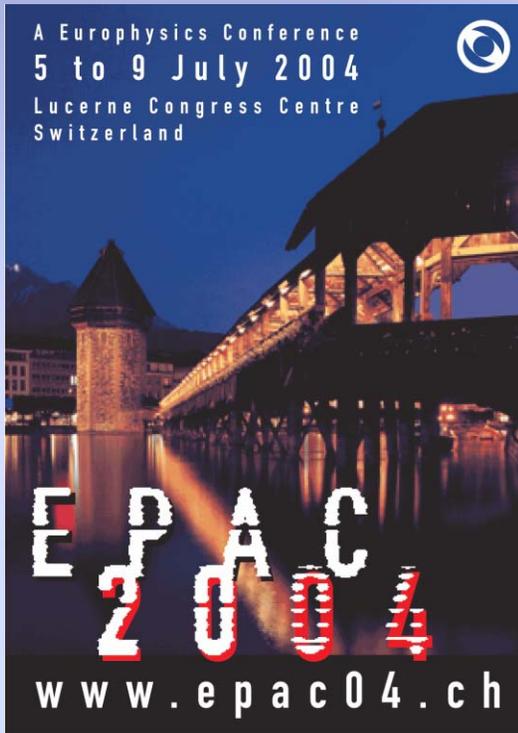


# Emittance Control for Very Short Bunches



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Thanks to P. Emma; see his invited  
talk tomorrow

## Introduction

many recent accelerator projects call for the production of high energy bunched beams that are short, intense, and have small emittances

how do we quantify “short”? one simple answer is  $\sigma_z/a \ll 1$  ( $\sigma_z$  bunch length,  $a$  beam pipe radius); in NLC main linac  $\sigma_z/a = 0.02$ , in LCLS SLAC linac  $\sigma_z/a = 0.002$

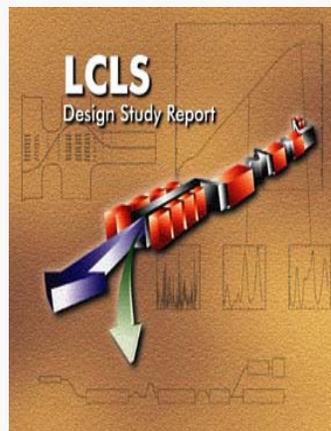
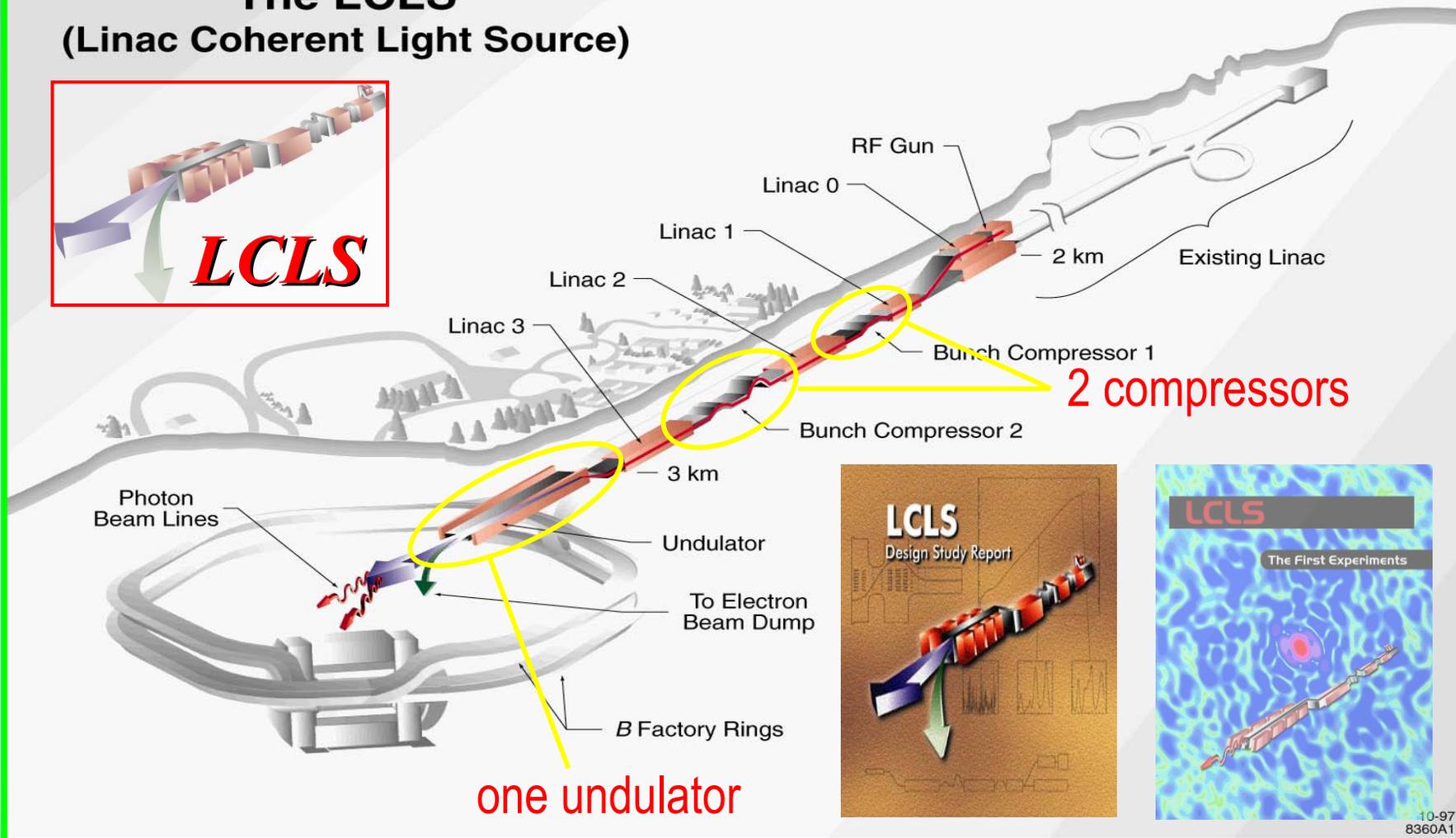
“emittance control” can mean avoid unwanted emittance growth; can also mean “adjust” or “increase” in some situations

- will describe 4 wakes that are important for short bunches; focus on longitudinal plane, analytical expressions
- will be applied to short-bunch regions of the LCLS, *spec.* for coherent synchrotron radiation (CSR) wake in the BC-2 chicane, accelerator structure wake in Linac-3, and resistive wall and roughness wakes in the undulator

# LCLS at SLAC

1.5-15 Å

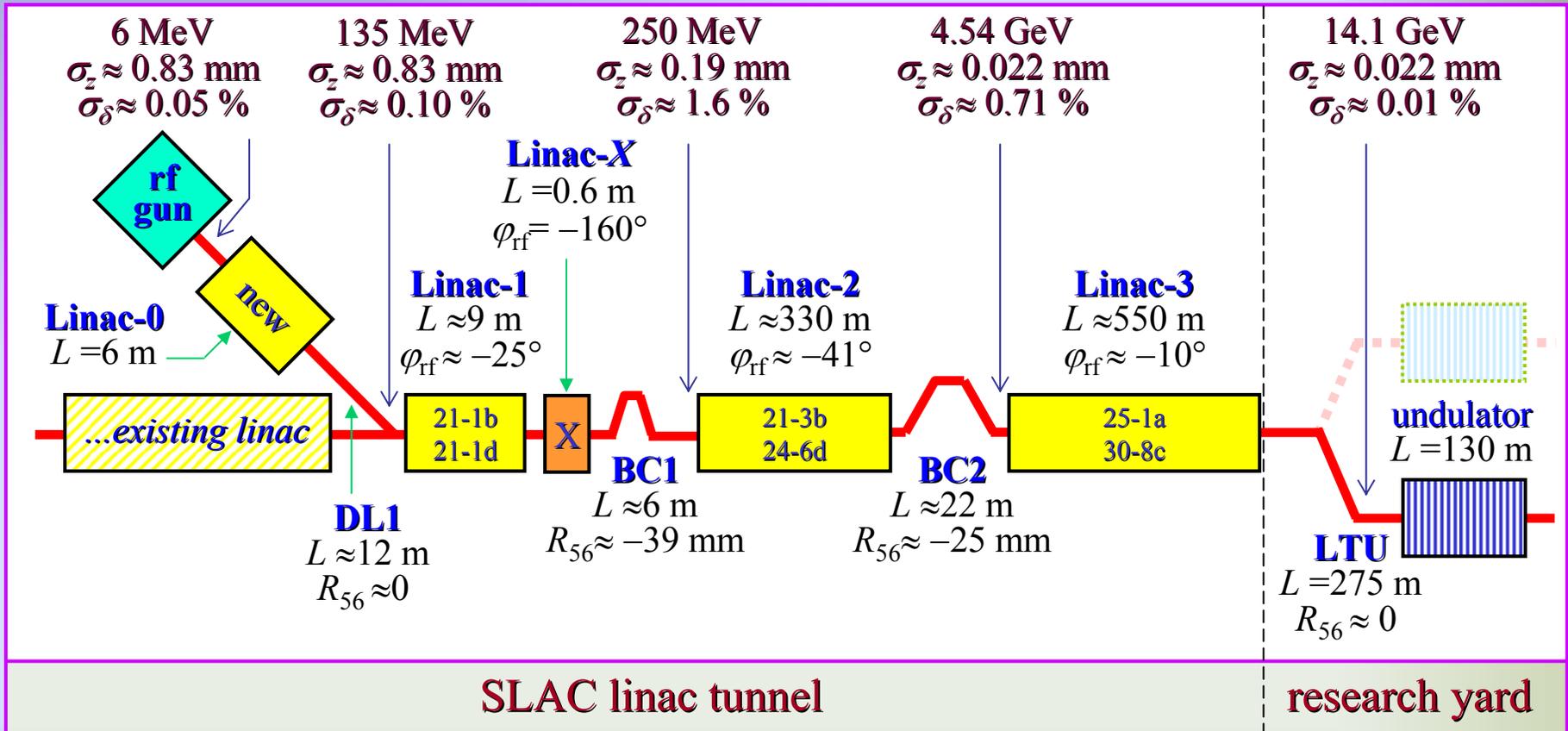
The LCLS  
(Linac Coherent Light Source)



10-97  
8360A1

X-FEL based on last 1-km of existing SLAC linac

# LCLS Accelerator and Compressor Schematic



(Apr. 15, 2003)

## Wakes and Impedances

- consider a particle, moving at speed  $c$  through a structure, that is followed by a test particle at distance  $s$ ; Wake  $W(s)$  is voltage **loss** (per structure or per period) experienced by the test particle;  $W(s) = 0$  for  $s < 0$ .

- bunch wake is voltage **gain** for a test particle in a distribution

$$W(s) = - \int_0^{\infty} W(s') \lambda_z(s - s') ds' .$$

average of minus bunch wake  $-\langle W \rangle$  is loss factor; energy spread increase  $\delta E_{\text{rms}} = eNL \langle W \rangle_{\text{rms}}$ , with  $eN$  charge,  $L$  length of structure (in periodic case).

- impedance

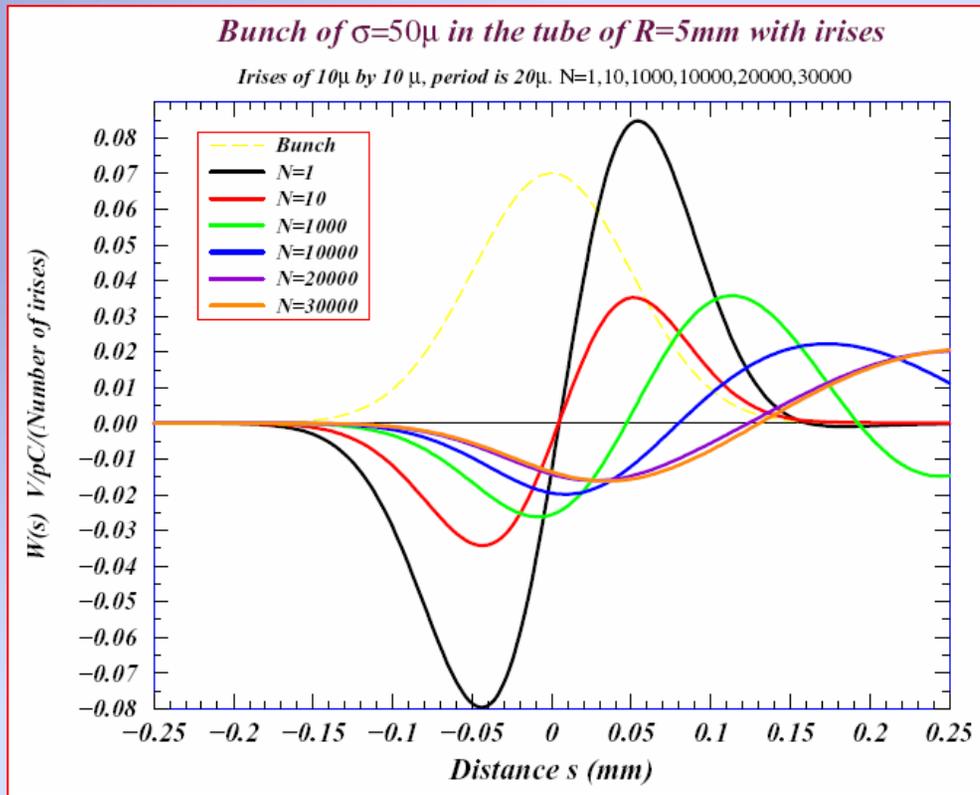
$$Z(k) = \int_0^{\infty} W(s) e^{iks} ds ,$$

- similar for transverse:  $W_x, Z_x$

## Considerations for Short Bunches

**catch-up distance:** wake is typically taken to act instantaneously. If head particle passes e.g. the beginning of a cavity, tail particle doesn't know it until  $z = a^2/2s$  ( $a$  beam pipe radius,  $s$  separation of particles) later. If  $a = 1\text{ cm}$  and  $s = 20\ \mu\text{m}$ , then  $z = 2.5\ \text{m}$ .

**transient region:** similarly, for periodic structures, there will be a transient regime before steady-state is reached; for Gaussian with length  $\sigma_z$ , transient will last until  $z \approx a^2/2\sigma_z$



Simulation of wake per period generated by a bunch in a tube with  $N$  small corrugations (A. Novokhatski).

**limiting value of wake:** for periodic, cylindrically symmetric structures whose closest approach to axis is  $a$ , the steady-state wakes have the property

$$W(0^+) = \frac{Z_0 c}{\pi a^2} \quad \text{and} \quad W'_x(0^+) = \frac{2Z_0 c}{\pi a^4},$$

with  $W'_x(0^+) = 0$ , where  $Z_0 = 377 \Omega$ .

— this is true for a resistive pipe, a disk-loaded accelerator structure, a pipe with small periodic corrugations, and a dielectric tube within a pipe; it appears to be a general property

— for very short bunches the longitudinal wake approaches a maximum, the transverse wake zero

**finite energy:** impedance drops sharply to 0 when  $k > \gamma/a$  ( $\gamma$  Lorentz energy factor); for  $\sigma_z < a/\gamma$ , replace  $\sigma_z$  by  $a/\gamma$  in wake formulas; if  $a = 1 \text{ cm}$ , energy  $E = 14 \text{ GeV}$ , this occurs when  $\sigma_z = 0.4 \mu\text{m}$ .

## A. Resistive Wall Wake

### • Asymptotic Form

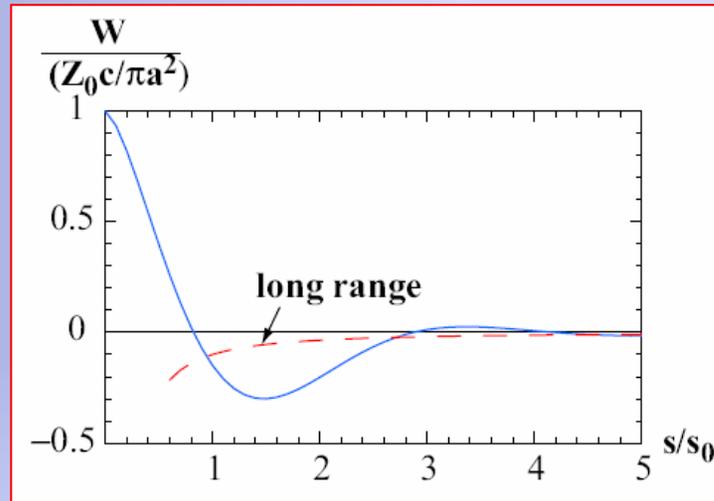
$$W(s) = -\frac{c}{4\pi^{3/2}a} \sqrt{\frac{Z_0}{\sigma}} \frac{1}{s^{3/2}},$$

valid provided  $s \gg s_0$ , with

$$s_0 = \left( \frac{2a^2}{Z_0\sigma} \right)^{\frac{1}{3}}$$

### • General Solution

$$W = \frac{4Z_0c}{\pi a^2} \left( \frac{e^{-s/s_0}}{3} \cos \frac{\sqrt{3}s}{s_0} - \frac{\sqrt{2}}{\pi} \int_0^\infty \frac{dx x^2 e^{-x^2 s/s_0}}{x^6 + 8} \right)$$



### Longitudinal resistive wall wake and long range asymptote

- for LCLS undulator, beam pipe is copper coated, with  $a = 3 \text{ mm} \Rightarrow s_0 = 9 \text{ } \mu\text{m}$ . For  $\sigma_z = 20 \text{ } \mu\text{m}$ , asymptote suffices
- for  $\sigma_z \lesssim 20 \text{ } \mu\text{m}$  other physics, e.g. frequency dependence of conductivity, room temperature anomalous skin effect; but condition on  $W(0^+)$  limits short bunch possibility

## B. Accelerator Structure Wake

- for short bunch ( $\sigma/a \ll 1$ ) passing through a single cavity

$$W(s) = \frac{Z_0 c}{\sqrt{2} \pi^2 a} \sqrt{\frac{g}{s}},$$

where  $g$  is gap; impedance varies  $Z \sim k^{-1/2}$

- for periodic structure with period  $p$ , high frequency impedance

$$Z(k) \approx \frac{iZ_0}{\pi k a^2} \left[ 1 + (1 + i) \frac{\alpha(g/p) p}{a} \left( \frac{\pi}{kg} \right)^{1/2} \right]^{-1},$$

with

$$\alpha(x) \approx 1 - 0.465\sqrt{x} - 0.070x$$

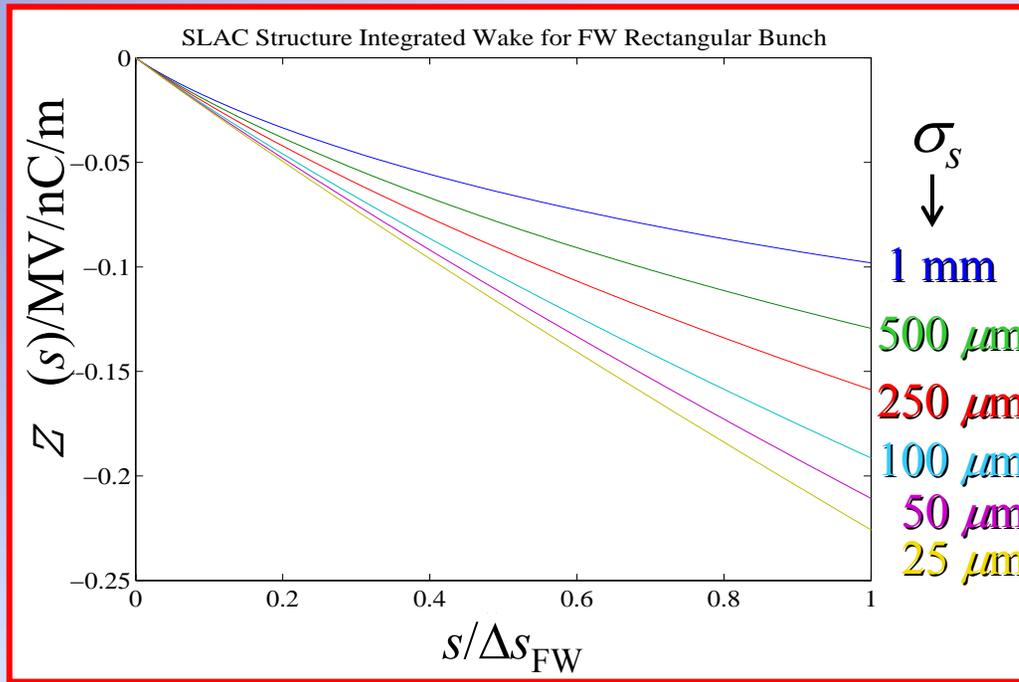
$$\text{Re}(Z) \sim k^{-3/2}$$

- numerical calculation of wake can be fit to (over useful parameter range)

$$W(s) = \frac{Z_0 c}{\pi a^2} \exp\left(-\sqrt{s/s_1}\right) \quad \text{with} \quad s_1 = 0.41 \frac{a^{1.8} g^{1.6}}{p^{2.4}} .$$

in SLAC linac,  $s_1=1.5$  mm

- for LCLS Linac-3,  $\sigma_z=20$   $\mu\text{m}$ ,  $W \sim$  constant; note transient regime  $z \sim a^2/2\sigma_z \sim 3.4$  m (small compared to 550 m)
- same has been done for transverse wake

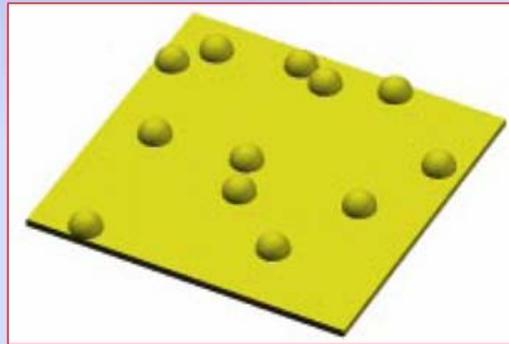


Bunch wake for a rectangular bunch distribution

## C. Roughness Impedance

A metallic beam pipe with a rough surface has an impedance that is enhanced at high frequencies. Two approaches to modeling are (i) random collection of bumps, (ii) small periodic corrugations

### (i) Random bumps



Impedance of one hemispherical bump (of radius  $h$ ) for  $k \ll 1/h$

$$Z(k) = ikc\mathcal{L}_1 = ik \frac{Z_0 h^3}{4\pi a^2},$$

[S. Kurennoy]

- for many bumps ( $\alpha$  filling factor,  $f$  form factor)

$$\mathcal{L}/L = \frac{2\alpha f a \mathcal{L}_1}{h^2} = \frac{\alpha f Z_0 h}{2\pi a c} ,$$

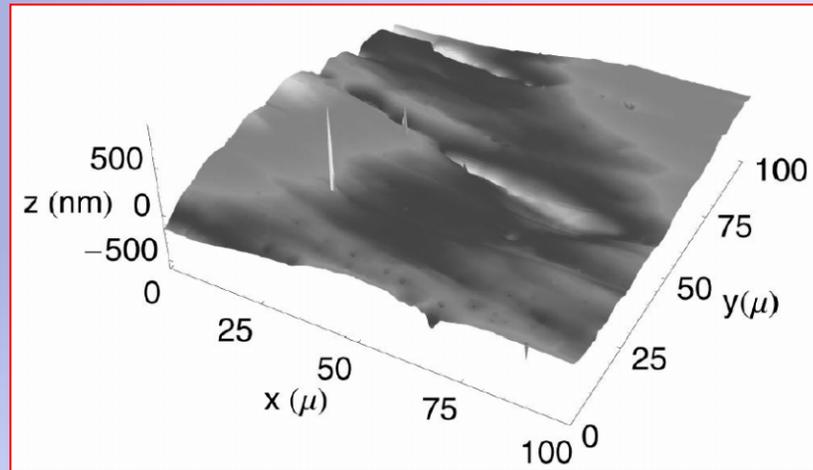
- idea has been systematized so that, from surface measurement, can find impedance:

$$\mathcal{L}/L = \frac{Z_0}{2\pi c a} \int_{-\infty}^{\infty} \frac{k_z^2}{\sqrt{k_\theta^2 + k_z^2}} S(k_z, k_\theta) dk_z dk_\theta ,$$

with  $S$  spectrum of surface,  $k_z$ ,  $k_\theta$ , longitudinal, azimuthal wave numbers

- bunch wake  $\sim \lambda_z'$ ; for Gaussian  $W_{\text{rms}} \approx 0.06 c^2 \mathcal{L}/L \sigma_z^2$ ; can't use model for rectangular or other non-smooth distribution

[K. Bane, et al; G. Stupakov]



Sample profile measured with atomic force microscope  
[from G. Stupakov, et al]

## (ii) Small periodic corrugations

motivation: numerical simulations of many randomly placed, small cavities on a pipe found that, in steady state, the short range wake is very similar to truly periodic case

- consider a beam pipe with small corrugations of height  $h$ , period  $p$ , and gap  $p/2$ . If  $h/p \gtrsim 1$ , wake

$$W(s) \approx \frac{Z_0 c}{\pi a^2} \cos k_0 s \quad \text{with} \quad k_0 = \frac{2}{\sqrt{ah}} .$$

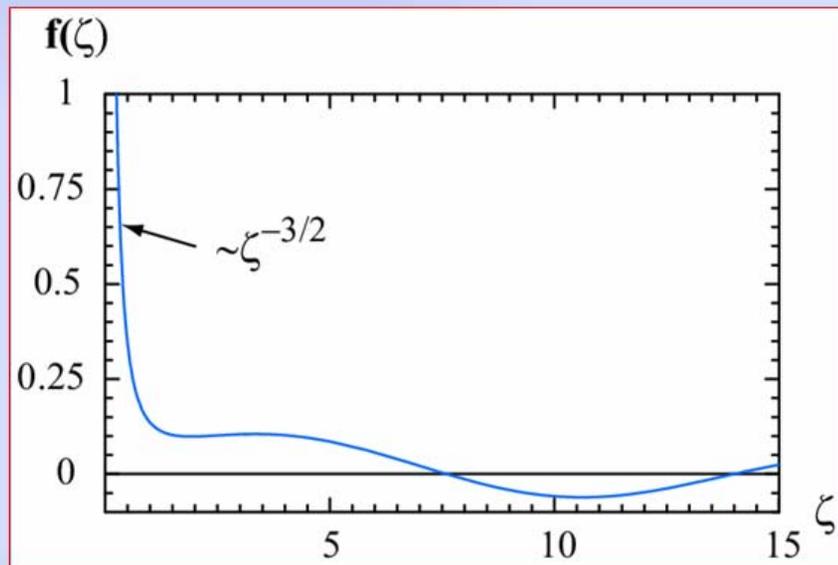
- for Gaussian, with  $k_0 \sigma_z \gg 1$ , becomes inductive with  $\mathcal{L}/L = Z_0 h / (4ac)$ , similar to earlier model
- can be used with non-smooth bunch distribution

[A. Novokhatski, et al; K. Bane and A. Novokhatski]

- as  $h/p$  becomes small, low frequency mode becomes many weak, closely spaced modes  $k \approx \pi/p$ ; for  $h/p \ll 1$  wake

$$W(s) = \frac{Z_0 c h^2 k_1^3}{4\pi a} f(k_1 s) , \quad f(\zeta) = -\frac{1}{2\sqrt{\pi}} \frac{\partial}{\partial \zeta} \frac{\cos(\zeta/2) + \sin(\zeta/2)}{\sqrt{\zeta}}$$

with  $k_1 = 2\pi/p$



[G. Stupakov]

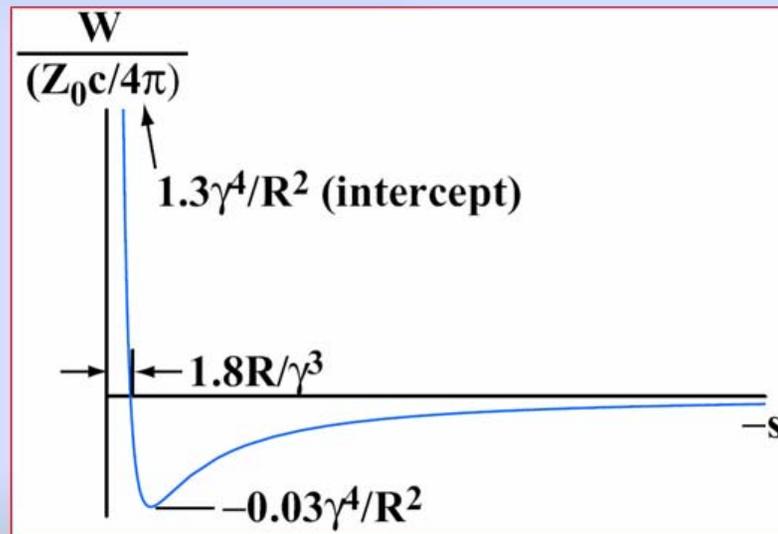
- for  $k_1 s \lesssim 1$  (but not too small):
  - $W \sim s^{-3/2}$ ; for bunch  $Z \sim \sigma_z^{-3/2}$
  - bunch wake weaker by  $h/p$  than single mode model
  - wake behaves like metal, with effective  $\sigma = 16/(Z_0 h^4 k_1^3)$
  
- for LCLS, if we assume (earlier displayed) measured surface profile is representative of undulator beam pipe ( $h \sim 0.5 \mu\text{m}$ ,  $p \sim 100 \mu\text{m}$ ) and  $\sigma_z = 20 \mu\text{m}$ , then this model applies, and
  - ⇒ roughness wake 0.15 as strong as resistive wall wake (with Cu)
  
- some measurements have been done (DESY, Brookhaven) but more needed

## D. CSR Wake

- CSR effect on bunch can be described in terms of wakefield. Consider ultra-relativistic particle (and test particle) moving on circle of radius  $R$  in free space. For  $(-s) \gg R/\gamma^3$

$$W(s) = -\frac{Z_0 c}{2 \cdot 3^{4/3} \pi R^{2/3} (-s)^{4/3}} \quad s < 0 ,$$

while  $W(0^-) = Z_0 c \gamma^4 / (3\pi R^2)$



- unlike normal wake, only nonzero when test particle is ahead of exciting charge ( $s < 0$ )

- for a bunch wake scales  $\sim R^{-2/3} \sigma_z^{-4/3}$

- impedance

$$Z(k) = \frac{Z_0}{2 \cdot 3^{1/3} \pi} \Gamma\left(\frac{2}{3}\right) e^{i\pi/6} \frac{k^{1/3}}{R^{2/3}},$$

with  $\Gamma(2/3) = 1.35$ ; valid to high frequencies ( $k \sim \gamma^3/R$ )

- shielded by beam pipe if  $\sigma_z/a \gtrsim (a/R)^{1/2}$ ; for BC2 of LCLS  $\sigma_z = 20 \mu\text{m}$ ,  $a = 1\text{cm}$ ,  $R = 15\text{ m} \Rightarrow$  bunch is 13 times too short for shielding

- on entering a bend, the distance of transient wakes is  $z \approx (24R^2\sigma_z)^{1/3}$ ; for above example transient  $z = 0.5\text{ m}$

- Chicane compressors are composed of 3 or 4 bends separated by drifts. One can consider the potential energy change (the “compression work”) that beam undergoes in being compressed. If compression factor is large (assuming Gaussian bunch) this is equivalent to an average kinetic energy change

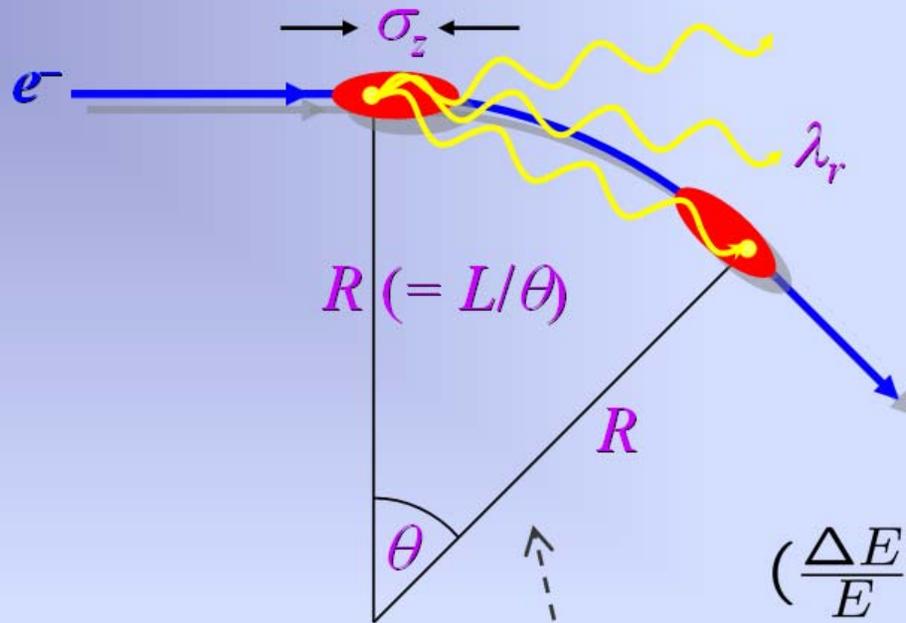
$$\langle \delta E \rangle = -\frac{eN Z_0 c}{4\pi^{3/2} \sigma_z} \ln \left( \frac{\gamma \sigma_z}{\sigma_x + \sigma_y} \right),$$

where beam sizes are final quantities, and the rms spread  $\delta E_{\text{rms}} \approx -0.4 \langle \delta E \rangle$

- to simulate CSR force in a chicane, computer programs slice the beam into macro-particles, and solve the Lienard-Wiechert potentials
- bunch can have transverse dimensions, shielding can be added, can be self-consistent; the programs typically are time consuming to run.
- analytical solutions of 1D wake of particle entering, traversing, and leaving a bend without shielding have been derived (includes transients); when used in a 1D tracking program, they are quick to calculate and seem to agree reasonably well for typical parameters

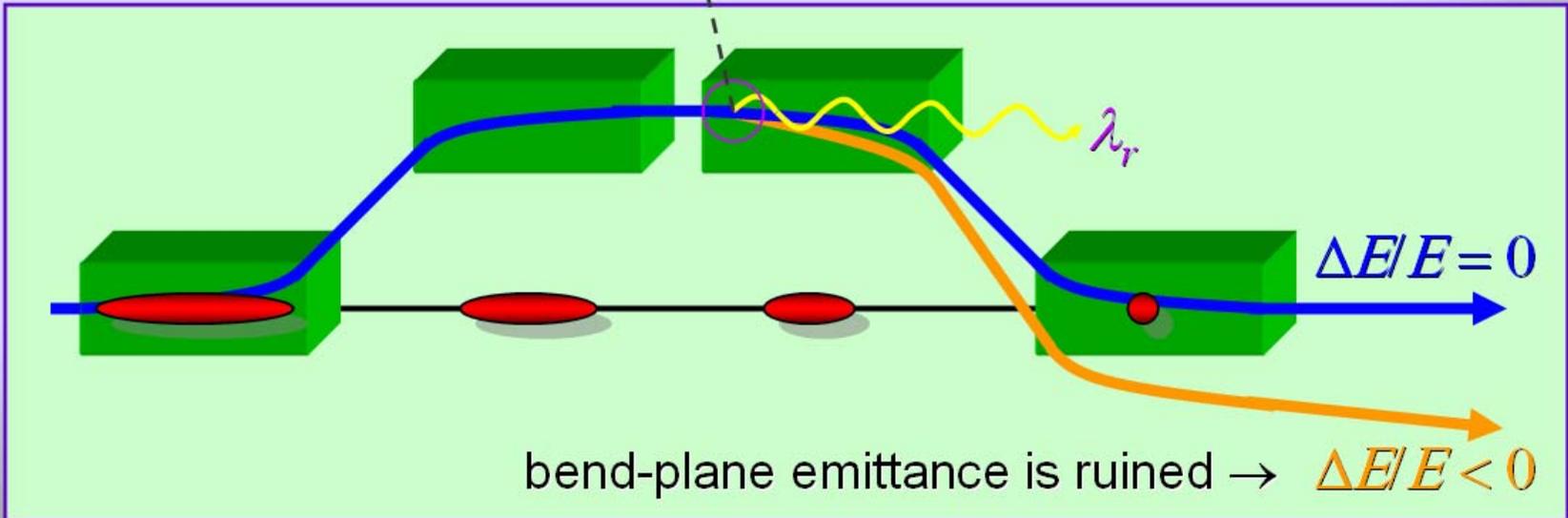
[E. Saldin, et al]

# Coherent Synchrotron Radiation in Bends



Coherent radiation for  $\lambda_r > \sigma_z$

$$\left(\frac{\Delta E}{E}\right)_{rms} \approx 0.22 \frac{r_e N L}{\gamma R^{2/3} \sigma_z^{4/3}}$$



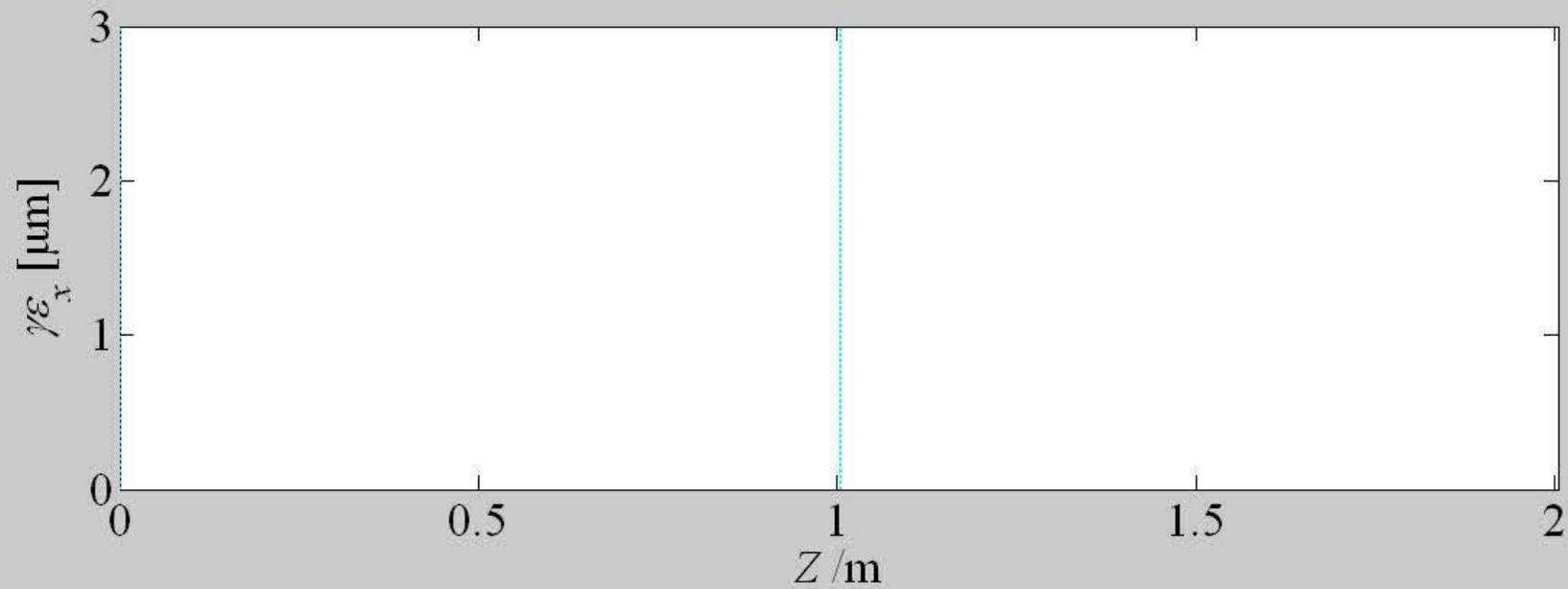
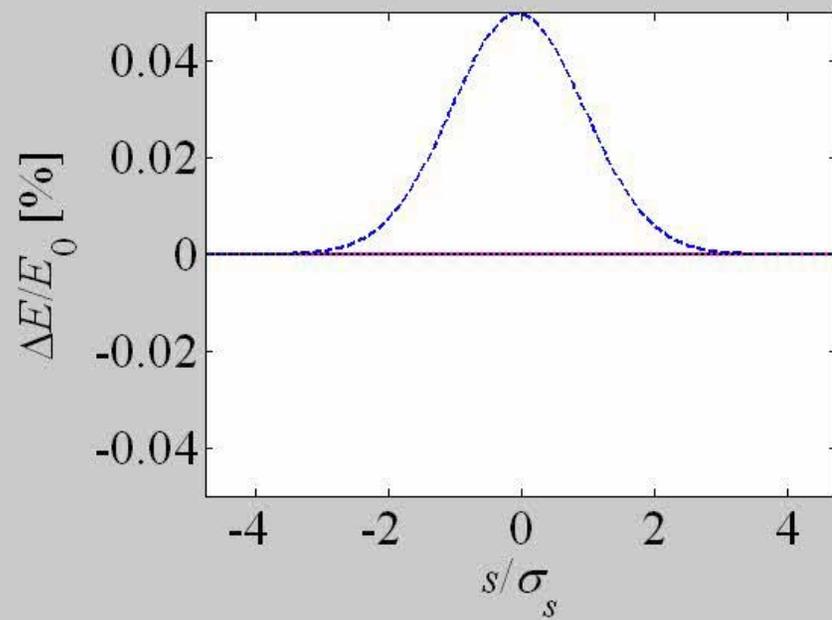
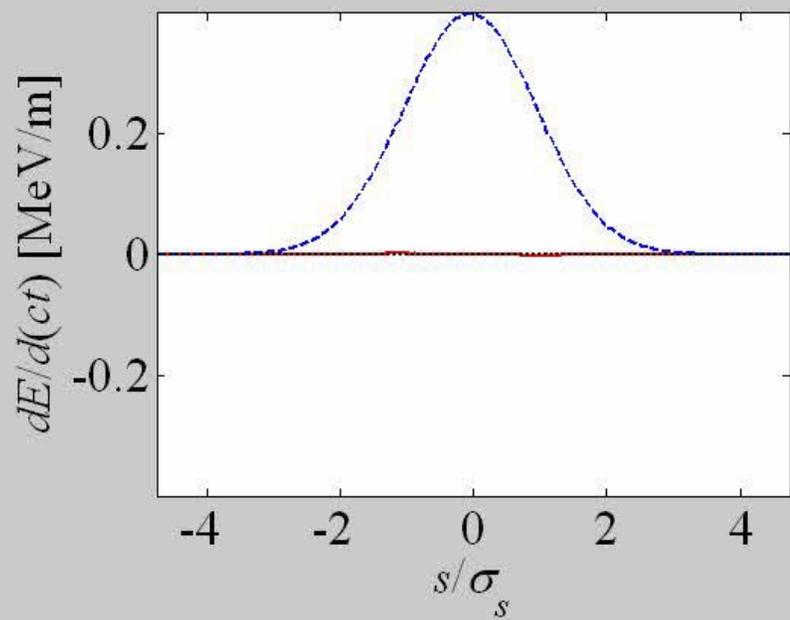


TABLE V. List of benchmarked codes and of the beam parameters at the end of the chicane. We have indicated with  $\delta E$  the relative energy loss and with  $\delta\sigma_E$  the change in the relative energy spread.

Dimension	Code Name	$\delta E$ (%)	$\delta\sigma_E$ (%)	$\varepsilon$
3D	TRAFIC4	-0.058	-0.002	1.4
3D	TREDI	-0.041	0.017	2.3
2D	Program by Li	-0.056	-0.006	1.32
1D line charge	ELEGANT	-0.045	-0.0043	1.55
1D line charge	CSR_CALC (Emma)	-0.043	-0.004	1.52
1D line charge	Program by Dohlus	-0.045	-0.011	1.62

Comparison of results from different CSR programs for the so-called Berlin benchmark chicane (from report of L. Giannessi).

- potential energy formula gets  $\langle\delta E\rangle/E = -0.051\%$ ;  $\delta E_{\text{rms}}/E = 0.020\%$

[A. Kabel, et al; L. Giannessi; R. Li; M. Borland; P. Emma; M. Dohlus]

# Emittance Control

## Slice emittance:

in the NLC the **projected** emittance is most important; in LCLS **slice** emittance (emittance over slippage length) is most important (in LCLS,  $0.5 \mu\text{m}$  vs.  $\sigma_z = 20 \mu\text{m}$ ); wakes only weakly affect slice emittance directly

a compressor, in principle, can couple head-tail effects into slice emittance [see poster MOPKF81, A. Kabel, P. Emma]

forces that can affect slice emittance directly are e.g. space charge, incoherent synchrotron radiation, intra-beam scattering

## LCLS example

consider wake effects in LCLS BC-2, Linac-3, undulator:  $eN = 1$  nC, bunch shape uniform with  $\sigma_z = 20$   $\mu\text{m}$ ; before Linac-3,  $E = 4.5$  GeV, after  $E = 14$  GeV; length of Linac-3,  $L = 550$  m, of undulator,  $L = 130$  m.

### Linac-3

— effect of transverse wake:  $W_x \approx 2Z_0cs/(\pi a^4)$ ; due to betatron oscillation  $\delta\varepsilon/\varepsilon \approx \nu^2/2$  with  $\nu = e^2NLZ_x\beta/(2E) = 0.06 \Rightarrow \delta\varepsilon/\varepsilon$  is insignificant

— longitudinal wake is used to take out residual chirp after BC-2:  $W \approx Z_0c/(\pi a^2)$ ; induced chirp is almost linear with  $\delta E_{\text{rms}}/E = e^2NZ_{\text{rms}}L/E = 0.3\%$ .

## undulator

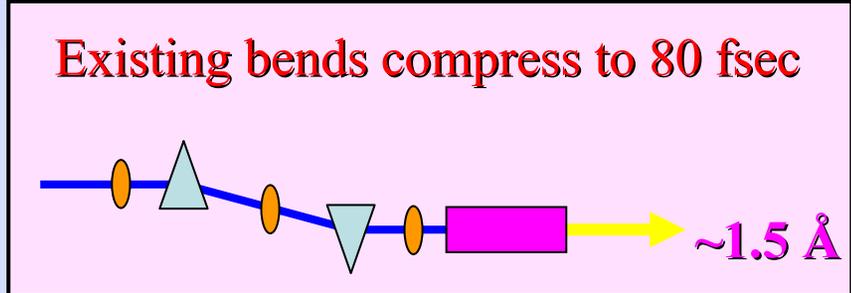
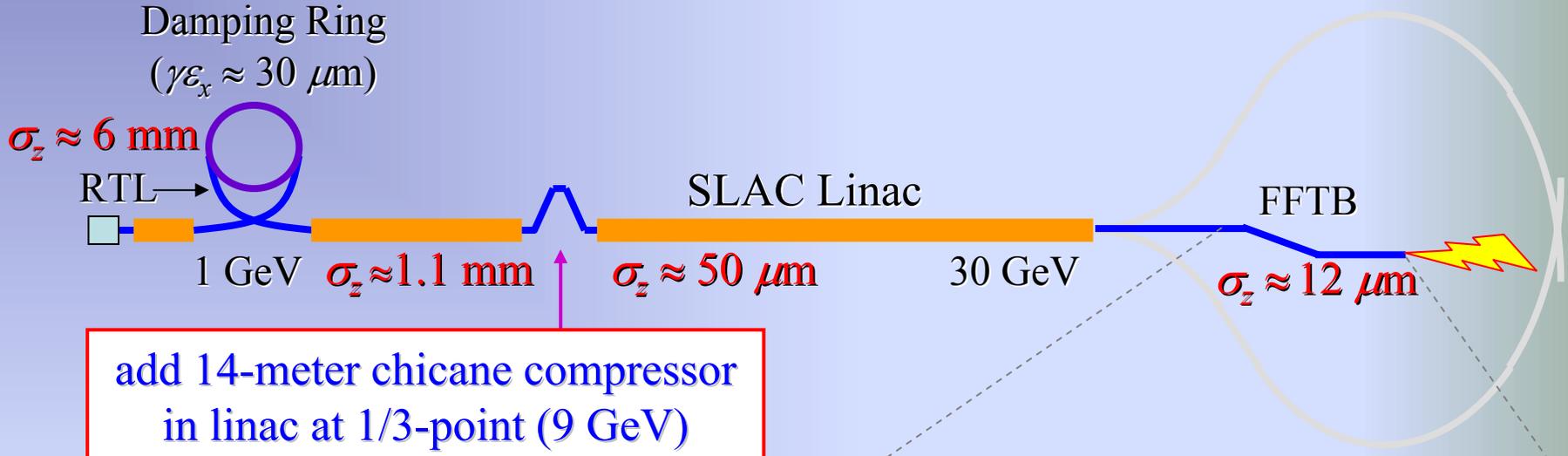
resistive wall wake dominates over roughness wake, and  $\delta E_{\text{rms}}/E = e^2 N Z_{\text{rms}} L / E = 0.05\%$ ; needs to be less than Pierce parameter  $\rho = 5 \times 10^{-4}$ ;  
 $\Rightarrow$  near limit of acceptability

## compressor BC-2

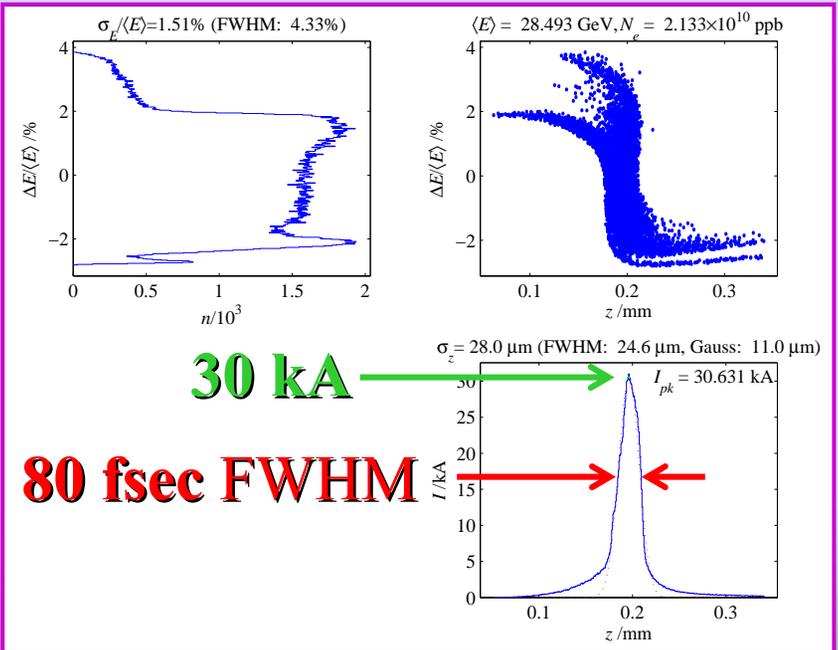
1D simulation (with Gaussian) yields  $\delta E_{\text{rms}}/E = 0.018\%$ , leading to  $\delta\varepsilon/\varepsilon = 38\%$ ; potential energy equation yields  $\delta E_{\text{rms}}/E = 0.016\%$

- microbunch instability driven by CSR or longitudinal space charge impedance is an important “short bunch” effect in the LCLS
- emittance control can also mean increasing emittance, e.g. using a laser to heat the beam to suppress a longitudinal space charge induced microbunch instability; using a thin beryllium, slotted foil in the middle of BC-2 to spoil emittance of most particles, in order to shorten the light pulse

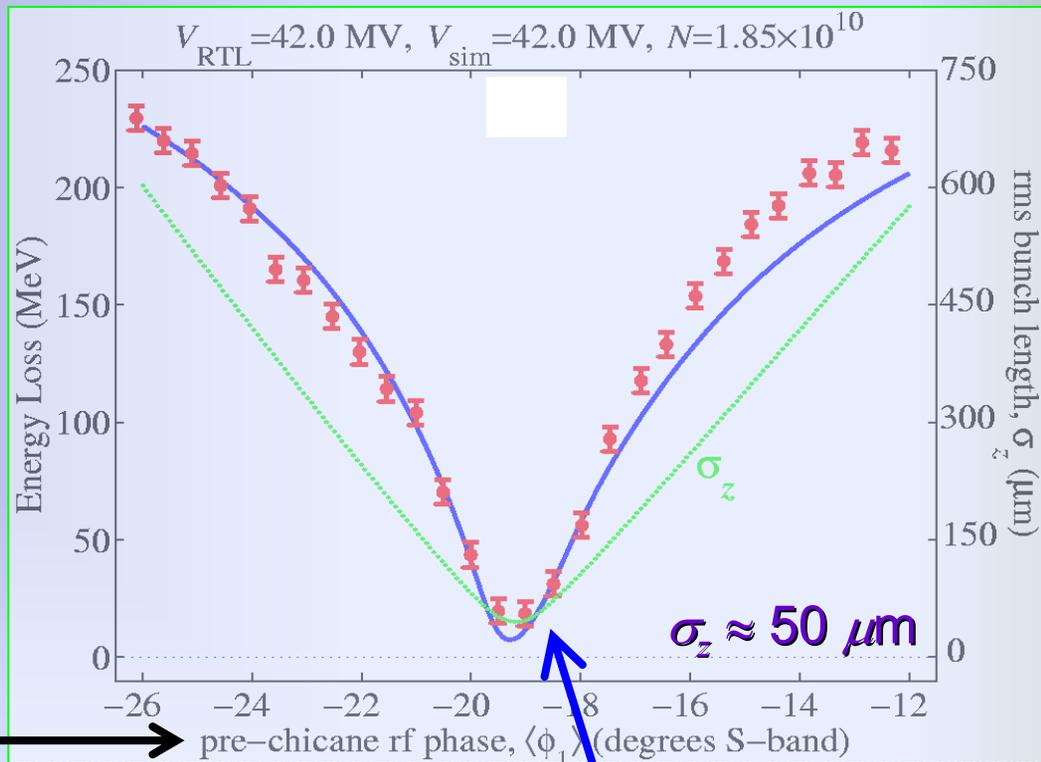
# Short Bunch Generation in the SLAC Linac



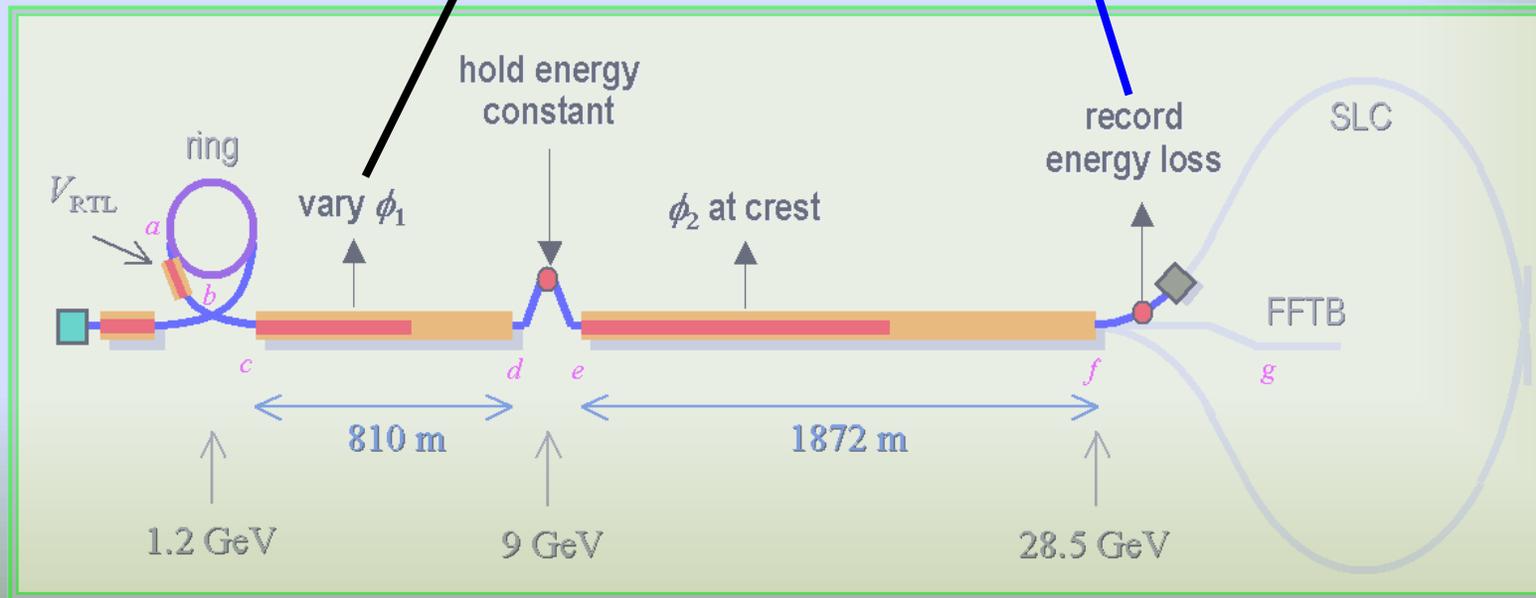
compression by factor of 500



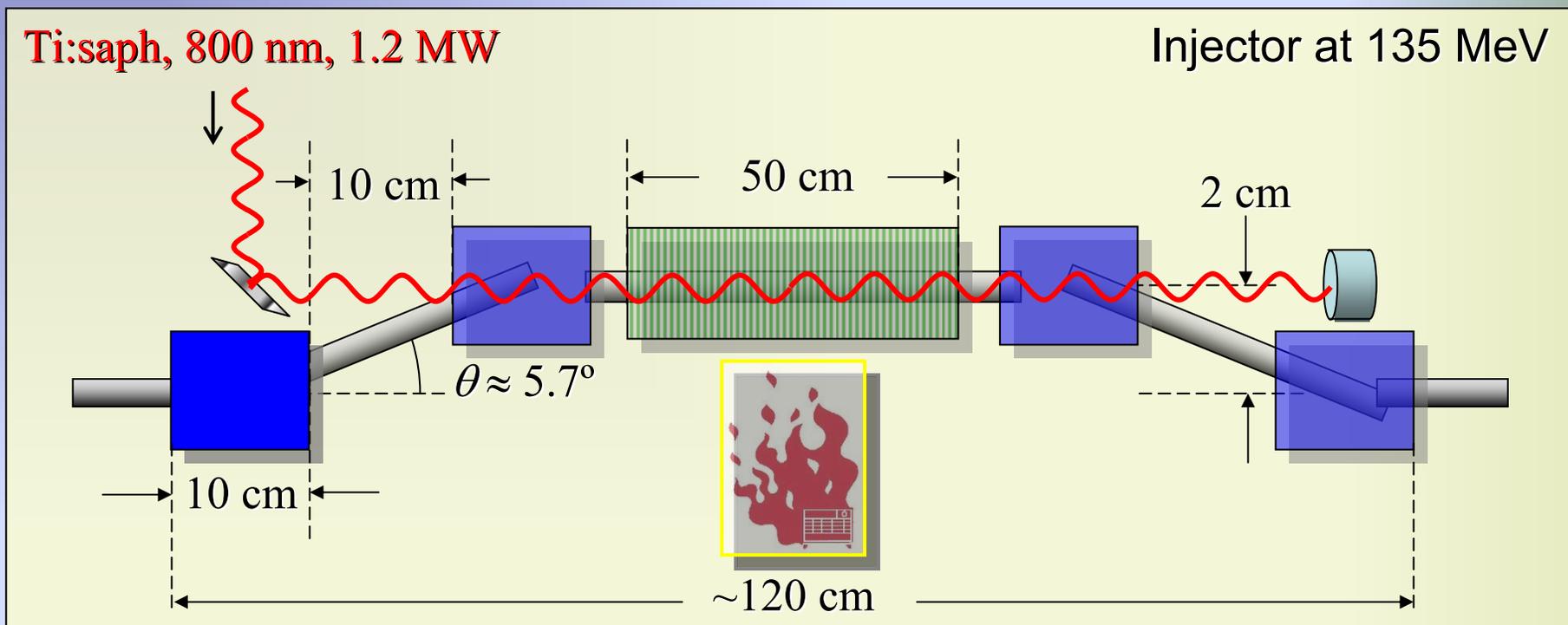
# Wakefield energy-loss used to set and confirm minimum bunch length



K. Bane *et al.*, PAC'03

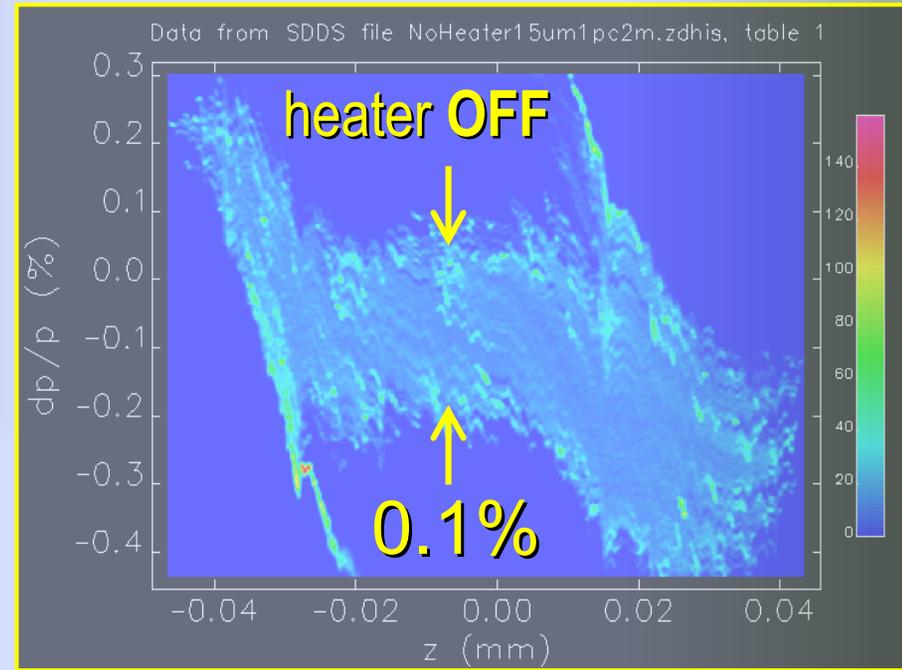
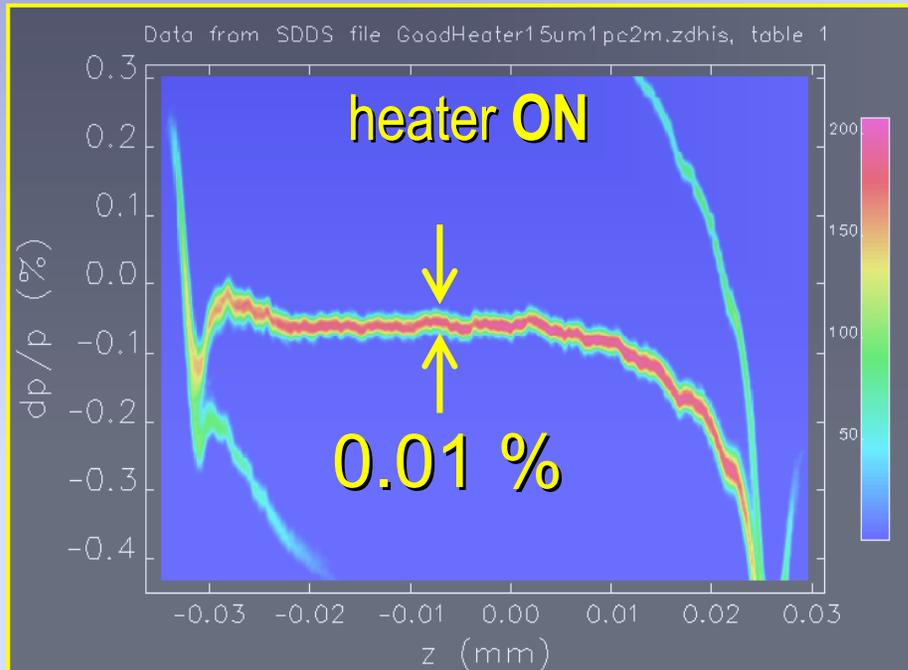


# 'Laser Heater' in LCLS for Landau Damping



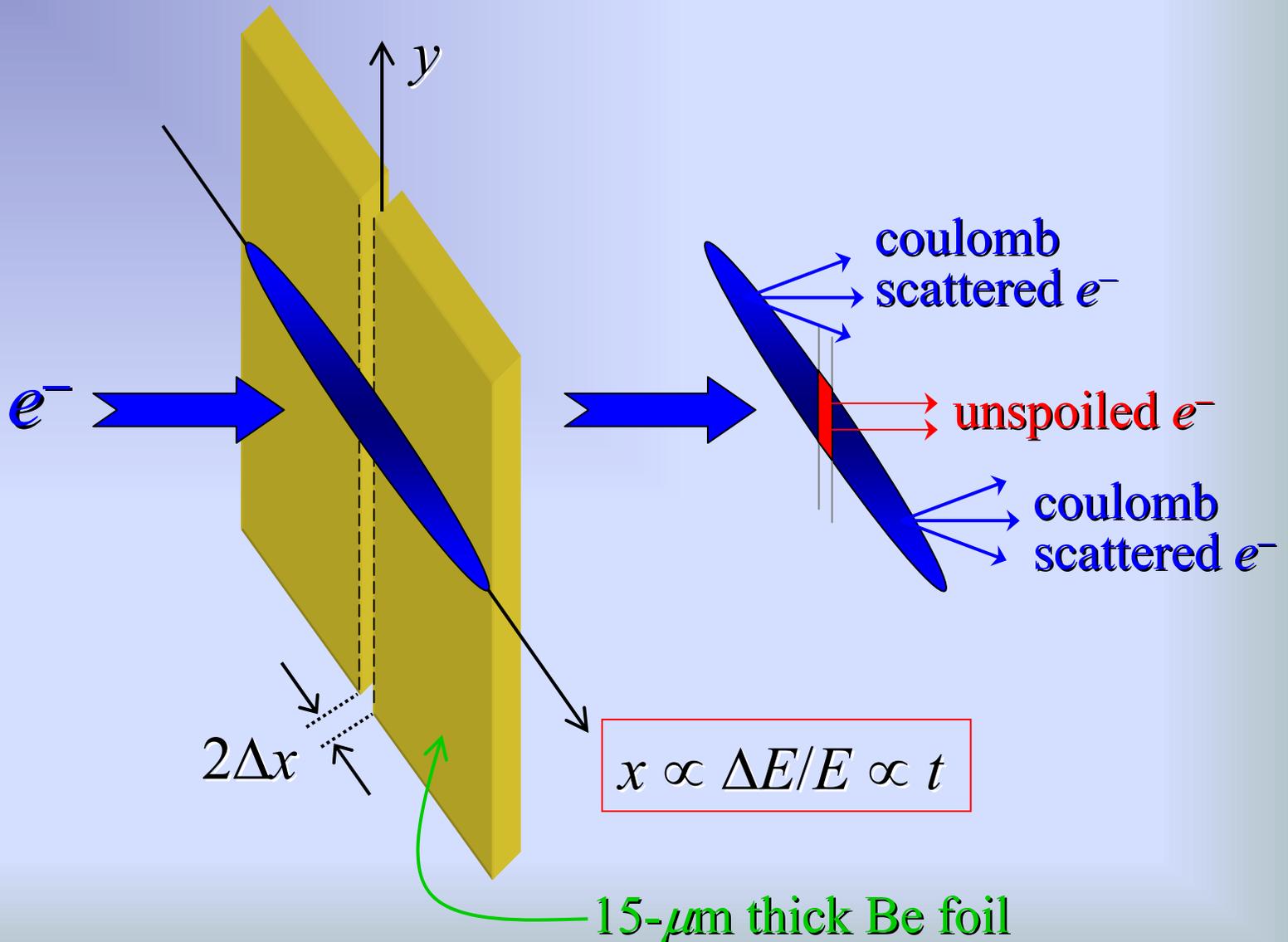
- Laser- $e^-$  interaction induces 800-nm energy modulation  
 $\Rightarrow$  40 keV rms
- Heater in weak chicane for time-coordinate smearing
- Energy spread in next compressors smears  $\mu$ -bunching

# In *LCLS* tracking, final energy spread blows up without ‘Laser-Heater’



Final longitudinal phase space at 14 GeV  
for initial 15- $\mu\text{m}$ , 1% modulation at 135 MeV

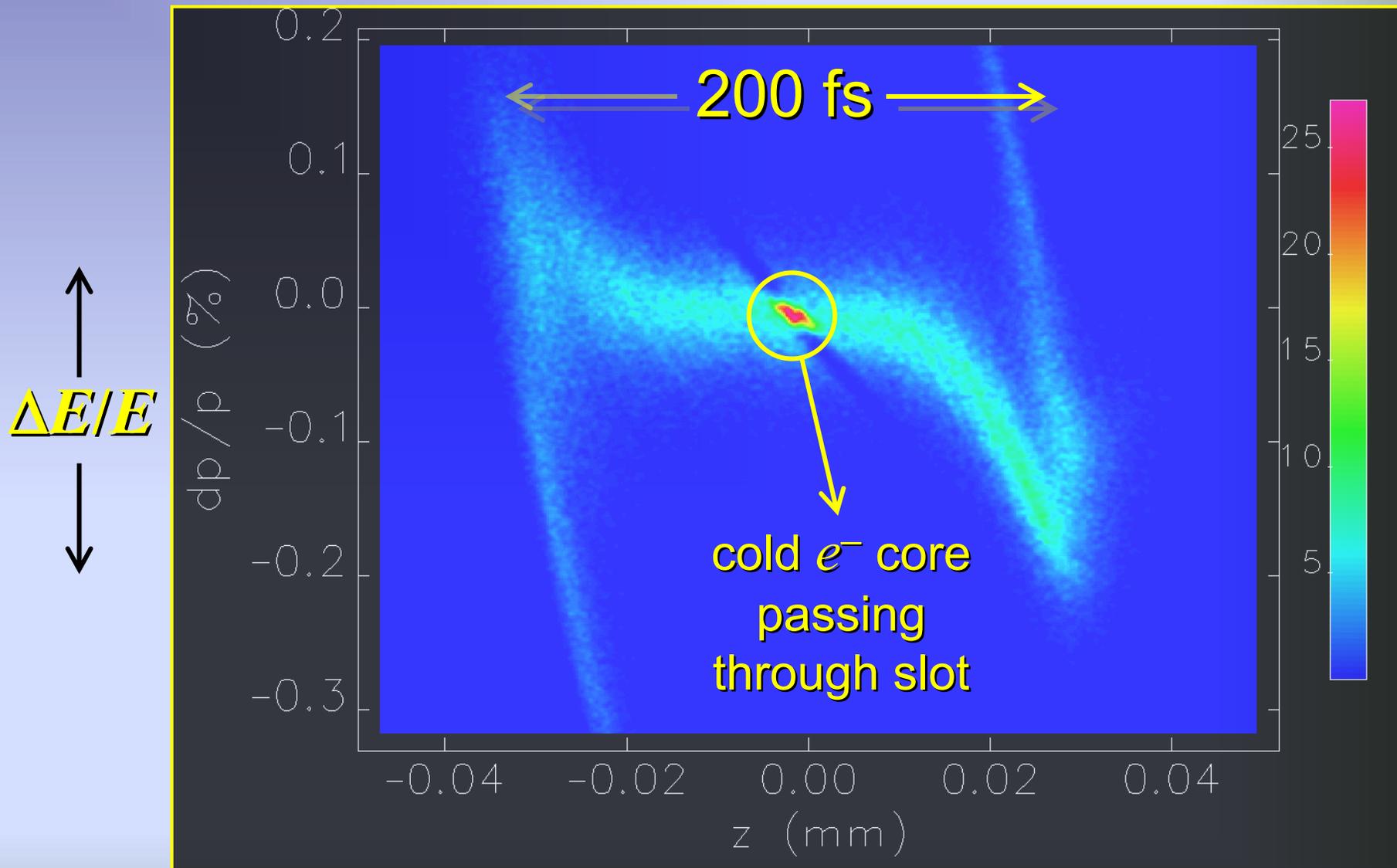
# Add thin slotted foil in center of chicane



*PRL* **92**, 074801 (2004).

P. Emma, M. Cornacchia, K. Bane, Z. Huang, H. Schlarb, G. Stupakov, D. Walz (SLAC)

# Track 200k macro-particles through entire LCLS up to 14.3 GeV



No design changes to FEL – only foil added in chicane