will replace the HPD





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- V. Possible applications for
accelerator beam instrumentation**

- **SiPMs could be used in nearly any possible PMT application**
 - Scintillator detectors:
 - Large dynamic range: 14-15 bits
 - Cherenkov detectors:
 - High PDE: near 50 %
 - Single photon detectors and photon counting
 - Short pulses ($< 5\text{ns}$) after correct shaping.
 - High time resolution (single photon time resolution around 100 ps)
- **Possible exceptions:**
 - Radiation damage:
 - SiPMs are very sensitive to NIEL
 - Can be alleviated: cooling (DCR), shielding, use optical fibres
 - Large area photo-detection:
 - Large area PMTs are still quite cost competitive
 - Depends on the evolution of the market

- Beam loss monitors based on scintillators and Cherenkov effect
 - For Optical Fibre BLM based on Cherenkov effect, high PDE SiPMs can be very useful as Cherenkov light yield is rather low
 - An optical BLM based on scintillating fibres can be useful in low radiation environments
 - See 1962 - TUPG20 and 2060 - WEPG20
- Transverse Profile Monitors based on scintillating fibers and others
 - See 1691 - MOPG76, 2084 - WEPG64 and 2119 - WEPG70

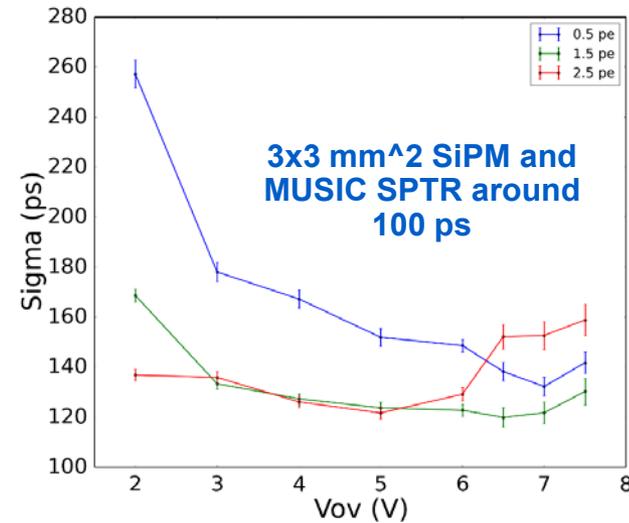
- Can the experience from SciFi tracker be useful ?



E. Rojatti et al. "SCINTILLATING FIBERS USED AS PROFILE MONITORS FOR THE CNAO HEBT LINES"
Proceedings of IPAC2015, Richmond, VA, USA

V. Possible applications for BI

- Time Correlated Single Photon Counting (TCSPC)
 - See 2104 - MOPG59
 - By correct choice of SiPM and front end electronics excellent performances can be obtained
 - Cooling might be required for low DCR



Martinenghi et al. “Time-resolved single-photon detection module based on silicon photomultiplier: A novel building block for time-correlated measurement systems”, *Rev. Sci. Instrum.* **87**, 073101 (2016)

TABLE I. Performances of the most commonly used PMTs for diffuse optics application and comparison with the SiPM module.

Manufacturer	Name	Area (mm ²)	QE 600 nm (%)	QE 800 nm (%)	SPTR (ps)	DCR (kcps)	Cooled
Hamamatsu Ltd.	R7400U-20	50.2	16.5	7.7	n.d.	<0.4	N
Hamamatsu Ltd.	R5900-20-M4	4 × 81	15.0	7.0	320	n.d.	N
Becker & Hickl	PMC-100	50.2	10.3	4.6	180	0.2-0.5	Y
Becker & Hickl	HPM-100-50	7.1	15.0	13.0	130	0.5-3	N
Picoquant	PMA-192	50.2	18.0	8.0	150	<3	Y
	SiPM module	1	29.9	10.1	100	~100	Y

Acknowledgements

- Many thanks to Chiara Casella (MIB) and Christian Joram (CERN) for the material on SiPMs and HEP applications
- And to the members of our team: A. Casajus, D. Ciaglia, G. Fernandez, D. Gascon, S. Gomez, R. Graciani, J. Mauricio, E. Picatoste, N. Rakotonavalona, D. Sanchez, A. Sanuy

Thanks a lot for your attention !!!

Questions ?

dgascon@fqa.ub.edu