



Review of recent photocathode advancements

L. Monaco – INFN LASA

Outline

- Photocathodes required performance
- Metals
- Semiconductors
 - Cs_2Te
 - Alkali antimonide
- Photocathode improvements
- Photocathode diagnostic improvement in production systems for operation
- News on photocathodes test facilities
- The modern approach to photocathode developments and advancements



Photocathodes required performance

Photocathodes principal applications

FEL sources

- Semiconductors
- Metals

- Moderate currents
- Low emittance

Ultrafast electron diffraction/microscopy

- Semiconductors
- Metals

- High brightness
- Very low current
- Short pulse duration

Polarized

- NEA Semiconductors

- High polarization
- High QE

Electron beam for e-cooling

- Semiconductors

- High average current (>100mA)
- High bunch charge (1nC)
- Low emittance

Photocathode Requirements

- **High QE**
 - High QE relaxes requests on laser performance
 - Near threshold operation for thermal emittance reduction may benefit
 - **Stable QE with good spatial uniformity**
 - Reduced inhomogeneities improve photocathode emittance
 - **Low thermal emittance (or MTE)**
 - Brightness improved
 - **Robustness (vacuum condition)**
 - Up-time for machine operation
 - **Low dark current**
 - Clean machine operation and reduce component activation
 - **Long operative lifetime**
 - as long as possible depending on the applications...from wks to months
- **Reproducible recipe is a must to limit machine parameter changes!!**

Photocathode families

• Metals

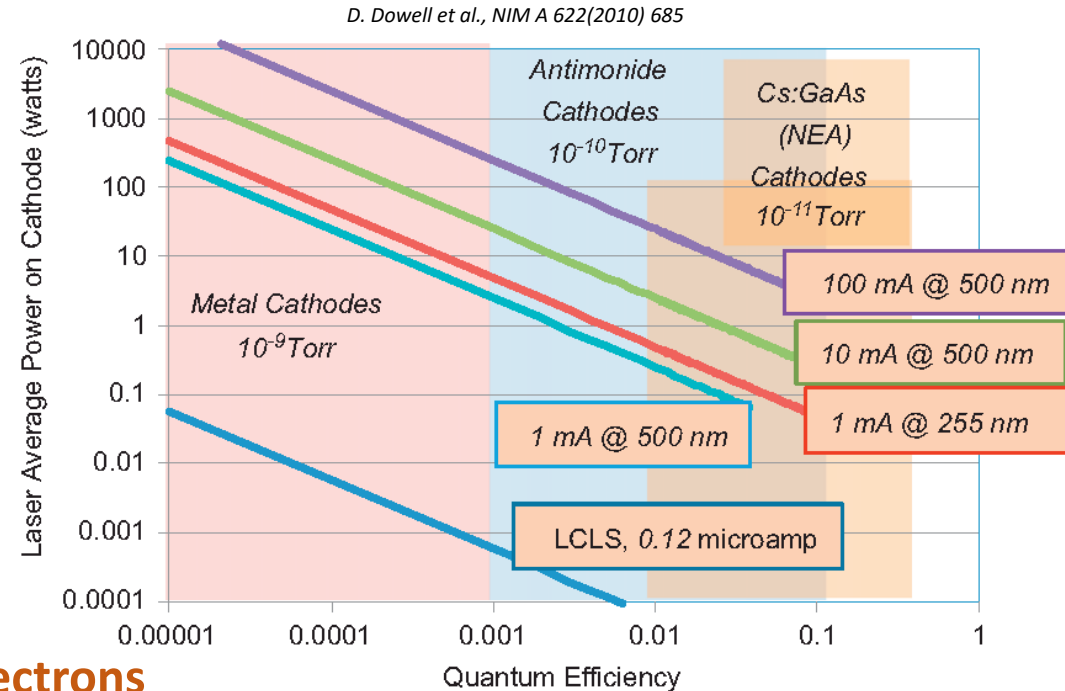
- Low QE
- Very fast response time
- Long lifetime

• Semiconductor

- High QE
- Fast response time
- Sensitive to vacuum conditions

• Photocathode for polarized electrons

- Very sensitive to vacuum conditions





Metal Photocathodes

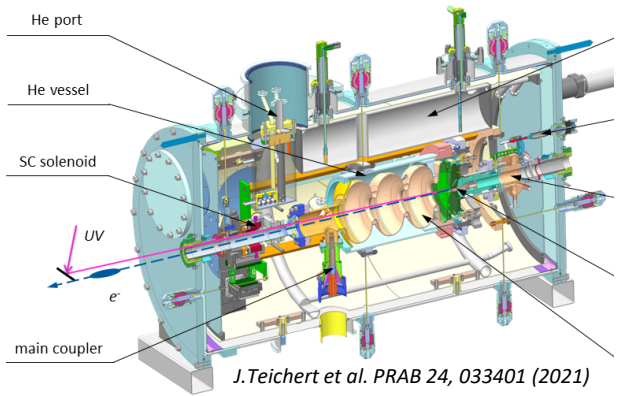
Metal Photocathode

- These cathodes (*Cu*, *Mg*) are commonly used in normal conducting low repetition rate, high frequency, high gradient RF gun.
- They are the photocathodes of the BNL/SLAC/UCLA S-band RF guns and of the LCLS gun.
- SRF guns use either *Nb* or *Pb* deposited on the RF gun end plate for fixed cathodes. For exchangeable photocathodes, different materials are possible.
- **Pros**
 - Unlimited lifetime
 - Low sensitivity to vacuum condition
 - Very fast response time
 - Low field emission
 - Low emittance
- **Cons**
 - High work function
 - Low QEs
 - No polarization possible

Metal photocathodes for HZDR SRF gun

Trieste, Italy 22-26 August 2022

FEL2022



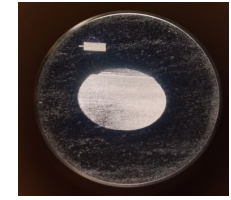
Parameter	Operation Value
Beam energy	4.5 MeV
Gradient E_{acc}	8 MV/m
Bunch charge	0 - 250 pC
Beam rep. rate	25-250 kHz
Pulse width (rms)	2.3 ps rms in Gauss
Cathode temp.	80 K
Cathode field	14 MV/m
Dark current	60 nA

Highlight:

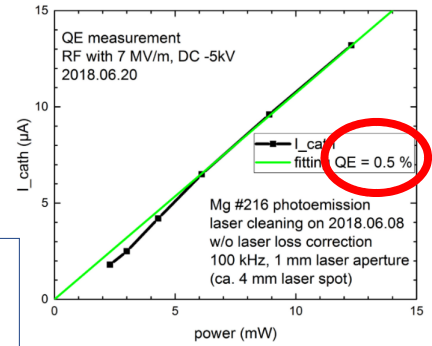
- 2014 successful commissioning with Cu
- 2019-2020 stable operation with Mg ~ 1760 h beam time, ~ 57 C charge
- 2020-2021 user operation with Cs_2Te (see Cs_2Te section)

Mg cathode status: stable operation

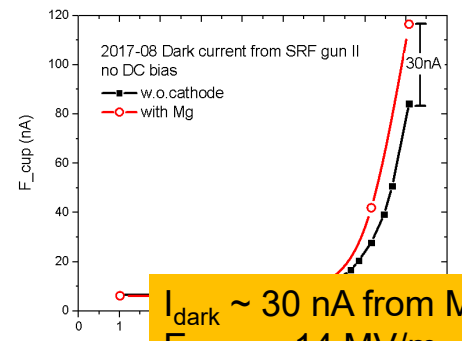
- high QE with UV laser, long life time
- no multipacting problem
- low dark current
- repeatable (can be cleaned several times)



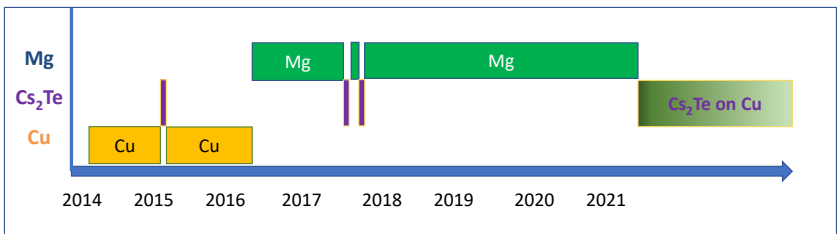
Mg plug after laser cleaning



QE_{258nm} ~ 0.2%-0.5%



I_{dark} ~ 30 nA from Mg
E_{cathode} = 14 MV/m



Study activities

MgO on polycrystalline Cu cathode

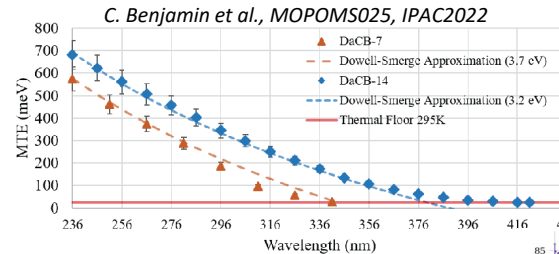
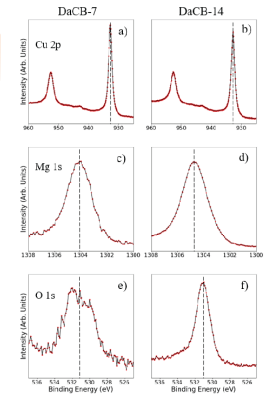
- work function lowering (increase of QE)
- increase of robustness
- measured MTE with TESS



QE @ $\lambda = 266\text{nm}$ (4.7 eV)

Sample	Substrate WF (eV)	QE	MgO Film WF (eV)	QE
DaCB-7	4.9	1×10^{-6}	3.7	7.0×10^{-5}
DaCB-14	5.0	8×10^{-7}	3.2	4.5×10^{-5}

XPS

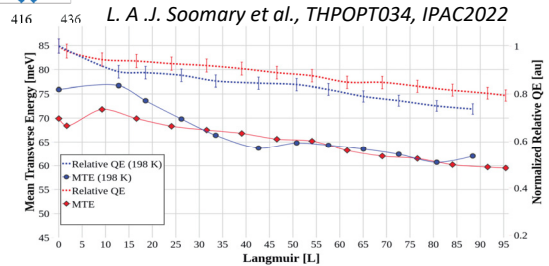


TESS

Ag - Polycrystalline vs single crystal

- Ag polycrystalline shows a lower QE degradation w.r.t. single crystal Ag
- QE degrades faster under cryogenic condition -> at cold, higher sticking of O₂ to the surface

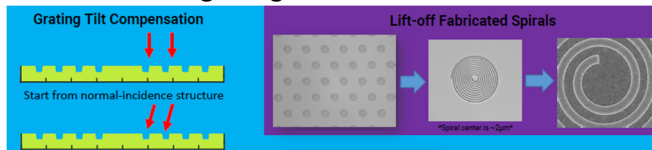
TESS



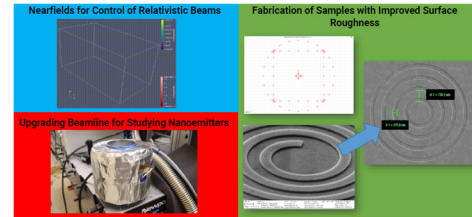
L. A. J. Soomary et al., THPOPT034, IPAC2022

Femtosecond beam from flat surface

- Combine benefit of tip and flat emitters to get femtosecond, few electrons beam with high brightness.



C. M. Pierce et al., P3 workshop, November 2021





Semiconductor Photocathodes

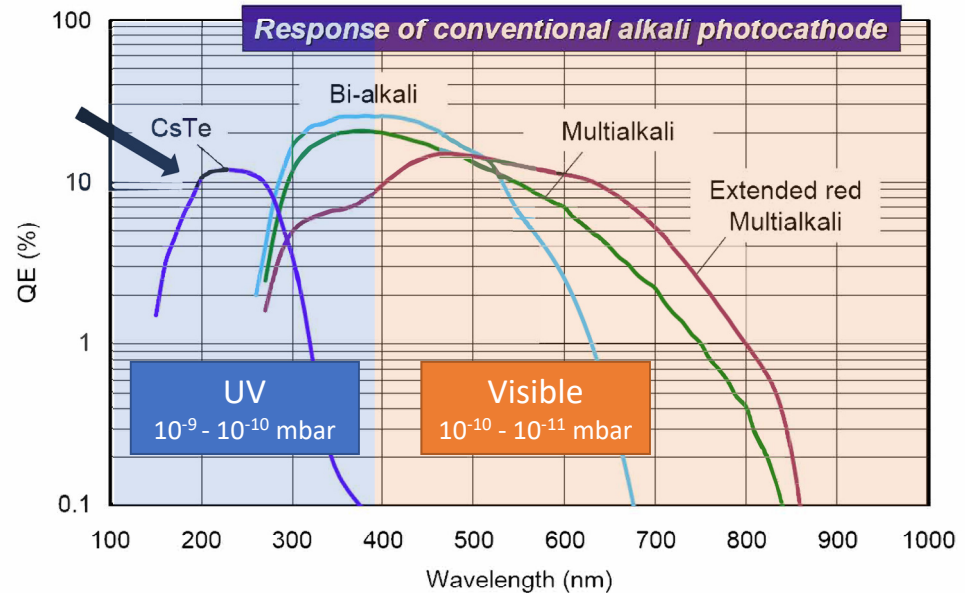


Introduction

- **High charge**, **long pulses** and **high repetition rate** machines require **high QE photocathodes** given the limited average laser power to some tens of Watts.
- **Semiconductor** photocathodes have high QE, but they are sensitive to gas exposition and require UHV conditions.

Their use in Gun requires also:

- **QE uniformity**
- **Low dark current**
- **Long operative lifetime**
- **Stable operation along the train**
- **Fast response time**
- **Low thermal emittance**



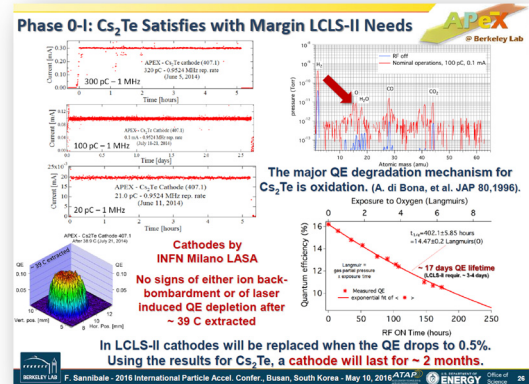
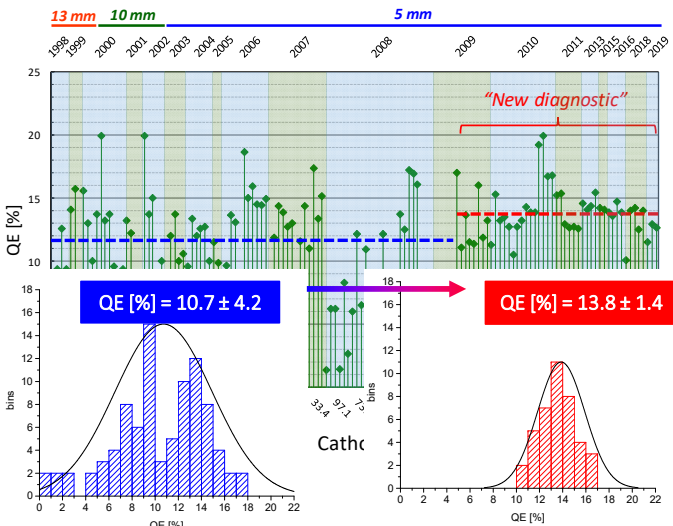


Alkali Telluride

C_2Te

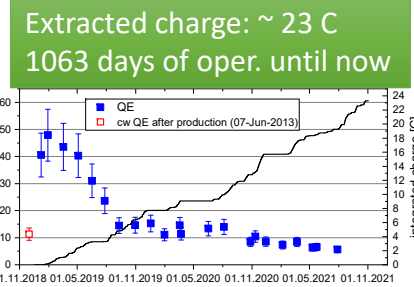
INFN LASA Cs₂Te Photocathodes

- INFN LASA produce Cs₂Te photocathode since 90s and it's a reference lab for this activity
- With a continuous interplay between collaborators and R&D, we today growth cathodes that have **few years of operative lifetime** and are a **reference for many accelerator complex for users.**
- More than **150 photocathodes** delivered up to now!
- Cs₂Te photocathodes have been demonstrated **usable** also for **CW operation.**



D. Sertore, Snowmass2021 Electron Source Workshop, Feb 2022
 F. Sannibale, TUOCA02, IPAC2016
 S. Schreiber et al., TUP07, FEL2022

FLASH.
 Free-Electron Laser FLASH



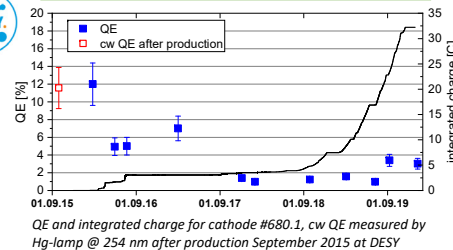
QE and integrated charge for cathode #105.2, cw QE measured by Hg-lamp at 254 nm after production June 2013 at LASA..

See TUP07 poster (FEL2022)

European XFEL

Extracted charge: 32.2 C
 1452 days of operation

DESY

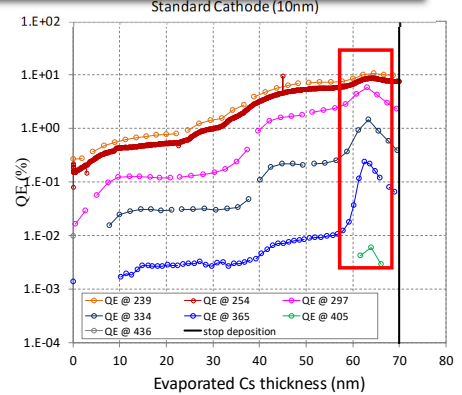


QE and integrated charge for cathode #680.1, cw QE measured by Hg-lamp @ 254 nm after production September 2015 at DESY

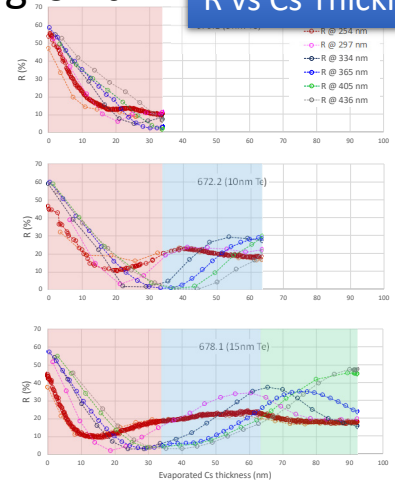
Photocathode Fundamental R&D

Deposition system is equipped with a “multiwave” diagnostics for the monitoring of optical parameters and QE during cathode growth

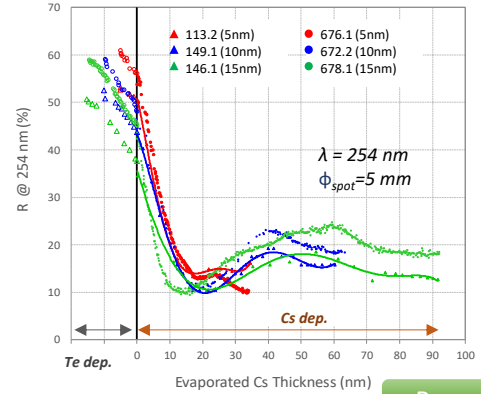
QE vs Cs Thickness and λ



R vs Cs Thickness



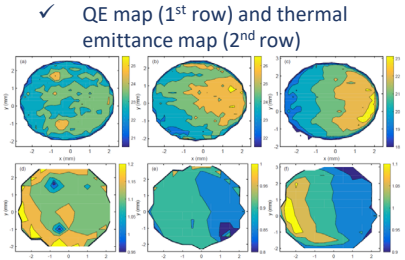
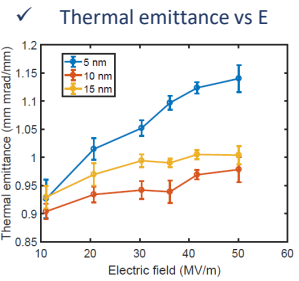
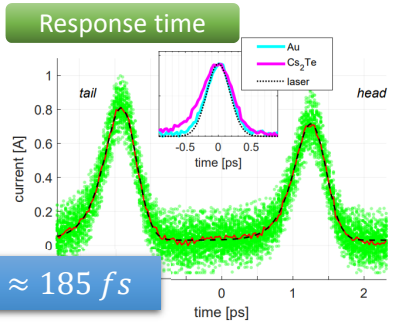
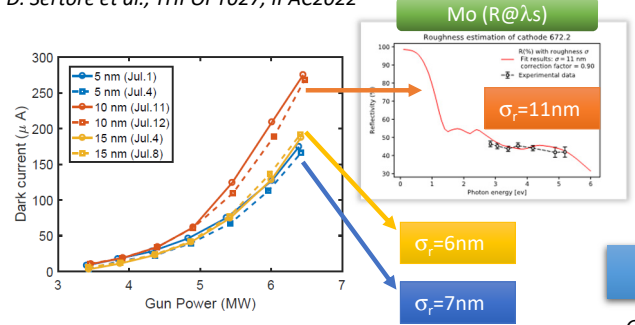
L. Monaco et al., WEA04, FEL2019
Reflectivity during production



Response time

Measurements at PITZ and FLASH RF gun provide feedback to production process

D. Sertore et al., THOPT027, IPAC2022



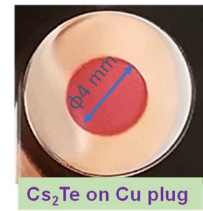
G. Loisch et al., Appl. Phys. Lett. 120, 104102 (2022)

P. Huang et al., WEP062, FEL2019

HZDR SRF Gun Cs₂Te on Cu operation

Trieste, Italy 22-26 August 2022

- ✓ Switch from Mo substrate to copper substrate for improving thermal contact
- ✓ 2020.06-2022.02 extracted **91.3 C** for **3064 h user beam** (7 Cs₂Te)
- ✓ Providing 200pC/2.3ps, 50-100kHz (CW)
- ✓ 2-3 months lifetime with QE 0.5%-1%
- ? QE drops down due to transport, multipacting and beam operation



Up to now, 7 Cs₂Te cathodes have been operated in the SRF gun

Status: stable operation

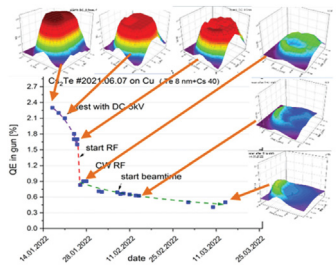
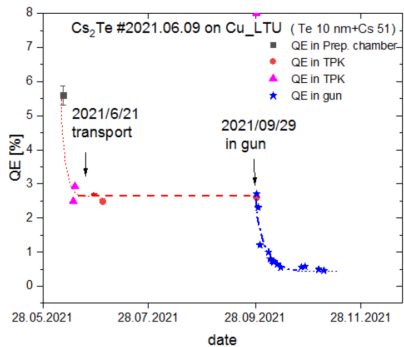
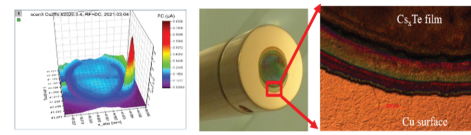
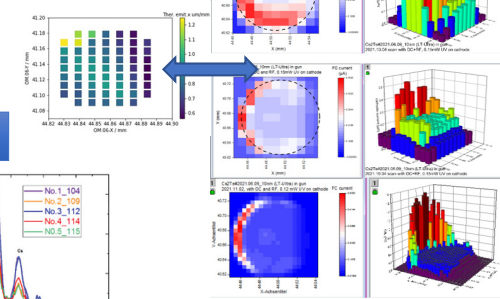


Figure 2: QE tracking of Cs₂Te #2021.06.07 with the QE mappings in different stages during operation in SRF gun.



thermal emittance 0.6-1.2 μm



SEM and EDX analysis after usage

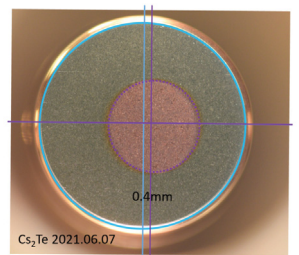
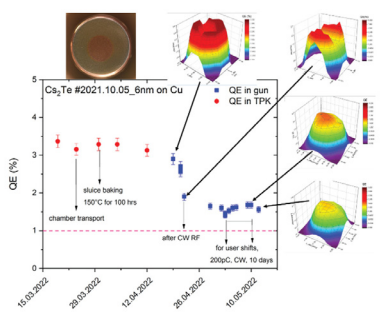
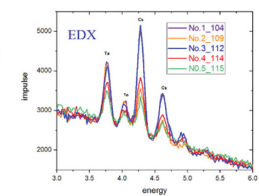
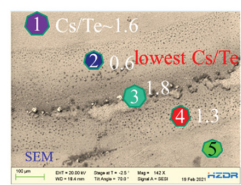


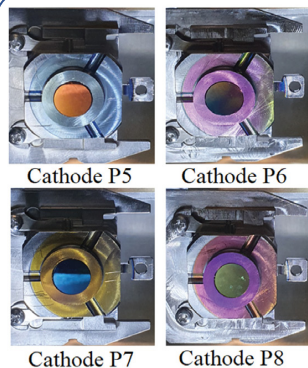
Figure 3: Photograph of the Cs₂Te cathode #2021.06.07 with 8 nm Te and 40 nm Cs, but 0.4 mm off centre.

R. Xiang et al., THOPT022, IPAC2022

R. Xiang, Snowmass2021 Electron Source Workshop, Feb 2022



Cs₂Te co-evaporation towards production



Cs₂Te history:

- Cu substrates prepared at Daresbury
- Co-deposition at CERN
- Delivery in UHV ($1 \cdot 10^{-10}$ mbar)
- Transfer in PPF (XHV $5 \cdot 10^{-12}$ mbar, p_{max} $1 \cdot 10^{-9}$ mbar in transfer)

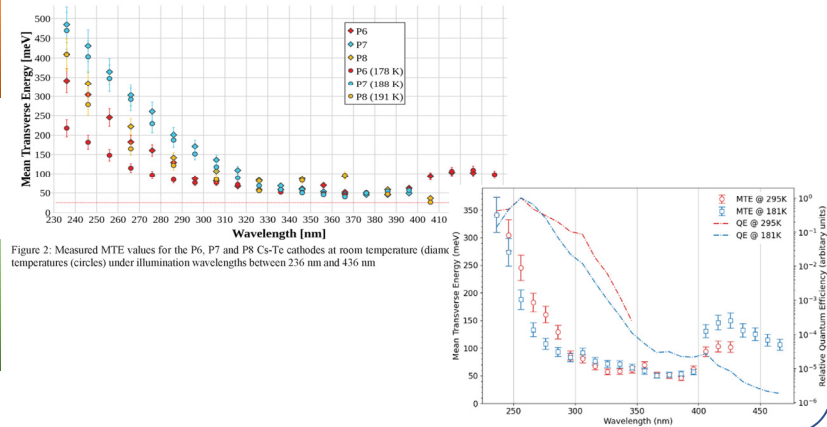
Cs₂Te diagnostic:

- TEDC (Transverse Energy Distribution Curve) in TESS
- $\lambda = 236 \div 436$ nm (10 nm step), T @ RT & cryogenic T
 - QE determined in TESS
- XPS analysis -> evaluation of Cs/Te ratio, main peaks

TEDCs & XPS results

- Films behave differently and this is confirmed by XPS results.
- P6: large TEDC @ $\lambda > 400$ nm, probably due to oxygen peak (XPS) that reduces the work function increasing MTE

L. A. J. Soomary et al., THOPT033, IPAC2022



CLARA injector at STFC: S-band RF Gun, successfully operated with Cu cathodes

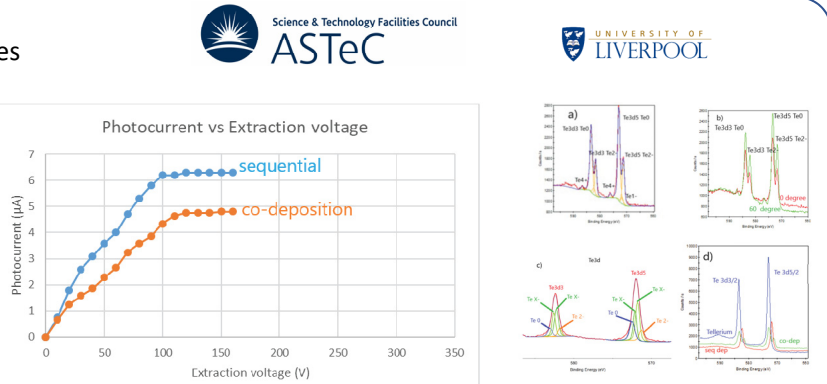
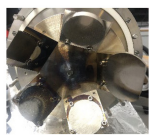
For FEL application, Alkali telluride (Cs₂Te) is chosen (Mo substrate)

Design of a new preparation facility for (also by ion beam deposition/implantation):

- metal, metal/oxide, caesium implanted on metal
- Alkali-Telluride and Alkali-Antimonide

Cs₂Te history:

- Mo substrates (0.5mm thick)
- Cleaning: acetone, methanol, deionized water in US bath, baking ($p \cdot 10^{-9}$ mbar), ion beam sputtering
- **Sequential deposition** (1h for each) and **Co-deposition** (1h in total)
- T 120 °C, 20nm Te (ion beam sputtering), Cs (source)
- QE after deposition, XPS analysis



R. Valizadeh et al., MOPOMS027, IPAC2022

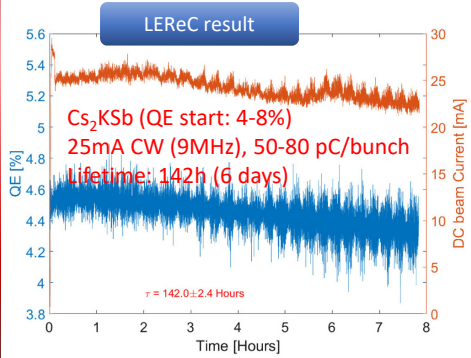


Alkali antimonide

Lifetime at high average current operation

Demanding EIC requests for Electron beam e-cooling:

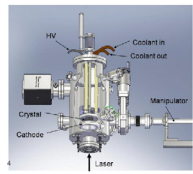
- >100 mA, 1.5 nC bunch charge, 1.5 mm·mrad, > 3 days lifetime



X. Gu et al., PRAB 23, 013401 (2020)

Drawback (not only for High Average current):

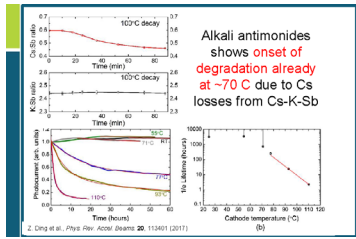
- Cs-K-Sb QE drop ~ 70 °C (Cs losses)
- Cs-K-Sb QE drop P_{laser} >5W
- Ion back bombardment



Cooling of the cathode also present in other DC gun (Cornell, SBU-BNL) and in SRF gun (HZDR)

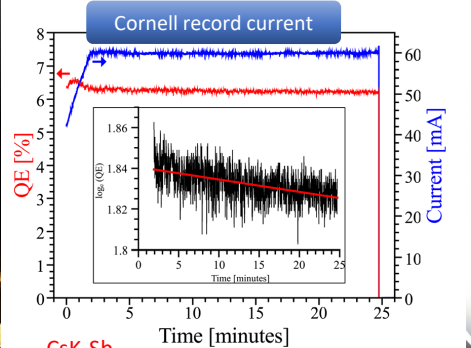
Up to 40 W of laser power

H. Lee et al., Rev. Sci. Instrum. 80 (2019) 083303
C. Wang et al., arXiv:2112.03842
J. Teichert et al., Phys. Rev. Accel. Beams 24, 033401 (2021)

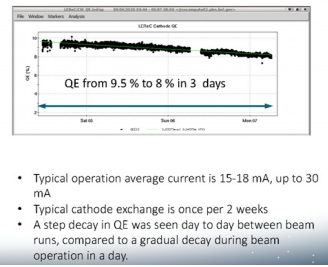
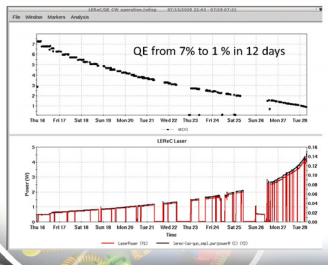


Solutions

- new robust cathodes: multi-alkali (Na), coating, epitaxial growth, graphene, etc.
- cathode cooling
- anode bias voltage/coating off-centered



B. Dunham et al., Appl. Phys. Lett. 102, 034105 (2013)



- Typical operation average current is 15-18 mA, up to 30 mA
- Typical cathode exchange is once per 2 weeks
- A step decay in QE was seen day to day between beam runs, compared to a gradual decay during beam operation in a day.

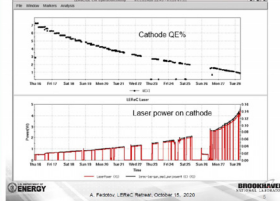
E. Wang et al., Snowmass2021 Electron Source Workshop, Feb 2022

D. Filippetto et al., arXiv:2207.08875v1 [physics.acc-ph] 18 Jul 2022

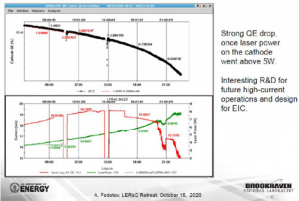
L. Cultrera, Snowmass2021 Electron Source Workshop, Feb 2022

As QE decreases a larger fraction of laser power heats the substrate

Typical cathode performance

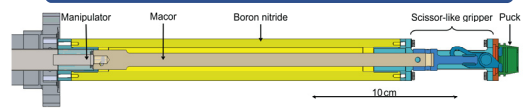


Cathode QE drop under high laser power (June 21)



Brookhaven National Laboratory Cs-K-Sb photocathode operated in LEReC DC gun (courtesy of A. Fedotov)

MIST: K₂CsSb in puck, heating transferred by BN

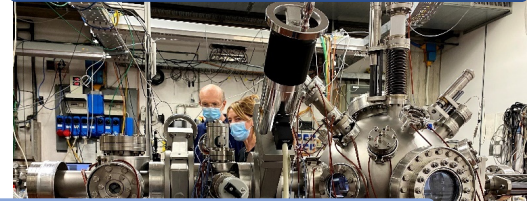


M. A. Dehn et al., THPOPT024, IPAC2022

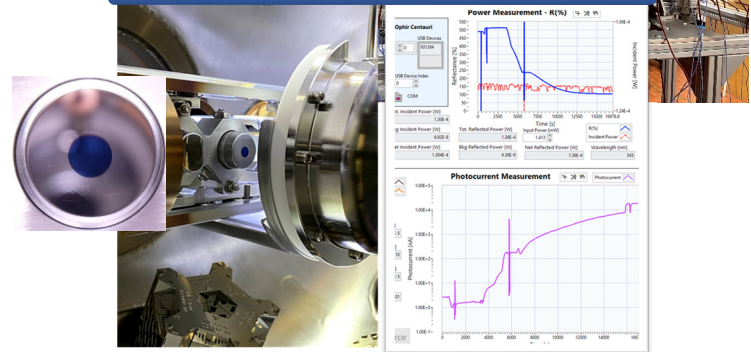
“Green” Photocathodes INFN - DESY

- CW machine operation requests **photocathode**:
 - sensitive to **visible light** to relax requests on lasers.
 - **smaller thermal emittances** $\epsilon_{th} \approx 0.3$ mm mrad to improve machine performances.
- However, these materials **requires XUHV** ($\approx 10^{-11}$ mbar) being more sensitive than Cs_2Te
- In collaboration with DESY PIZ:
 - we have developed a **new deposition system** suitable for «green» photocathodes.

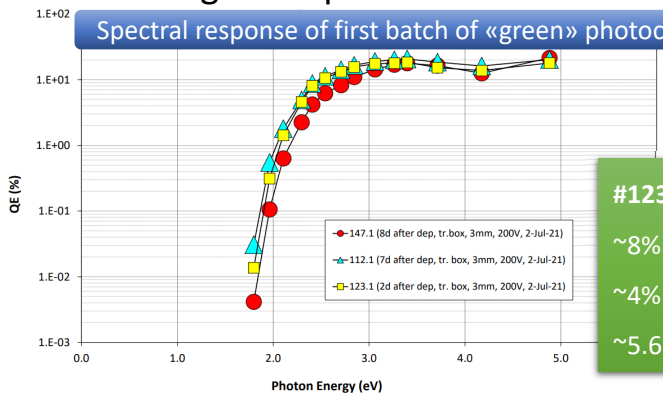
New deposition system for «green» cathodes



First photocathode deposited in LISA system



Spectral response of first batch of «green» photocathodes



#123.1 QE@2.4 eV
 ~8% (At INFN)
 ~4% (In PIZ loadlock)
 ~5.6% (In PIZ gun)

Cathode	Sb evaporation	K evaporation	Cs evaporation	QE @ 515 nm
147.1	120 °C, 10 nm	150 °C, 62.4 nm	135 °C, 178.2 nm	5.3 %
112.1	120 °C, 5 nm	constant power, 44.6 nm	constant power, 153.6 nm	7.87 %
123.1	120 °C, 5 nm	constant power, 153.6 nm	constant power, 156.6 nm	7.58 %

- A deposition process was studied in the R&D system and transfer to growing these cathodes.
- The **first K_2CsSb photocathodes have been produced** in June '21 and tested in July in the PIZ RF Gun.
- Successful transfer of the photocathodes to PIZ with limited QE degradation

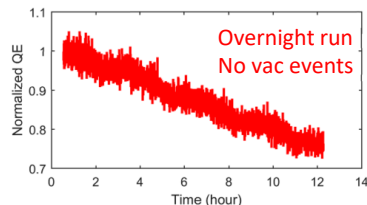
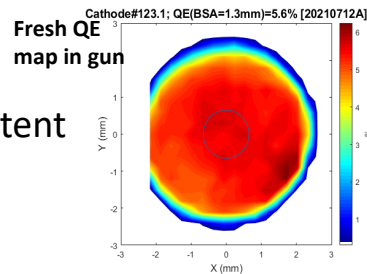
K₂CsSb photocathode in high gradients RF Gun

Cathode RF conditioning, QE, lifetime, vacuum

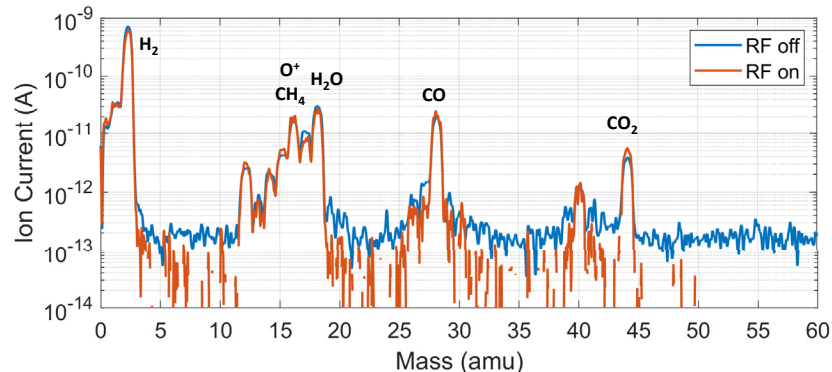
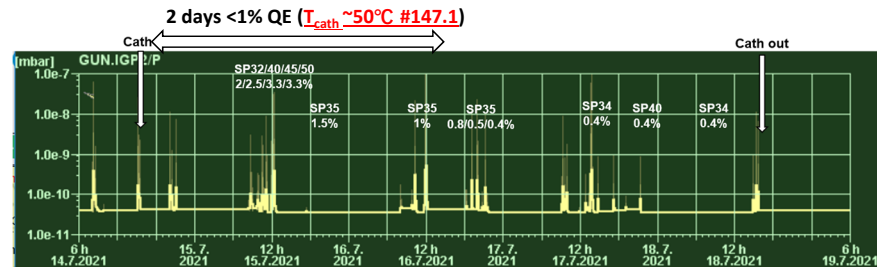
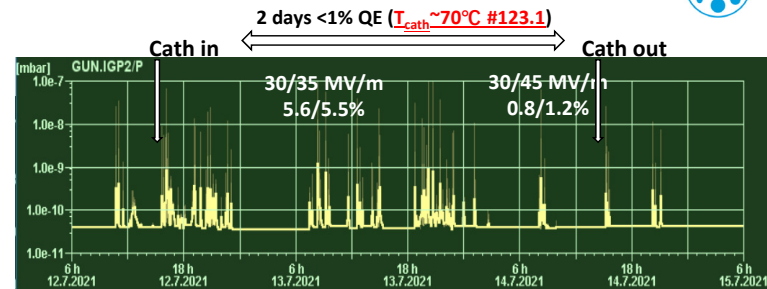
- Cathode RF conditioning
 - Below 30 MV/m, almost not necessary, up to 400 μs was tested without vac events
 - Above 30-40 MV/m, much more vac events than Cs₂Te conditioning, degrades QE significantly

• Cathode QE

- Fresh QE in gun is consistent with lab measurements
- QE decrease dominated by vac events during conditioning, QE also slowly decreases w/o vac events.



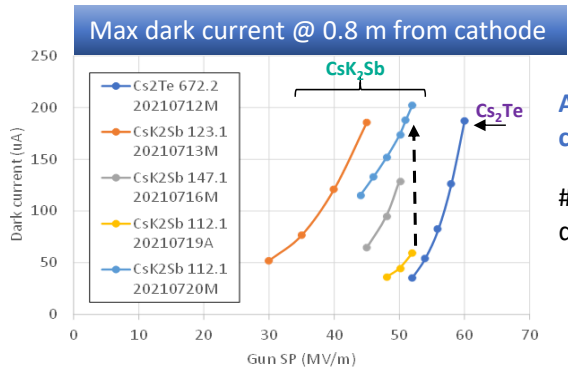
QE slowly drops by 20% in 12 hours



K₂CsSb photocathode in high gradient RF Gun

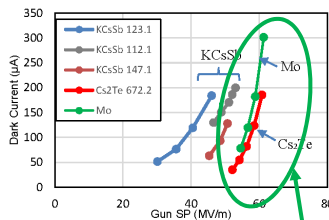
Dark current, cathode response time

- Dark current vs gun gradient



All cathodes have higher dark current than Cs₂Te one.

#112.1 dark current increased during cathode conditioning.



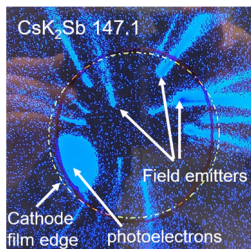
Higher dark current can be due to the different energy threshold of films:

$$E_{th}(\text{KCsSb}) = 1.9 \text{ eV} < E_{th}(\text{Cs}_2\text{Te}) = 3.5 \text{ eV}$$

F-N analysis, high dark current due to low emission threshold, not due to surface quality

	beta	A (nm ²)
Cs ₂ Te 672.2	126	15212
123.1	462	0.002
147.1	97	0.98
147.1	102	1.1
112.1	99	0.3
112.1	246	0.013

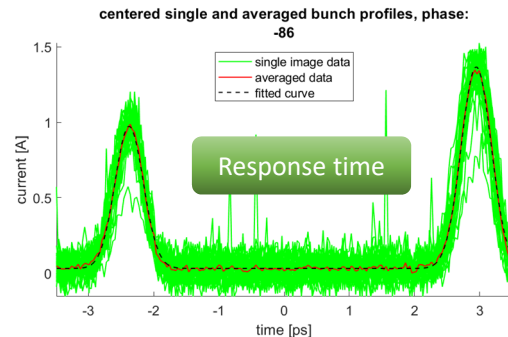
Dark current imaging



Mo dark current similar to Cs₂Te one confirm that the major contribution of dark current comes from the film itself

- Cathode response time measurement

- #147.1 Cs₂Sb, measured in green when QE dropped to 0.4%
- Two laser pulses with known optical delays shine the cathode to calibrate the beam temporal response at photoemission
- Preliminary data show that response time is below the resolution of 100 fs! Much shorter than high QE Cs₂Te cathode response time in UV (~200 fs) -> but...measurement to be repeated on a fresh cathode since QE degradation could indicate possibly changes in the surface chemistry of the film

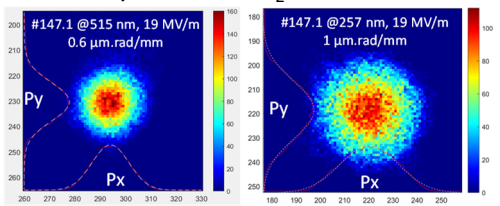




K₂CsSb photocathode in high gradients RF Gun

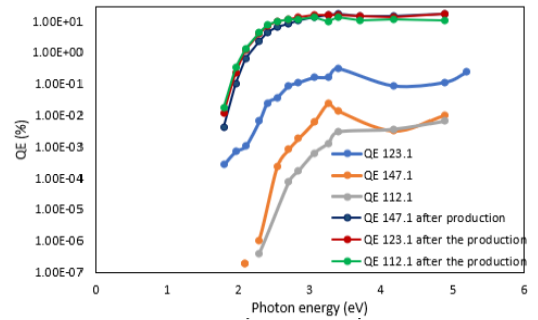
Thermal emittance and 100 pC emittance

- **Thermal emittance vs QE & laser λ**
- **515 nm: 19 MV/m, 0.6 $\mu\text{m}\cdot\text{rad}/\text{mm}$** (2%, 0.8%, 1.5%)
-> Consistent with APEX gun results
- **515 nm: 29 MV/m, 0.7 $\mu\text{m}\cdot\text{rad}/\text{mm}$** (1.5%)
-> limited at 30MV/m since high dark current
- **257 nm: 19 MV/m, 1 $\mu\text{m}\cdot\text{rad}/\text{mm}$** (2.5%)
-> Very similar to Cs₂Te cathode



2D distribution of photoemission transverse momentum

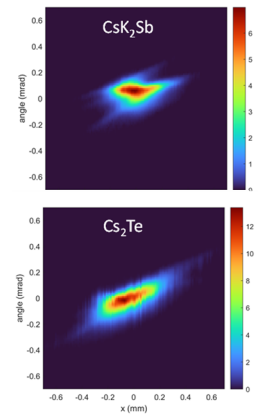
Spectral responses of KCsSb cathodes



- **100 pC emittance, CsK₂Sb vs Cs₂Te**
- **40 MV/m** 1.6 cell L-band gun, ~20 MeV linac
- Emittance reduced by **~23%**,
4D brightness increased by **~60%**

Measurement	Cs ₂ Te 1 $\mu\text{m}\cdot\text{rad}/\text{mm}$	CsK ₂ Sb 0.7 $\mu\text{m}\cdot\text{rad}/\text{mm}$	Unit
95% rms emit.	0.36	0.28	$\mu\text{m}\cdot\text{rad}$
Gauss emit.	0.33	0.25	$\mu\text{m}\cdot\text{rad}$
4D brightness	760	1209	$\text{pC}/(\mu\text{m}\cdot\text{rad})^2$

100 pC beam phase space



Post-usage analysis and future plan

- **Photocathode spectral responses after RF gun operation**
- Lower QE at all λ s for the three cathodes. Cathode 123.1 show a higher QEs w.r.t. cathode 147.1 and 112.1
- Low energy (photoemission threshold): E_{th} (147.1 and 112.1) **increased from 1.79 eV to 2.08 eV**; a **shoulder appeared at the low photon energy** for cathode 123.1 -> **potential oxidation of cathode films**

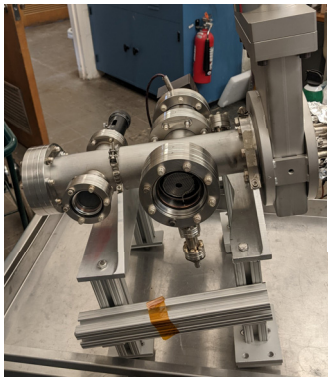
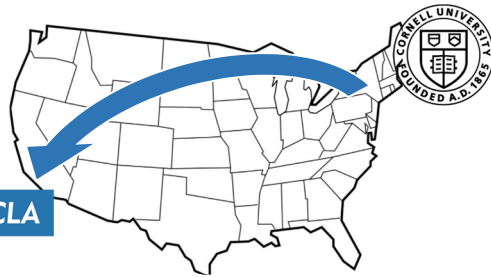
Next steps will be:

- CsK₂Sb recipe improvement and development of multi-alkali (Na)
- New tests at PITZ

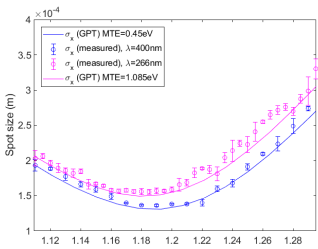
See TUP04 poster (FEL2022)

Testing Alkali Antimonide Photocathodes in High Gradient RF Injector

- Na-K-Sb grown on molybdenum plug surface at Cornell University

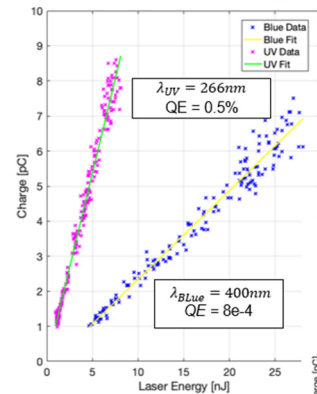


- Initial QE of **Na-K-Sb** after growth: **~1.5% at 532nm**. (10/8/21)
- QE upon arriving at UCLA: **~8e-4 at 405nm**. (10/20/2021)
- Previous testing verified transportation by car, yet the cathodes experienced QE degradation during delayed transport.
- **QE remains** above 0.2% at 266 nm and **greater than 5e-4 at 400 nm for multiple weeks of operation**.
- Negligible change in QE after transfer from vacuum suitcase to the gun.
- Maximum single shot bunch charge extracted: $\sim 600\text{pC}$.
- Schottky scan fits for 400 nm and 266 nm yield a work function of **2.2 eV** for Na-K-Sb.
- Difference in MTE between 266 nm and 400 nm agrees with workfunction and excess energy measurement from Schottky scans.

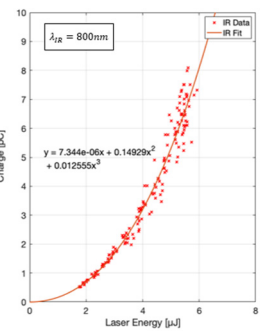


$$MTE = E_{exc} / 3$$

C. Pennington, P3 Workshop, November 2021



2 and 3 photon photoemission @ 800 nm



Multi-alkali antimonide development

Na-K-Sb as alternative to Cs-K-Sb photocathode, since more robust at high temperature to be used in Sealab/bERLinPro SRF gun.

- 6 Na-K-Sb produced and analyzed:
- 5 with Sb + co-deposition of alkali
 - 1 with sequential deposition (WH04)

QE @ 515nm between 0.3 and 2.0%

QE stability of co-dep: film WH05 showed a fast decrease (Na excess?)

while WH03 was stable after 1.5d

XPS (WP04 & WP05)

S. Mistry et al., THOPT019, IPAC2022

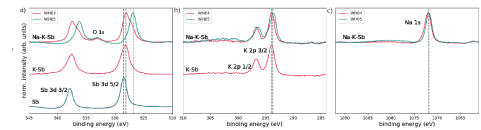
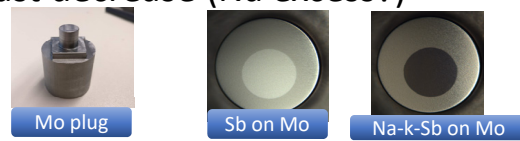
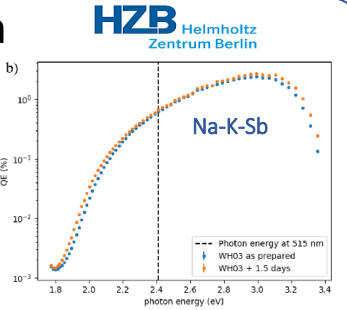
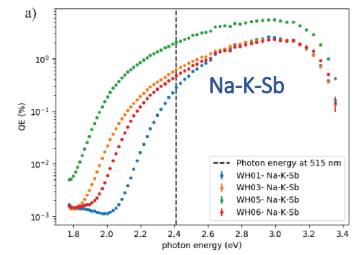
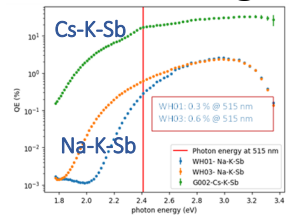


Figure 3: XPS spectra of two Na-K-Sb samples: WH04 was grown by sequential deposition and WH05 by alkali metal co-evaporation. Comparison of a) Sb 3d spectra, b) K 2p spectra and c) Na 1s spectra.

Different Chinese laboratories are making progress on developing new production system for telluride and alkali antimonies.

They are exploring both sequential and co-evaporation.

For co-evaporation recipe, Sb is deposited in advance and then alkali metals are evaporated together

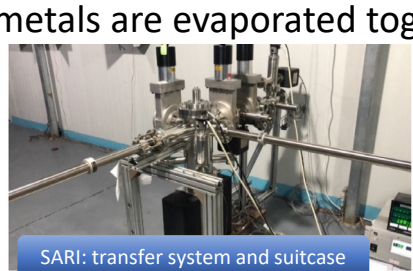


H. Xie, Micromachines 2021, 12, 1376

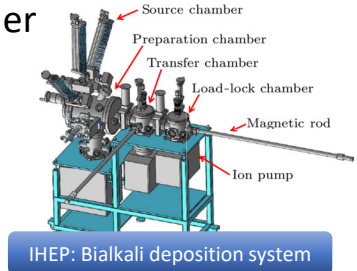
SARI first results:

K₂CsSb (Mo plug) :

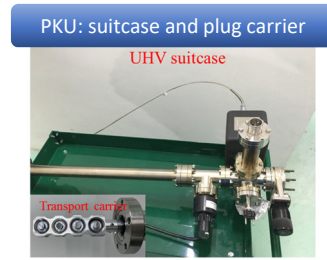
co-dep, QE and R during dep



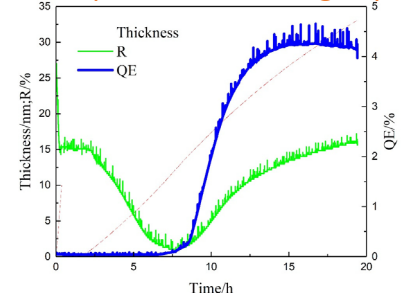
SARI: transfer system and suitcase



IHEP: Bialkali deposition system

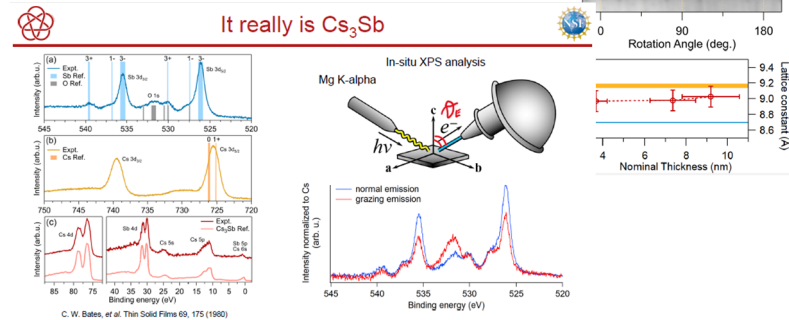
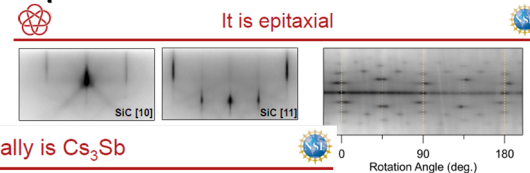


PKU: suitcase and plug carrier



Multi-alkali brightness improvement

- Molecular beam epitaxy of Cs₃Sb: a single crystalline visible light photocathode
- Successfully deposited epitaxial Cs₃Sb (100) films on 3C SiC (100) substrates
- XPS measurements well reproduce literature data on Cs₃Sb
- Ultrathin samples (<10 nm) have >2% QE at 532 nm
- We observe enhanced QE at 650 nm on our best epitaxial sample
- ARPES measurements unveil for the first time the Cs₃Sb band structure
- DFT band structure calculations show good agreement with measurements, but significant bandwidth discrepancy indicates that strain and intrinsic instabilities of the Cs₃Sb structure may play a role.



C. T. Parzyck and A. Galdi et al., PRL 128, 114801 (2022)

Applied Physics Letters

ARTICLE scitation.org/journal/apl

P. Saha et al., Appl. Phys. Lett. 120, 194102 (2022)

Physically and chemically smooth cesium-antimonide photocathodes on single crystal strontium titanate substrates



Cite as: Appl. Phys. Lett. 120, 194102 (2022); doi: 10.1063/5.0088306
 Submitted: 15 February 2022 - Accepted: 29 April 2022 -
 Published Online: 10 May 2022

Pallavi Saha,¹ Oksana Chubenko,¹ Cevork S. Gevorkyan,¹ Allimohammed Kachwala,¹ Chri Carlos Sarabia-Cardenas,¹ Eric Montgomery,² Shashi Poddar,² Joshua T. Paul,² Richard Howard A. Padmore,³ and Siddharth Karkare⁴

ABSTRACT

The performance of x-ray free electron lasers and ultrafast electron diffraction experiments is largely dependent on the brightness of electron sources from photoinjectors. The maximum brightness from photoinjectors at a particular accelerating gradient is limited by the mean transverse energy (MTE) of electrons emitted from photo cathodes. For high quantum efficiency (QE) cathodes like alkali-antimonide thin films, which are essential to mitigate the effects of non-linear photoemission on MTE, the smallest possible MTE and, hence, the highest possible brightness are limited by the nanoscale surface roughness and chemical inhomogeneity. In this work, we show that high QE Cs₃Sb films grown on lattice-matched strontium titanate (STO) substrates have a factor of 4 smoother, chemically uniform surfaces compared to those traditionally grown on disordered Si surfaces. We perform simulations to calculate roughness induced MTE based on measured topographical and surface-potential variations on the Cs₃Sb films grown on STO and show that these variations are small enough to have no consequential impact on the MTE and, hence, the brightness.

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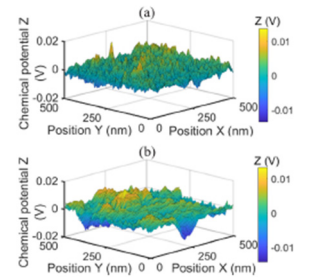
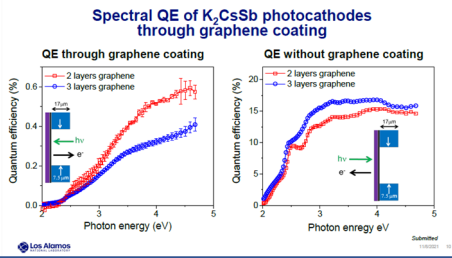


FIG. 3. 3D chemical potential maps of (a) the thin Cs₃Sb film on STO and (b) the thick Cs₃Sb film on STO.

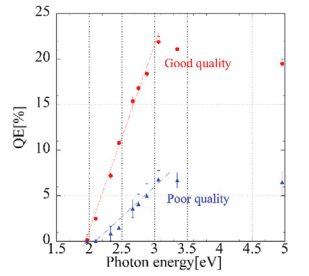
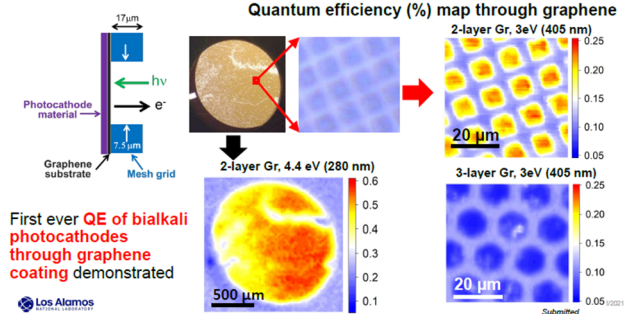
Multi-alkali improvement - Graphene

- Towards the use of 2D materials as unique protection layer for bialkali photocathodes

- Cs₂KsB grown on graphene layers deposited on grid/substrate
- Good quality graphene is important for optimal result
- Achieved good QE through graphene layer



Milestone #2 (achieved recently): QE maps of K₂CsSb through graphene coating



H. Yamaguchi, P3 Workshop, November 2021

L. Guo et al., THOPTO28, IPAC2022

Unexpected findings

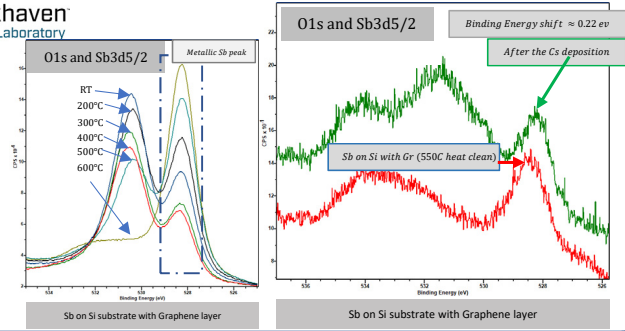
- QE enhancement of bialkali photocathodes by coating metal substrates with graphene
- Graphene as reusable substrate for bialkali photocathodes

- Progress towards long lifetime photocathodes with protective layer

- Cs₃Sb grown on Sb (10 nm)/Si substrate coated with graphene ML
- Graphene layer prevent Sb to evaporate during thermal cycle
- Successfully grown Cs₃Sb on this support

Graphene ML
Sb (10 nm)/ Si

J. K. Biswas, P3 Workshop, November 2021

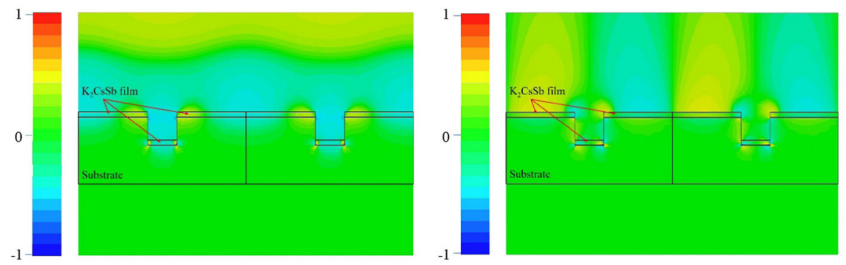
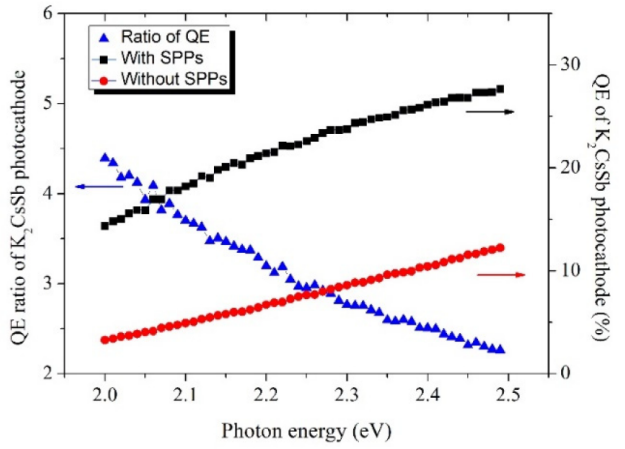
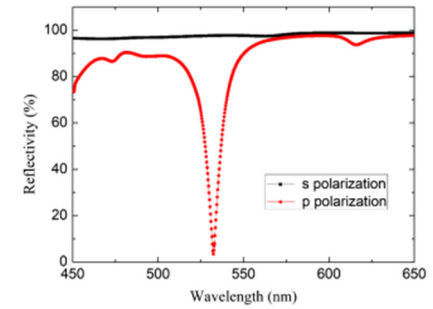


Multi-alkali improvement – Surface Plasmon

- The maximum efficiency of the typical K₂CsSb film photocathode in absorbing the incident laser is approximately 30%
- To improve absorption, it is proposed to use Surface Plasmon Polaritons (SPP)
- This structure produces a peak in laser light absorption
- The SPP are introduced into a Monte Carlo model by proper modification of the absorption process



Blu – K₂CsSb
Orange – Ag substrate





Photocathode diagnostics in production systems for operation



Importance of photocathode diagnostics in production system for operation

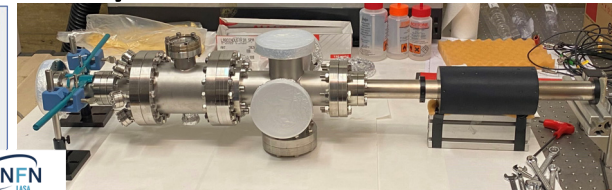
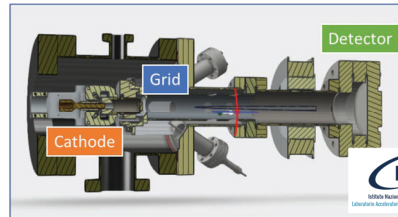
Diagnostics in system for photocathode production will provide:

- Feedback on the quality of produced photocathodes -> **possibility to improve films reliability**
- Post-usage analysis on photocathodes after operation in guns -> **correlation between performance and photoemissive properties of films**
- Continuous improvement of recipes -> **hints for corrections and new solutions**
- R&D activities....but **done on real photocathodes to be used in operation** (and not samples!)

News on diagnostics in production systems

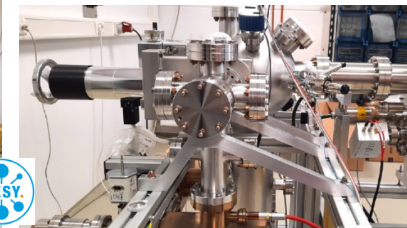
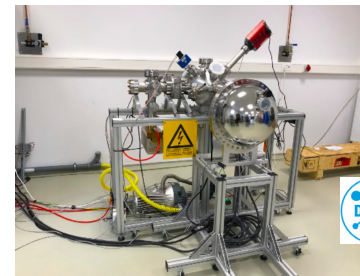
• INFN LASA - TRAMM

- A TRAnverse Momentum Measurement device is being developed and it will be installed on the production systems



• DESY - Blue Lab

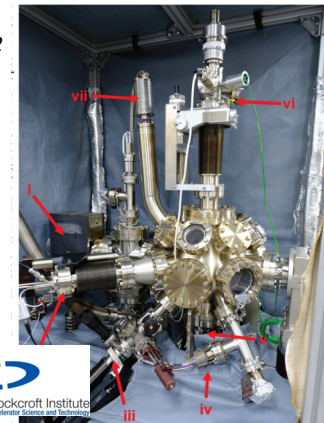
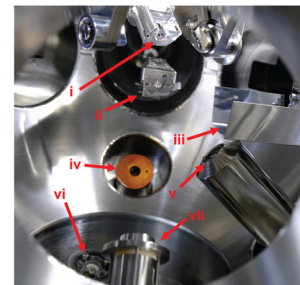
- Build a characterization system based on AES and XPS
- Build on electron momentum spectrometer



• ASTeC - Alkali-metal Photocathode Preparation Facility (APPF)

- This facility will produce photocathodes for Gun (CLARA)
- Multiprobe system (for QE and work function measurements) and in the Transverse Energy Spread Spectrometer (TESS) for MTE (or TEDC), CMA for AES.
- The system can use R&D sample (Omicron) and INFN Mo plug (for production)

H. M. Churn et al., THOPT044, IPAC2022



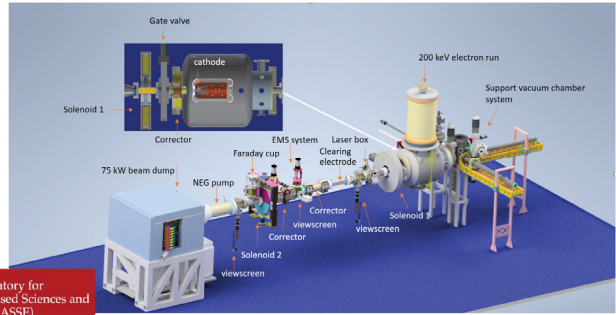
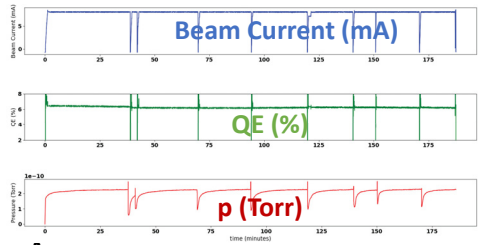


News on Photocathode test facilities

News on Photocathode test facilities

• Cornell - High ElectrOn Average Current for Lifetime Experiments (HERACLES)

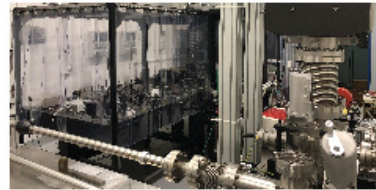
- Dedicated beamline for robustness improvement of photocathodes at high current
- Photocathode produced at Cornell are transported by a UHV suitcase
- DC Gun: commissioned at 200 keV, 10 mA
- Cs₃Sb (first test): 8mA (av. curr.), no QE decrease (3hs run)



M. B. Andorf et al., THPOMS036, IPAC2022

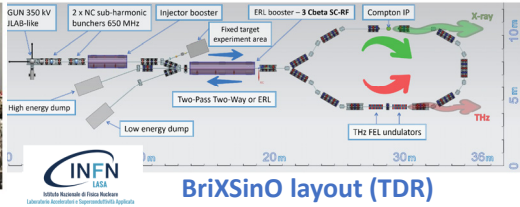
• INFN LASA – Photocathode Stress Test Bench (BriXSinO)

- Dedicated system for Cs₂Te operative lifetime studies at High rep rate & current (in construction)
- LASA photocathode transported by UHV suitcase
- DC Gun: 100 kV, 5 mA; Laser system: 92.857 MHz (IR=1035nm, 4th=258.75nm), P (4th) 700mW



Overview of StressTestBench

D. Sertore et al., THPOPT025, IPAC2022



BriXSinO layout (TDR)



• DESY PITZ – Test of “green” photocathodes in high gradient RF Gun

- PITZ upgrade for “green” photocathodes test in RF gun (new tests foreseen)





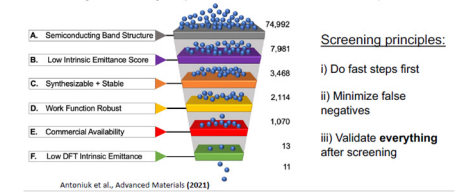
The modern approach to photocathode developments and advancements

Machine learning and AI

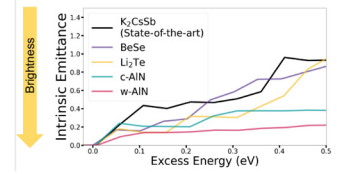
• Novel Ultrabright Photocathodes Discovered from Machine Learning and Density Functional Theory Driven Screening (32)

- Development of a generalizable photoemission model
- Machine learning for predicting the work function of photocathodes
- Screening for novel photocathode materials

E. R. Antoniuk, P3 Workshop, November 2021



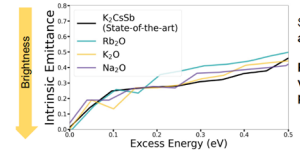
Commercial available



We recalculate emittance with high accuracy method

11/13 materials achieve comparable emittance as K_2CsSb

- Search list of visible light photocathodes for air stable oxides
- We discover M_2O family of photocathode materials



Similar emittance, but air stable!
Potential air-stable, visible photocathodes!

• Automated growth of photocathode films: from the basics of process control towards artificial intelligence



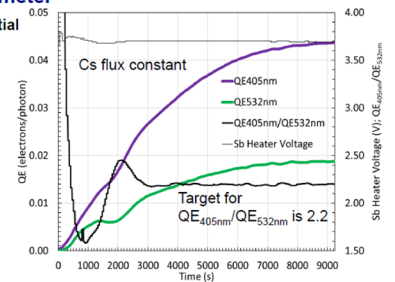
- First implementation of automatic film growth process on Cs_3Sb
- Positive first results
- Extension to further materials (Cs_2Te)
- Implementation of AI instead of standard feedback on ratio QEs

V. Pavlenko, P3 Workshop, November 2021

Process control: stabilizing thickness-independent photoemission parameter

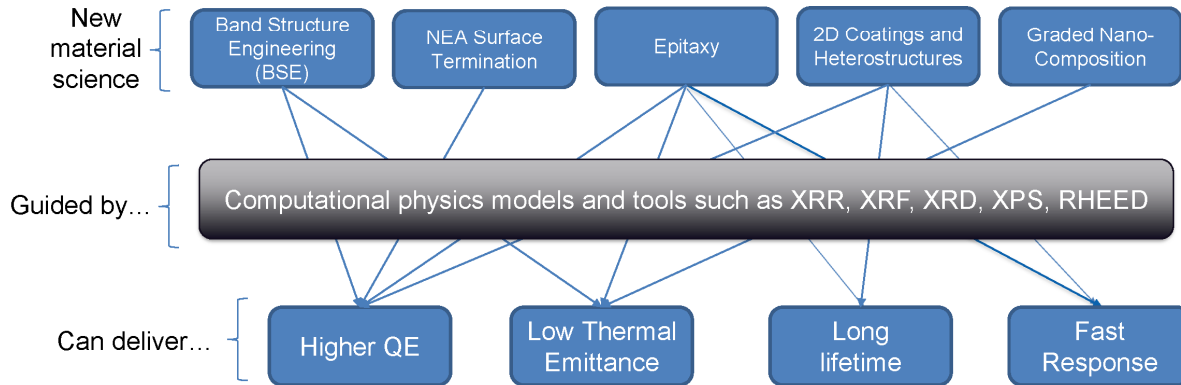
Feedback loop is an essential part of process control.

- PREREQUISITES:**
- Reasonably stable calibrated sources
 - Cs-rich growth mode
 - Software PID feedback loop with pre-determined gains (Ziegler-Nichols method) or other properly tuned algorithm



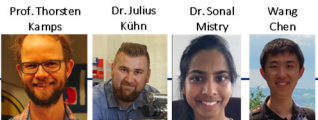
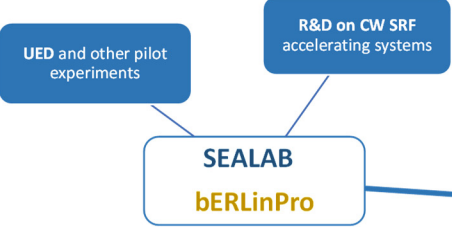
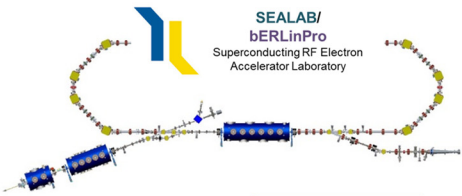
How to improve photocathode performances

New fabrication techniques are developed and applied to photocathodes motivated by theory and guided by real-time *in situ* x-ray analysis



- *In Situ* or rapid feedback is required to optimize for material properties other than QE
- Modeling is needed to guide growth and understand properties

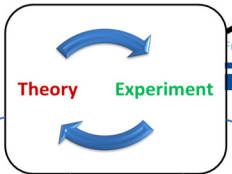
One example: Sealab- bERLinPro



HZB Helmholtz Zentrum Berlin
HIGH BRIGHTNESS BEAMS PHOTOCATHODE R&D

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Explore stable structures of multi-alkali antimonides

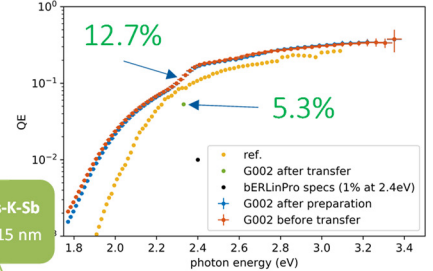
High throughput screening of candidate multi-alkali antimonides

Simulate electronic and optical properties

Characterize electronic properties of multi-alkali antimonides



High QE Cs-K-Sb
12.5% at 515 nm



Photocathode Lab

Develop Na-K-Sb growth procedure

Triple evaporation chamber upgrade

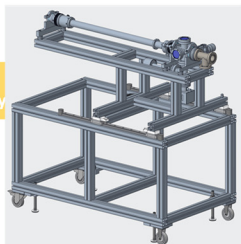
Bring photocathodes to EMIL via vacuum suitcase

EMIL

XAS and RIXS-
electronic structure

XRF -
stoichiometry

XRD- crystal
phase





Thanks for your attention!



For an update on the most recent R&D in Europe,
you are invited to

EWPAА 2022: European Workshop on Photocathodes for Particle
Accelerator Applications

in Milano, 20-22 September 2022.

<http://agenda.infn.it/e/ewpaa2022>



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