ON-LINE SPECTRAL MONITORING OF THE VUV FEL BEAM AT DESY

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Abstract
A stigmatic spectrometer for the 2.5-40 nm EUV region has been realized. The design consists of a grazing-incidence spherical variable-line-spaced grating with flat-field properties and of a spherical mirror mounted in the Kirkpatrick-Baez configuration that compensates for the astigmatism. The spectrum is acquired on a fluorescent screen optically coupled to an intensified CCD detector, that can be moved along the spectral focal curve to select the spectral region to be acquired. The spectral and spatial resolution of the system have been characterized by using the emission from an hollow-cathode lamp or a laser-produced plasma. At present, the instrument is installed at the VUV-FEL at DESY for the spectral monitoring of the FEL beam in the 20-45 nm region.

INTRODUCTION

The FEL at the TESLA Test Facility (TTF) at DESY achieved first self amplified spontaneous emission (SASE) in the vacuum-ultraviolet in early 2000 [1] and it reached gain up to saturation between 80–120 nm in 2001 [2]. During an on-going upgrade which will be completed at the end of 2004, the VUV-FEL at DESY is being transformed into the worldwide first FEL user facility for vacuum-ultraviolet (VUV) and soft X-ray radiation from 100 to 6 nm wavelength.

Fig. 1 shows the layout of the linear accelerator. The complete accelerator is currently newly constructed to finally reach energies of up to 1 GeV [3]. A new photoinjector/bunch compression concept is used, and the gun has reached a minimum normalised emittance of 1.5 and 1.7 π mm mrad in the vertical and horizontal plane respectively. In addition, a special collimator system has been designed to protect the undulators, the electron beam focusing along the undulator has been changed, and improved diagnostics have been developed both for the electron and the photon beam. A short accelerator section with third-harmonic cavities to linearize the energy chirp along the electron bunch, which is required for optimum bunch compression, as well as the 6th accelerator module will not be installed into the injector until 2006.

The expected parameters of the VUV-FEL are summarized in Tab. 1 [7]. It is developed into a full user facility with five experimental stations using the FEL beam alternately [3]. Three experimental stations use the direct SASE FEL beam and are equipped with focusing mirrors providing spot sizes of approximately 100 or 10 µm. Two experimental stations for experiments requiring a spectral bandwidth narrower than the natural FEL bandwidth are served by a high resolution plane grating.
monochromator, that has a resolution of 80000-10000 while providing a wide tuning range from 10 eV to 1 keV. Alternatively to the monochromator beamlines, the spectral distribution of individual FEL pulses will be determined online by a variable-line-spacing (VLS) grating spectrometer serving the three “SASE beamlines” [8]. One of the plane mirrors in the FEL beam distribution system will be replaced by the VLS grating which reflects most of the radiation in zeroth order to the experiment and disperses only a small fraction in first order for spectral analysis.

The grazing-incidence stigmatic spectrometer that will be used to measure the single-shot spectral structure of the FEL pulses in the 20-46 nm spectral region is presented here. The instrument was designed and realized by the INFN-LUXOR laboratory (Padova, Italy) for absorption spectroscopy on laser-produced plasmas in the 5-45 nm spectral region [9]. The main system requirements are high spatial and spectral resolution and a plane focal curve with the detector used at almost normal incidence, such as in the case of using grazing-incidence spherical variable-line-spaced (SVLS) gratings [10]. The design consists of a SVLS grating with flat-field properties and of a spherical mirror mounted in the Kirkpatrick-Baez configuration that compensates for the astigmatism. The spectrum is acquired on a fluorescent screen coupled to an intensified CCD detector, that can be moved along the spectral focal curve to select the spectral region to be acquired. The spectral and spatial resolution of the system have been characterized by using the emission from an hollow-cathode lamp.

**SPECTROMETER DESIGN AND REALIZATION**

The design principle of the SVLS grating for flat-field spectrographs is already well established [10] and will be here briefly resumed. The groove density along the grating surface is expressed as

$$\sigma(y) = \sigma_0 \left(1 + \frac{b_2}{R} y + \frac{b_3}{R^2} y^2 + \frac{b_4}{R^3} y^3\right)$$  \hspace{1cm} (1)$$

where $\sigma_0$ is the central groove density, $R$ is the grating radius and $b_2$, $b_3$, $b_4$ are the ruling parameters for space variation. The main aberration to be corrected is the spectral defocusing, which increases linearly with the width of the illuminated area. The spectral focal curve, that is the curve where the spectral defocusing zeroes, is given by

$$\frac{\cos^2\alpha}{r} + \frac{\cos^2\beta}{r'} - \frac{\cos\alpha + \cos\beta}{R} + 2\left(\sin\alpha + \sin\beta\right) = 0$$  \hspace{1cm} (2)$$

where $r$, $r'$ are respectively the grating entrance and exit arms, and $\alpha$ and $\beta$ are the incidence and diffraction angles, which are related to the grating equation

$$\sin\alpha - \sin\beta = m\lambda\sigma_0.$$  \hspace{1cm} (3)$$

To minimize the defocusing on the detector, it is necessary to make the focal curve given by Eq. (2) as close as possible to the detector surface in the spectral range of interest, by acting on the parameters $R$ and $b_2$.

Similarly it can be shown that the parameters $b_3$ and $b_4$ can be chosen to minimize coma and spherical aberration.

The main difference between the grazing-incidence SVLS design and the classical grazing-incidence Rowland configuration with uniform-line-spaced gratings is that the focal surface as given by Eq. (2) is almost flat with the detector operated at near normal incidence. The astigmatism in the plane perpendicular to the plane of spectral dispersion is corrected by a spherical mirror mounted with its tangential plane coincident with the equatorial plane of the grating, i.e. a Kirkpatrick-Baez configuration in which one of the two elements is a grating [11]. The mirror does not provide any focusing in the plane of spectral dispersion, leaving unchanged the grating focal properties given by Eq. (2) that determine the spectral resolution. On the other side, the grating does not provide any spatial focusing, that is in a plane perpendicular to the plane of dispersion, so the spatial performances are determined only by the characteristics of the mirror. The radius of the mirror $R_m$ is determined as

$$R_m = \frac{2}{\cos \theta_m} \left(\frac{1}{p_m} + \frac{1}{q_m}\right)^{-1}$$  \hspace{1cm} (4)$$

where $p_m$ and $q_m$ are respectively the mirror entrance and exit arms and $\theta_m$ is the incidence angle.

It can be shown [9] that such a configuration has also spectral and spatial resolution capability for extended sources (e.g. a laser-produced plasma), due to the Kirkpatrick-Baez configuration that maintains separated the spectral and spatial focal properties on two different optical components. The spectral resolution is constant also for off-axis points, while the spatial resolution decreases with the off-axis distance. In case of a 2 mm source size, the spatial resolution with 5 mrad acceptance angle and a mirror operated at $87^\circ$ is $25$ $\mu$m on-axis and $130$ $\mu$m at the extremes of the field-of-view (i.e. $\pm 1$ mm off-axis distance). In other stigmatic designs with spherical or plane VLS gratings, the astigmatism is corrected by an additional mirror mounted on the same plane as the grating [12, 13]; in this case, the spectral and spatial focusing properties are coupled on the mirror and both spectral and spatial resolutions decrease for extended sources far from the optical axis.

The layout of the configuration is shown in Fig. 2. The central groove density of the SVLS gratings is 1200 lines/mm; the parameters for groove space variation have been optimized to have an almost flat focal surface in the 10-40 nm spectral region. The calculated focal curve is shown in Fig. 3: it is almost perpendicular to the tangent to the grating on its vertex. Given the low accepted angular aperture of the grazing-incidence system (5 mrad in the spectral plane) and the detector pixel size (20-25 $\mu$m), the depth of focus for this system is considered about 5 mm.
The parameters of the spectrometer are resumed in Tab. 2.

Tab. 2. Parameters of the spectrometer.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accepted aperture</td>
<td>5 mrad (spectral plane)</td>
</tr>
<tr>
<td></td>
<td>10 mrad (spatial plane)</td>
</tr>
<tr>
<td>Spherical mirror</td>
<td></td>
</tr>
<tr>
<td>Entrance/exit arms</td>
<td>400 mm / 1000 mm</td>
</tr>
<tr>
<td>Incidence angle</td>
<td>87.5°</td>
</tr>
<tr>
<td>Coating</td>
<td>Gold</td>
</tr>
<tr>
<td>SVLS gratings</td>
<td></td>
</tr>
<tr>
<td>Central groove density</td>
<td>1200 gr/mm</td>
</tr>
<tr>
<td>Spectral range</td>
<td>5-50 nm</td>
</tr>
<tr>
<td>Entrance/exit arms</td>
<td>650 mm / 750 mm</td>
</tr>
<tr>
<td>Incidence angle</td>
<td>87°</td>
</tr>
<tr>
<td>Coating</td>
<td>Platinum</td>
</tr>
<tr>
<td>Detector</td>
<td></td>
</tr>
<tr>
<td>Phosphor screen diameter</td>
<td>25 mm</td>
</tr>
<tr>
<td>Objectives</td>
<td>50 mm f/1.0</td>
</tr>
<tr>
<td></td>
<td>105 mm f/1.8</td>
</tr>
<tr>
<td>Intensified CCD camera</td>
<td>MCP and cooled CCD</td>
</tr>
<tr>
<td>Detector format</td>
<td>1280 pixel × 1024 pixel</td>
</tr>
</tbody>
</table>

The spectrometer was aligned and calibrated in the 24-46 nm spectral region using as source an hollow-cathode lamp. The measured performance are very close to the theoretical predictions: the spectral lines have FWHM of about two pixels. Being the optics aligned at the best, the detector was positioned on the straight line fitting at the best the spectral focal curve. The spectral region of operation is selected by moving the detector along this straight line. An absolute encoder gives the relative translation of the detector with respect to a reference point.

The system calibration is divided in two steps: 1) the measurement of the detector scale factor and 2) the wavelength calibration.

The scale factor (µm/pixel) expresses the size of the phosphor screen imaged in one CCD pixel: it has been measured as 8.0 µm/pixel.

The wavelength calibration is performed by measuring the position of the focal plane with respect to the grating vertex. The parameters that allow to identify the detector plane (i.e. the straight line where the detector is moved) are calculated by acquiring some known spectra from the hollow-cathode source together with the encoder measurements on the detector position, and then applying a fitting procedure. The residual calibration errors are less than 0.03 nm and are mainly due to the intrinsical precision of the encoder.
The actual spectral dispersion is shown in Fig. 5 assuming 32 µm pixel size (i.e. a binning factor of 4 on the CCD). The resolution, calculated as the ratio $\lambda/\Delta \lambda_{2\mathrm{px}}$ with $\Delta \lambda_{2\mathrm{px}}$ evaluated within two pixels, is 1500@30 nm and 1900@45 nm, definitely higher than the expected FWHM of the FEL emission.

Some calibrated spectra are finally shown in Fig. 6. At present, the instrument is installed at the VUV-FEL at DESY for the spectral monitoring of the FEL beam in the 20–45 nm region.

REFERENCES


Fig. 5. Actual spectral dispersion. The pixel size is assumed 32 µm.

Fig. 6. Spectra acquired with the hollow-cathode lamp. The plots are horizontal cross-sections across the CCD image. a) He spectrum (HeII 30.4 nm line); b) Ne spectrum (NeII 44.62, 44.66, 46.07 and 46.24 nm lines).