

# The Feasibility of AI-based BBA

**Zeng Li**

[zengli@sinap.ac.cn](mailto:zengli@sinap.ac.cn)

Shanghai Institute of Applied Physics, Chinese Academy of Sciences

Shanghai 2018-03-05

# OUTLINE

---



BBA in soft-XFEL

.....●



Genetic Algorithm & BBA

.....●



ANNs & BBA

.....●



Summary

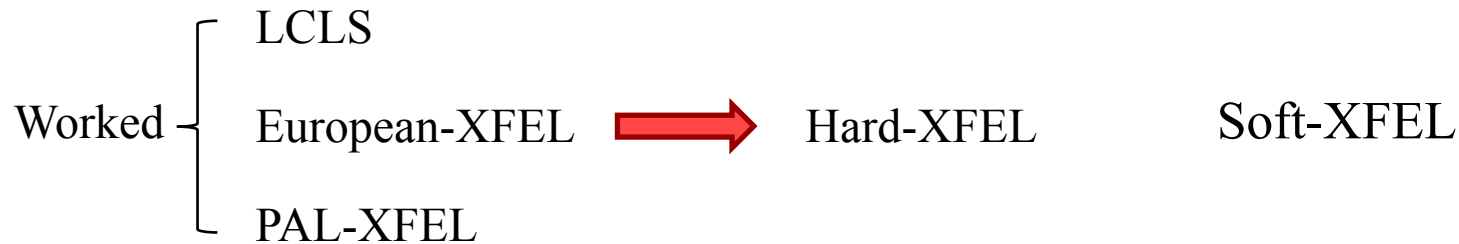
.....●

# BBA in soft-XFEL

The beam-based alignment is the **ruler** to the accelerators or FEL facilities.

The most representative BBA method (DFS):

$$m_i = \underbrace{\xi_i(t_i) - b_i}_{\text{BPM precision error / BPM misalignment}} + \underbrace{x_0 R_{11}(s_0, s_i) + x'_0 R_{12}(s_0, s_i)}_{\text{Initial beam jitter}} + \underbrace{\sum_j^{N_c} \theta_j R_{12}(s_j, s_i)}_{\text{Correctors}} + \underbrace{\sum_{j=1}^{N_q} d_j M_{ij}}_{\text{Quadrupole offset}}$$



# BBA in soft-XFEL

Taking the tilt and transverse offset of the undulator into consideration,

$$\begin{pmatrix} \Delta x \\ \Delta x' \end{pmatrix} = \begin{pmatrix} 1 - Q_{11} \\ -Q_{21} \end{pmatrix} d + \begin{pmatrix} -Q_{12} \\ 1 - Q_{22} \end{pmatrix} \varphi$$

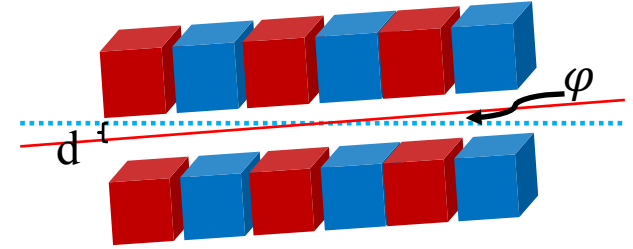


Fig1. The diagram of undulator misalignment

with  $Q_{ij}$  is the corresponding transfer matrix elements of undulator

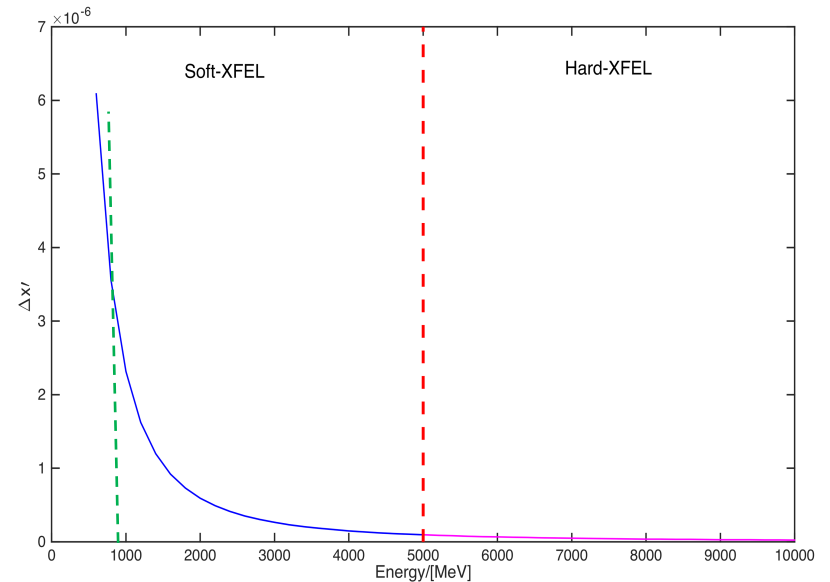
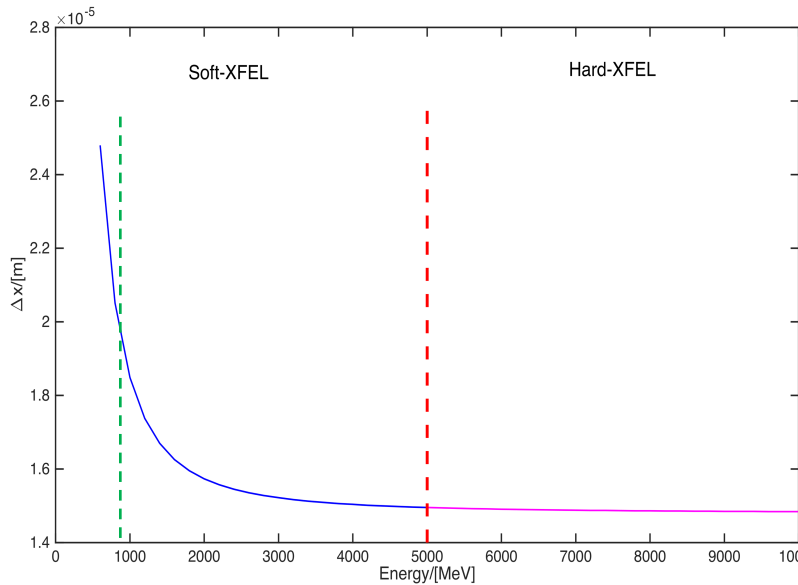


Fig2. The variation of transverse electron trajectory offset(left) and kick angle(right) caused by undulator misalignment with the electron beam energy.

# OUTLINE

---



BBA in soft-XFEL

.....●



Genetic Algorithm & BBA

.....●



ANNs & BBA

.....●



Summary

.....●

# GA & BBA

Genetic algorithm (GA) is inspired by the process of **nature selection** and is commonly used to generate high-quality solutions to optimization and search problems by relying on bio-inspired operators such as **mutation**, **crossover** and **selection**.

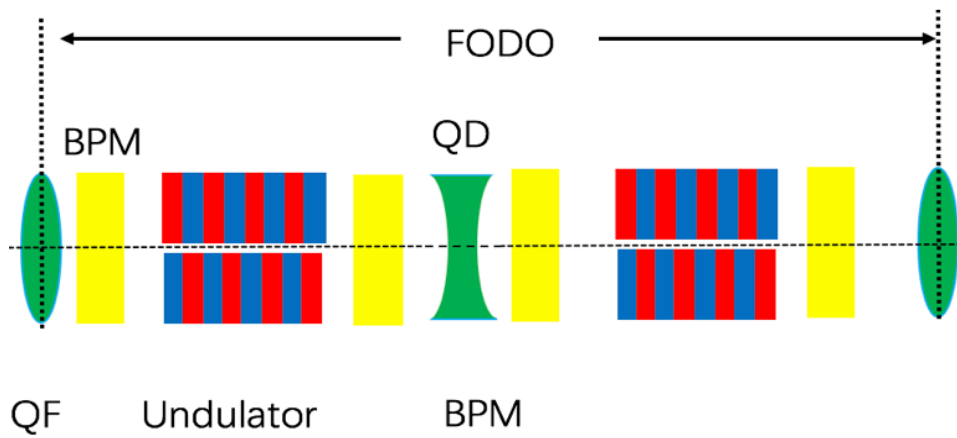


Fig1. The scheme of lattice structure

Main objective function:

$$\psi_{obj} = \sqrt{\sum_{i=1}^{12} |b_{1i} - b_{2i}|^2}$$

$b_{ji}$ : the  $i$ th BPM reading with energy  $j$

X: NVAR=6 ( $Q_{xoff1-6}$ )

Y: NVAR=18( $Q_{yoff1-6}$ ,  $U_{yoff1-6}$ ,  $U_{ytilt1-6}$ )

# GA & BBA

X direction

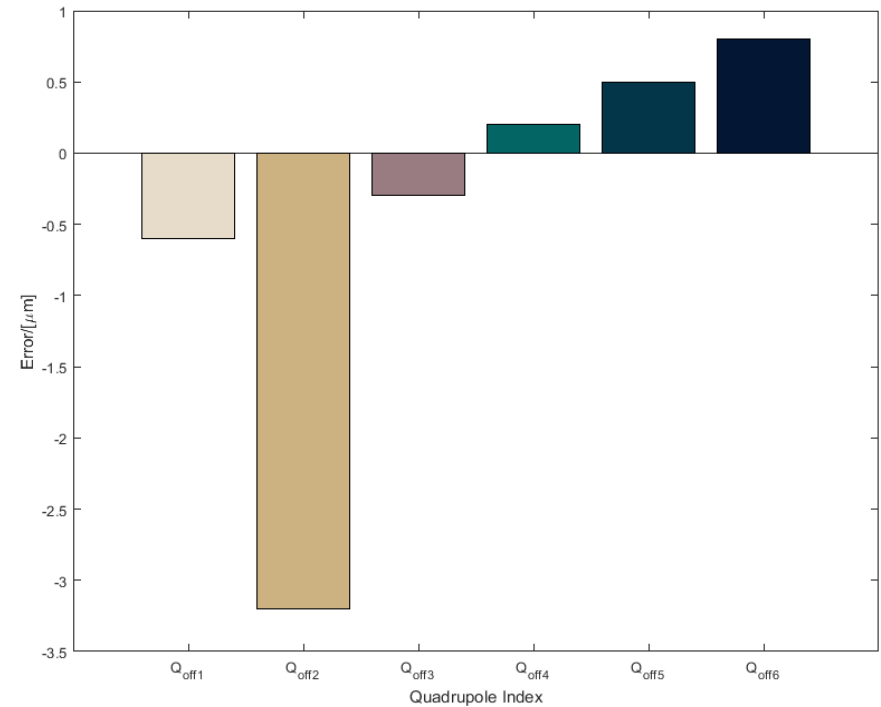
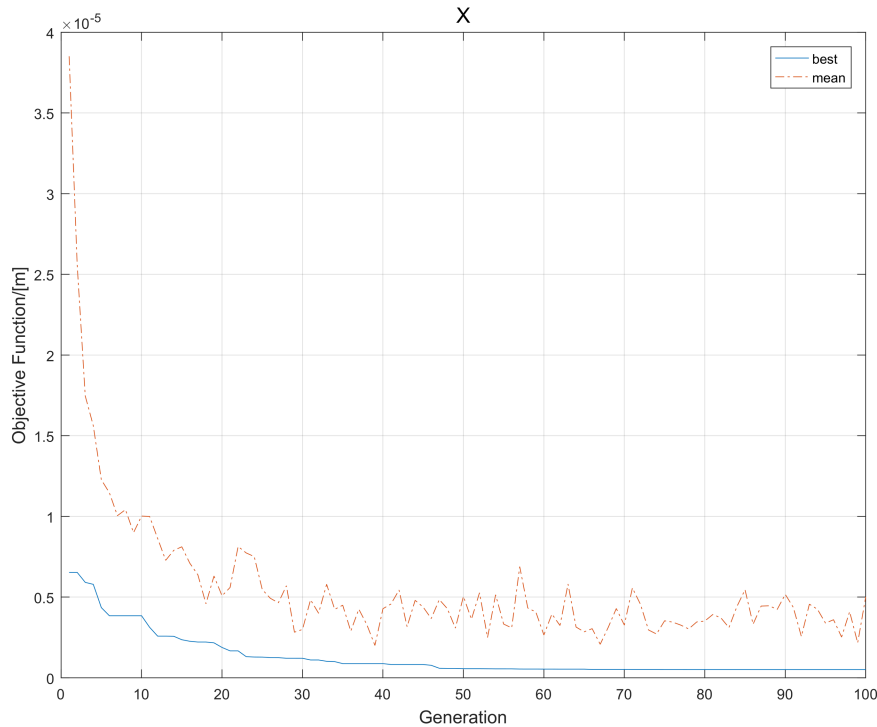


Fig1. The variation of objective function value (left) and difference between GA results and simulation settings (right). The best individual is obtained at generation 50 and the difference is about several  $\mu\text{m}$

# GA & BBA

Y direction

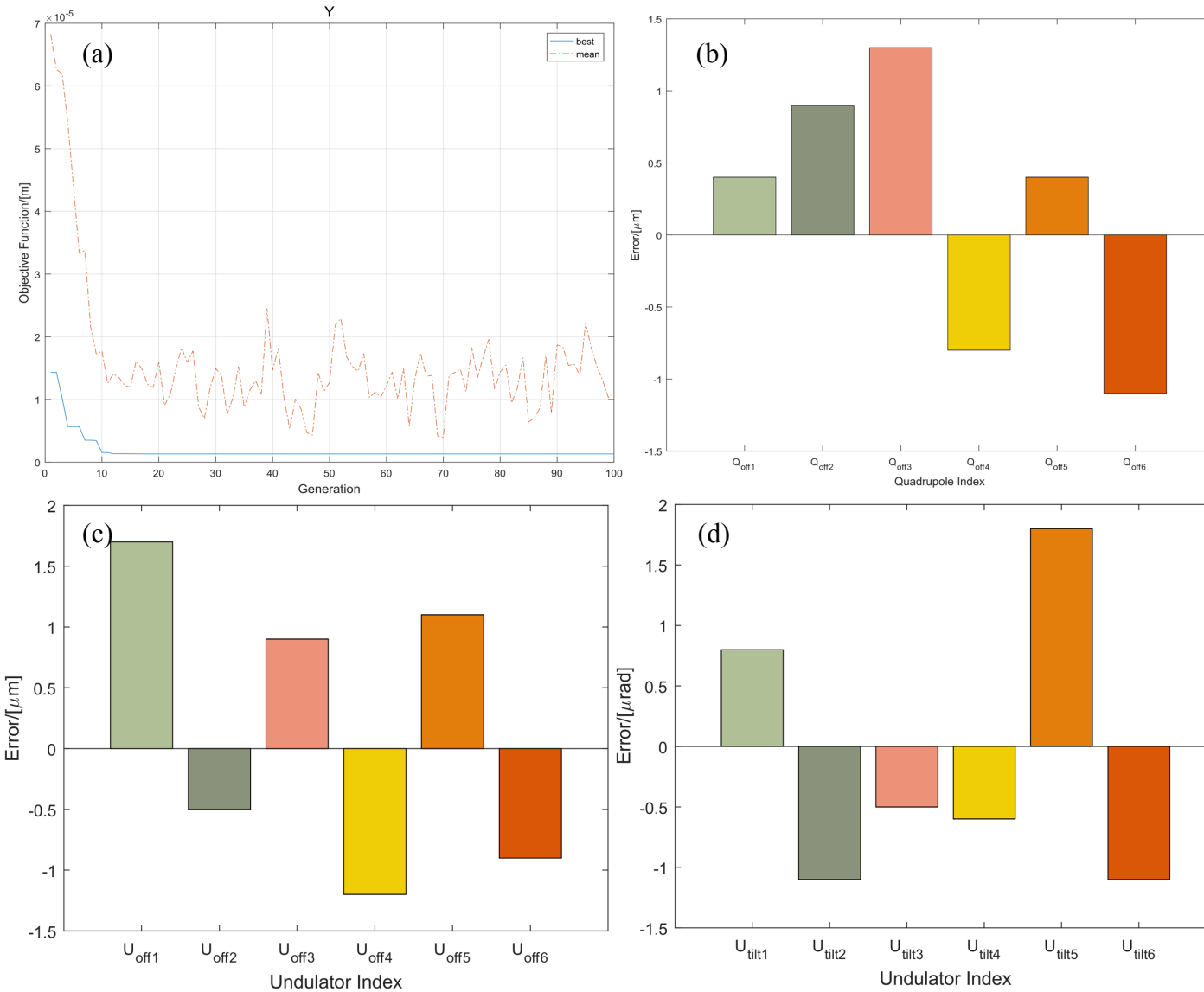


Fig1. The variation of objective function (a), the difference between GA results and simulation settings for quadrupole offsets (b), undulator offsets (c) and undulator tilts (d)



# GA & BBA

## Electron beam trajectory

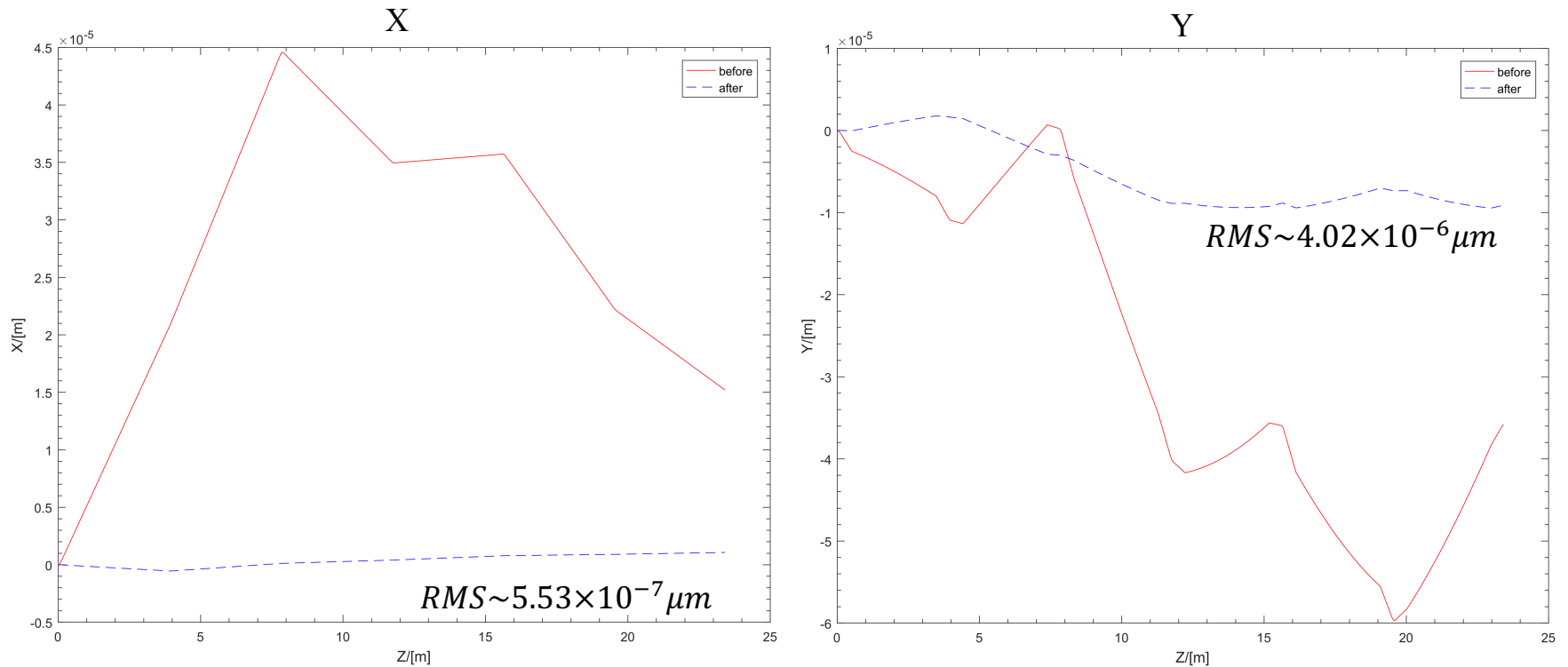


Fig1. The electron beam trajectory before and after GA in X direction (left), Y direction (right). The RMS value of orbit decline by an order of magnitude both in X and Y direction

# OUTLINE

---



BBA in soft-XFEL

.....●



Genetic Algorithm & BBA

.....●



ANNs & BBA

.....●



Summary

.....●

# ANNs & BBA

ANNs have been widely used in various domains, but it's not until recently that it has been introduced in light source field.

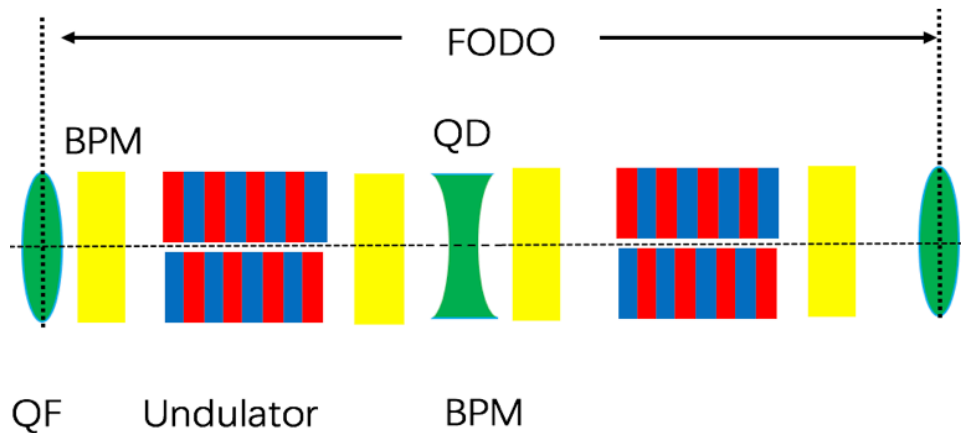


Fig1. The scheme of lattice structure

4 input:  $BPM_{reading1-4}$

6 output:  $Q_{xoff1,2}$ ,  $BPM_{mis1-4}$

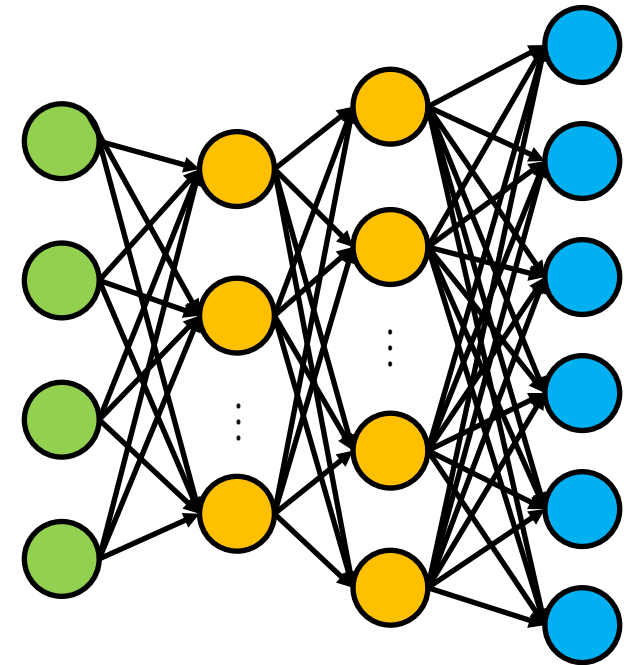


Fig2. The model network consists of four inputs(green), two hidden layers containing 15, 20 nodes(orange) and six outputs(blue).  
The network was trained using L-M algorithm with a dropout probability of 10%

# ANNs & BBA

## Training results

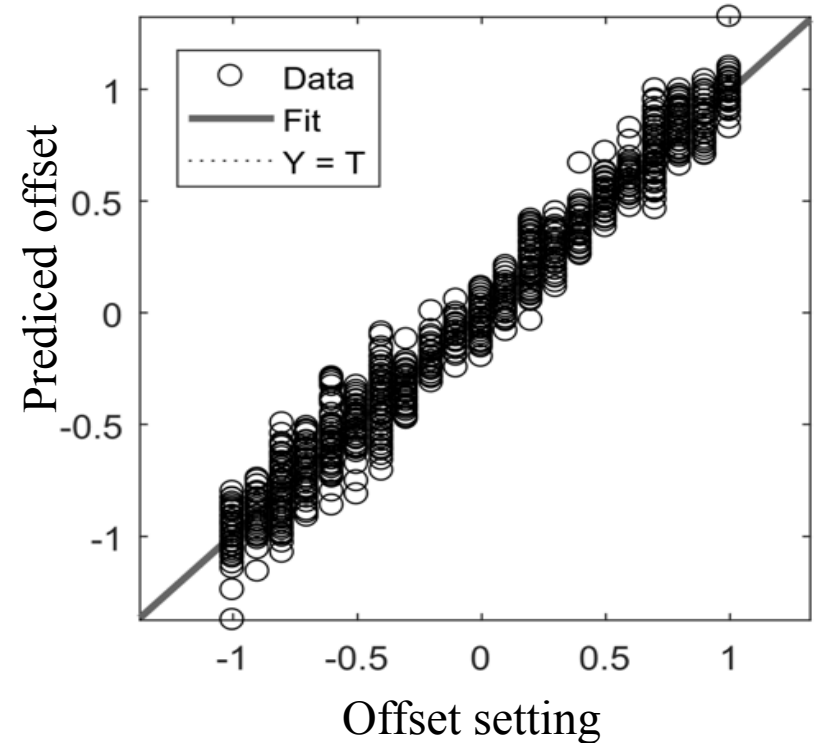
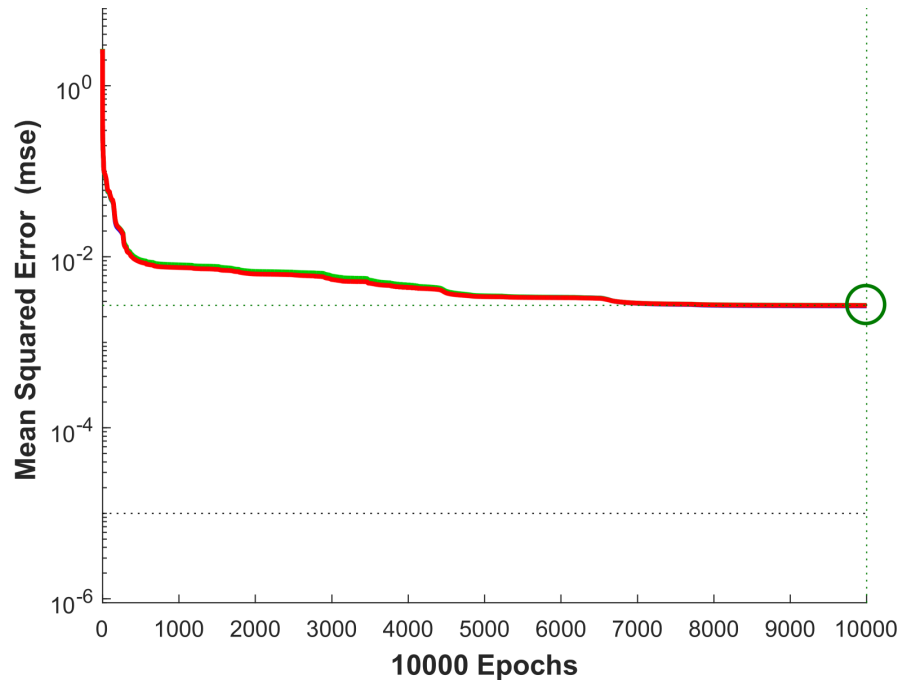


Fig1. The variation of cost function (left) and regression results (right). The best performance is 0.0027049 at epoch 10000 and the fitting equation is  $y = 0.996 \times \text{setting} - 6.6 \times 10^{-5}$

# ANNs & BBA

## Training results

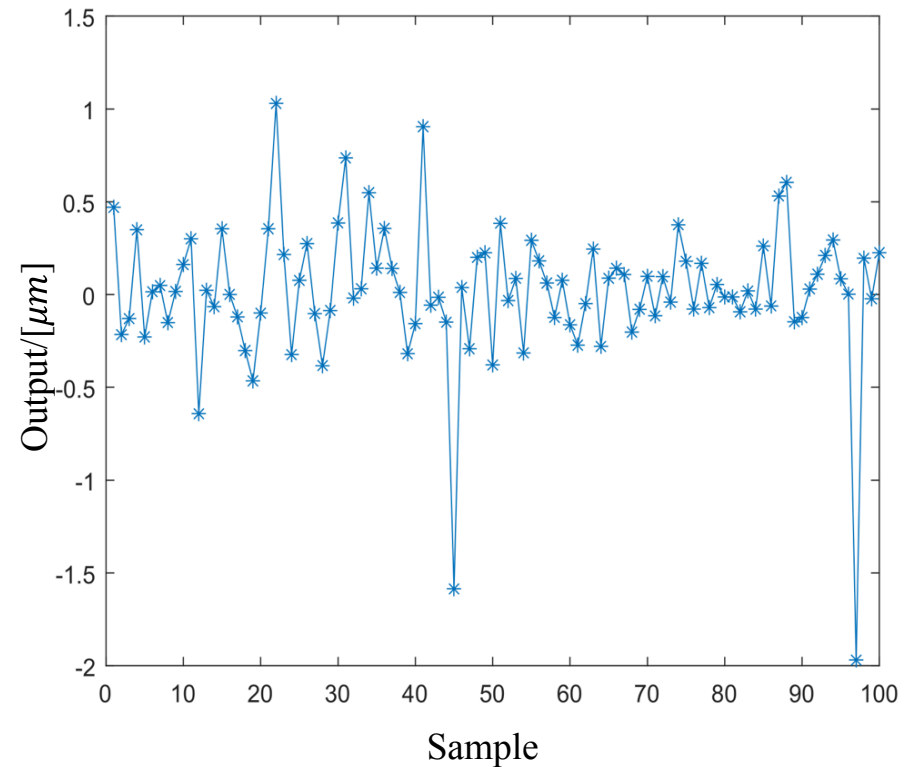
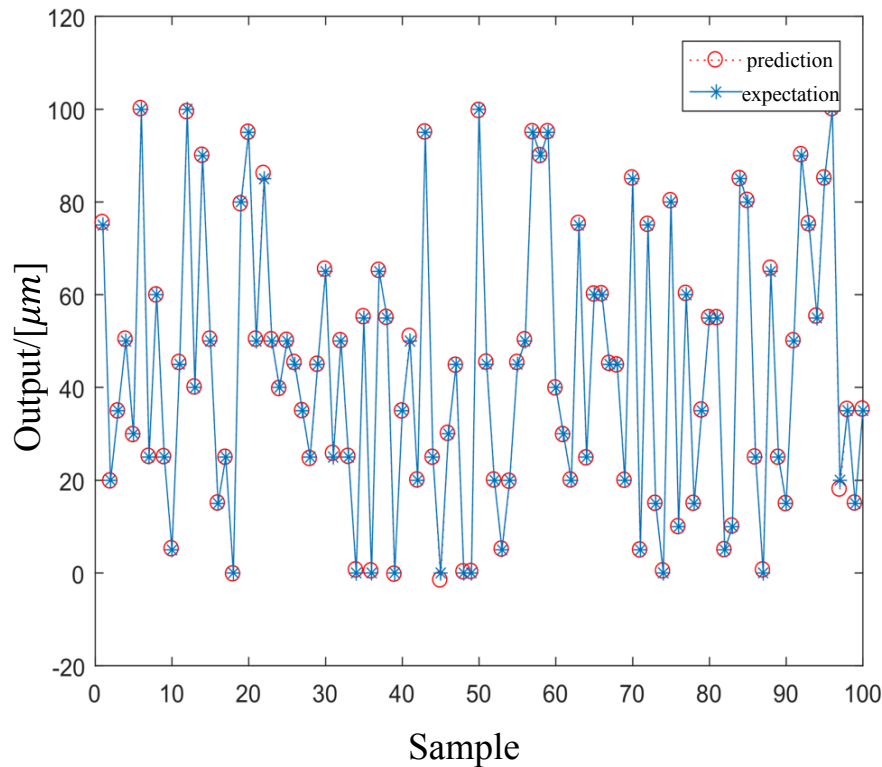


Fig1. The prediction and expectation of first quadrupole offset (left) and the predicted error (right), the samples are in test set. The error is roughly less than  $\pm 0.5\mu\text{m}$

# ANNs & BBA

## Training results

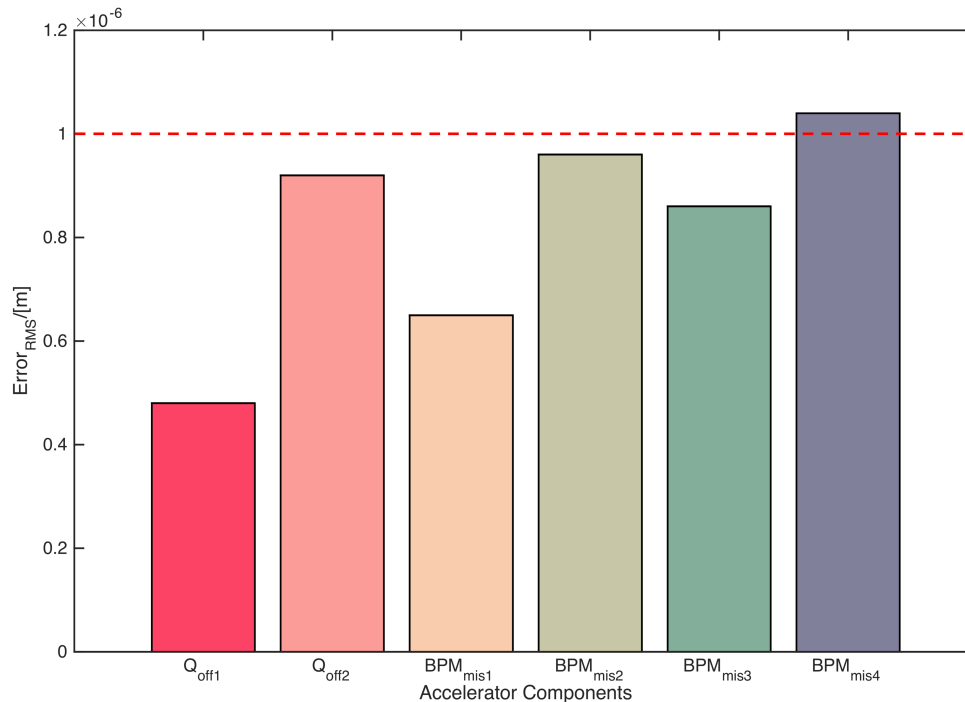


Fig1. The RMS error for different accelerator components. The result ( $RMS_{error} < 1\mu m$ ) indicates an acceptable accuracy.

## Demerits of this method:

- A large amount of samples are needed to train the network.
- Labeled samples are difficult to acquire in experiments.
- Neuron network is sensitive to the training condition and it needs careful tuning.

Remained to be further researched...

# OUTLINE

---



BBA in soft-XFEL

.....●



Genetic Algorithm & BBA

.....●



ANNs & BBA

.....●



Summary

.....●

# Summary

- Soft-XFEL → low electron beam energy → uncontrollable orbit disturbance caused by undulator misalignments → difficulty in BBA
- The dilemma of BBA in soft-XFEL can be partially solved by adopting intelligent algorithms (GA, PSO...) or maybe ANNs
- There still exists many problems in these methods, and a lot of challenges and many R&Ds are underway

Advanced GA and new better objective functions

Way to get such labeled sample in experiment and new training method to get a more stable results



# Acknowledgement

---

Thanks for the invitation from sponsors and co-organizers of this conference

Thanks for the great contribution of my friends in SINAP to this presentation

Thank you~



Zeng Li