
Perspectives and Challenges for Diffraction Limited Storage Ring Light Sources

Robert Hettel, SLAC

- **What is a diffraction-limited storage ring (DLSR)?**
- **Scientific motivation for DLSRs – brightness and coherence**
- **Design challenges and solutions**
- **Future DLSRs – USRs?**

Acknowledgments

Many appreciated contributions from:

M. Borland, APS

Y. Cai, SLAC

Z. M. Eriksson, MAX-IV

T. Rabedeau, SLAC

C. Steier, ALS

and light sources that provided parameter and design information:

ALS

APS

BAPS (IHEP)

Diamond LS

ESRF

MAX-IV

NSLS-II

Sirius

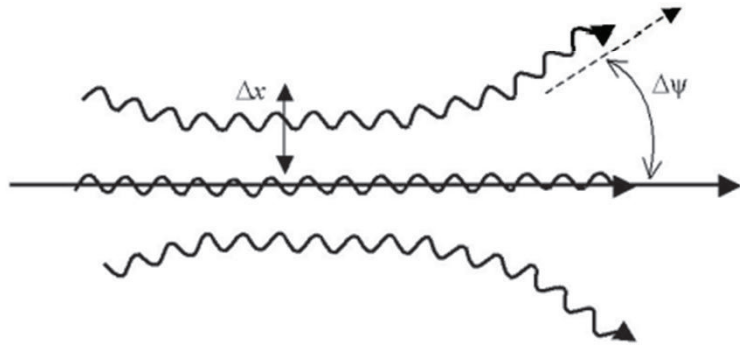
SLAC

SLS

Soleil

SPring-8

Diffraction



K-J Kim in *Characteristics of Undulator Radiation*, AIP 1989

Coherent beam of wavelength λ
focused to spot size Δx will diffract with
angle $\Delta\psi = \sim\lambda/\Delta x$

Time-harmonic electric field of form $E(\mathbf{x};z)e^{-i\omega t}$ satisfies wave equation

$$\left[\frac{\partial^2}{\partial z^2} + \left(\frac{\partial}{\partial \mathbf{x}} \right)^2 + k^2 \right] E(\mathbf{x}; z) = 0, \quad k = \frac{\omega}{c} = \frac{2\pi}{\lambda} \quad \mathbf{x} = (x, y)$$

In transversely coherent beam, spatial distribution $E_k(\mathbf{x}, z)$ for wavenumber k is related to angular distribution $\mathcal{E}_k(\psi, z)$ by Fourier transform (for 1-D in x):

$$\mathcal{E}_k(\psi, z) = \frac{1}{\sqrt{2\pi}} \int E_k(x, z) e^{-ik\psi x} dx \quad E_k(x, z) = \frac{1}{\sqrt{2\pi}} \int \mathcal{E}_k(\psi, z) e^{ik\psi x} d\psi$$

$\psi \ll 1$

Diffraction-limited emittance

For fully coherent Gaussian **laser beam** spatial distribution at waist ($z = 0$):

$$E_k(x, 0) = E_k(0, 0) \exp\left(\frac{-x^2}{2\sigma_{Ex}^2}\right) \quad \mathcal{E}_k(\psi, 0) = \mathcal{E}_k(0, 0) \exp\left(\frac{-\psi^2}{2\sigma_{\mathcal{E}\psi}^2}\right)$$

$$\sigma_{\mathcal{E}\psi} = \frac{1}{k\sigma_{Ex}} = \frac{\lambda}{2\pi\sigma_{Ex}} \Rightarrow \sigma_{Ex}\sigma_{\mathcal{E}\psi} = \frac{\lambda}{2\pi}$$

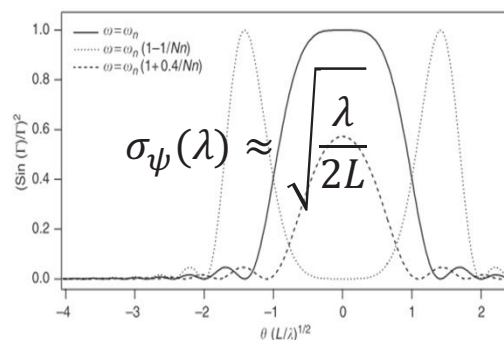
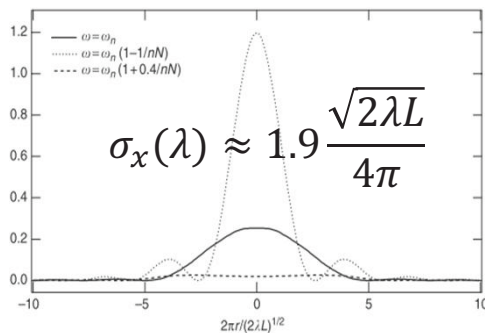
Photon intensity profile $\propto E^2$ and \mathcal{E}^2 :

$$\Rightarrow \sigma_{Ix}(\lambda)\sigma_{I\psi}(\lambda) = \frac{\lambda}{4\pi} = \varepsilon_r(\lambda)$$

Diffraction limited emittance for coherent Gaussian photon distribution

Fitting Gaussian profiles to spatial and angular profiles for **undulator radiation** at λ :

P. Elleaume, in *Wigglers, Undulators, and Their Applications*, 2003.



$$\Rightarrow \sigma_x(\lambda)\sigma_\psi(\lambda) \approx \frac{\lambda}{2\pi} = \varepsilon_r(\lambda)$$

Diffraction limited emittance for undulator radiation from single electron filament

X-ray emittance from electron source

Transverse emittance $\Sigma_{x,y}(\lambda)$ of X-ray beam from undulator (length L) is convolution of photon emittance ε_r from e- filament and e- emittance $\varepsilon_{x,y}(e-)$ (Gaussian beams):

$$\Sigma_{x,y}(\lambda) = \varepsilon_r(\lambda) \oplus \varepsilon_{x,y}(e-) = \sqrt{\sigma_r^2(\lambda) + \sigma_{x,y}^2(e-)} \sqrt{\sigma'_r{}^2(\lambda) + \sigma'_{x,y}{}^2(e-)}$$

Here $\sigma_r(\lambda) \approx \frac{\sqrt{2\lambda L}}{2\pi}$ $\sigma'_r(\lambda) = \sigma_\psi(\lambda) \approx \sqrt{\lambda/2L}$

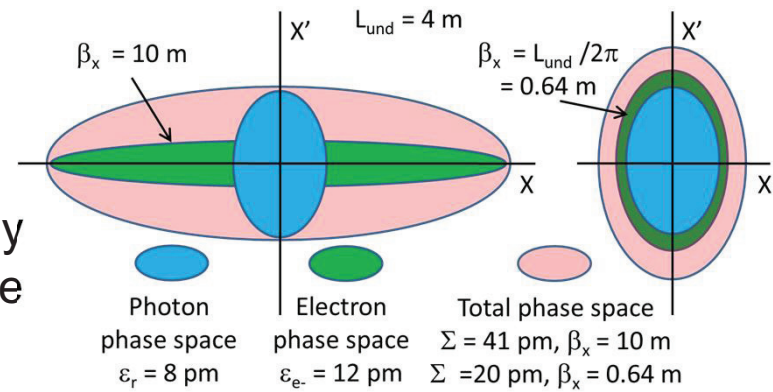
$\sigma_{x,y}(e-) = \sqrt{\varepsilon_{x,y}\beta_{x,y}}$ $\sigma'_{x,y}(e-) = \sqrt{\varepsilon_{x,y}/\beta_{x,y}}$

Transverse emittance $\Sigma_{x,y}$ minimized when $\varepsilon_{x,y}$ is minimized and photon and e-phase space orientations are matched:

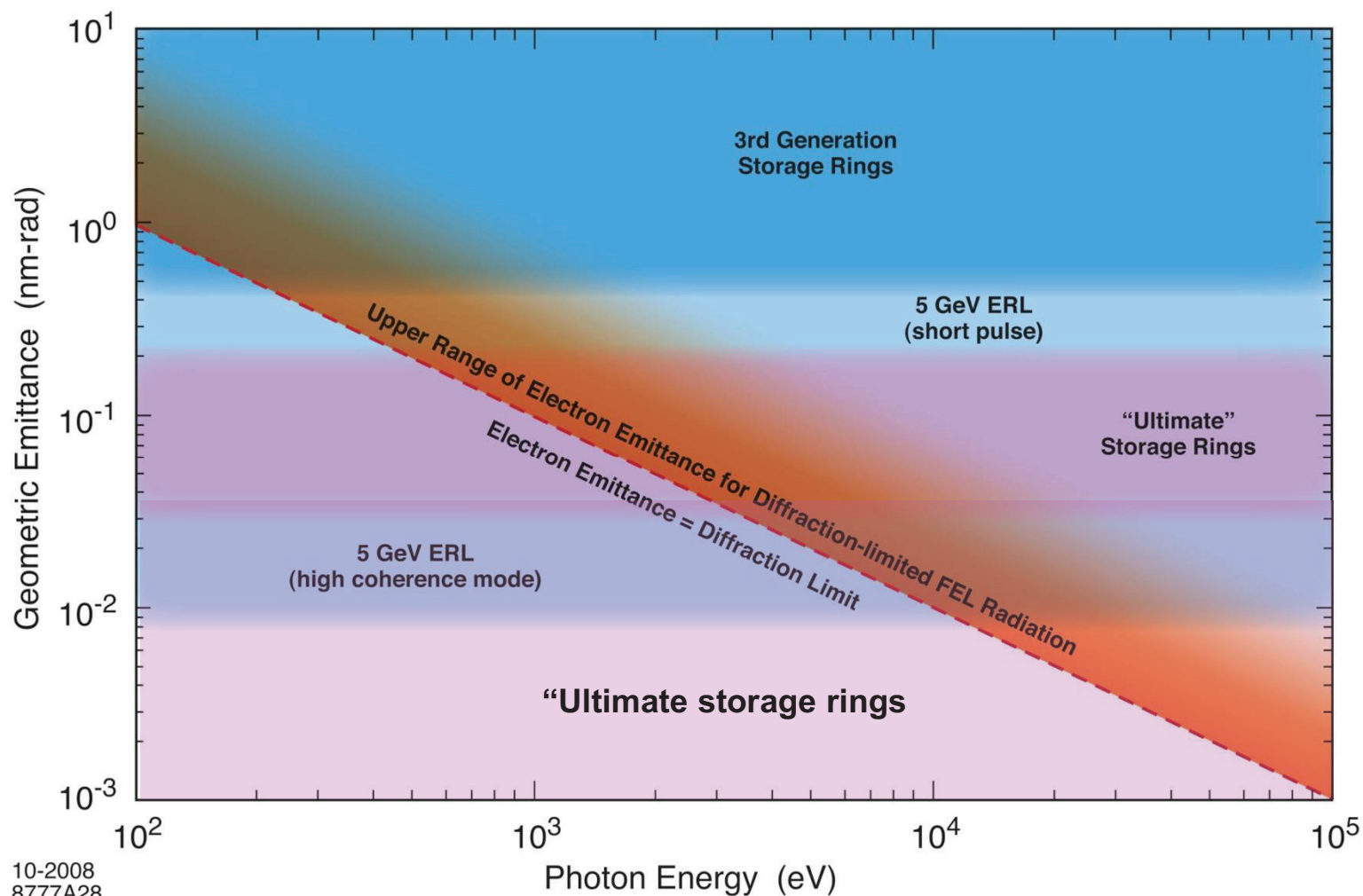
$$\frac{\sigma_r(\lambda)}{\sigma'_r(\lambda)} = \frac{\sigma_{x,y}(e-)}{\sigma'_{x,y}(e-)} \Rightarrow \beta_{x,y} = \frac{L}{\pi}$$

Note: many authors cite

$$\beta_{x,y} = \frac{L}{2\pi}$$



Diffraction-limited emittance



Spectral brightness and coherent fraction

Spectral brightness: photon density in 6D phase space

$$B_{avg}(\lambda) \propto \frac{N_{ph}(\lambda)}{(\varepsilon_x(e^-) \oplus \varepsilon_r(\lambda))(\varepsilon_y(e^-) \oplus \varepsilon_r(\lambda))(s \cdot \% BW)}$$

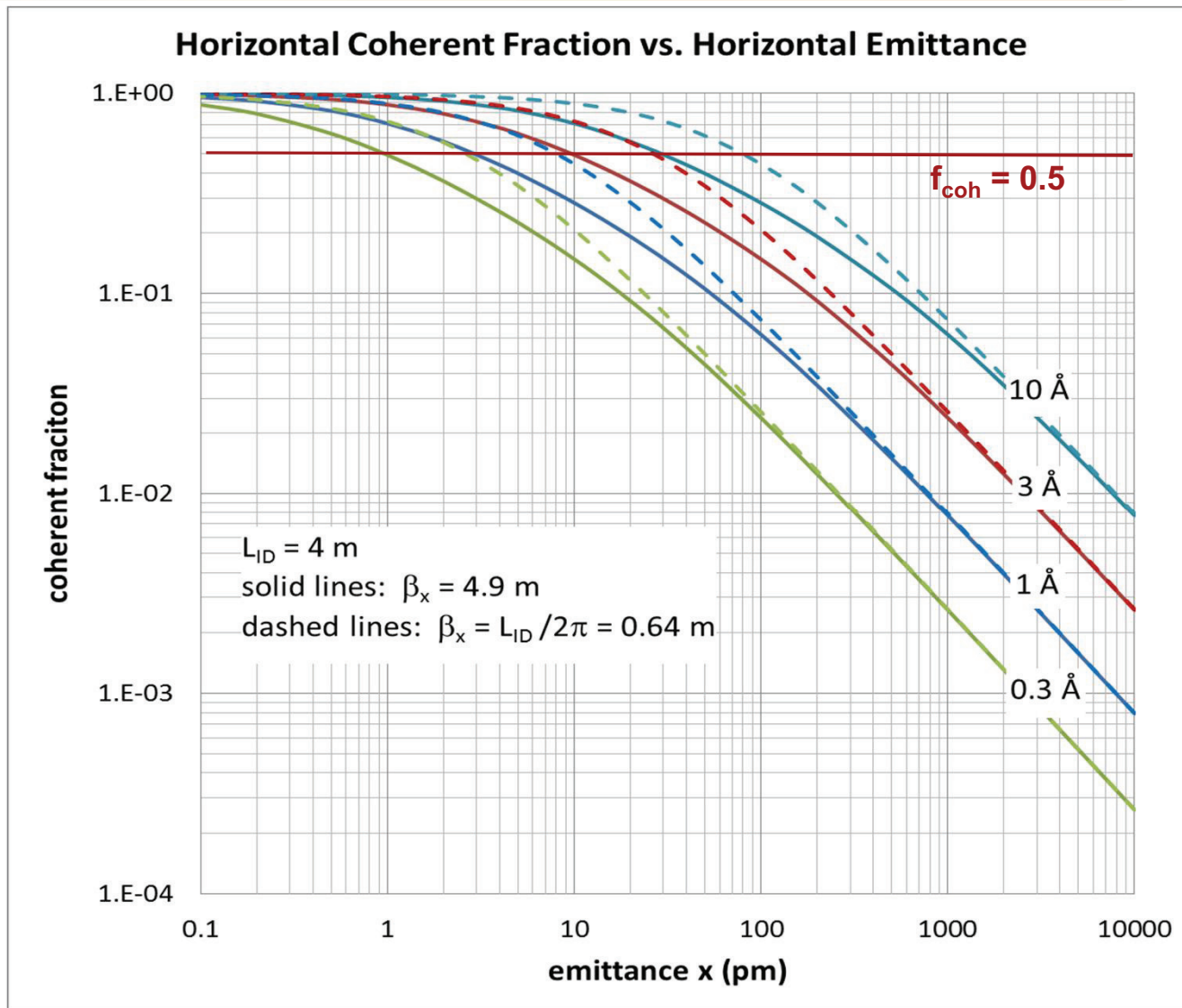
$$B_{pk}(\lambda) \propto \frac{N_{ph}(\lambda)}{(\varepsilon_x(e^-) \oplus \varepsilon_r(\lambda))(\varepsilon_y(e^-) \oplus \varepsilon_r(\lambda))(\sigma_t \cdot \% BW)}$$

σ_t = bunch length

Coherent fraction:

$$f_{coh}(\lambda) = \frac{\lambda / 4\pi}{(\varepsilon_x(e^-) \oplus \varepsilon_r(\lambda))} \cdot \frac{\lambda / 4\pi}{(\varepsilon_y(e^-) \oplus \varepsilon_r(\lambda))}$$

Coherent fraction



Coherent flux is important too: a low coherent fraction and high flux can yield the same coherent flux as a high coherent fraction and low flux

Optimize trade-off between low of emittance vs. stored current

What is a DLSR?

“Pure” meaning: A ring is diffraction-limited for wavelength λ when the e-emittance is so small that it might as well be zero:

$$\varepsilon_{x,y}(e^-) \ll \varepsilon_r(\lambda) = \frac{\lambda}{2\pi} \text{ (or } \frac{\lambda}{4\pi} \text{ or } \dots)$$

More common meaning: the electron emittance is about the same as the photon emittance

Note: many rings operate now with $\varepsilon_y \ll 1\text{\AA}/4\pi = \sim 8 \text{ pm-rad}$ by reducing vertical coupling to a very small number

The path to low emittance rings

Emittance scaling with energy and circumference:

$$\varepsilon_0 = F(\nu, cell) \frac{E^2}{(N_s N_d)^3} \propto \frac{E^2}{C^3} \text{ for fixed cell type}$$

$$\varepsilon_x = \frac{1}{1 + \kappa} \varepsilon_0 \quad \varepsilon_y = \frac{\kappa}{1 + \kappa} \varepsilon_0 \quad N_s = \# \text{ sectors in ring, } N_d = \# \text{ dipoles/sector}$$

Emittance reduction with damping wigglers:

$$\frac{\varepsilon_w}{\varepsilon_o} = \frac{1 + f}{1 + \frac{L_w}{4\pi \rho_o} \left(\frac{\rho_o}{\rho_w} \right)^2} \approx \frac{1}{1 + \frac{U_w}{U_o}}$$

U_o = energy loss/turn in dipoles
 U_w = energy loss/turn in wigglers

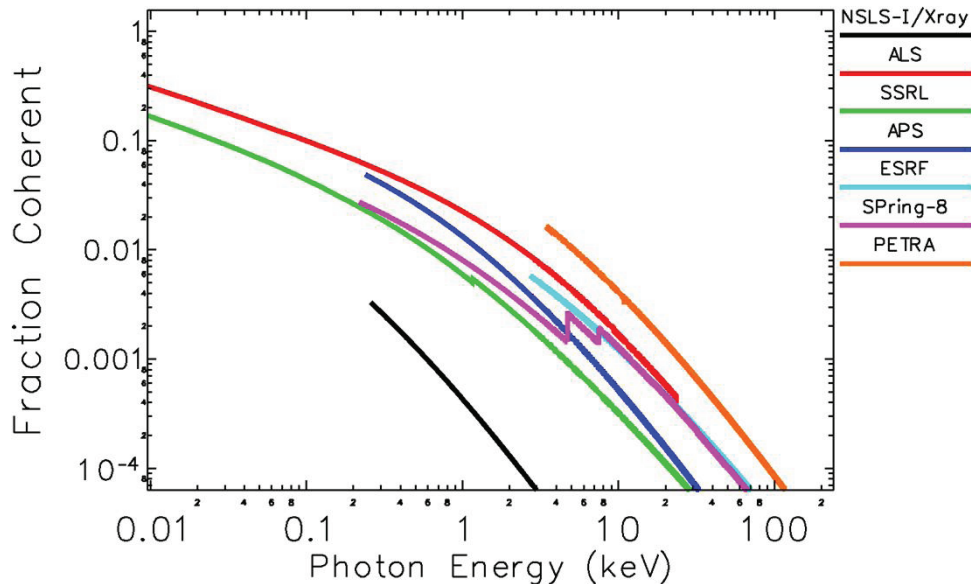
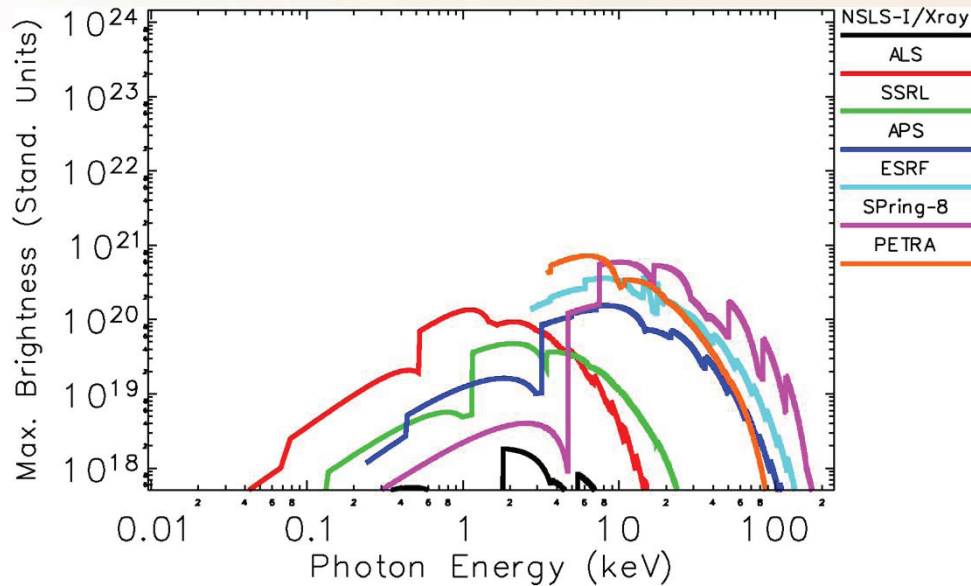
Emittance reduction with damping partition:

$$\varepsilon_x = C_q \frac{\gamma^2}{J_x} \frac{\oint H(s)/\rho(s)^3 ds}{\oint 1/\rho(s)^2 ds}$$

Damping partition

- Gradient dipoles
- Robinson wigglers
- Amplitude bumps in quads

Brightness and coherence of present rings

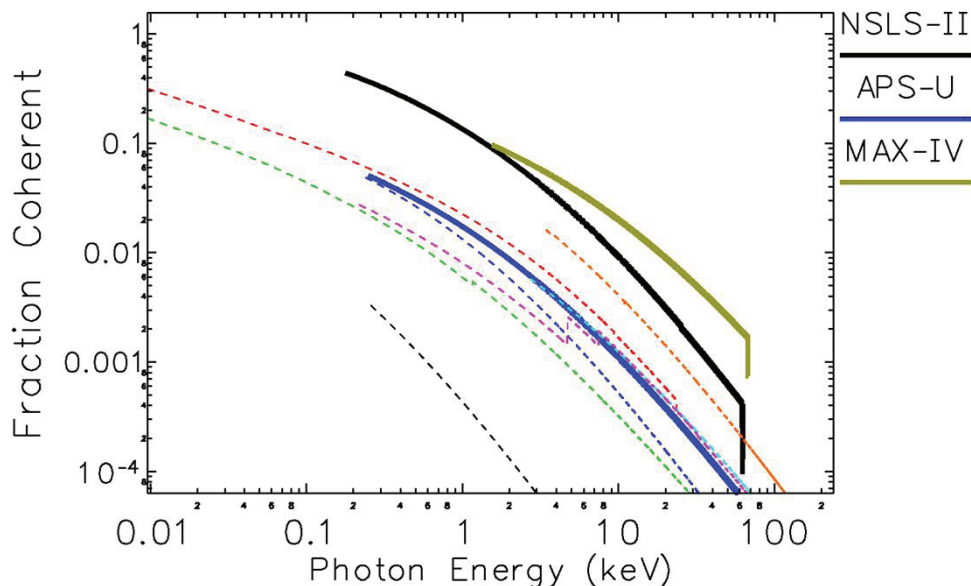
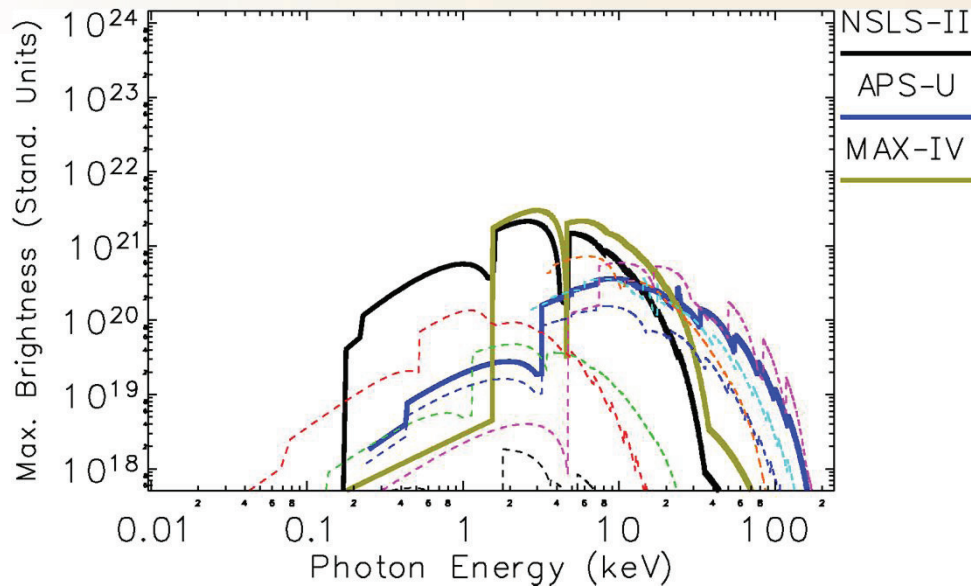


Best-available brightness and coherent fraction for selected presently-operating rings, with operating parameters and insertion devices.

Parameters provided by facility contacts.

Compiled by M. Borland for BESAC Sub-Committee meeting, July 2013.

Brightness and coherence of near-future rings

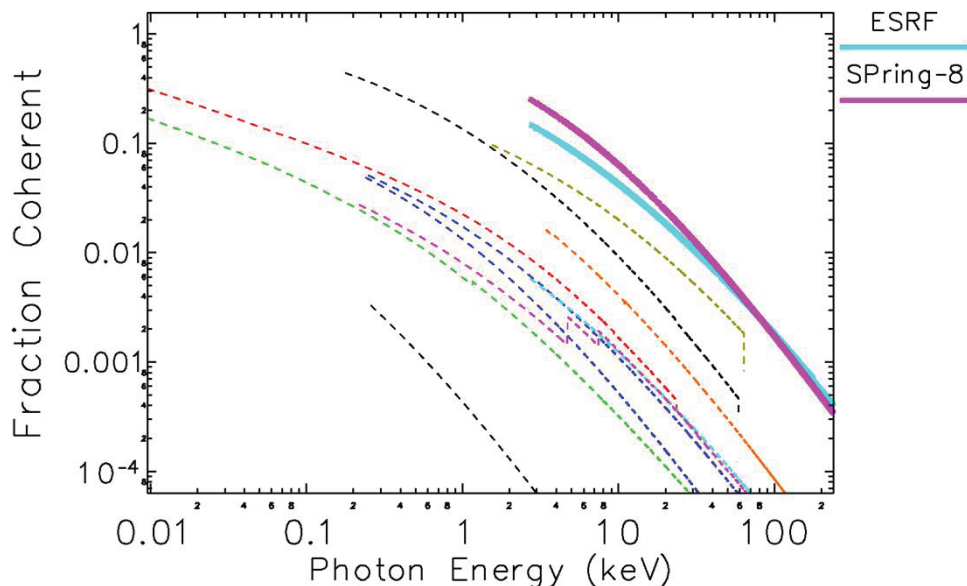
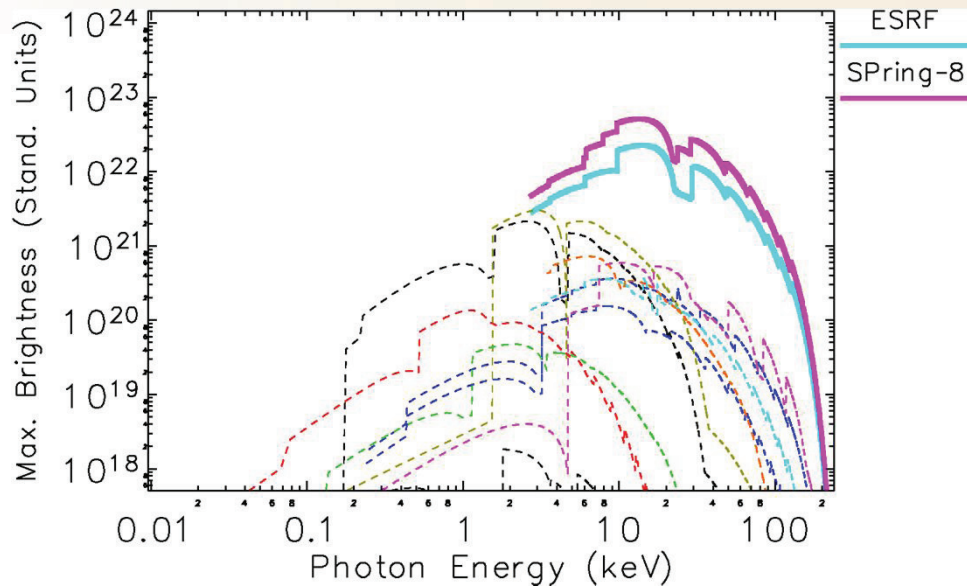


Selected rings/upgrades now under construction, with anticipated parameters and insertion devices

Parameters provided by facility contacts.

Compiled by M. Borland for BESAC Sub-Committee meeting, July 2013.

Brightness and coherence of planned rings



Selected upgrades now being planned (except APS-II), with anticipated parameters and ESRF-specified insertion devices.

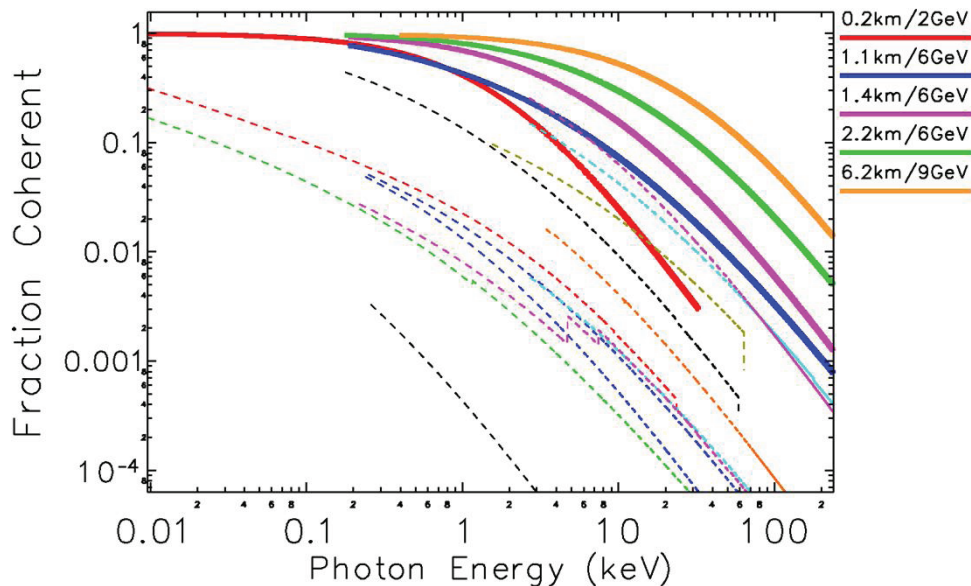
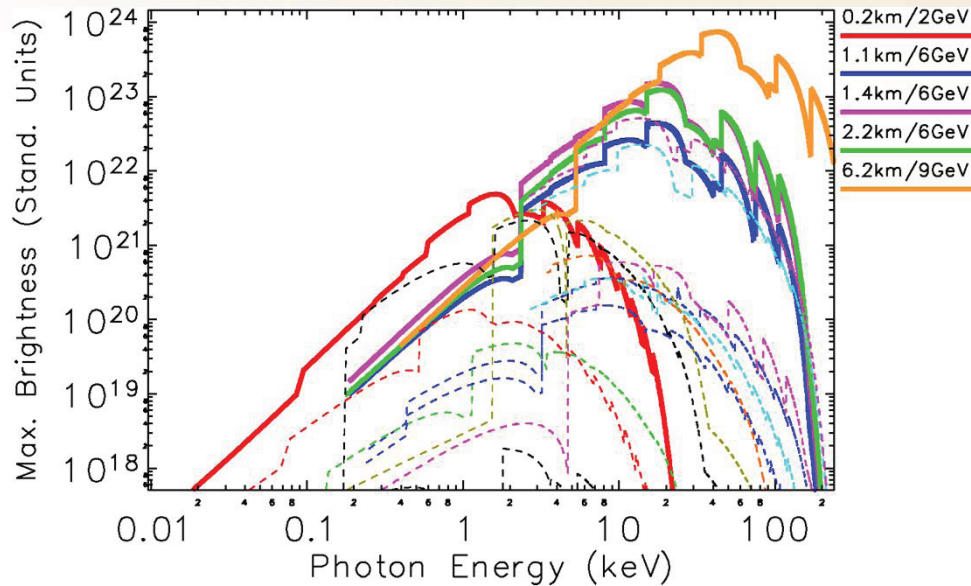
Parameters provided by facility contacts.

Compiled by M. Borland for BESAC Sub-Committee meeting, July 2013.

Notes:

1. ESRF-II: 6 GeV, 200mA, 150 pm
2. SPring-8-II: 6 GeV, 300 mA, 67pm

Brightness and coherence of future rings



Selected diffraction-limited rings now being designed, with identical Nb₃Sn superconducting insertion devices and some PM devices.

Parameters provided by facility contacts.

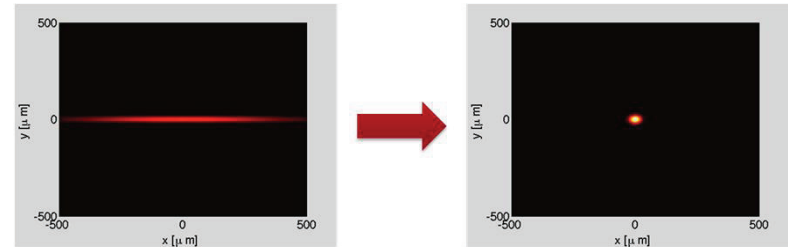
Compiled by M. Borland for BESAC Sub-Committee meeting, July 2013.

Notes:

1. 0.2km/2GeV: ALS-II, 52 pm
2. 1.1km/6GeV: APS-II, 80 pm
3. 1.4km/6GeV: SP8-II, 2nd stage, 34 pm
4. 2.2km/6GeV: PEP-X, 5 pm
5. 6.2km/9GeV: tauUSR, 3 pm
6. Except for 0.2km ring, uniform selection of SCUs and APS HPMs used.

Properties of DLSRs

- Brightness and coherence are as high as possible for given beam current
- Small horizontal and vertical beam sizes and the possibility of “round” beams
- Short bunches



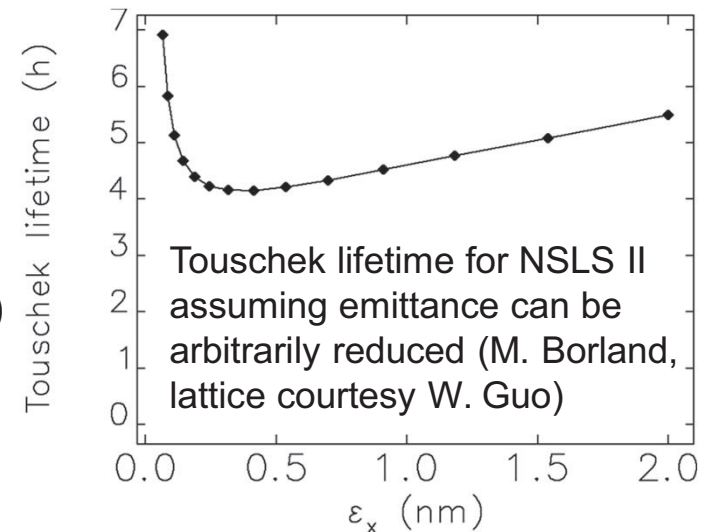
courtesy of C. Steier

~5-10 ps RMS from low momentum compaction factor – bunch lengthening usually needed to combat emittance growth from IBS and improve lifetime

- “Long” lifetime:

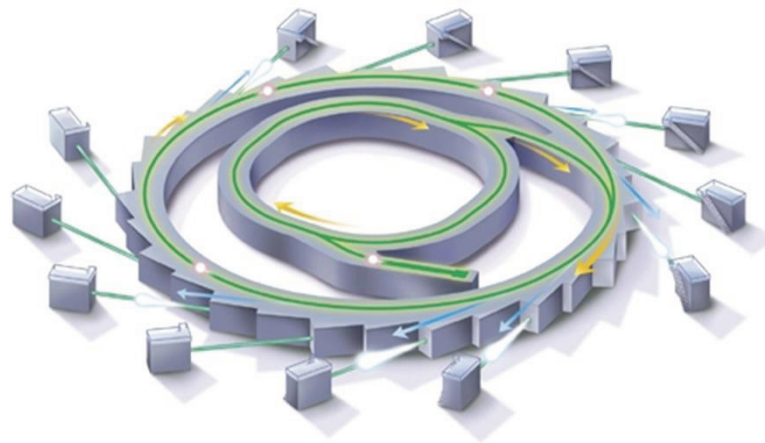
Touschek lifetime increases with small bunch dimensions

- Large circumference for multi-GeV rings (km)
- Damping wigglers used in some cases to combat IBS and reduce emittance by $\sim \times 2-3$
- On-axis “swap-out” injection for aggressive lattices having small dynamic aperture



DLSRs: so what?

BESAC Subcommittee on Future Light Sources: July 10-12, 2013



A consensus report on future opportunities from scientists at

ALS, LBNL

APS, ANL

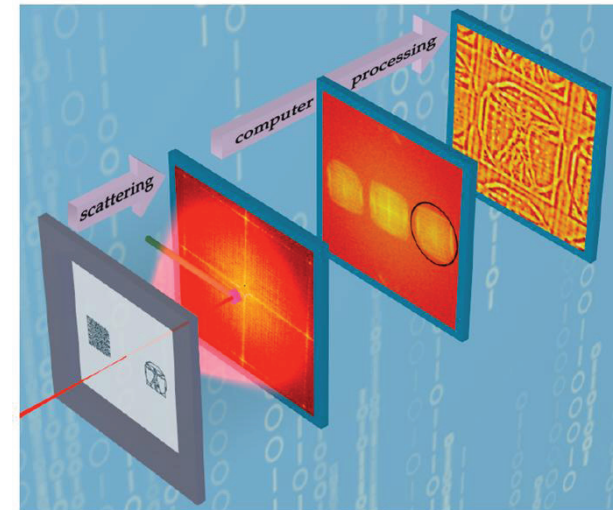
NSLS-II, BNL

SSRL, SLAC

together with a broad community of scientists
at laboratories and universities.

DLSR capabilities – coherence and brightness

- **Transversely coherent x-rays**
 - **Uniform phase wavefronts:** coherent imaging, holography, speckle, etc.
 - **Focusable to smallest spot size:** nano-focus
 - **High flux** ($\sim 10^{14}$ - 10^{15} photons/sec) in small spot: slits may not be required, etc.
 - **Round beams:** H-V symmetric optics, circular zone plates, flexibility in optics
- **Advanced applications**
 - **Coherent diffractive imaging** with wavelength-limited spatial resolution; **ptychography**
 - **Spectroscopic nanoprobes** using powerful x-ray contrast modes: XRF, XAS/XES, ARPES, RIXS
 - **Photon correlation scattering/spectroscopy**
 - Science case continues to be developed
- **Addresses “Grand Challenge Science”**
- **Some issues with coherence:**
 - **Reduced depth of focus** – a problem for some forms of imaging
 - **Speckle** from coherent beams a problem for some applications
 - These problems can be resolved by “spoiling” beam on beamline



Fundamental challenges of low emittance



- **Inescapable fact**
 - To reduce the amplitude of dispersive orbits, must focus more frequently and more strongly
- **Focusing (quadrupole) elements have chromatic aberrations**
 - Sextupole magnets added to correct these
 - Introduces higher order aberrations
 - More sextupoles or octupoles added to correct these...
- **As N_d is increased to reduce emittance¹**
 - Stronger chromatic correction sextupoles increase like N_d
 - Dynamic aperture decreases like $1/N_d$
 - Second order chromaticities increase like N_d
- **Stronger focusing leads to difficult non-linear dynamics**
 - Poor “momentum aperture” \Rightarrow reduced lifetime \Rightarrow frequent injection
 - Poor “dynamic aperture” \Rightarrow greater difficulty injecting \Rightarrow on-axis injection?

1: M. Borland, IPAC12, 1013-1017.

2: M. Borland, “Can APS Compete with the Next Generation,” 2002; L. Emery et al., PAC03, 256.

Fundamental challenges – cont.

■ Intra-beam scattering (IBS)

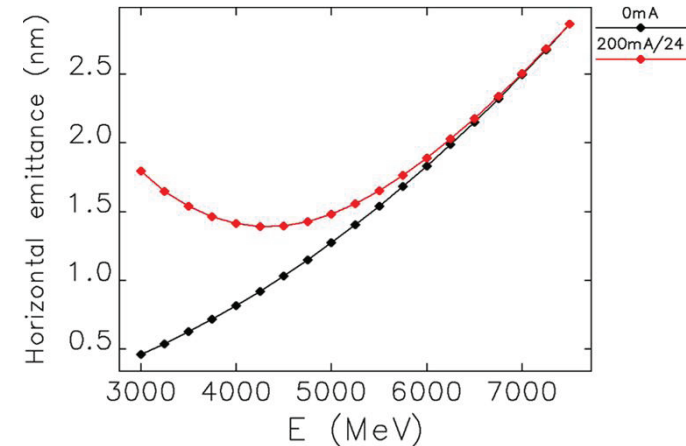
- Multiple electron-electron scattering in a bunch
- Leads to increased emittance and energy spread
- Fights the beneficial E^2 scaling of emittance
- **Mitigations:**
 - Many low-intensity bunches
 - Round beam s
 - Bunch lengthening system
 - Damping wigglers

■ Beam instabilities

- **Transverse:** resistive wall, ion trapping in multi-bunch mode, single bunch TMCI
 - Beam blow-up \Rightarrow brilliance reduction
 - transverse beam oscillations \Rightarrow beam losses
- **Longitudinal:** primarily from cavity HOMs
- **Mitigations:** mode-damped cavities, smooth chamber transitions, low-Z chamber material, low charge/bunch, longer bunches, feedback

■ X-ray optics

- Advances in optics needed to preserve coherence, handle high power densities
- Aided by developments for X-ray FELs

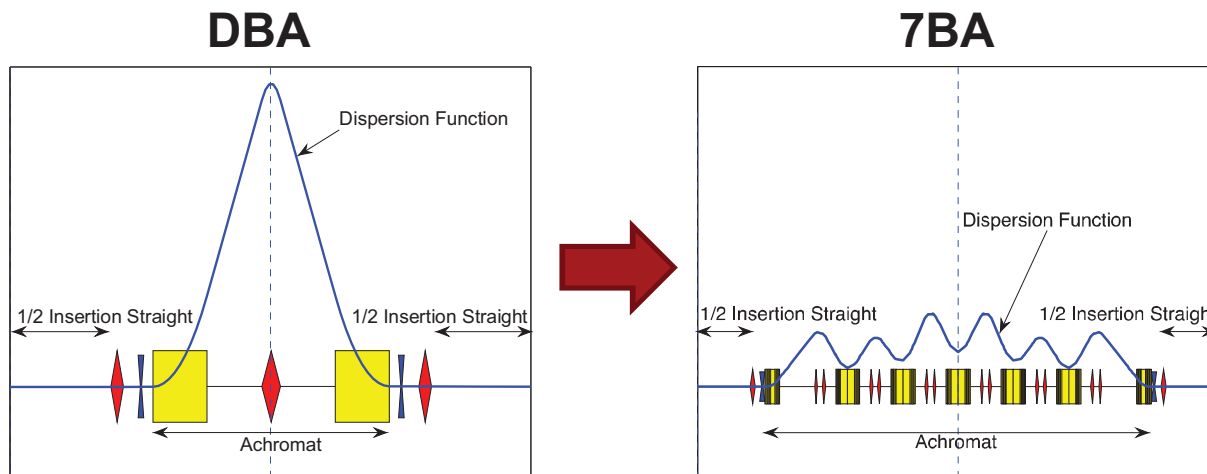


APS emittance at 200 mA as a function of energy with and without IBS

DLSRs: why now and not earlier?

Multibend achromat (MBA) lattices

- Lattice design evolution from DBA, TBA to 4BA,...MBA:
- Increased N_D , strong focusing, low dispersion, gradient dipoles
- History:
 - 1993: QDA by Einfeld et al. NIMA 335(3)
 - 1994: SLS early design with 7BA, short superbend (Joho and Streun, EPAC'1994)
 - 1995: 7BA by Einfeld et al. (0.5 nm-rad, 3 GeV, 400m), Proc. PAC 95



DLSRs: why now? – accelerator physics



from L. Nadolski,
ICFA LowEring,
Oxford 7/13

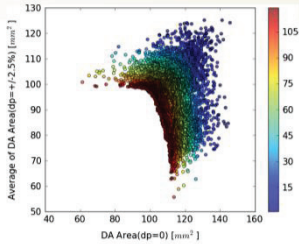


FIG. 1. The last generation of objective functions: DA of on-momentum (horizontal axis) and off-momentum (vertical axis) particles. Points are colored according to their rank.

Symplectic Tracking based methods

DA, MA separated

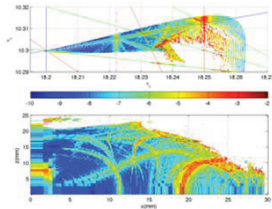
DA, MA together

Direct tracking based optimization

GLASS

Analytical based method

Genetic Algorithm
MOGA



Lie Algebra/Differential Algebra

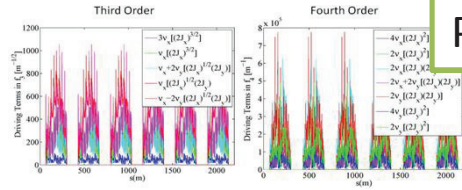
Frequency Maps
FMA
Diffusion factor

Resonance Driving Terms
RDT minimization

Amplitude Tuneshift
minimization

Nonlinear
"LOCO"

Canceling
Sextupole
Resonances



Phase advances

Resonance identification

Interleaved
sextupoles

K.L. Brown & R.V. Servranckx
Nucl. Inst. Meth., A258:480-502, 1987
There are still three tune shift terms.

Yunhai Cai
Nucl. Inst. Meth., A645:168-174, 2011.

Robustness to magnetic, alignment errors

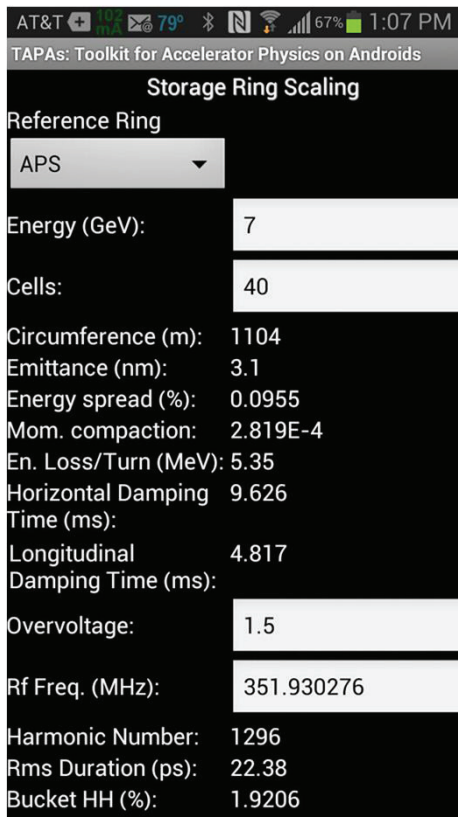
Robustness ID configurations

Tracking codes: PTC MADX TRACY AT LEGO OPA ELEGANT

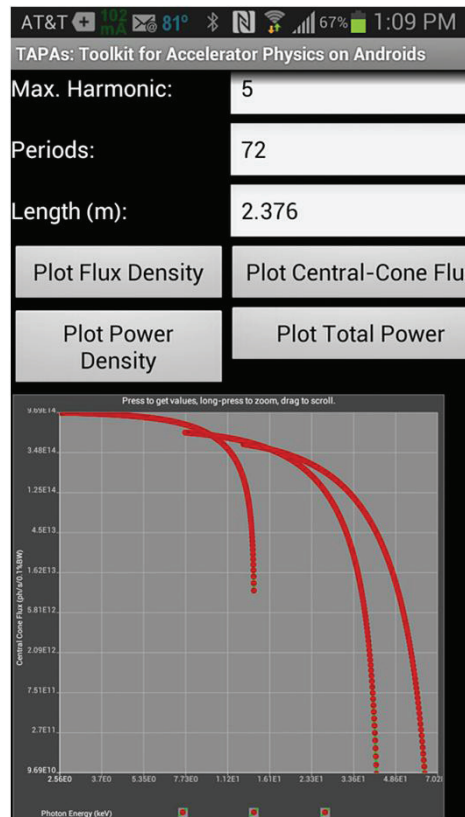
Ring design app for Android – M. Borland

- A free Android app is available that lets you explore storage ring scaling
 - Also has synchrotron radiation calculations, FELs, top-up/swap-out, etc.
 - Search for “Michael Borland TAPAs” on the Google store

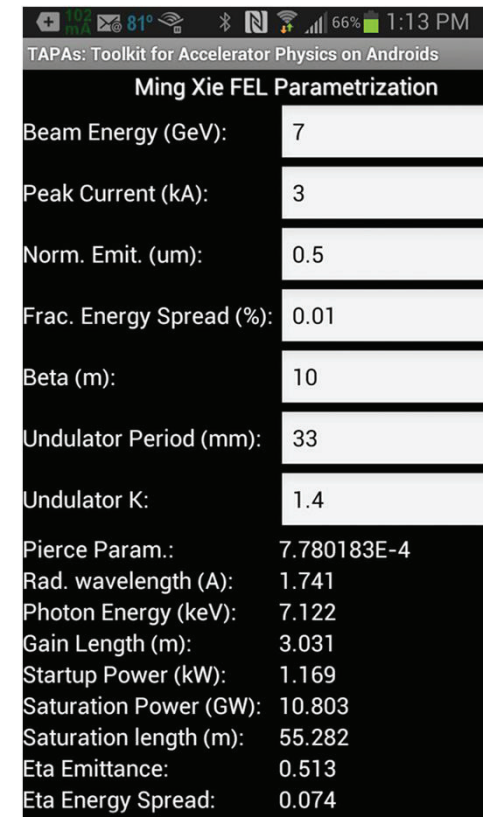
Ring scaling



Undulator radiation



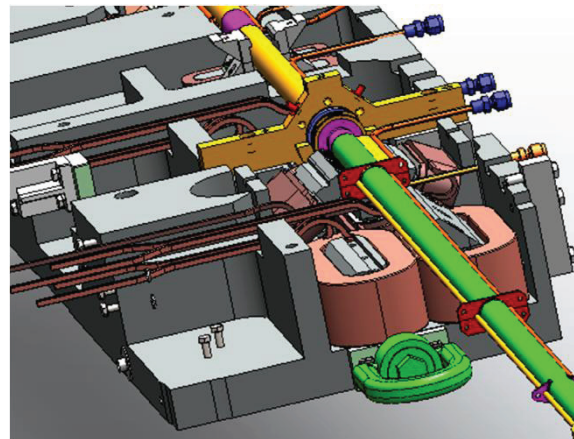
FEL estimation



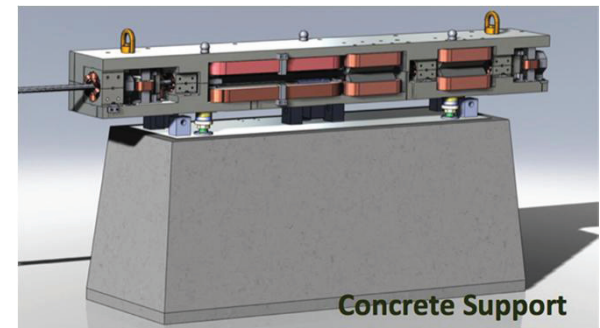
DLSRs: why now? – cont.

Compact magnet and vacuum technology

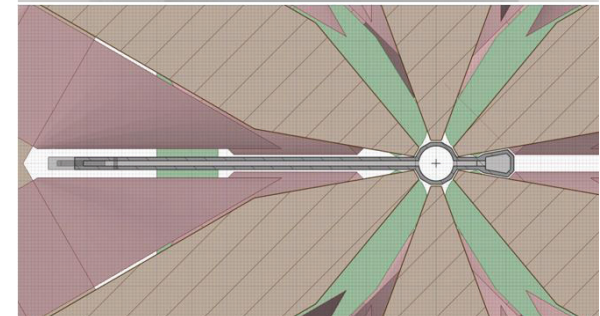
- NEG-coated vacuum chambers enable small apertures to enable high magnet gradients
 - Pioneered at CERN, used extensively at Soleil, and adopted for MAX-IV and Sirius MBA lattices
- Precision magnet pole machining for small aperture magnets, combined function magnets, tolerance for magnet crosstalk (developed extensively at MAX-Lab)



MAX-IV
Courtesy S. Leemans



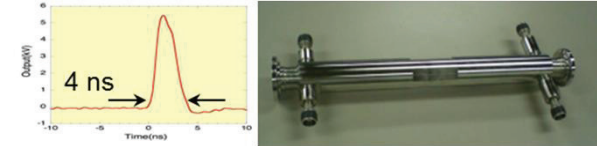
SPRING-8
concept
K. Soutome



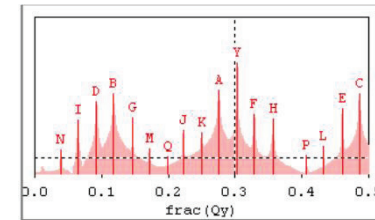
DLSRs: why now? – cont.

Other advances in accelerator and light source technology

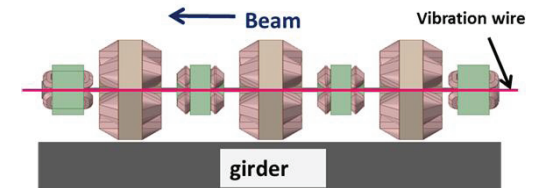
- Fast kickers for swap-out injection
- Sub-micron e- BPMs and orbit feedback
- Accelerator and beam line component mechanical stabilizing systems
- Micron resolution single pass BPMs (non-linear lattice tuning)
- “In-situ” magnet measurement and alignment methods (e.g. NSLS-II)
- Mode-damped RF cavities (fundamental and harmonic)
- Highly stable solid state RF power sources
- High performance X-ray optics to preserve coherence (e.g. for FELs)
- High performance IDs (superconducting, Delta, etc.)



Fast kickers – KEK ATF

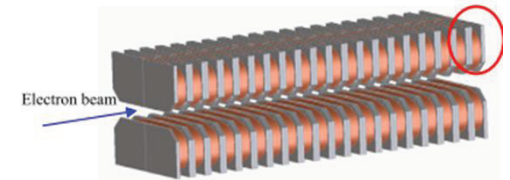
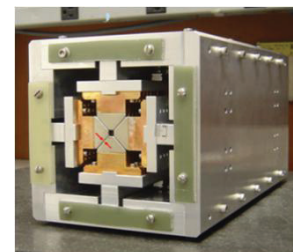


Higher order resonances detected by turn-turn BPMs (A. Franchi)



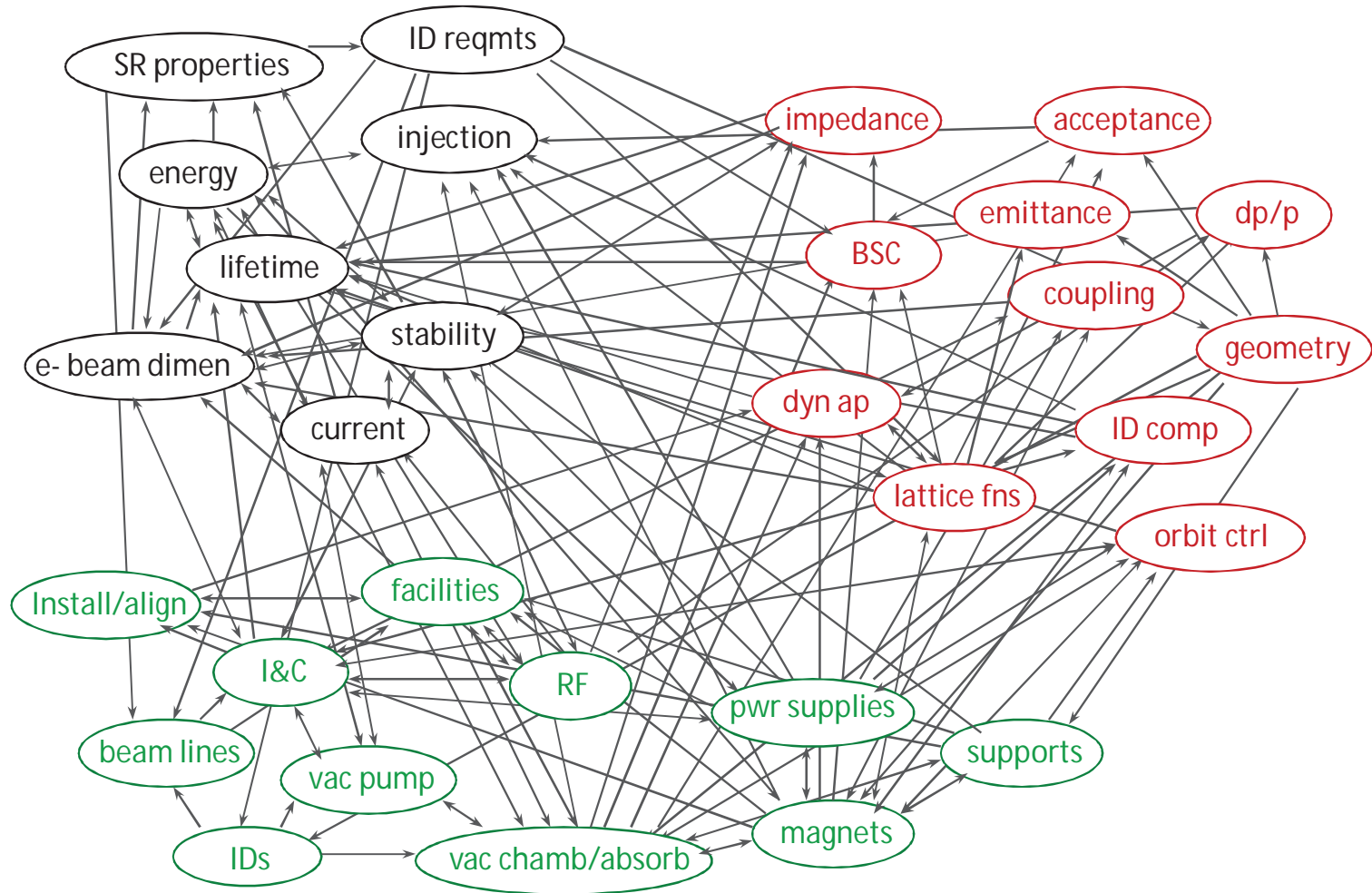
SPring-8 concept based on NSLS-II vibrating wire method - K. Soutome

Delta undulator prototype - A. Temnykh



SC undulator development at LBNL (S. Prestemon et al.), APS (E. Gluskin et al.) and elsewhere

DLSR design optimization



DLSR design – some comments on optimization

Brightness/coherence vs. flux

- User community is divided – some need flux, not brightness
- Possible to get same coherent flux with high current/low coherent fraction as with high coherent fraction/lower current (e.g. PEP-X v1 @ 1.5 A)
- High flux, high emittance is generally less expensive
- Diminishing return on coherent fraction and flux as emittance is reduced

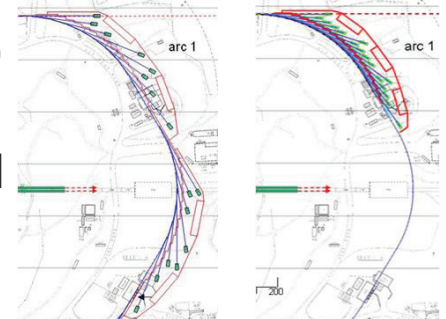
Electron energy

- High energy rings (6+ GeV) are large and costly ($\varepsilon \sim E^2/C^3$, RF voltage $\sim E^4/C$)
- Hard X-ray brightness can be reached with lower E, lower ε using high harmonics from high performance IDs (especially superconducting)

Lattice geometry

- ID straight section length is always an issue (5 m vs 12 m, etc)
- Spacing between ID straights is an issue with large rings: spacing between beam lines can lead to extremely large and costly experimental halls. Consolidating beam lines with hybrid lattice may be necessary

16 beam lines in PEP-X with 7BA (left) and DBA/TME hybrid (right)



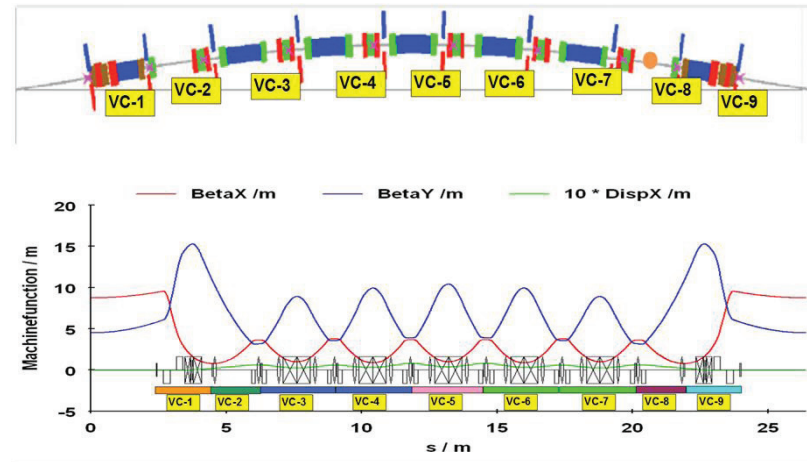
Cost-performance optimization needed for every light source design

Science case should drive the optimization (is 10 or 1 pm worth it? – maybe!)

MBA Lattices are becoming a reality – new rings

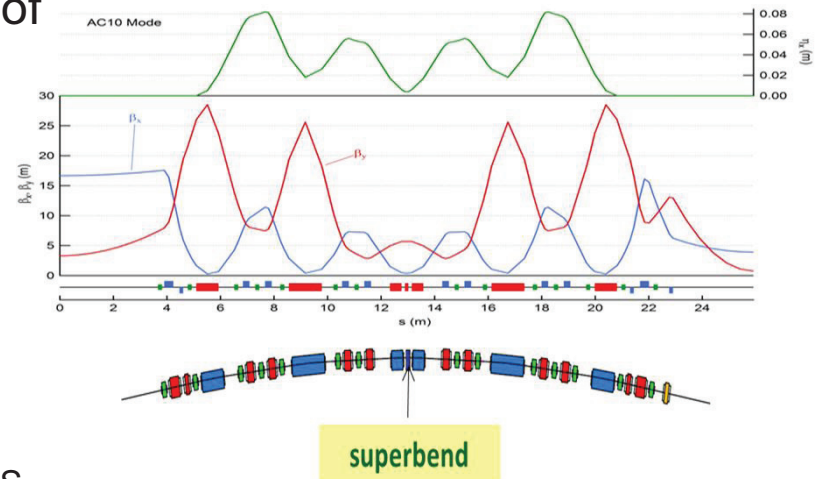
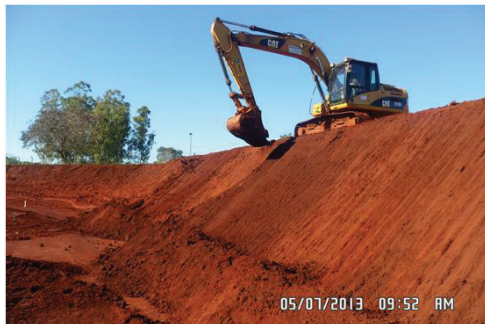
MAX-IV (Sweden) is taking the first pioneering step with 7BA, under construction

3 GeV, 528 m, 0.25 nm



Sirius (Brazil) just started construction of 5BA with superbend

3 GeV, 518 m, 0.28 nm



Existing rings are planning conversion to MBA

SLAC

ESRF (France)

6 GeV, 844 m, 4 nm \rightarrow 0.15 nm

- Dispersion bumps for efficient sextupoles
- Longitudinally varying field in D1, D2, D6, D7 to further reduce emittance
- Combined dipole-quadrupoles D3-4-5
- High-gradient focusing quadrupoles
- Permanent magnet dipoles

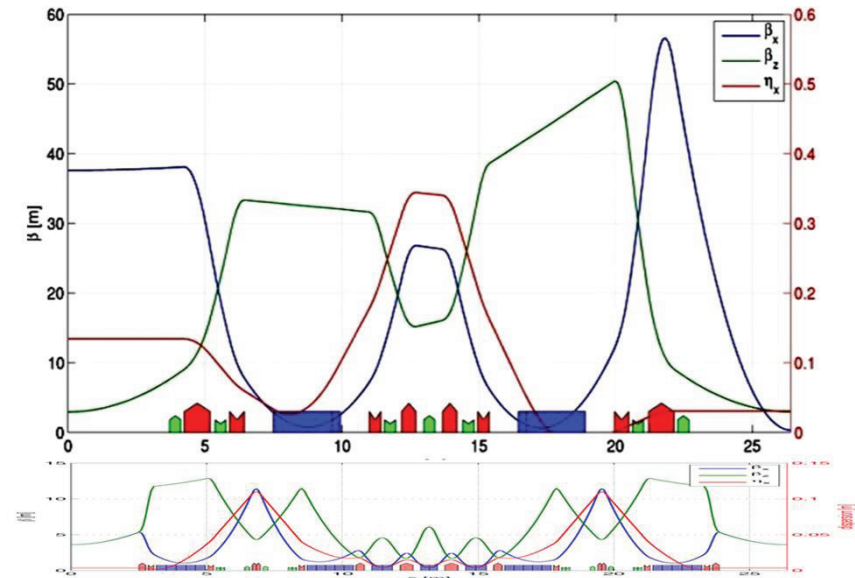
SPRING-8 (Japan)

8 \rightarrow 6 GeV, 1436 m, 2.8 nm \rightarrow \sim 40-80 pm

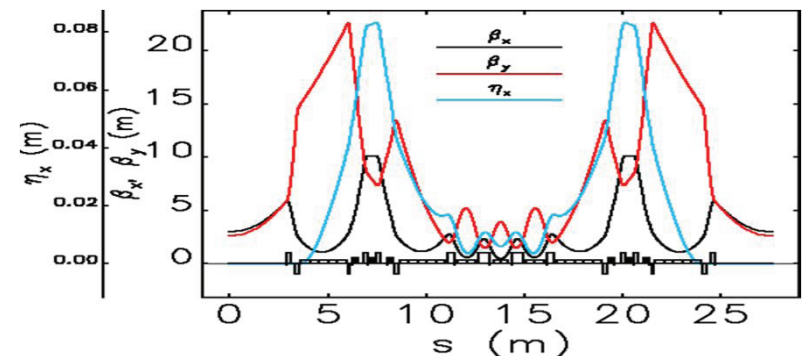
APS (US - preliminary)

7 \rightarrow 6 GeV, 1104 m, 3.1 nm \rightarrow \sim 60-80 pm

- Dispersion bumps like ESRF
- On-axis injection
- Superconducting undulators



SPRING-8 MBA lattice under development

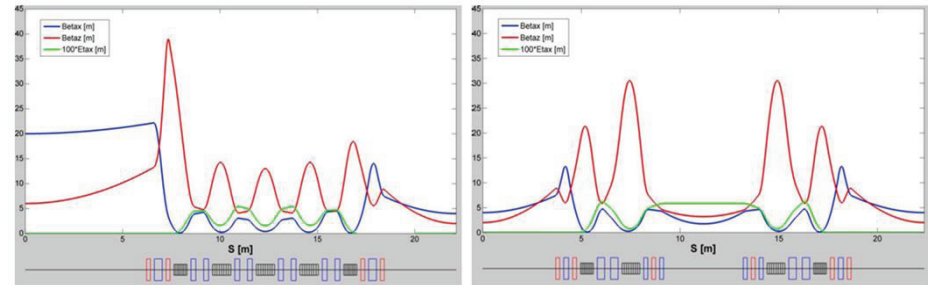


Other rings would like to convert lattices in future

Soleil (France)

2.75 GeV, 354 m, 3.9 nm → 0.5 nm

- 4BA or 5BA



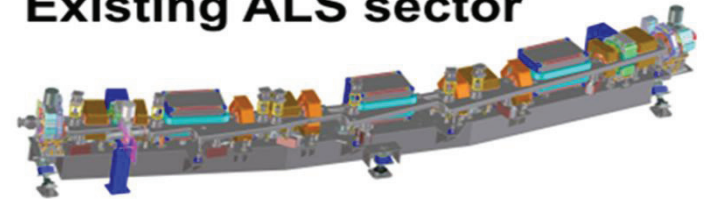
Lattice and envelop functions for the SDL-SDM (left) and SDM-SDC-SDM cells (right), altogether representing 1/8th of the ring

ALS (US - LBNL)

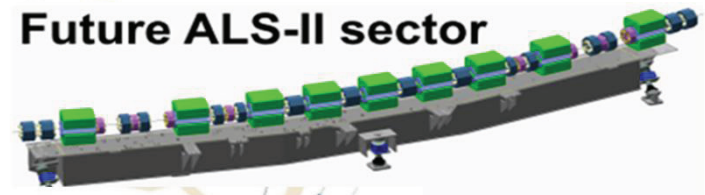
1.9 GeV, 200 m, 2 nm → 52x52 pm

- 9BA
- Swap-out injection from accumulator ring
- 3-T PM superbend insertions

Existing ALS sector

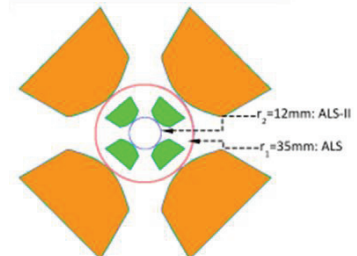
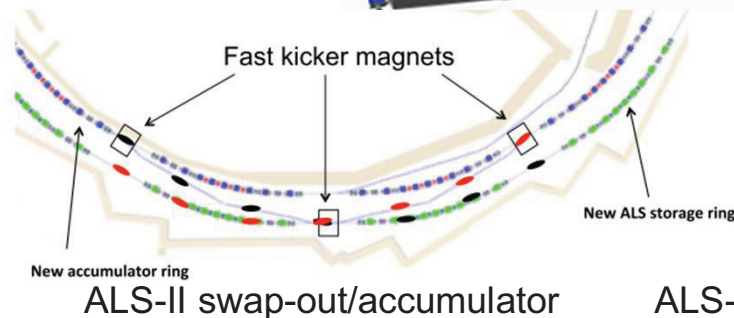


Future ALS-II sector



Other rings:

- SLS (Switzerland - PSI)
2.4 GeV, 5 nm → 0.25 nm
- NSLS-II (US): ?
-



ALS-II 24-mm ID chamber

Future green-field DLSRs?

BAPS (China - Beijing)

5 GeV, 1-1.5 km, <100pm

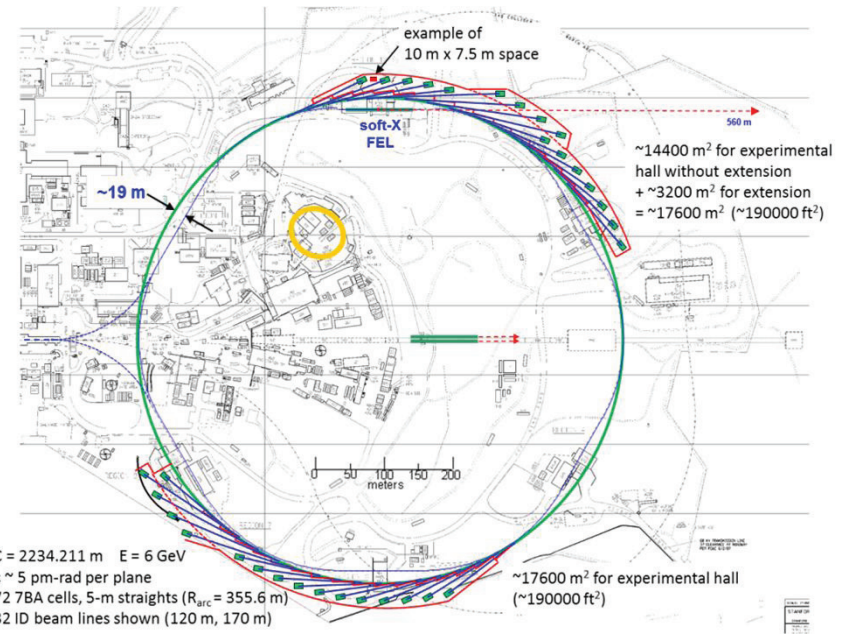
- Preliminary proposal



PEP-X (US - SLAC)

6 GeV, 2.2 km, 5 x 5 pm

- 7BA
- Not for a long time given LCLS-II at SLAC



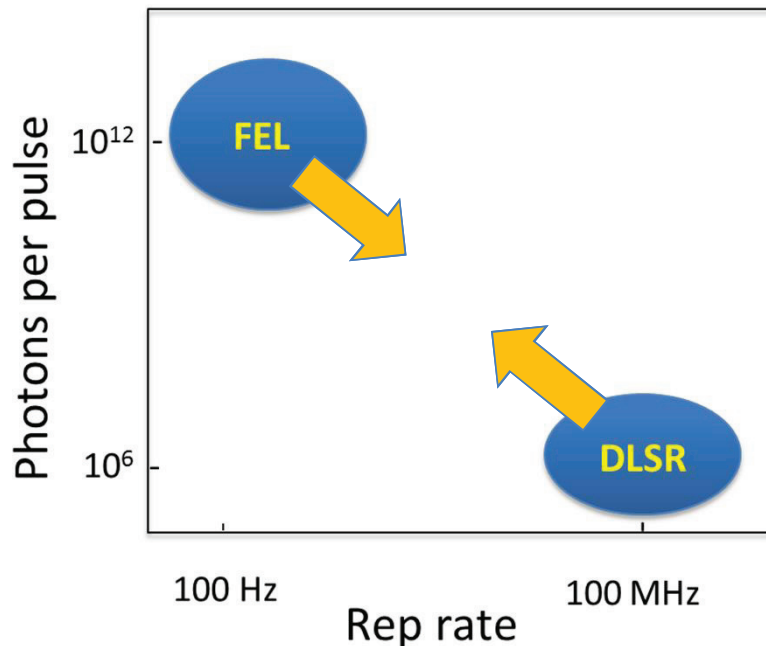
TauUSR (US - Fermilab)

9 GeV, 2π km, 1.5 x 1.5 pm

- 7BA
- A πpe dream?

Ultimate storage rings?

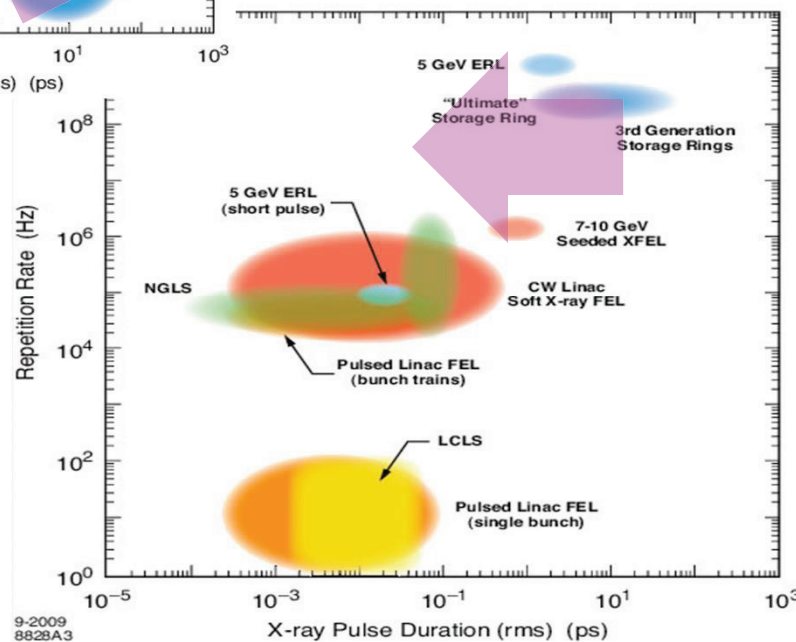
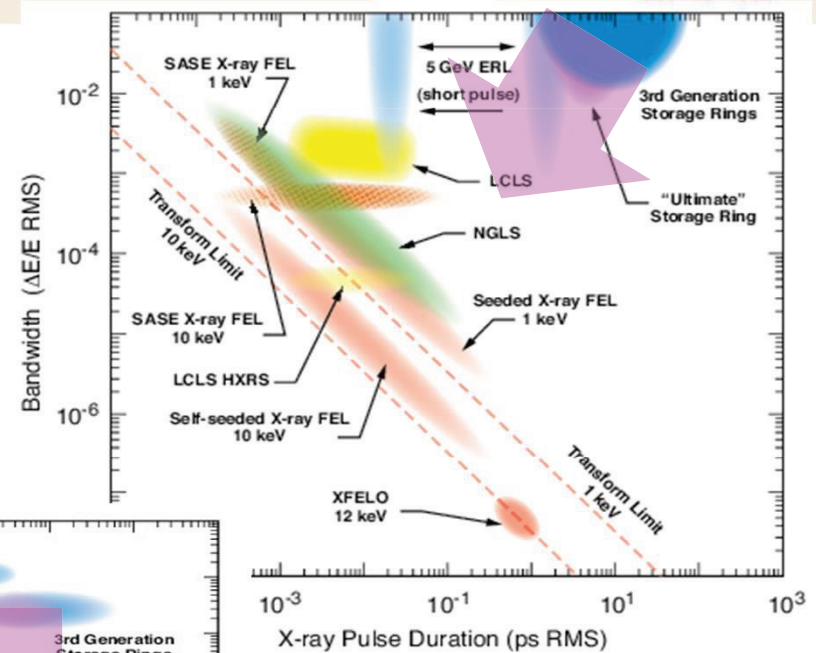
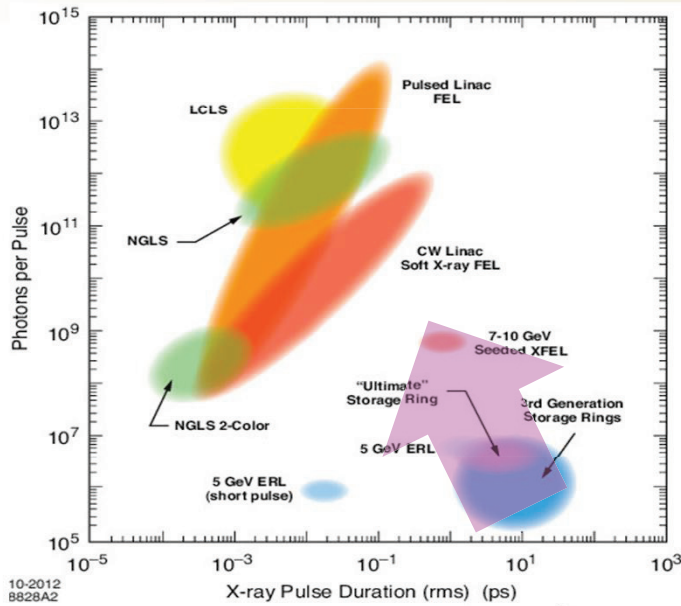
- The term “ultimate storage ring” was first use in 2000:
A. Ropert, J.M. Filhol, P. Elleaume, L. Farvacque, L. Hardy, J. Jacob, U. Weinrich, "**Towards the Ultimate Storage Ring-Based Light Source**", Proc. EPAC 2000, Vienna.
- “Ultimate” inferred reducing emittance towards the diffraction limit for X-rays
- “Ultimate” may have many meanings, including providing everything for every user
- One way to make storage rings more “ultimate”:



FELs becoming more ring-like: higher rep rate, reduced photons/pulse (e.g. NGLS)

Can rings become more FEL-like: increased ph/pulse, reduced energy spread, short pulses, lasing?

Light source performance: other metrics



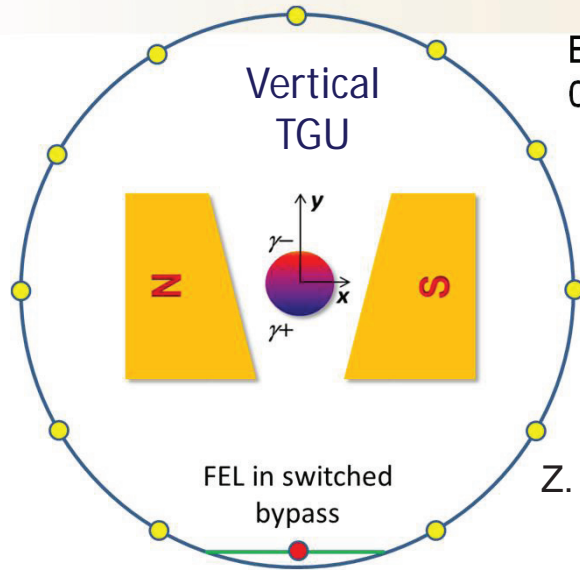
J. Corlett, R. Hettel, "Performance Requirements and Metrics for Future X-ray Sources", Proc. PAC09, Vancouver

SASE with transverse gradient undulator

SLAC

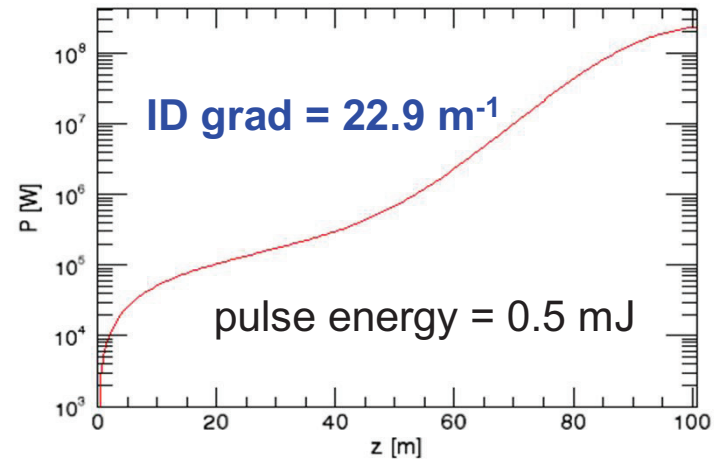
$E = 4.5 \text{ GeV}$ $\varepsilon_{x/y} = 160 / 1.6 \text{ pm}$ $\delta E/E = 1.6 \times 10^{-3} \text{ rms}$ $Q = 0.75 \text{ nC}$ $\eta_y = 0.05 \text{ m}$ $\beta_{x/y} = 16 / 50 \text{ m}$ $\sigma_\beta = 52 \text{ mm}$ $\sigma_\eta = 78 \text{ mm}$ $\lambda_u = 3 \text{ cm}$ $K = 3.7$

$\sigma_z = 1 \text{ ps}$ $I_{pk} = 300 \text{ A}$ $\lambda_{ph} = 1.5 \text{ nm}$



Bunch switched into FEL bypass (10-100 kHz)

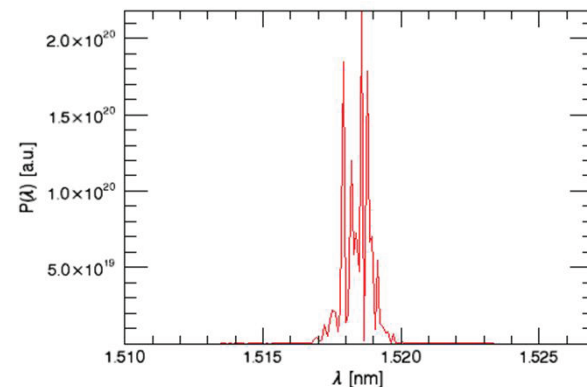
Z. Huang, Y. Cai, Y. Ding
IPAC 2013



Reduce longitudinal emittance to reach high peak current – a challenge for future ring designers!



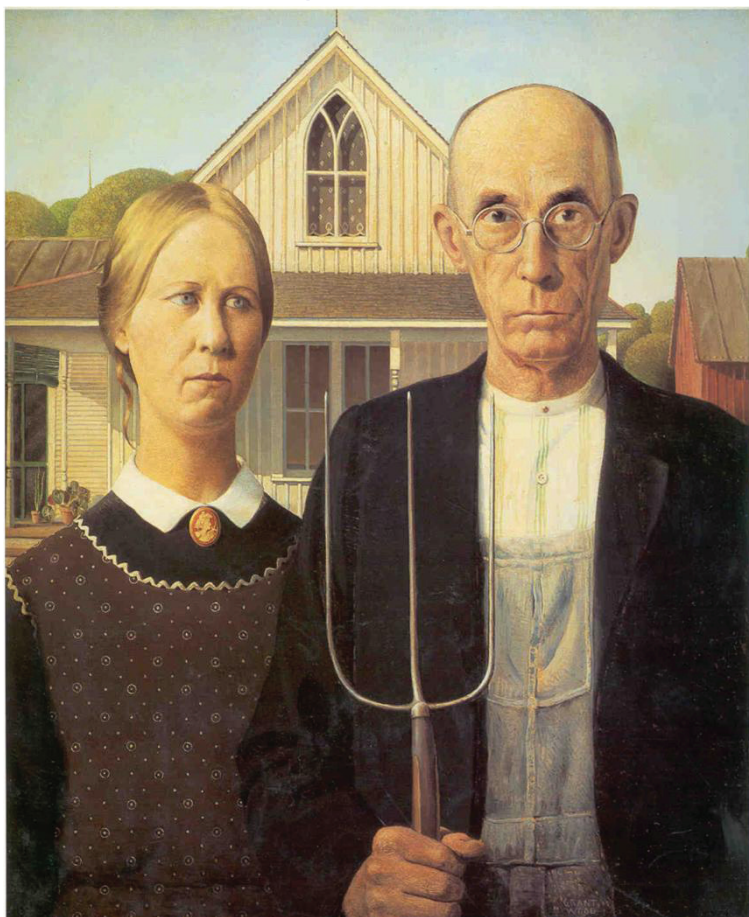
8 CEBAF SC cavities in a cryomodule produce 108 MV for longitudinal focusing



Hard XFEL oscillator? – K-J Kim

A new generation of storage ring light sources

3rd generation



MBA generation



Thank You!