# TRANSFER MATRIX OF LINEAR FOCUSING SYSTEM IN THE PRESENCE OF SELF-FIELD OF INTENSE CHARGED PARTICLE BEAM 

N.Yu. Kazarinov ${ }^{\dagger}$<br>Flerov Laboratory of Nuclear Reaction, Joint Institute for Nuclear Research Dubna, Moscow region, 141980, Russia

## Abstract

The computation algorithm of the transfer matrix in the presence of self-field of the intense charge particle beam is given.

## INTRODUCTION

Within the framework of moment method [1] the computation algorithm of the transfer matrix in the presence of self-field of the intense charge particle beam is given. The transfer matrix depends on both the linear external electromagnetic field parameters and the initial value of the second order moments of the beam distribution function. In the case of coupled degrees of freedom the independent 2D subspaces of the whole phase space are found by means of the linear transformation of the phase space variables. The matrix of this transformation connects with second order moments of the beam distribution function. The momentum spread of the beam is taken into account also.

## BASIC EQUATIONS

Let us consider the vector $Y^{T}=\left(x_{1}, x_{2}, x_{1}^{\prime}, x_{2}^{\prime}\right)=$ $=\left(X^{T} V^{T}\right)$, where superscript $T$ defines transpose vector or matrix, prime denotes derivative with respect to distance $s$ along the beam trajectory. In the linear approximation the vector Y satisfies to matrix equation:

$$
Y^{\prime}=A Y \quad A=\left(\begin{array}{cc}
0 & E_{2}  \tag{1}\\
b & a
\end{array}\right)
$$

Here $E_{\mathrm{n}}(\mathrm{n}=2)$ is unit matrix of n-th order, $a$ and $b$ are $2 \times 2$ matrices defined by both external electromagnetic fields $a_{\text {ext }}, b_{\text {ext }}$ and beam self-field $b_{s}$ :

$$
\begin{equation*}
a=a_{\text {ext }} \quad b=b_{\text {ext }}+b_{s} \tag{2}
\end{equation*}
$$

In the presence of the longitudinal electric field $E_{S}$ system (1) must be added by equation for longitudinal momentum $p$ :

$$
\begin{equation*}
\frac{p^{\prime}}{p}=\frac{Z}{A} \frac{e}{c p} \frac{E_{s}}{\beta_{p}}=\frac{1}{B \rho} \frac{E_{S}}{\beta_{p}} \tag{3}
\end{equation*}
$$

where $\beta_{p}=v_{p} / c-$ relativistic velocity of the beam, $\mathrm{c}-$ speed of light, $e-$ unit charge, $\mathrm{Z}, \mathrm{A}-$ ion charge and mass.
Matrix $b_{s}$ depends on the beam RMS-dimensions [1]. Let us define the second order moments $M$ of the beam distribution function $f$ :

[^0]\[

$$
\begin{equation*}
M=\overline{Y Y^{T}}=\frac{1}{N} \int Y Y^{T} f d V \tag{4}
\end{equation*}
$$

\]

Here $N$ is number of particle, integration in (4) is fulfilled over all phase space occupied by particles. In accordance with system (1) matrix $M$ satisfy the equation [1]:

$$
\begin{equation*}
M^{\prime}=A M+M A^{T} \tag{5}
\end{equation*}
$$

## ROTATING FRAME

For simplification of system (1) it is possible to eliminate matrix $a$. Let us introduce new phase space variables $Y_{R}$ by means of linear transformation:

$$
Y=R_{0} Y_{R} \quad R_{0}=\left(\begin{array}{cc}
Q & 0  \tag{6}\\
Q^{\prime} & Q
\end{array}\right)
$$

with $2 \times 2$ matrix $Q$. By substituting (6) into (1) we have:

$$
\begin{equation*}
Y_{R}^{\prime}=A_{R} Y_{R} \quad A_{R}=R_{0}^{-1} A R_{0}-R_{0}^{-1} R_{0}^{\prime} \tag{7}
\end{equation*}
$$

By representing matrix $A_{R}(7)$ in block form one can get:

$$
\begin{gather*}
A_{R}=\left(\begin{array}{cc}
0 & E_{2} \\
b_{R} & a_{R}
\end{array}\right) \\
a_{R}=Q^{-1}\left(-2 Q^{\prime}+a Q\right) \quad b_{R}=Q^{-1}\left(b Q+a Q^{\prime}-Q^{\prime \prime}\right) \tag{8}
\end{gather*}
$$

In the case $a_{R}=0$ we have:

$$
\begin{equation*}
Q^{\prime}=\frac{1}{2} a Q \quad b_{R}=Q^{-1}\left(b+\frac{1}{4} a^{2}-\frac{1}{2} a^{\prime}\right) Q \tag{9}
\end{equation*}
$$

For general focusing system with longitudinal electromagnetic fields $E_{S}, B_{S}$, dipole magnets and quadrupole lenses the matrices $Q$ and $b_{R}$ have the following form ( $p_{0}$ is the initial value of momentum $p$ ):

$$
\begin{gather*}
Q=\sqrt{\frac{p_{0}}{p}} Q_{0} \quad Q_{0}=\left(\begin{array}{cc}
\operatorname{Cos} \varphi_{B} & \operatorname{Sin} \varphi_{B} \\
-\operatorname{Sin} \varphi_{B} & \operatorname{Cos} \varphi_{B}
\end{array}\right),  \tag{10.1}\\
\varphi_{B}^{\prime}=k=\frac{1}{2} \frac{B_{s}}{B \rho}  \tag{10.2}\\
b_{\text {Rext }}=Q_{0}^{T} b_{\text {ext }} Q_{0}-k^{2} E_{2}-k^{2}\left(3-2 \beta_{p}^{2}\right)\left(\frac{E_{s}}{\beta_{p} B_{s}}\right)^{2} E_{2} \tag{10.3}
\end{gather*}
$$

Here matrix $b_{\text {ext }}$ is defined by gradients of the quadrupole lenses $G(s)$ and bending radius of the dipole magnets $\rho_{M}(s)$ :

$$
b_{\text {ext }}=-\left(\begin{array}{cc}
\frac{G(s)}{B \rho}+\frac{1}{\rho_{M}^{2}(s)} & 0  \tag{10.4}\\
0 & -\frac{G(s)}{B \rho}
\end{array}\right)
$$

Matrix of the second order moments $M(4)$ is connected with one defined in the rotation frame $M_{R}$ by the following manner:

$$
\begin{equation*}
M=R_{0} M_{R} R_{0}^{T} \tag{11}
\end{equation*}
$$

## BEAM SELF-FIELD

Influence of the beam self-field leads to dependence of the matrix $b_{R s}$ on RMS dimensions [1]:

$$
\begin{equation*}
b_{R s}=\frac{p_{0}}{p} \frac{Z}{A} \frac{I}{I_{A}} \frac{1}{\left(\beta_{p} \gamma_{p}\right)^{3}} \frac{M_{R x x}^{-1 / 2}}{\operatorname{Tr} M_{R x x}^{1 / 2}} \tag{12}
\end{equation*}
$$

Where $I$ - beam current, $I_{A}$ - Alfven's current, $\gamma_{p}-$ relativistic factor. Matrix $M_{R}^{1 / 2}$ is defined as:

$$
\begin{equation*}
M_{R x x}^{1 / 2} M_{R x x}^{1 / 2}=M_{R x x}=\overline{X_{R} X_{R}^{T}} \tag{13}
\end{equation*}
$$

## TRANSFER MATRIX

Assuming all calculations are made in the rotational frame the notation " $R$ " will be dropped in the successive expressions. Let us introduce matrix $\Lambda$ in accordance with equation (7):

$$
\Lambda^{\prime}=A \Lambda \quad A=\left(\begin{array}{cc}
0 & E_{2}  \tag{14}\\
b & 0
\end{array}\right)
$$

The product $\Lambda \Lambda^{T}$ satisfies to equation (5) for matrix $M$ : For this reason equality $M=\Lambda \Lambda^{T}$ will be valid at arbitrary point $s$ if the same condition is valid at initial point of the system.

Transfer matrix $R$ of system (14) may be found as:

$$
\begin{equation*}
R=\Lambda \Lambda_{0}^{-1} \tag{15}
\end{equation*}
$$

The solution $Y$ of the equations (7) and matrix $M$ are defined by matrix $R$ in the standard form:

$$
\begin{equation*}
Y=R Y_{0} \quad M=R M_{0} R^{T} \tag{16}
\end{equation*}
$$

where index " 0 " denotes initial values of the variables.
In computer calculations it is convenient to represent matrices $R$ and $M$ in the block form:

$$
R=\left(\begin{array}{cc}
C & S  \tag{17}\\
C^{\prime} & S^{\prime}
\end{array}\right) \quad M=\left(\begin{array}{cc}
M_{x x} & M_{x v} \\
M_{x v}^{T} & M_{v v}
\end{array}\right)
$$

In accordance with (14) $2 \times 2$ matrices $C$ and $S$ satisfy to the system of second order differential equation:

$$
\begin{align*}
& C^{\prime \prime}=b C  \tag{18}\\
& S^{\prime \prime}=b S
\end{align*} \quad ; \quad\left(\begin{array}{cc}
C_{0} & S_{0} \\
C_{0}^{\prime} & S_{0}^{\prime}
\end{array}\right)=\left(\begin{array}{cc}
E_{2} & 0 \\
0 & E_{2}
\end{array}\right)
$$

The equations for matrices $C$ and $S$ are not independent. They are connected by expression for matrix $M_{x x}$ defined the matrix $b_{s}$ (12) for beam self-field:

$$
\begin{equation*}
M_{x x}=C M_{x x 0} C^{T}+S M_{x v 0}^{T} C^{T}+C M_{x v 0} S^{T}+S M_{v v 0} S^{T} \tag{19}
\end{equation*}
$$

Thus the elements of transfer matrix $R$ satisfy to the nonlinear differential equations and its solutions depend on initial value of the second order moments.

## INDEPENDENT SUBSPACES

Let us introduce new phase space variables $Y_{1}$ :

$$
Y=T Y_{1} \quad T=\left(\begin{array}{cc}
t_{x} & 0  \tag{20}\\
t_{x v} & t_{v}
\end{array}\right)
$$

In accordance with formulae (7) and (20) vector $Y_{1}$ satisfies to the equation (7) with matrices $A_{1}$ depending on elements of matrix $T$ and its derivative. By postulating the antisymmetry of matrix $A_{1}$ one can get the equations for elements of matrix $T$ :

$$
\begin{align*}
& A_{1}=\left(\begin{array}{cc}
a_{x} & t_{x}^{-1} t_{v} \\
-\left(t_{x}^{-1} t_{v}\right)^{T} & a_{v}
\end{array}\right)  \tag{21}\\
& t_{x}^{\prime}+t_{x} a_{x}=t_{x v}  \tag{22.1}\\
& t_{x v}^{\prime}+t_{x v} a_{x}=b t_{x}+t_{v} t_{v}^{T}\left(t_{x}^{T}\right)^{-1}  \tag{22.2}\\
& t_{v}^{\prime}+t_{v} a_{v}=-t_{x v} t_{x}^{-1} t_{v} \tag{22.3}
\end{align*}
$$

where $a_{x, v}=-a_{x, v}^{T}-$ antisymmetric matrices.
By using equations (22) it may be shown that product $T T^{T}$ satisfies to equation (5) for the matrix $M$ of the second order moments. Thereby the equality:

$$
\begin{equation*}
T T^{T}=M \tag{23}
\end{equation*}
$$

is valid at any point $s$ if it is valid at initial point of the focusing system.

Due to antisymmetry of matrix $A_{1}$ the transfer matrix of system $R$ (17) may be represent in the following form:

$$
\begin{equation*}
R=T Q_{4} T_{0}^{-1} \tag{24}
\end{equation*}
$$

where $Q_{4}$ is orthogonal matrix of forth order, i.e.:

$$
\begin{equation*}
Q_{4} Q_{4}^{T}=E_{4} \tag{25}
\end{equation*}
$$

The expression for matrix $Q_{4}$ may be found by using the new variables $W$ :

$$
Y_{1}=Q_{w} W \quad Q_{w}=\left(\begin{array}{cc}
Q_{x} & 0  \tag{26}\\
0 & Q_{v}
\end{array}\right)
$$

$Q_{x, v}$ - matrices of rotation diagonalizing matrix $t_{x}^{-1} t_{v}$ :

$$
Q_{x}^{T} t_{x}^{-1} t_{v} Q_{v}=\beta^{-1}=\left(\begin{array}{cc}
1 / \beta_{1} & 0  \tag{27}\\
0 & 1 / \beta_{2}
\end{array}\right)
$$

With these definitions vector $W$ satisfies to equation:

$$
W^{\prime}=A_{w} W \quad A_{w}=\left(\begin{array}{cc}
0 & \beta^{-1}  \tag{28}\\
-\beta^{-1} & 0
\end{array}\right)
$$

if the antisymmetric matrices $a_{x, v}(21)$ is defined as:

$$
\begin{equation*}
a_{x, v}=Q_{x, v}^{\prime} Q_{x, v}^{T} \tag{29}
\end{equation*}
$$

The quantities $\beta_{1,2}$ coincides with the square root of the eugenvalues of matrix $B$ :

$$
\begin{equation*}
B=M_{x x}^{1 / 2}\left(M_{v v}-M_{x v}^{T} M_{x x}^{-1} M_{x v}\right)^{-1} M_{x x}^{1 / 2} \tag{30}
\end{equation*}
$$

and therefore is determined by the second order moments.

Diagonal form of matrix $\beta$ gives possibility to find transfer matrix $R_{w}$ for the phase space variable $W$ :

$$
\begin{gather*}
W=R_{w} W_{0}
\end{gather*} R_{w}=\left(\begin{array}{cc}
C_{w} & S_{w}  \tag{31.1}\\
-S_{w} & C_{w}
\end{array}\right), ~\left(\begin{array}{cc}
\operatorname{Cos} \mu_{1} & 0  \tag{31.2}\\
0 & \operatorname{Cos} \mu_{2}
\end{array}\right) ; S_{w}=\left(\begin{array}{cc}
\operatorname{Sin} \mu_{1} & 0 \\
0 & \operatorname{Sin} \mu_{2}
\end{array}\right) .
$$

Phase advances $\mu_{1,2}$ connects with functions $\beta_{1,2}$ (27):

$$
\begin{equation*}
\mu_{i}^{\prime}=1 / \beta_{i}, i=1,2 \tag{32}
\end{equation*}
$$

As it follows from (31) pairs of phase space variables $\left(w_{1}, w_{3}\right)$ and $\left(w_{2}, w_{4}\right)$ form two independent 2D subspaces of the whole four-dimensional phase space.
By using expressions (31) the orthogonal matrix $Q_{4}$ (25) may be defined as $Q_{4}=Q_{w} R_{w} Q_{w 0}^{T}$ and transfer matrix $R(17),(25)$ has the following form:

$$
\begin{equation*}
R=T Q_{w} R_{w} Q_{w 0}^{T} T_{0}^{-1} \tag{32}
\end{equation*}
$$

## MOMENTUM SPREAD

The momentum spread may be taking into account by introducing new phase space variable $Y_{p}^{T}=\left(x_{1}, x_{2}, x_{1}{ }^{\prime}, x_{2}{ }^{\prime}, \delta\right)=\left(Y^{T}, \delta\right)$, where $\delta=\Delta p / p$ is relative deviation of particle momentum from average value. Vector $Y_{p}$ satisfies to equation:

$$
Y_{p}^{\prime}=A_{p} Y_{p} \quad A_{p}=\left(\begin{array}{cc}
0 & \Sigma  \tag{33}\\
b_{p} & a_{p}
\end{array}\right) \quad \Sigma=\left(\begin{array}{lll}
1 & 0 & 0 \\
0 & 1 & 0
\end{array}\right)
$$

Here $b_{p}$ is $3 \times 2$ rectangular matrix and $a_{p}$ is $3 \times 3$ matrix.
In this case we may use the system of coordinate (20) with changing of dimensions of matrix $T$ elements. Matrix $t_{x}$ has the same $2 \times 2$ order as in previous case, $t_{x v}$ is $3 \times 2$ rectangular matrix, and $t_{v}$ is $3 \times 3$ matrix. The equations for elements of matrix $T$ may be found by the same manner as in previous section:

$$
\begin{gather*}
t_{x}^{\prime}+a_{x p} t_{x}=\Sigma t_{x v}  \tag{33.1}\\
t_{x v}^{\prime}+t_{x v} a_{x p}=b t_{x}+a t_{x v}+t_{v} t_{v}^{T} \Sigma^{T}\left(t_{x}^{T}\right)^{-1}  \tag{33.2}\\
t_{v}^{\prime}+a_{v p} t_{v}=a t_{v}-t_{x v} t_{x}^{-1} \Sigma t_{v} \tag{33.3}
\end{gather*}
$$

where $a_{x p}, a_{v p}$ are $2 \times 2$ and $3 \times 3$ antisymmetric matrices correspondingly. As in the previous case matrix $T$ is connected with matrix $M$ of the second order moments by equality (23). With these definitions vector $Y_{1 p}=T^{-1} Y_{p}$ satisfies the following equation:

$$
Y_{1 p}^{\prime}=A_{1 p} Y_{1 p} \quad A_{1 p}=\left(\begin{array}{cc}
a_{x p} & t_{x}^{-1} \Sigma t_{v}  \tag{34}\\
-\left(t_{x}^{-1} \Sigma t_{v}\right)^{T} & a_{v p}
\end{array}\right)
$$

The transfer matrix $R_{p}$ has the same form as matrix $R$ defined by formula (24):

$$
\begin{equation*}
R_{p}=T Q_{5} T_{0}^{-1} \tag{35}
\end{equation*}
$$

where $Q_{5}$ is the orthogonal matrix of the fifth order. It may be found by the same manner as in the previous case:

$$
Q_{5}=Q_{p} R_{w p} Q_{p 0}^{T} \quad Q_{p}=\left(\begin{array}{cc}
Q_{x p} & 0  \tag{36}\\
0 & Q_{v p}
\end{array}\right)
$$

Here $Q_{x p}$ and $Q_{v p}$ are rotational matrices of the second and third order correspondingly giving the following result of the matrix $t_{x}^{-1} \Sigma t_{v}$ transformation:

$$
Q_{x p}^{T} t_{x}^{-1} \Sigma t_{v} Q_{v p}=\left(\begin{array}{cccc}
1 / \beta_{1} & 0 & 0  \tag{37}\\
0 & 1 / & \beta_{2} & 0
\end{array}\right)
$$

The quantities $1 / \beta_{1,2}$ coincides with the square root of the eugenvalues of matrix $B_{p}$ defined by the second order moments:

$$
\begin{equation*}
B_{p}=M_{x x}^{-1 / 2} \Sigma\left(M_{v v}-M_{x v}^{T} M_{x x}^{-1} M_{x v}\right) \Sigma^{T} M_{x x}^{-1 / 2} \tag{38}
\end{equation*}
$$

Matrix $R_{w p}$ in (36) connects with matrix $R_{w}$ (31):

$$
R_{w p}=\left(\begin{array}{cc}
R_{w} & 0  \tag{39}\\
0 & 1
\end{array}\right)=\left(\begin{array}{ccc}
C_{w} & S_{w} & 0 \\
-S_{w} & C_{w} & 0 \\
0 & 0 & 1
\end{array}\right)
$$

The phase advances $\mu_{1,2}$ are defined by beta functions (37) with the help of expressions (32).

## REFERENCES

[1] N.Yu.Kazarinov, E.A.Perelstein, V.F.Shevtsov, Moment method in charged particle beams dynamics, Particle Accelerators, v.10, 1980, p. 33-48.


[^0]:    †nyk@lnr.jinr.ru

