



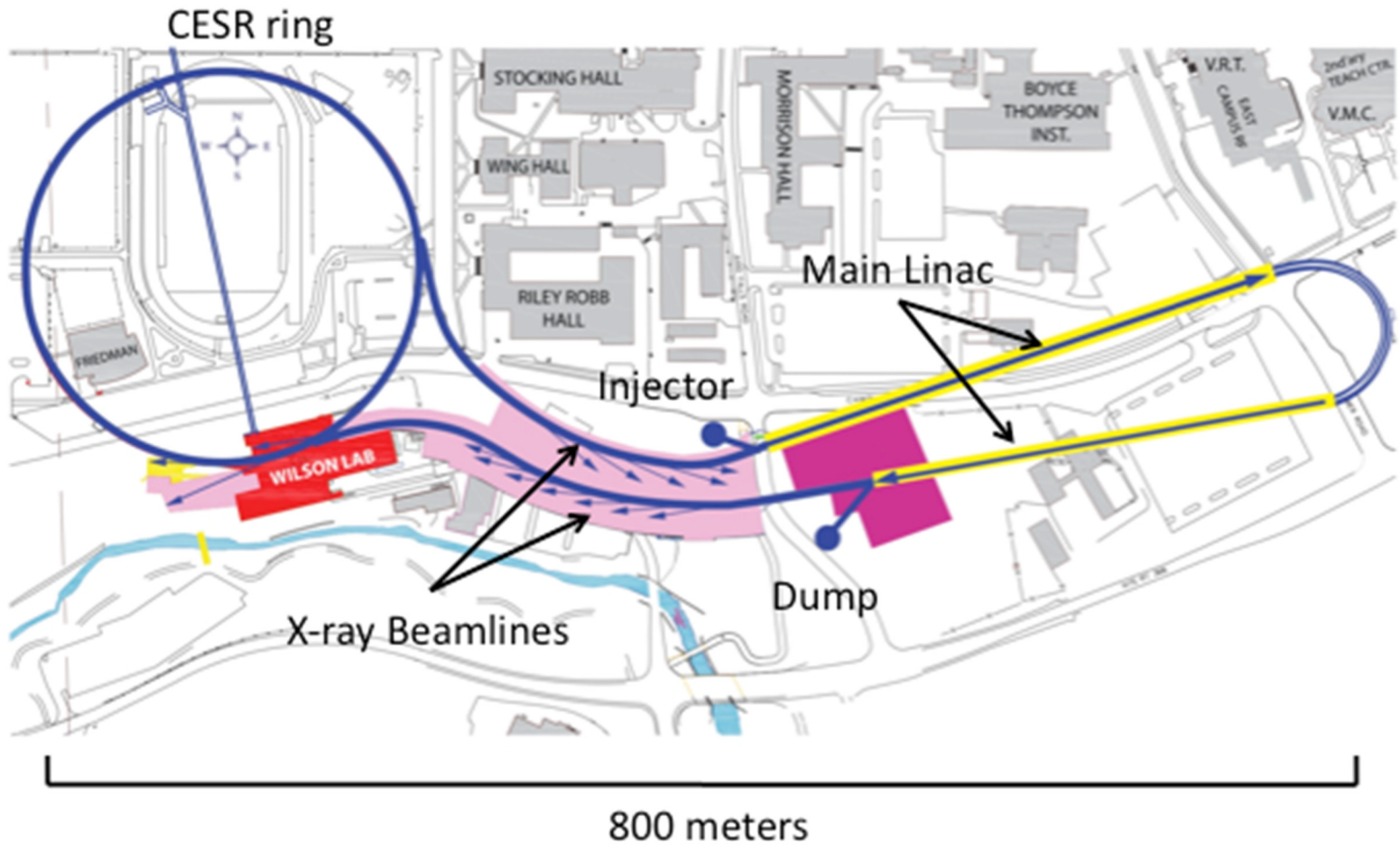
# Low Emittance Measurements in the Cornell ERL Injector

Colwyn Gulliford

For the Cornell University ERL Team

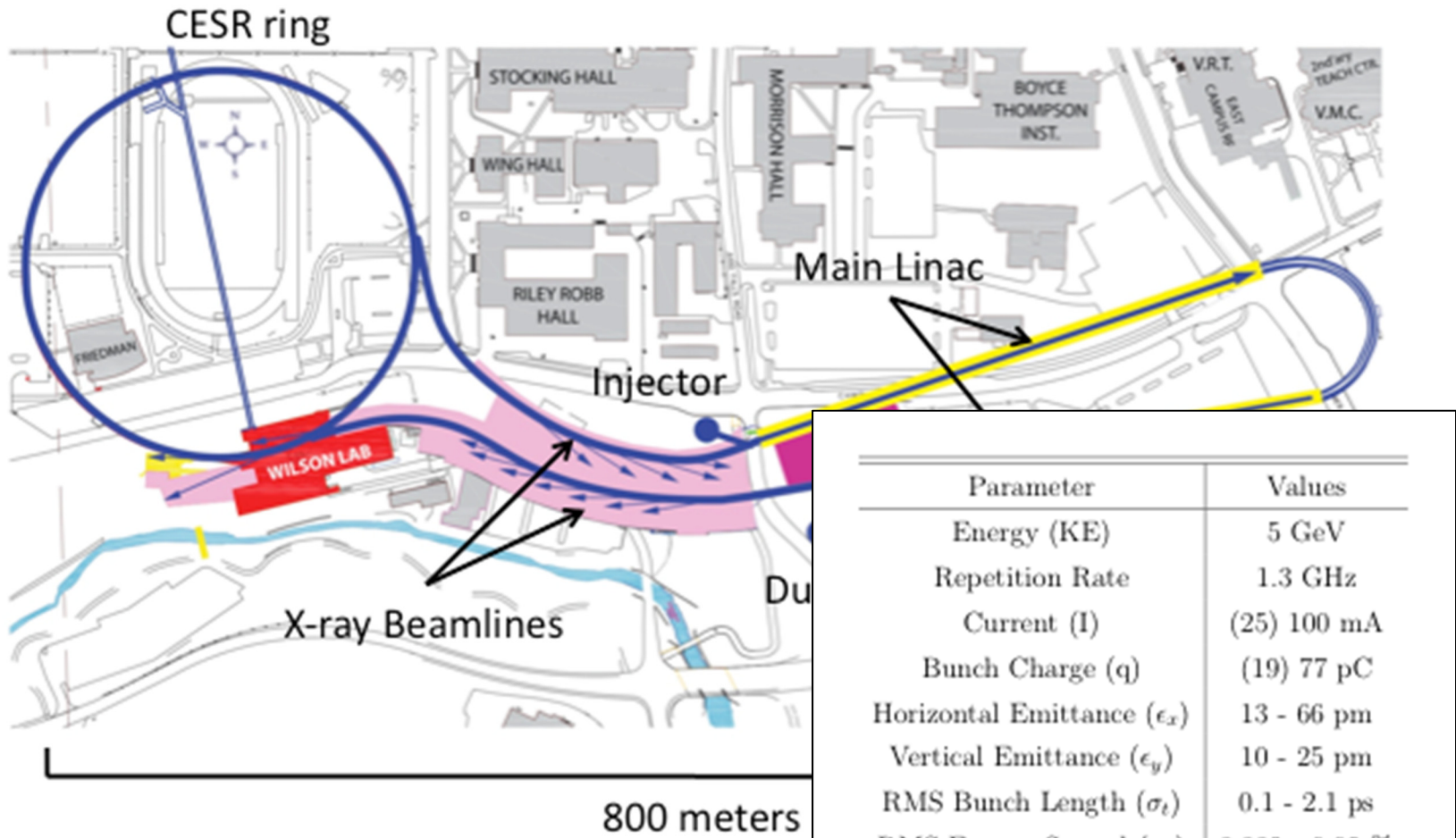


# The Cornell ERL





# The Cornell ERL



Parameter	Values
Energy (KE)	5 GeV
Repetition Rate	1.3 GHz
Current (I)	(25) 100 mA
Bunch Charge (q)	(19) 77 pC
Horizontal Emittance ( $\epsilon_x$ )	13 - 66 pm
Vertical Emittance ( $\epsilon_y$ )	10 - 25 pm
RMS Bunch Length ( $\sigma_t$ )	0.1 - 2.1 ps
RMS Energy Spread ( $\sigma_\delta$ )	0.009 - 0.09 %

Requires a state-of-the-art electron source!



## Parameter Specifications for the Injector

Cornell 5 GeV ERL X-ray Facility

Parameter	Values
Energy (KE)	5 GeV
Repetition Rate	1.3 GHz
Current (I)	(25) 100 mA
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Horizontal Emittance ( $\epsilon_x$ )	13 - 66 pm
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RMS Bunch Length ( $\sigma_t$ )	0.1 - 2.1 ps
RMS Energy Spread ( $\sigma_\delta$ )	0.009 - 0.09 %

Cornell DC Photoinjector

Parameter	Specification
Energy (E)	5 - 15 MeV
Repetition Rate	1.3 GHz
Current (I)	(25) 100 mA
Bunch Charge (q)	(19) 77 pC
Norm. Emittance ( $\epsilon_n$ )	$\leq 0.3 \mu\text{m}$
RMS Bunch Length ( $\sigma_t$ )	$\leq 3 \text{ ps}$
RMS Energy Spread ( $\sigma_\delta$ )	$\sim 10^{-3}$



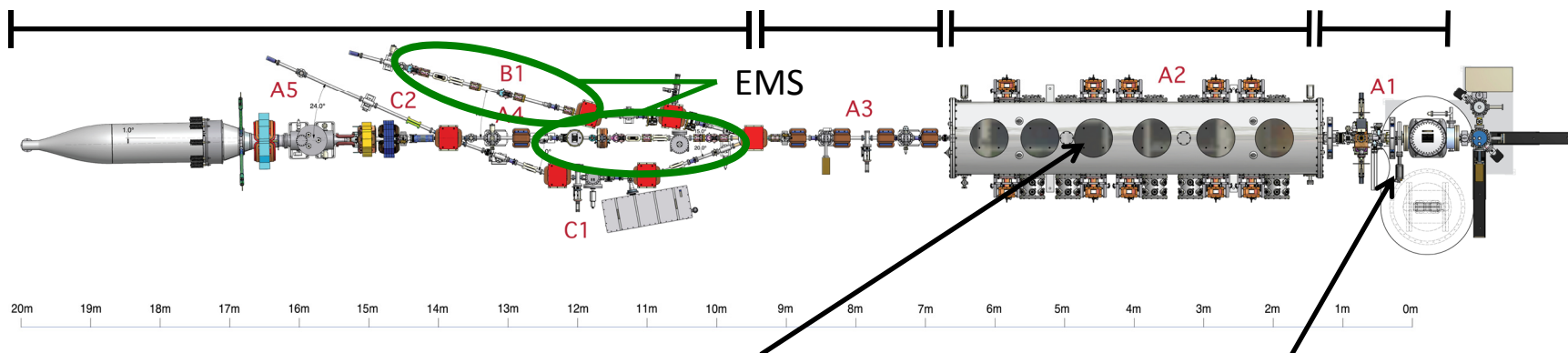
# The Cornell ERL Injector

6 Dimensional Phase Space Diagnostics  
+ Merger Section + High Power Beam Dump

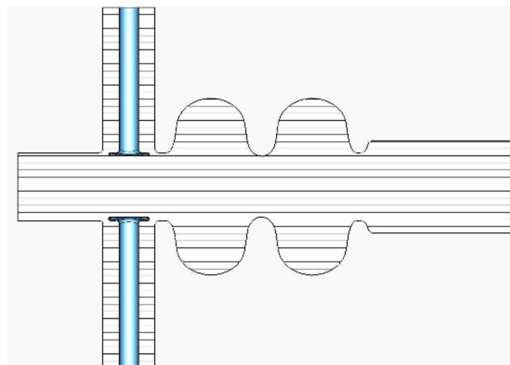
Focusing

Main Acceleration  
5 – 15 MeV

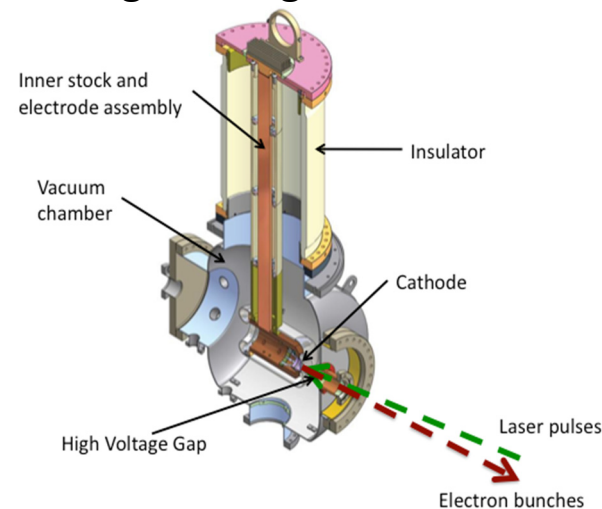
Source (350 keV) +  
Bunching/Focusing



Superconducting  
RF Cavities (niobium)



High Voltage DC Gun







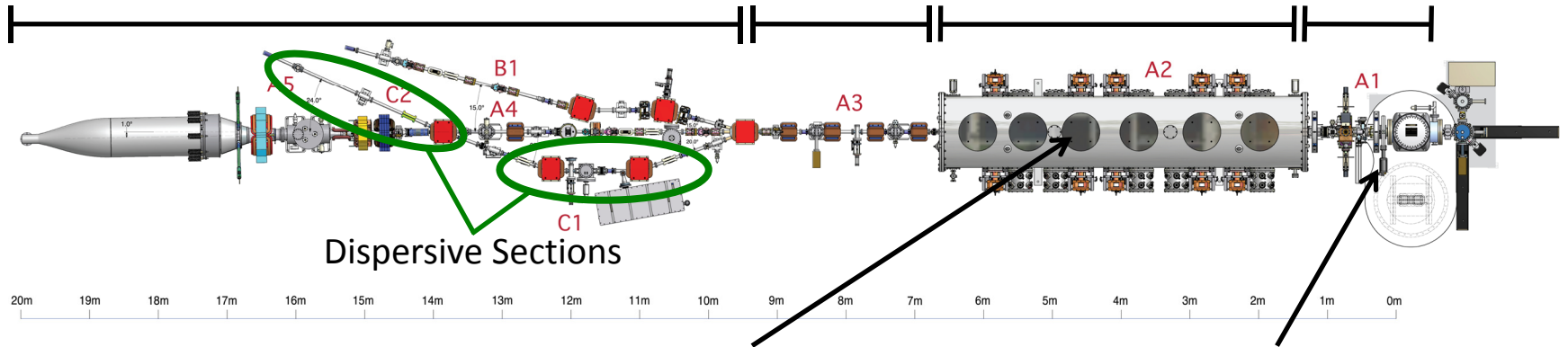
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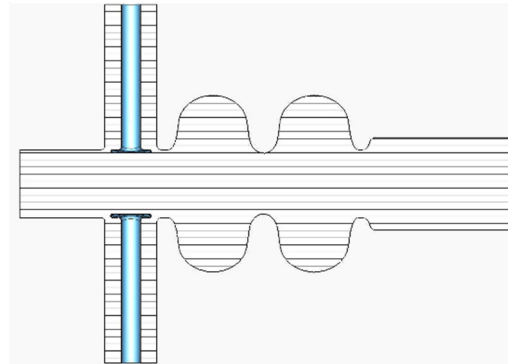
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Source (350 keV) +  
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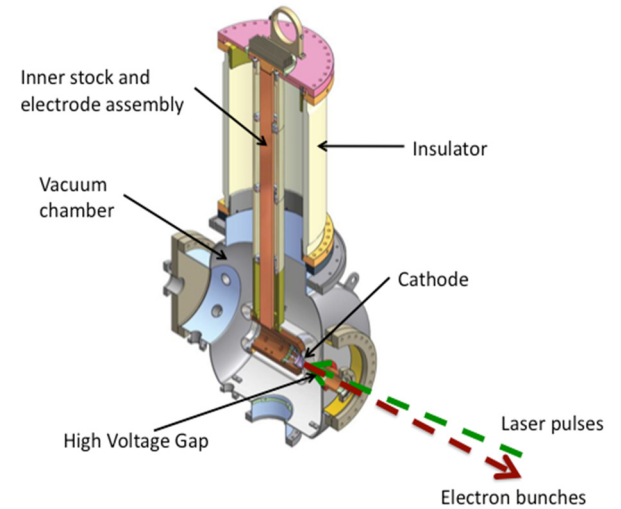


Dispersive Sections

Superconducting  
RF Cavities (niobium)



High Voltage DC Gun





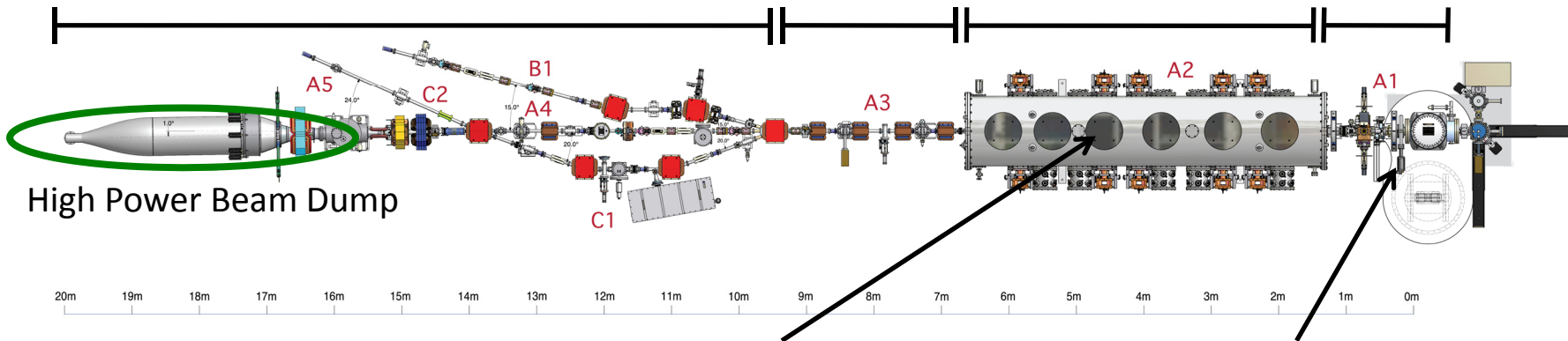
# The Cornell ERL Injector

6 Dimensional Phase Space Diagnostics  
+ Merger Section + High Power Beam Dump

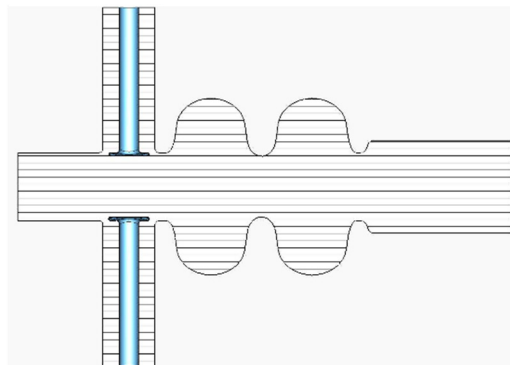
Focusing

Main Acceleration  
5 – 15 MeV

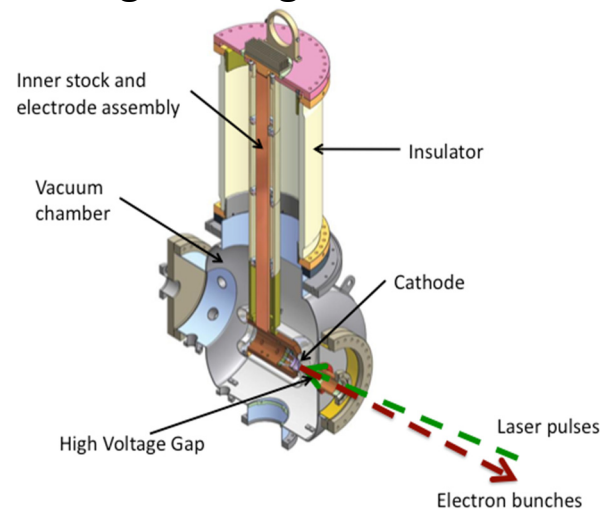
Source (350 keV) +  
Bunching/Focusing



Superconducting  
RF Cavities (niobium)



High Voltage DC Gun





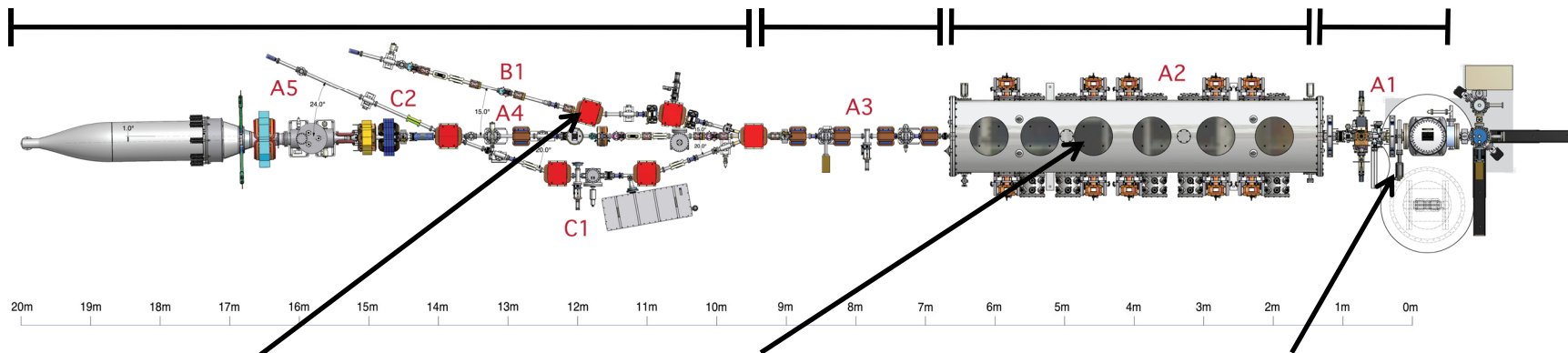
# The Cornell ERL Injector

6 Dimensional Phase Space Diagnostics  
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Focusing

Main Acceleration  
5 – 15 MeV

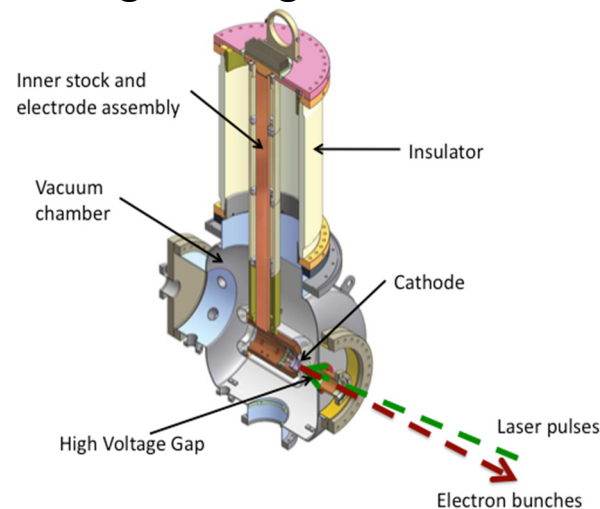
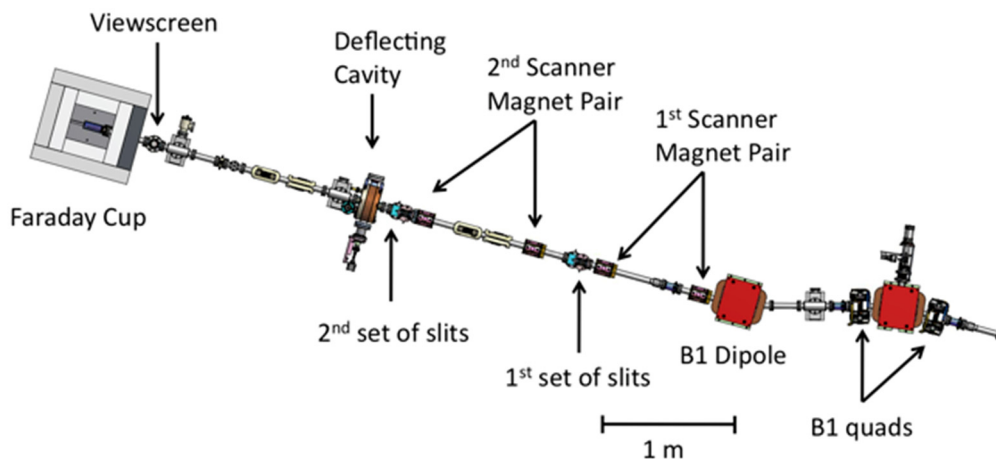
Source (350 keV) +  
Bunching/Focusing



B1 Merger Section

Superconducting  
RF Cavities (niobium)

High Voltage DC Gun





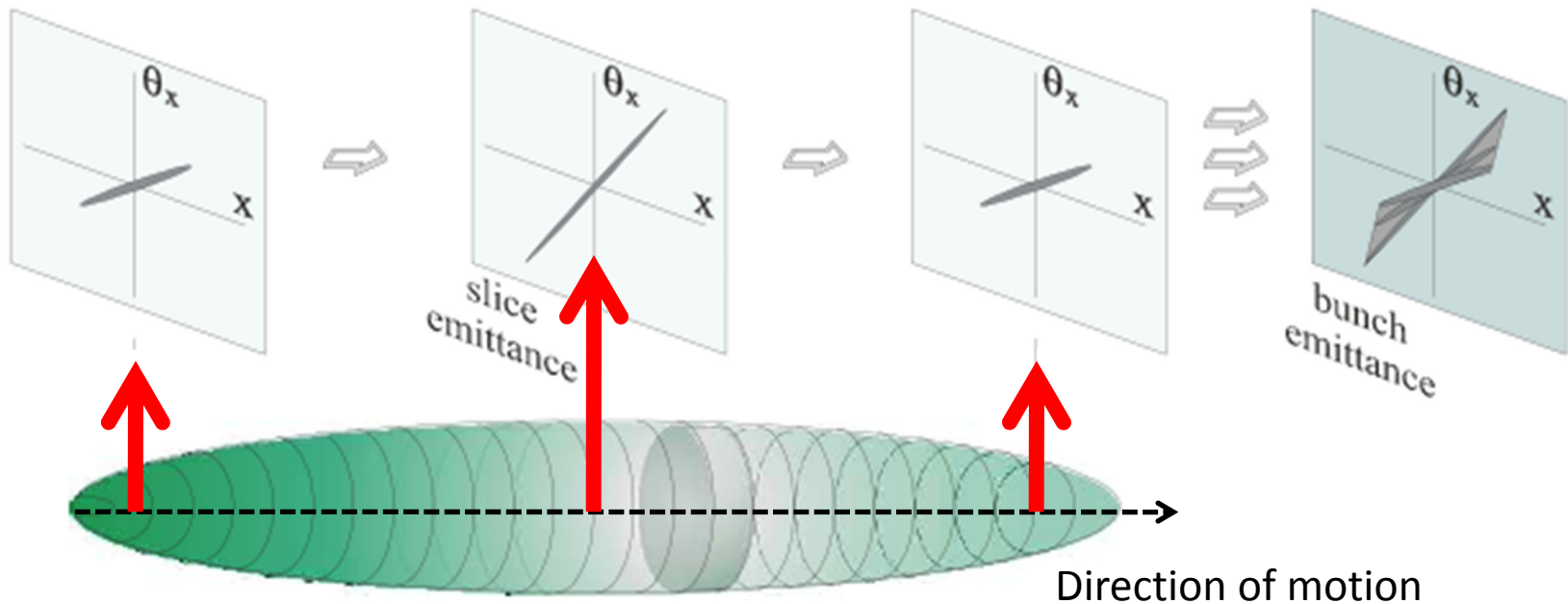


## Sources of Emittance Degradation

1. Non-linear fields (aberrations, space charge)
2. Longitudinally dependent focusing (RF fields, space charge)

## Remedy

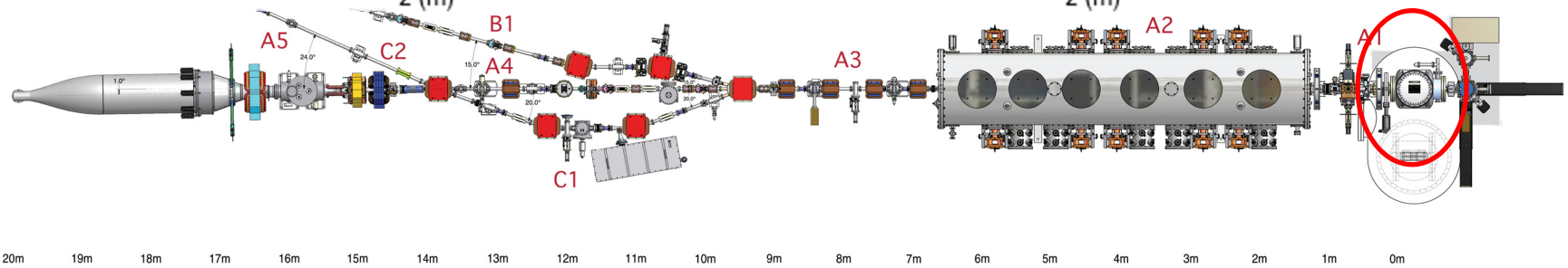
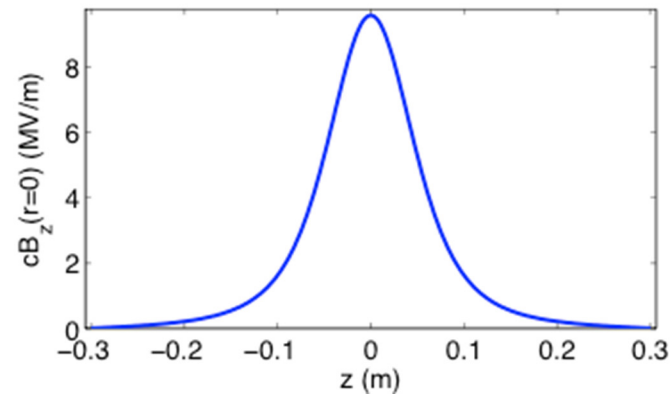
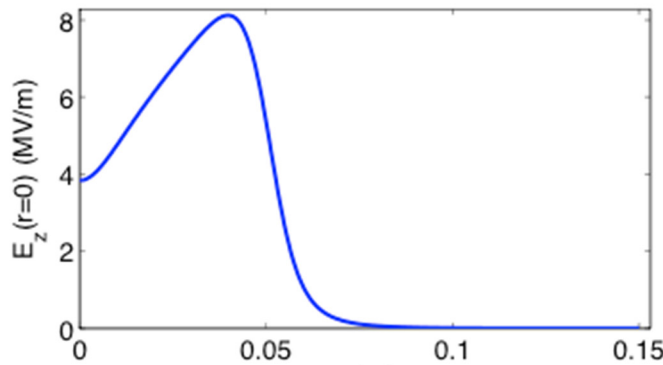
1. Beam alignment, Laser shaping
2. Emittance Compensation (detailed simulations)



## Code of choice for this work: General Particle Tracer

- Has a 3D Space Charge algorithm
- Realistic Initial Particle Distribution
- Ability to overlap field maps and position them in 3D space
- Customizable (define new beamline elements)

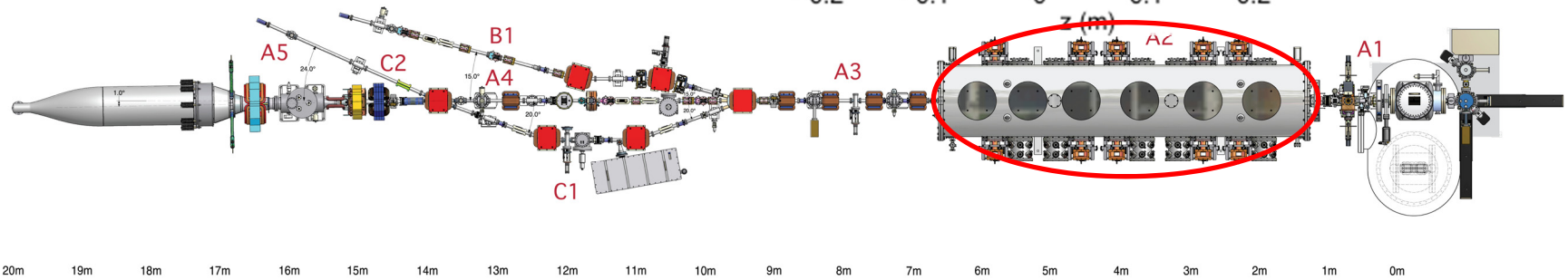
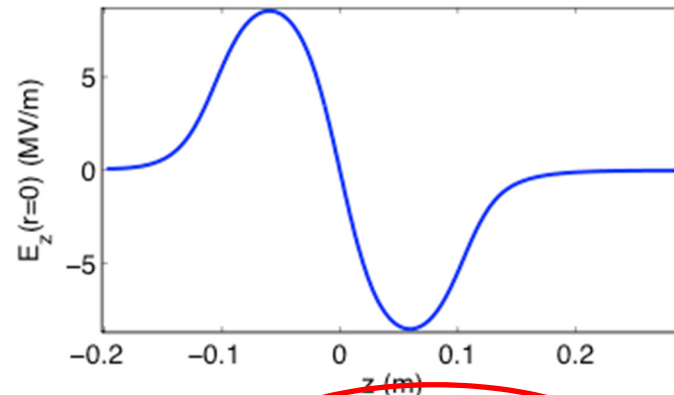
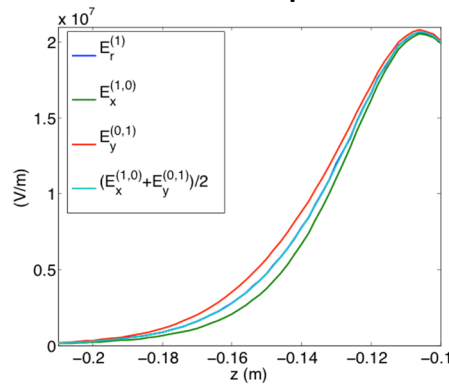
Used realistic field maps for all beam line elements



## Code of choice for this work: General Particle Tracer

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- Customizable (define new beamline elements)

Includes 3D complex RF Field Maps w/coupler fields

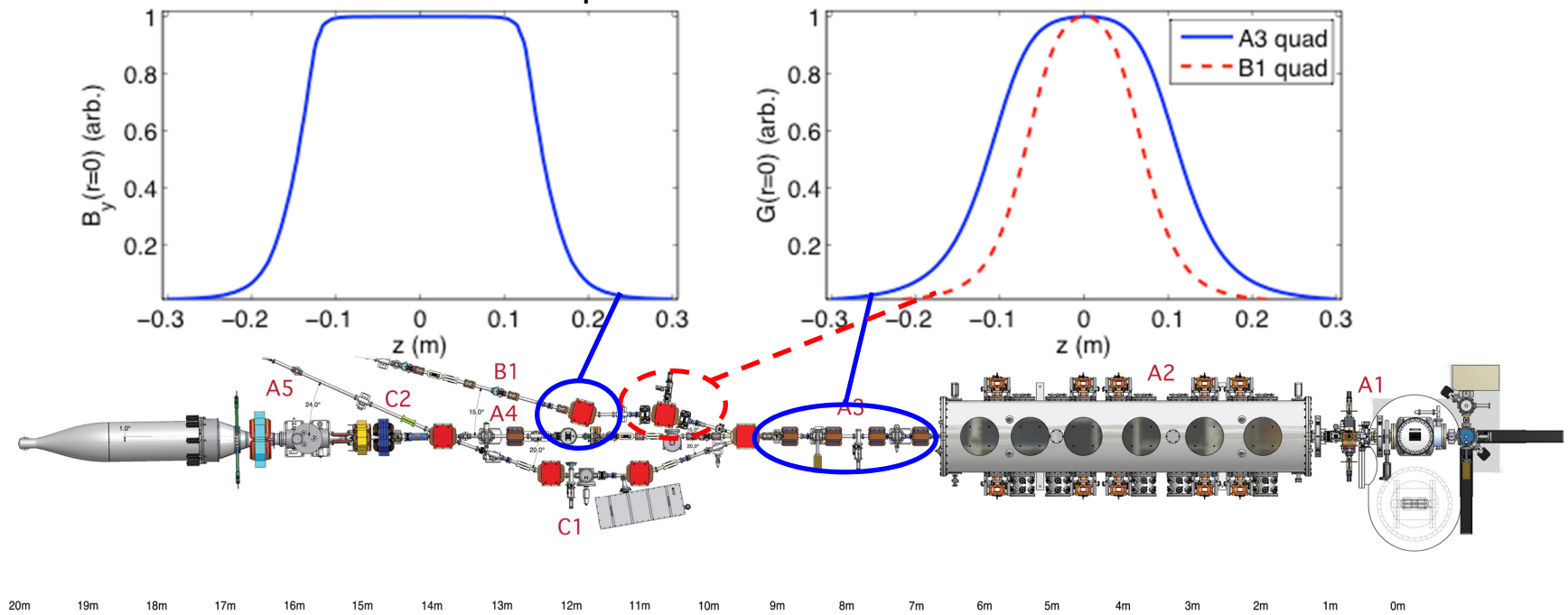




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- Has a 3D Space Charge algorithm
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- Ability to overlap field maps and position them in 3D space
- Customizable (define new beamline elements)

Customized quadrupole and dipole elements with fields computed from an on-axis field map





GPT Virtual Accelerator GUI: load machine settings, load optimizer settings, save/restore, independently simulate machine in (near) real time

The screenshot displays the GPT Virtual Accelerator GUI with the following sections:

- Beamline and Save/Restore:** Select Beamline Type: **a1a2a3b1**. Buttons: Load Saved Settings, Save Settings, Load Machine State.
- GPT Simulation Settings:**

Name	Value	Units
nps	20000	[#]
Qtot	-77	[pC]
space_charge	1	[0/1]
xrms	0.4700	[mm]
trms	7.9610	[ps]
xoffset	0	[mm]
yoffset	0	[mm]
global_phase	0	[deg]
dtmax	100	[ps]
FinalGamma	15	[N/A]
couplers	1	[0/1]
- Calculated Settings:**
  - Beam Energy:** 7.154 MeV
  - Energy is set during phasing and is used only to set dipole currents
  - Pinhole Diameter:** 2 mm
  - Assumes a Gaussian profile truncated at 50% intensity
- Run GPT Simulation:**
  - Phase GPT <- Or -> Just Set Energy Run GPT
  - 1 particle, no space charge
  - Accurate within few tenths of degree
  - Phases a cavity on crest, then sets it off crest (as desired) before phasing the next cavity
  - no space charge
  - Assumes user knows what he is doing and just runs the code through A2 to set the final energy.
  - Remember to rephase if cavity voltages or relative phases are changed!
- Plot Data:**
  - Screen Options: Name: **B1 1st Slit**, Type: **X Phase Space**
  - 2D Plot Style:  Density,  Scatter
  - Density Scale: 50
  - Plot Data:  Make new figure (e.g. for saving data)
- Beam Element Settings:**

Auto-update sim. settings    Command -> Sim    Converts "command" values in machine units into "simulation" values in units that GPT needs.

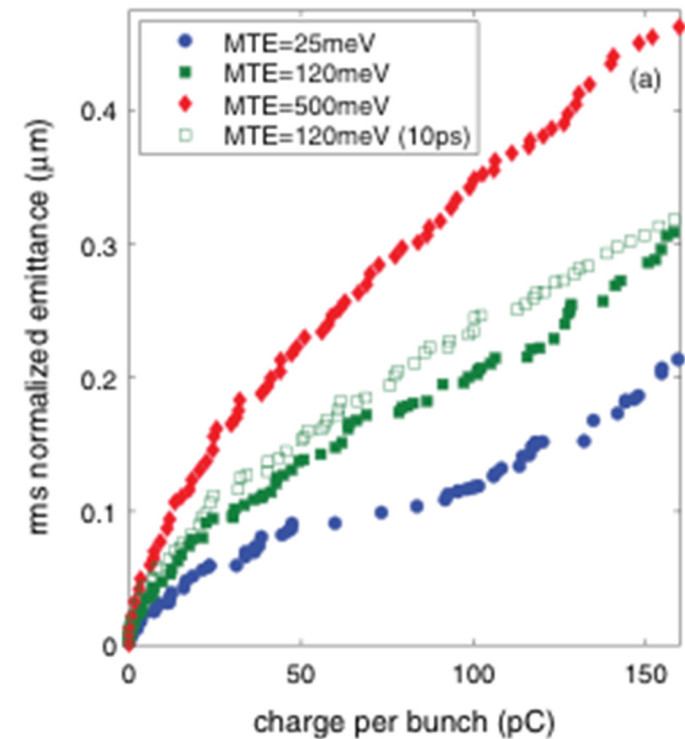
Element	PV Name	Command Value	Readback Value	Units	Simulation Value	Units	Z Position
1	GA1GVH01	Gun Voltage	349.3000	349.3000 (kV)	349.3000 (kV)		0
2	MA1SLA01	Current	-3.7000	-3.7069 (A)	0.0309 (T)		0.3030
3	RA1CTB01	Cavity Voltage	60	60 (kV)	0.9569 (MV/m)		0.7140
4	RA1CTB01	On-crest Cavity Phase	175.8100	175.8100 (deg)	148.4674		0.7140
5	RA1CTB01	Relative Cavity Phase	-90.0000	-90.0000 (deg)	-90.0000		0.7140
6	MA1SLA02	Current	2.3000	2.3023 (A)	-0.0192 (T)		1.1280
7	RA2CTC01	Cavity Voltage	1491	1491 (kV)	12.7983 (MV/m)		2.0470
8	RA2CTC01	On-crest Cavity Phase	-61.2000	-61.2000 (deg)	9.1949		2.0470
9	RA2CTC01	Relative Cavity Phase	-10.0000	-10.0000 (deg)	-10.0000		2.0470
10	RA2CTC02	Cavity Voltage	1900	1900 (kV)	16.3090 (MV/m)		2.8330
11	RA2CTC02	On-crest Cavity Phase	43.2000	43.2000 (deg)	356.1229		2.8330
12	RA2CTC02	Relative Cavity Phase	-7	-7.0000 (deg)	-7		2.8330
13	RA2CTC03	Cavity Voltage	1.3860e+03	1.3860e+03 (kV)	11.8970 (MV/m)		3.6960
14	RA2CTC03	On-crest Cavity Phase	161.0900	161.0900 (deg)	256.8649		3.6960
15	RA2CTC03	Relative Cavity Phase	-3.6621e-06	-3.6621e-06 (deg)	-3.6621e-06		3.6960
16	RA2CTC04	Cavity Voltage	1386	1386 (kV)	11.8970 (MV/m)		4.4820
17	RA2CTC04	On-crest Cavity Phase	97.1322	97.1322 (deg)	284.5580		4.4820
18	RA2CTC04	Relative Cavity Phase	2.1484e-06	2.1484e-06 (deg)	2.1484e-06		4.4820
19	RA2CTC05	Cavity Voltage	1500	1500 (kV)	12.8755 (MV/m)		5.3450
20	RA2CTC05	On-crest Cavity Phase	-47.4085	-47.4085 (deg)	193.1202		5.3450
21	RA2CTC05	Relative Cavity Phase	-20	-20.0000 (deg)	-20		5.3450
22	MA3QUA01	Current	-3.8000	-3.8014 (A)	-3.0390 (T/m)		6.5421
23	MA3QUA02	Current	9.8000	9.8020 (A)	7.8375 (T/m)		7.1421
24	MA3QUA03	Current	4.9000	4.8950 (A)	3.9187 (T/m)		7.7421
25	MA3QUA04	Current	-8.5000	-8.4944 (A)	-6.7978 (T/m)		8.3421
26	MB1QUB01	Current	9.3000	9.3188 (A)	7.8112 (T/m)		9.7906
27	MB1QUB02	Current	9.3000	9.3281 (A)	7.8112 (T/m)		10.3296
- Status:** Ready
- Phase Space Plot:** B1 1st Slit viewscreen, at z = 12.34 meters,  $\epsilon_x = 1.08$  mm-mrad,  $\sigma_x = 0.104$  mm,  $\sigma_{p_x} (\times 1000) = 12.2$ . The plot shows a density distribution of particles in phase space, with x position (mm) on the x-axis and  $p_x (\times 1000)$  on the y-axis.





## Goals for Experiment

- Measure low emittances at the end of the merger
  - Emittances  $\leq 0.3$  micron
  - Bunch Length  $\leq 3$  ps
  - Energy Spread  $\sim 1e-3$
- Demonstrate  $\varepsilon_{n,x} \propto \sqrt{q}$ , take 19 pC and 77 pC data, corresponds to 25 and 100 mA if operating at full repetition rate.
- Demonstrate agreement between measurement and simulation





## Optimizations of the Injector Model

Used a multi-objective genetic optimization algorithm to find machine settings to load into the injector.

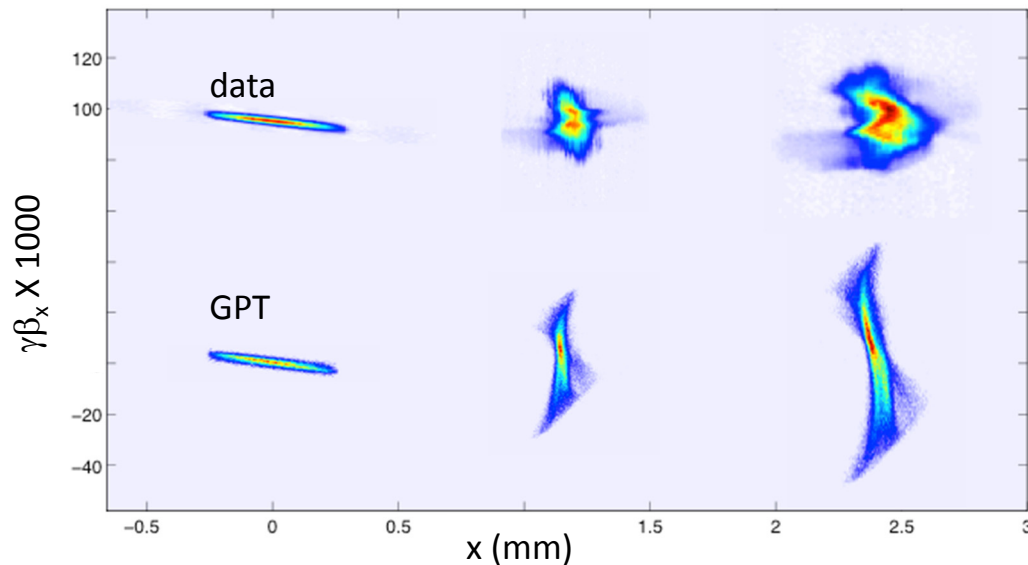
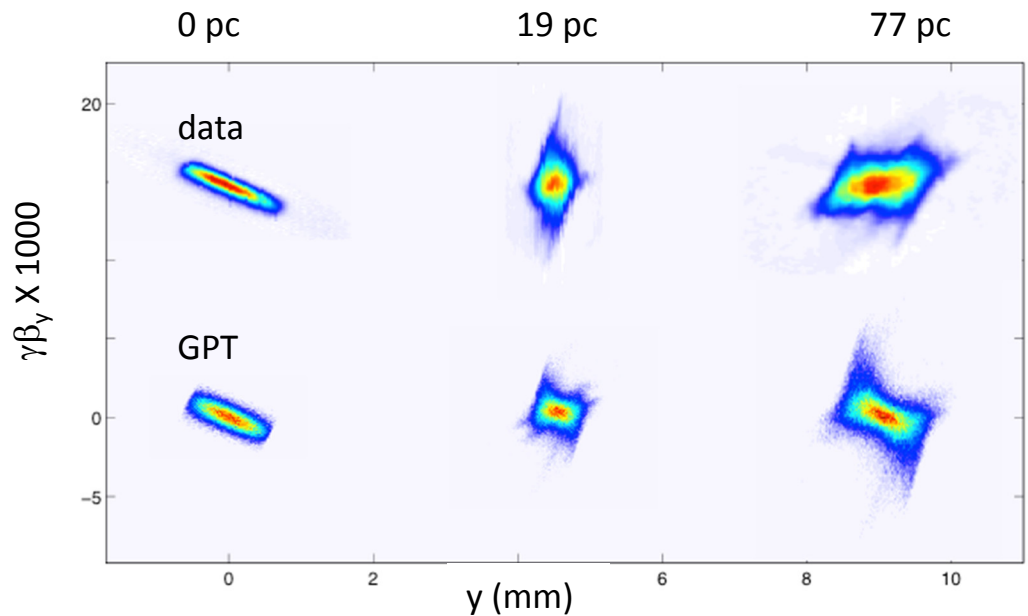
After several rounds of optimizations and emittance measurements, found a solution which we based our 19 pC and 77 pC injector settings.

Injector Parameter	(19) 77 pC settings
Gun High Voltage	(350) 350 kV
Beam Kinetic Energy	(7.5) 7.7 MeV
Laser Pinhole	(1) 2 mm
Laser Pulse Length	(8) 8 ps
Buncher Voltage	(50) 60 kV

Measurements were taken using a chopped 50 MHz laser pulse train, reducing the beam power going into interceptive diagnostics.



# Low Emittance Experiments



Projected Emittance for 19 (77) pC:

## Vertical Phase Space

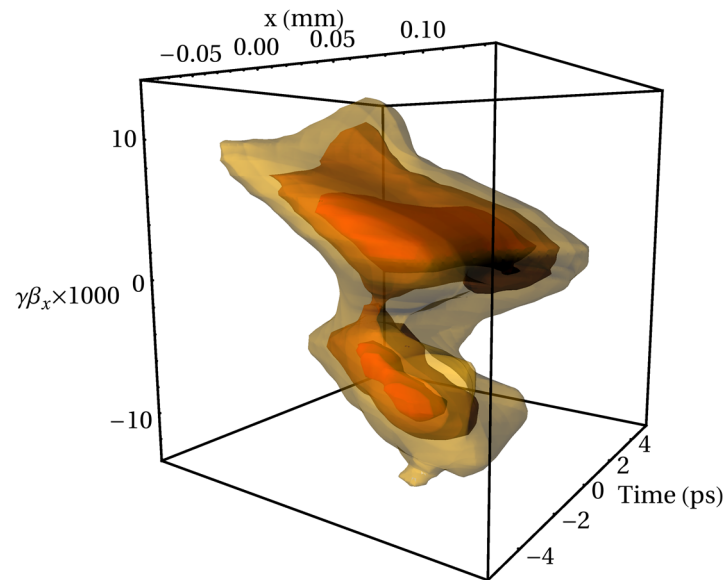
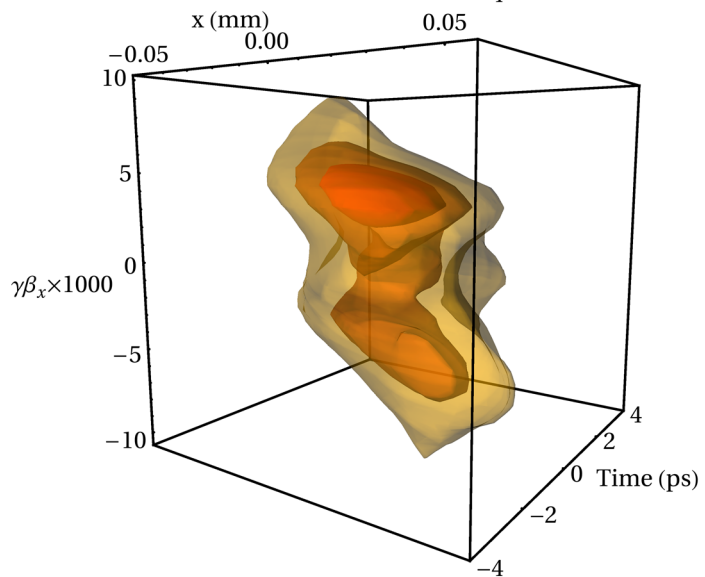
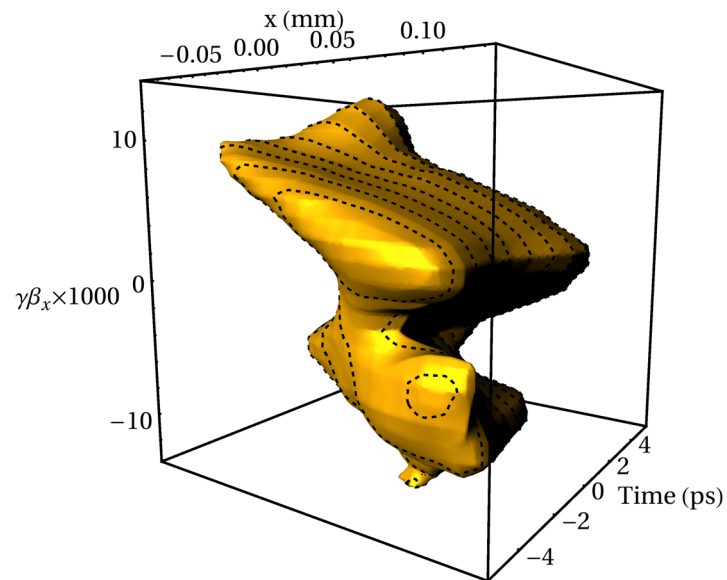
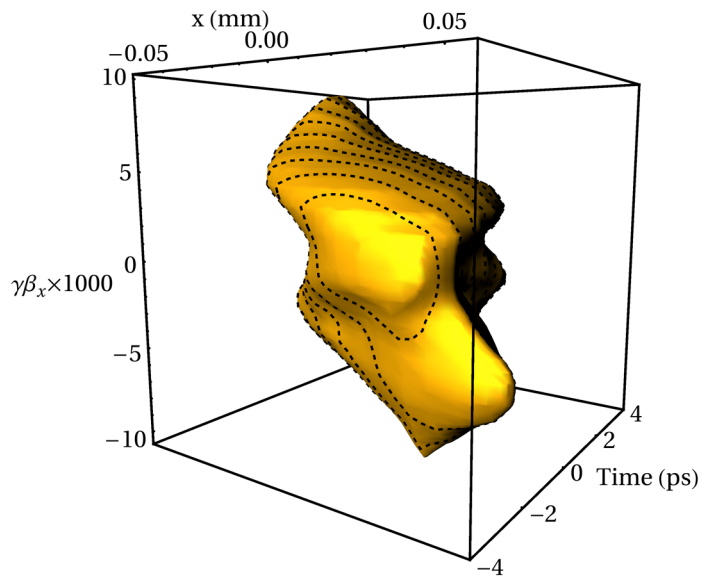
Data Type	en(100%) [microns]	en(90%) [microns]
P-EMS	$0.20 \pm 0.01$ ( $0.40 \pm 0.03$ )	$0.14 \pm 0.01$ ( $0.29 \pm 0.02$ )
GPT	0.16 (0.37)	0.11 (0.25)

## Horizontal Phase Space

Data Type	en(100%) [microns]	en(90%) [microns]
P-EMS	$0.33 \pm 0.02$ ( $0.69 \pm 0.05$ )	$0.23 \pm 0.02$ ( $0.51 \pm 0.04$ )
GPT	0.31 (0.72)	0.19 (0.44)



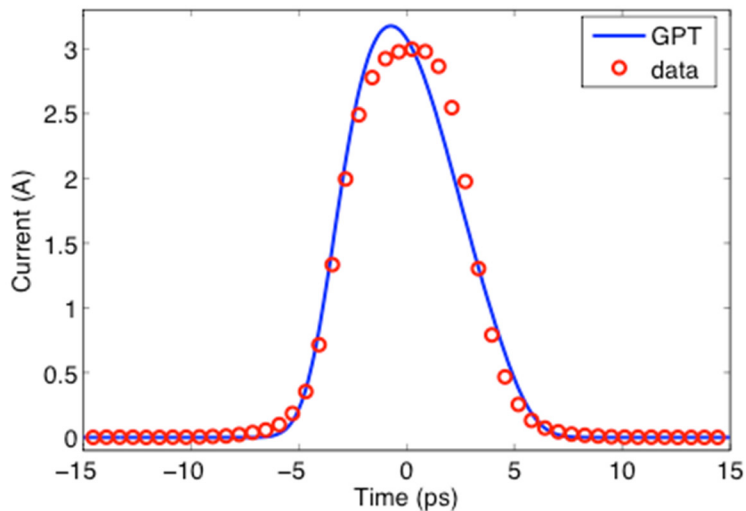
# Low Emittance Experiments



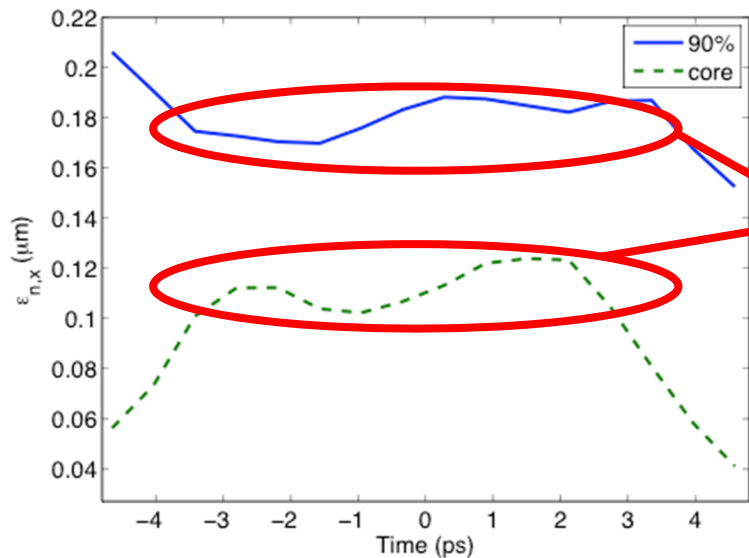
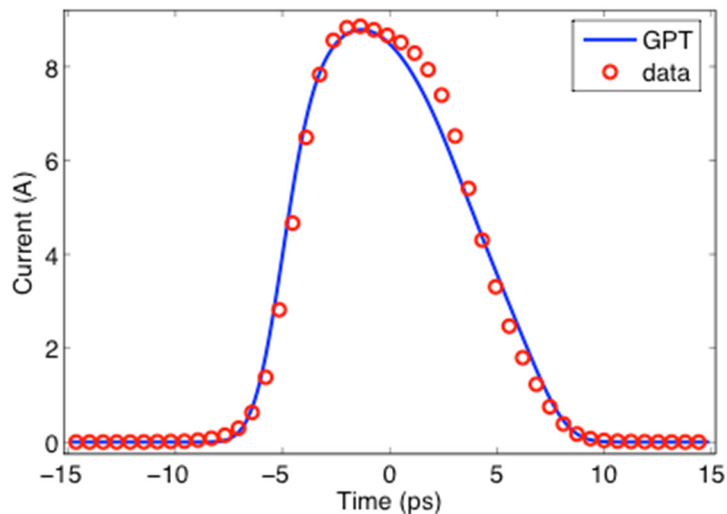


# Low Emittance Experiments

GPT:  $\sigma_t = 2.2$  ps, data:  $\sigma_t = 2.1$  ps



GPT:  $\sigma_t = 3.1$  ps, data:  $\sigma_t = 3.0$  ps



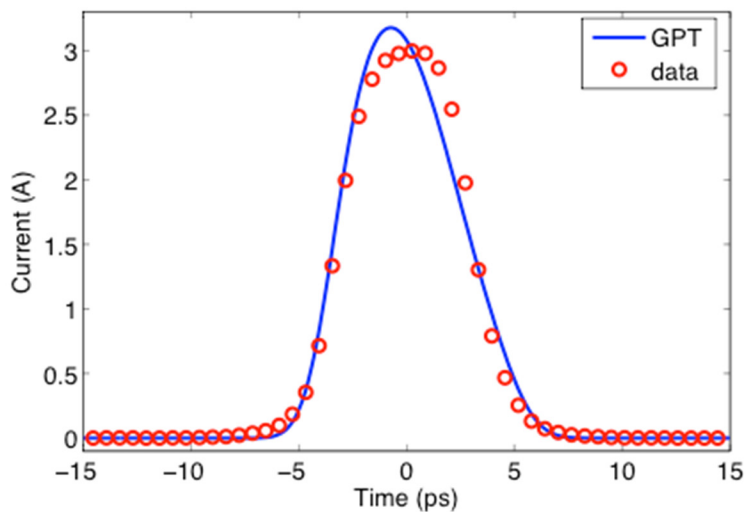
Flat, core dominated by  
intrinsic emittance (0.12  $\mu\text{m}$ )



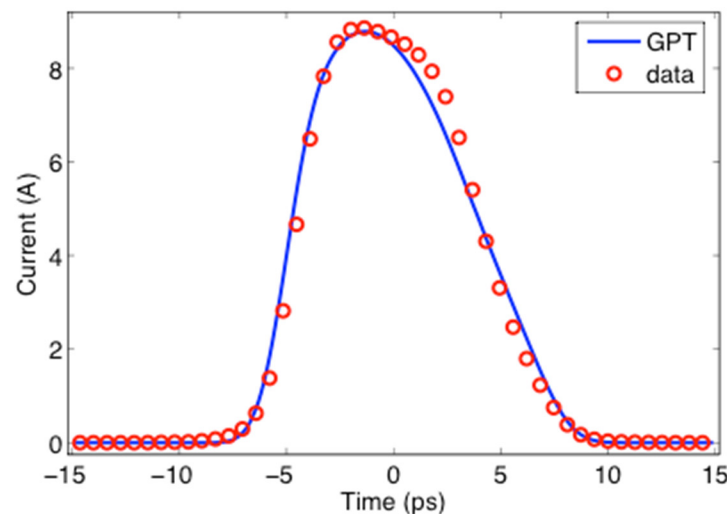


# Low Emittance Experiments

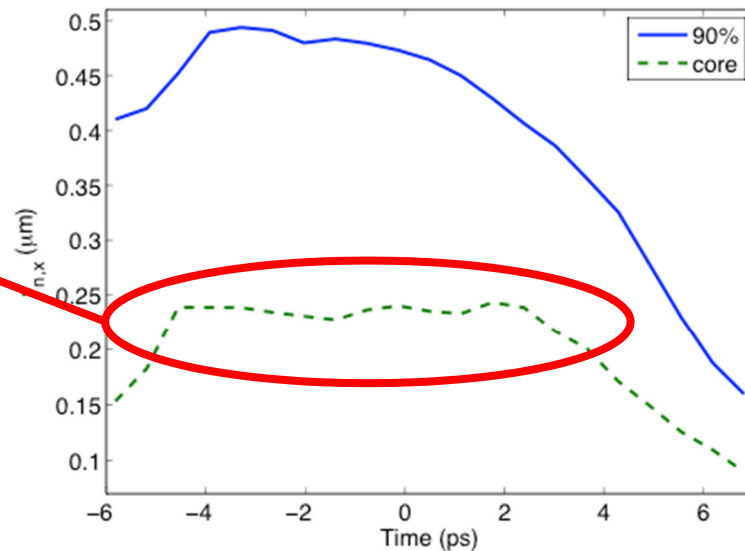
GPT:  $\sigma_t = 2.2$  ps, data:  $\sigma_t = 2.1$  ps



GPT:  $\sigma_t = 3.1$  ps, data:  $\sigma_t = 3.0$  ps



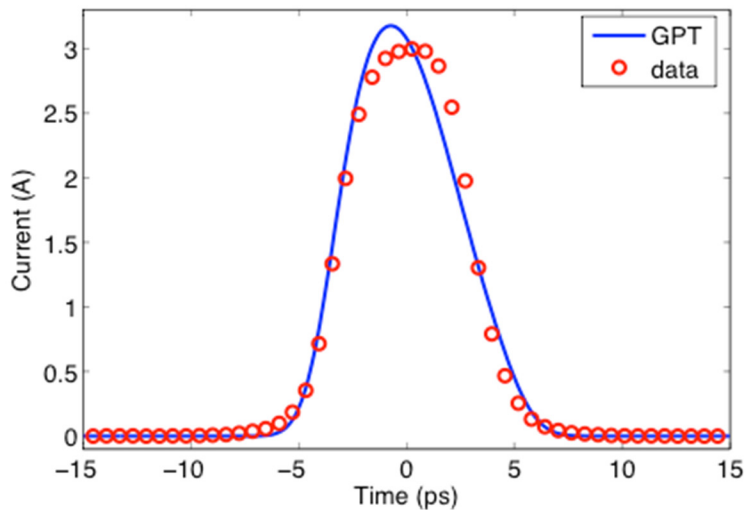
Flat, core dominated by  
intrinsic emittance, ( $0.24 \mu\text{m}$ )



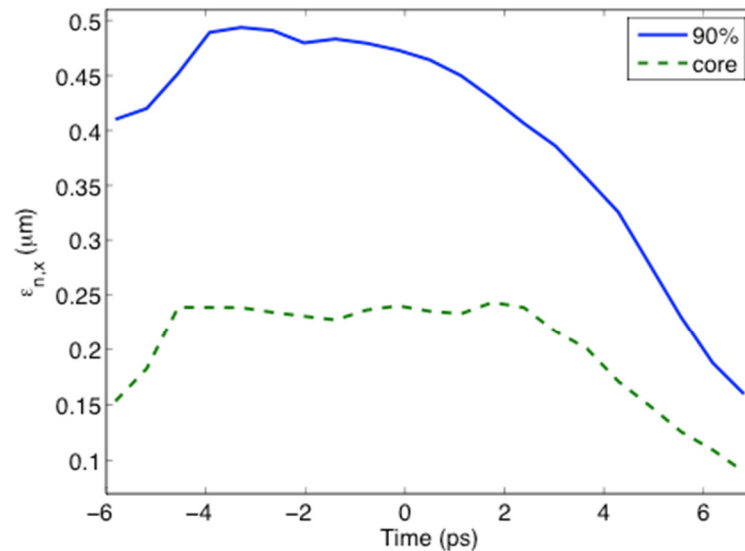
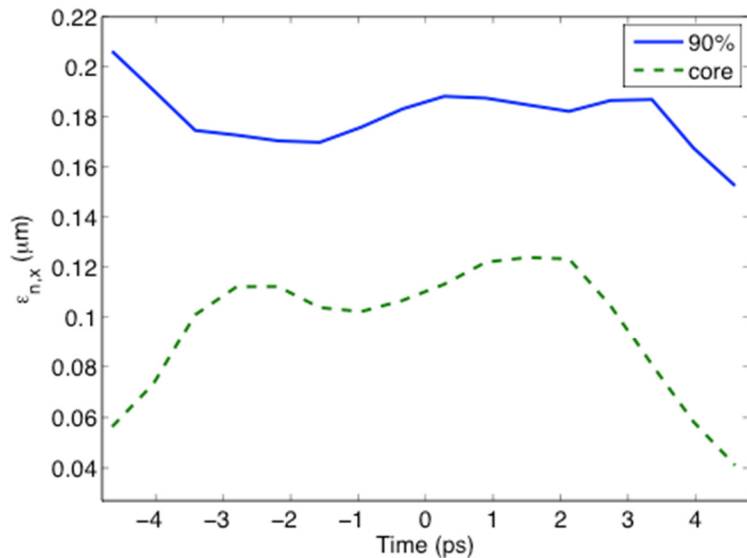
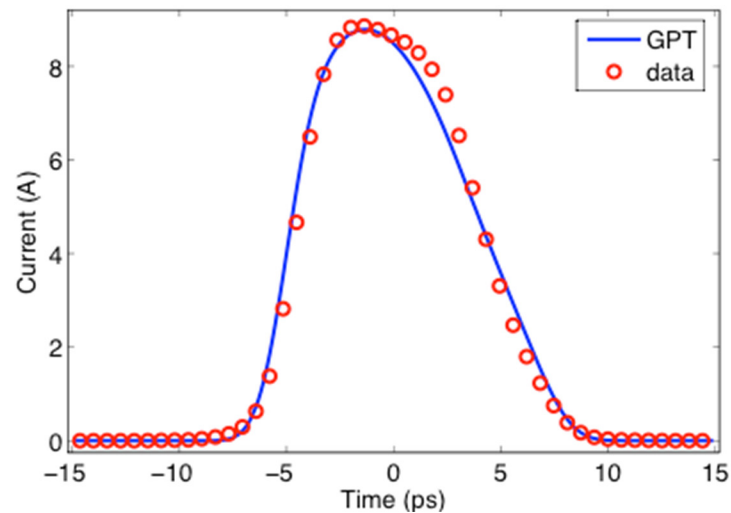


# Low Emittance Experiments

GPT:  $\sigma_t = 2.2$  ps, data:  $\sigma_t = 2.1$  ps



GPT:  $\sigma_t = 3.1$  ps, data:  $\sigma_t = 3.0$  ps





C. Gulliford, et al, Phys. Rev. ST Accel. Beams 16 (Jul, 2013) 073401.

Data Type	Specification for (19) 77 pC	Measured Values for (19) 77 pC
V. Emittance (eff. core*)	$\leq (0.3) 0.3 \mu\text{m}$	$(0.13 \pm 0.01) 0.27 \pm 0.01 \mu\text{m}$
V. Emittance (90%)	$\leq (0.3) 0.3 \mu\text{m}$	$(0.14 \pm 0.01) 0.29 \pm 0.02 \mu\text{m}$
H. Emittance (eff. core*)	$\leq (0.3) 0.3 \mu\text{m}$	$(0.21 \pm 0.01) 0.44 \pm 0.03 \mu\text{m}$
H Emittance (90%)	$\leq (0.3) 0.3 \mu\text{m}$	$(0.23 \pm 0.02) 0.51 \pm 0.04 \mu\text{m}$
RMS Bunch Length	$\leq (3) 3 \text{ ps}$	$(2.1 \pm 0.1) 3.0 \pm 0.2 \text{ ps}$
RMS Energy Spread	$\sim 1\text{e-}3$	$(< 1.4\text{e-}3) < 0.26\text{e-}3$

\* Effective core emittance = emittance(core fraction) / core fraction

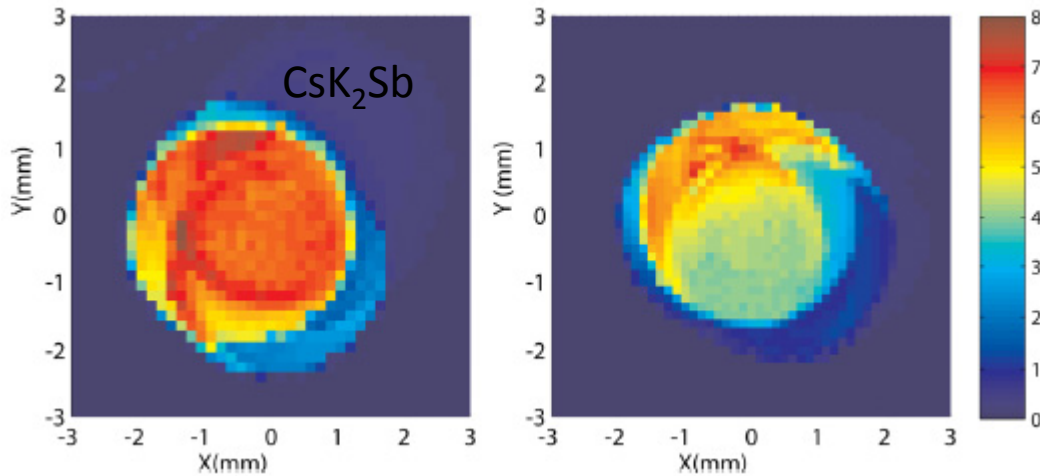
See that for 19 pC the effective core emittance satisfies all injector specifications



# World record photoinjector current!

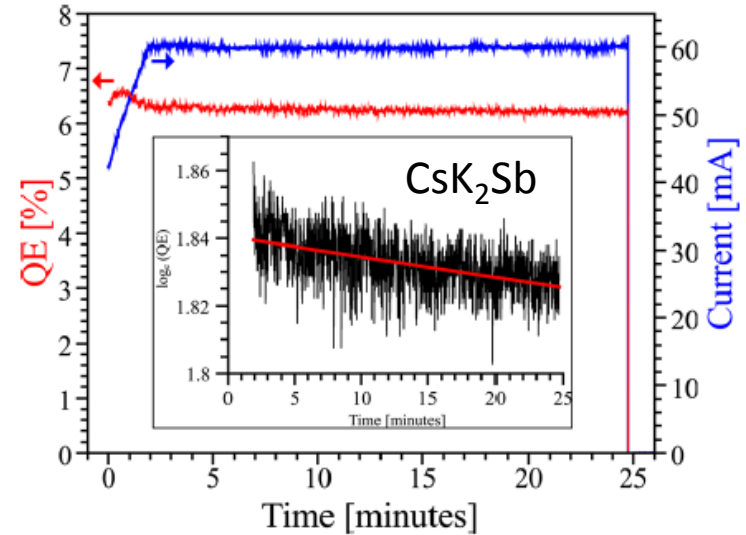
Cultrera et al. APL 103 (2013) 103504, Dunham et al. APL 102, 034105 (2013)

- Using multialkali off center, a robust bulk emitter:

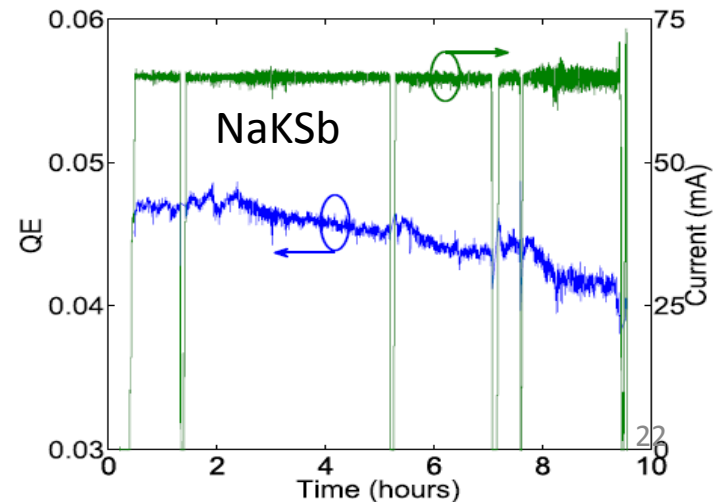


Before use

After use



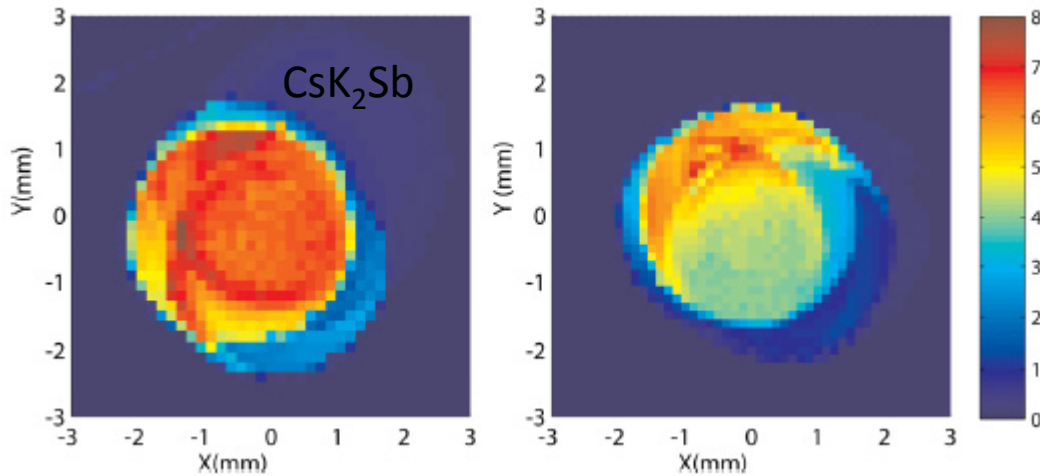
- 60mA run with CsK<sub>2</sub>Sb** had ~30 h 1/e lifetime
  - $P_{\text{gun}} = 1.13 \times 10^{-11}$  torr  $\rightarrow 3.0 \times 10^{-11}$  torr
  - Likely due to beam scraping
- 65mA run with NaKSb** had ~66 h 1/e lifetime



# World record photoinjector current!

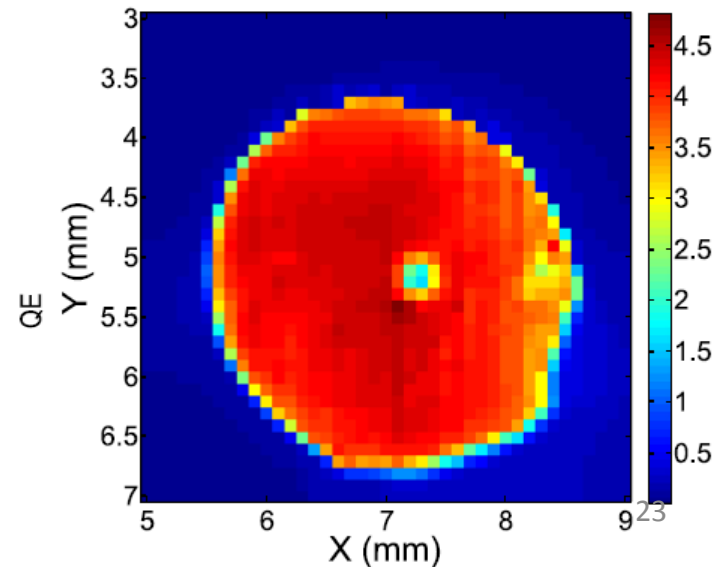
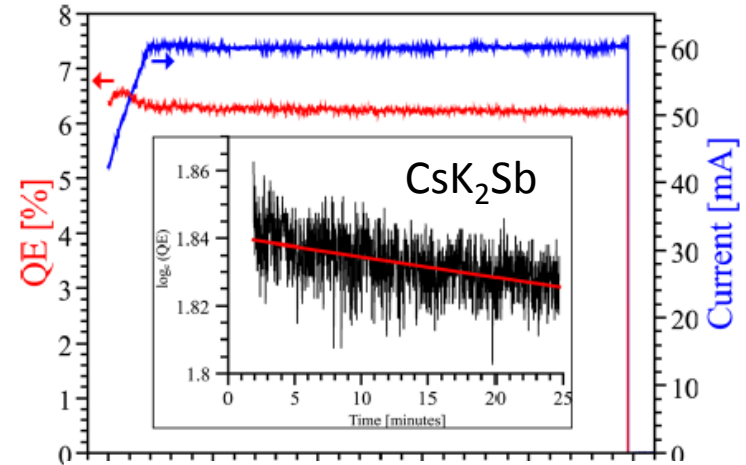
Cultrera et al. APL 103 (2013) 103504, Dunham et al. APL 102, 034105 (2013)

- Using multialkali off center, a robust bulk emitter:



Before use

After use



- 60mA run with CsK<sub>2</sub>Sb** had ~30 h 1/e lifetime
  - $P_{\text{gun}} = 1.13 \times 10^{-11}$  torr  $\rightarrow 3.0 \times 10^{-11}$  torr
  - Likely due to beam scraping
- 65mA run with NaKSb** had ~66 h 1/e lifetime





- Compare the 19 pC/bunch results (25 mA) to a modern storage ring: PETA III

Data type	H. Emittance (pm)	V. Emittance (pm)	Current (mA)	RMS energy spread (1e-3)
PETRA III	1000	10	100	1
Cornell ERL	14	9	25	0.2

Figure of merit: 
$$\underbrace{\left( I \cdot \frac{f_x \cdot f_y}{\epsilon_x(f_x) \cdot \epsilon_y(f_y)} \Big|_{\text{core}} \right)}_{\text{Effective Brightness}} \times \frac{1}{\sigma_\delta} \leftarrow \text{rms energy spread}$$

Roughly 20x the beam quality of the best storage ring!



Significant achievement for the Cornell Project, as well as field of High Brightness Photoinjectors

## Further improvements

- Improved cathodes: MTE  $\rightarrow$  30 meV
- Improved gun design: Voltage  $\rightarrow$  500 kV
- Higher energy: E  $\rightarrow$  12 MeV
- Improved laser shaping

$$\varepsilon_n \propto \sqrt{\frac{q}{\varepsilon_0 E_{cath}} \frac{MTE}{mc^2}}$$

Get half the emittance,  
and 4x the brightness

Further reduce  
emittance

In total, get  $\sim$  5x brightness. Figure of merit then becomes 100x!



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