

# Design Studies and Optimization of Future X-ray FELs Based on Advanced High Frequency Linac

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# Outline

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1. Advantages of High Frequency Linacs
2. RF to Beam Energy Conversion Efficiency
3. Short Beam (e.g., Single or Two Bunch XFEL)
4. Long Beam
5. Scaling Laws for XFEL Linacs
6. Costs, Risk and Tolerances
7. X-band Driven Linacs Light Sources
8. Summary

# NC Linac Driven Light Sources

(Operating, Under Construction, Proposed)

	GHz	MV/m	GeV	nC	Hz
LCLS (U.S.)	S (2.9)	17	4 ~ 14	0.02~ 0.25	120
SCSS (Japan) ●	C (5.7)	35 ~ 39	6 ~ 8	0.3	60
LLNL/SLAC MEGA-ray ●	X(11.4)	80	0.25	0.25	60
SwissFEL ●	C	26	2.1 ~ 5.8	0.2	100
PAL (Korea) ●	S/C	25~27/40	10	0.2	60
SPARX-FEL (Italy) ●	S/C	23.5/35	2.6	1	
MAX IV (Sweden) ●	S/C	> 25/>35	3.5	0.1	100
Shanghai XFEL (China) ●	C	40	6.4	0.2	
ZFEL (Netherlands) ●	X	100	2.1	0.01 ~ 0.1	10 ~ 1000

- Compact – Affordable site size
- High Efficiency – Available AC Power

# High Frequency Advantages

- ✓ High gradient -- a compact Linac
- ✓ High efficiency-- less AC Power Needed

**Potential drawback -- larger transverse wakefields**

- × May need larger iris radius to reduce short-range wakes
- × May need HOM damping to reduce long-range wakes

**XFELs: bunch charge low ( $< 250$  pC) and short ( $< 30$  microns). wake effects generally small**

How about Cost, Risk and Tolerance ?

## 2. RF to Beam Energy Conversion Efficiency

$$\eta = \frac{r_s I_b T_b}{G} (1 - e^{-2\tau}) / (T_b + 2\tau Q / \omega)$$

Normal Conducting  
Constant Gradient  
Very Low Beam Loading  
Travelling Wave Structure

To maximize,  $\eta$

$$e^{2\tau_{opt}} - 2\tau_{opt} = T_b \omega / Q + 1$$

Quality factor,  $Q$

Beam current,  $I_b$

Shunt impedance,  $r_s$

RF frequency,  $\omega$

Acceleration gradient,  $G$

Beam train length,  $T_b$

Field attenuation constant,  $\tau$

$$\tau_{opt} \approx \begin{cases} \sqrt{\frac{T_b \omega}{2Q}} & \text{Single/Two Bunch (SB)} \\ \frac{1}{2} \log\left(\frac{T_b \omega}{Q} + 1\right) & \text{Long Bunch Train (LB)} \end{cases}$$

For constant average iris radius / rf wavelength ( $a/\lambda$ ), efficiency ( $\eta$ ) increases and power per unit length (P/L) decreases at higher frequency

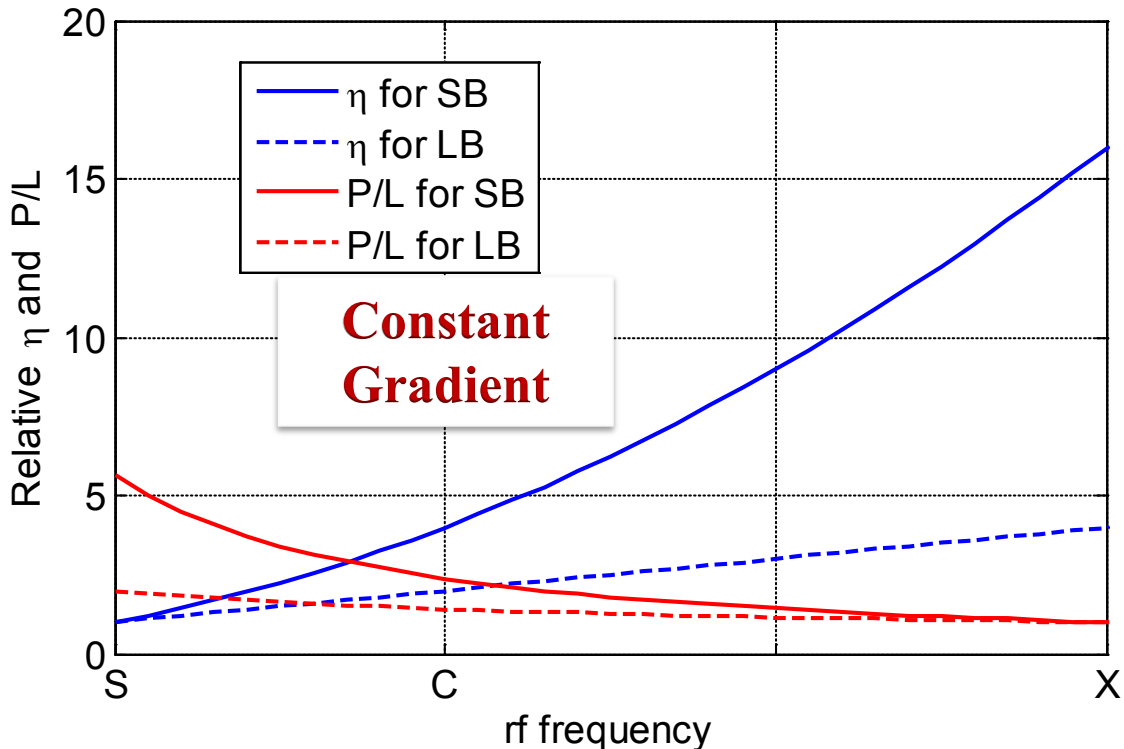
$$\eta \approx \begin{cases} \frac{I_b T_b}{G} \frac{r_s \omega}{Q} \propto \omega^2 G^{-1} \\ \frac{I_b T_b r_s}{G} \propto \omega G^{-1} \end{cases}$$

$r_s \sim \omega^{1/2}, Q \sim \omega^{-1/2}$

$$\left. \begin{aligned} \frac{G^2}{2\tau \cdot r_s} &\propto G^2 \omega^{-5/4} \\ \frac{G^2}{r_s} &\propto G^2 \omega^{-1/2} \end{aligned} \right\} \approx P/L$$

$\leftarrow$   
**SB**  
 $\rightarrow$

$\leftarrow$   
**LB**  
 $\rightarrow$



**G = const.**  
**S → X**  
**SB/LB**  
 $\eta \nearrow 16/4$   
 $P \searrow 6/2$

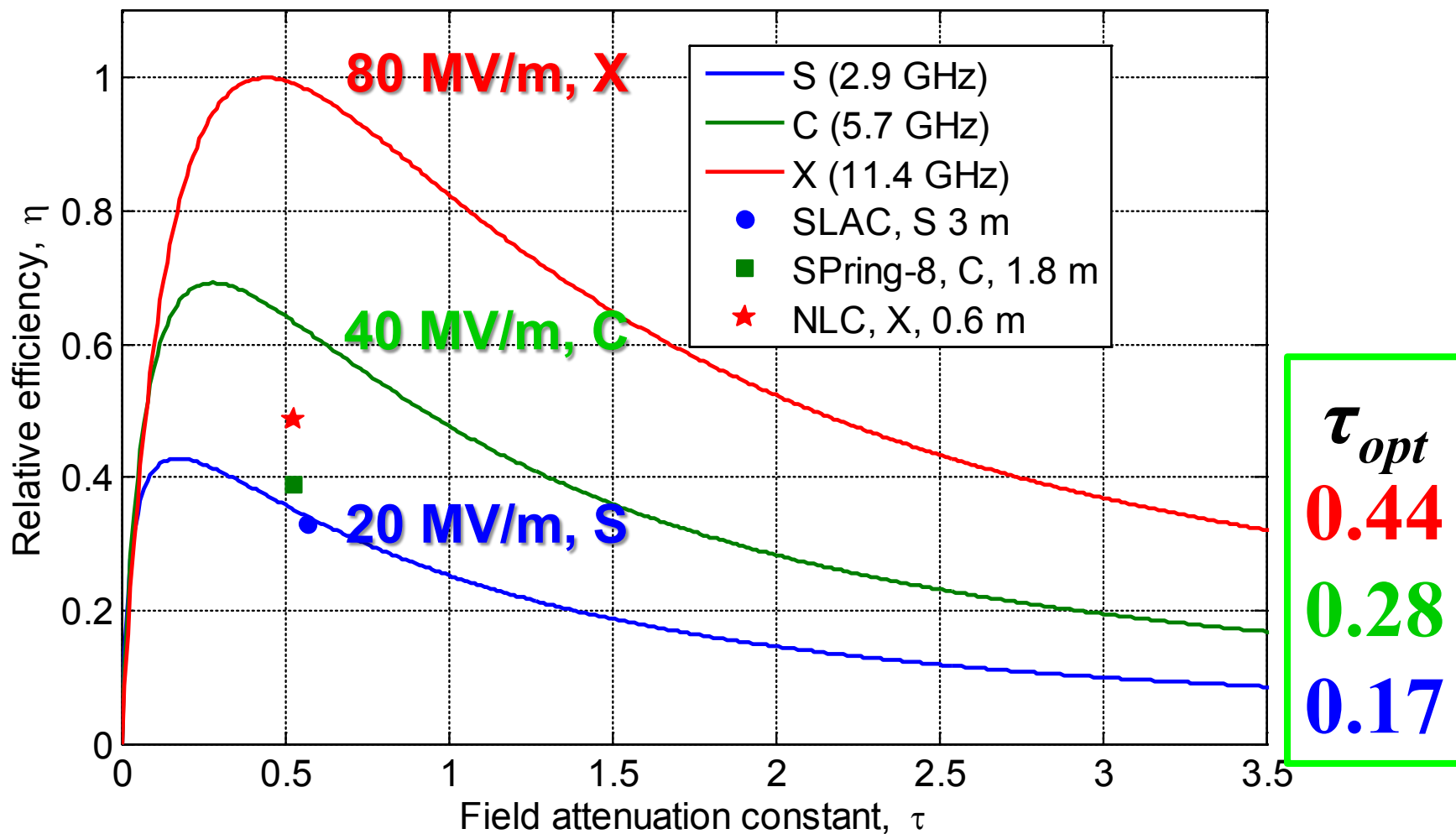
**However,**  
**a/λ and gradient**  
**generally not**  
**constant with**  
**frequency**

# Existing Accelerators for Linear Colliders

(Optimized for Higher Beam Loading)

	<b>S</b>	<b>C</b>	<b>X</b>	<b>Unit</b>
<b>Structure</b>	SLAC S-band	SCSS C-band	NLC H60VG3	
<b>RF Frequency</b>	<b>2.9</b>	<b>5.7</b>	<b>11.4</b>	<b>GHz</b>
<b>Length</b>	<b>3</b>	<b>1.8</b>	<b>0.6</b>	<b>m</b>
<b>Filling time, <math>T_f</math></b>	<b>830</b>	<b>286</b>	<b>105</b>	<b>ns</b>
<b>Shunt Impedance</b>	<b>53 ~ 60</b>	<b>53.1</b>	<b>48.8 ~ 77.8</b>	<b>MΩ/m</b>
<b>Gradient</b>	<b>20</b>	<b>35</b>	<b>65</b>	<b>MV/m</b>
$U_{rf}/U_b, U_{rf} =$	<b>0.46</b>	<b>0.34</b>	<b>0.25</b>	<b>J/MeV</b> <b>(<math>T_b = 50\text{ns}</math>)</b>
$P_{rf}*(T_f + T_b)$	<b>1.08</b>	<b>1.55</b>	<b>2.20</b>	<b>J/MeV</b> <b>(<math>T_b = 1250\text{ns}</math>)</b>

# CG-TW Structure Efficiency for $T_b = 50$ ns and Low Beam Loading



Curves assume the same  $a/\lambda$  where  $r \sim \omega^{1/2}$ ,  $Q \sim \omega^{-1/2}$

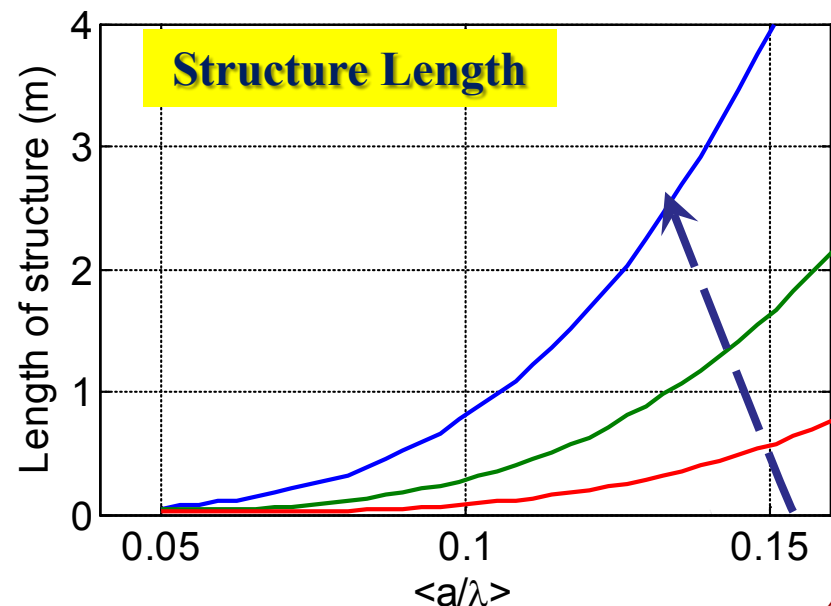
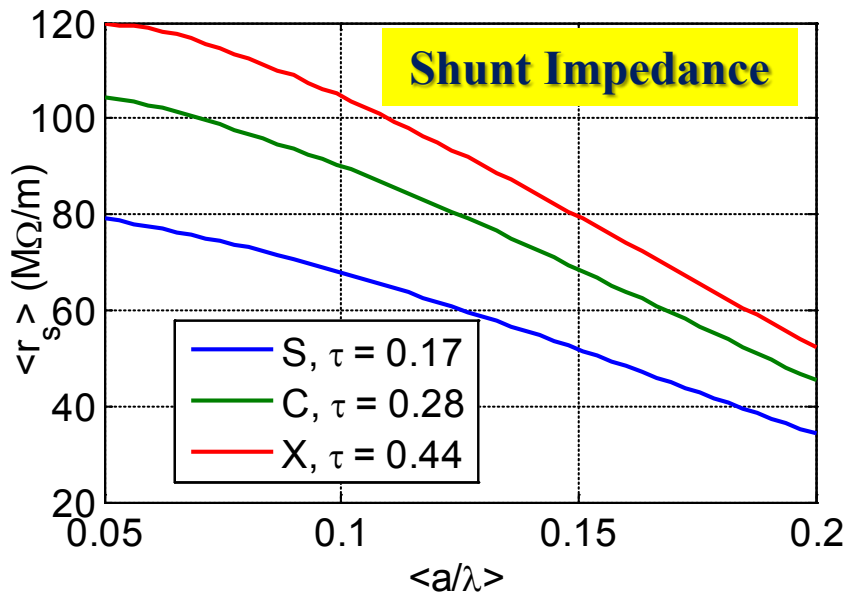


# Choosing $a/\lambda$ -vs- Frequency

Want high shunt impedance ( $r_s$ ) but reasonable wakefields

Also want long TW structure length to minimize cost (SW cavities also considered but would lose a factor of two in efficiency)

Choose average  $a/\lambda = 0.13-0.15$  (dashed line) so structures similar in length to those for linear colliders – wakes manageable at X-band



# Optimum Structures for $T_b = 50$ ns

Frequency	S (2.9)	C (5.7)	X (11.4)	GHz
Length	2.4	1.2	0.6	m
Fill time, $T_f$	265	150	77	ns
Iris radius, $\langle a/\lambda \rangle$	0.13	0.14	0.15	
Average Shunt impedance	58	74	87	M $\Omega$ /m
Gradient	<b>20</b>	<b>40</b>	<b>80</b>	MV/m
Peak rf power	57	60	77	MW
RF to beam energy ratio, $U_{rf}/U_b$	<b>0.37</b>	<b>0.25</b>	<b>0.20</b>	J/MeV

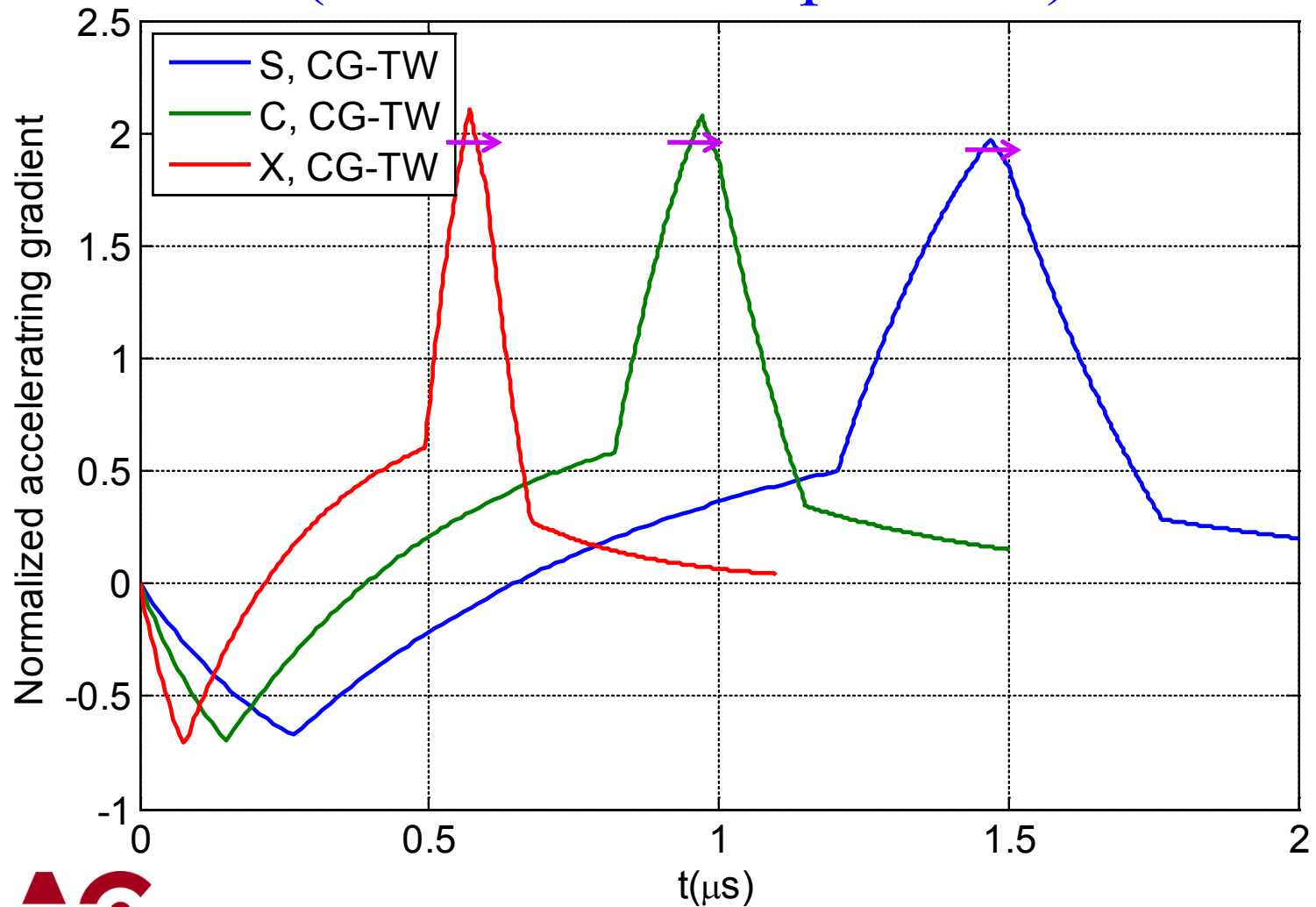
# Available RF Power Sources

	<b>S</b>	<b>C</b>	<b>X</b>	Unit
<b>Klystron</b>	<b>5045</b>	<b>E3746</b>	<b>XL4</b>	
<b>Maximum Pulse Width, <math>T_{k, \max}</math></b>	<b>3.5</b>	<b>2.5</b>	<b>1.5</b>	$\mu\text{s}$
<b>Structure Fill Time, <math>T_f</math></b>	<b>265</b>	<b>150</b>	<b>77</b>	ns

Given  $T_f \ll T_{k, \max}$ , use SLED (SLAC Linac Energy Doubler) to boost rf peak power – not particularly efficient, but cost effective

# Acceleration Boost with SLED Cavities

(for two bunch operation)



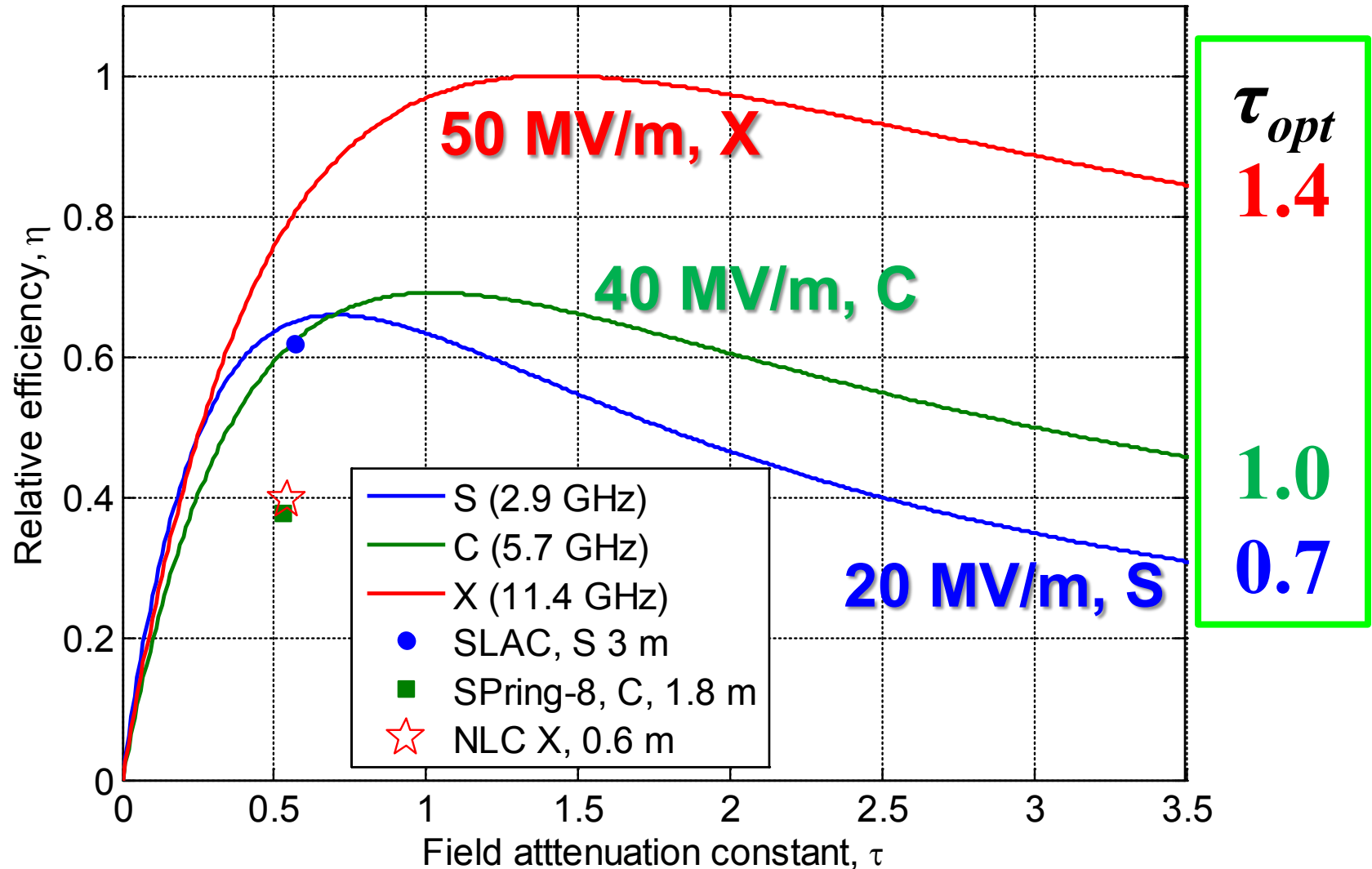
# Summary Per RF Station for $T_b = 50$ ns

	S (2.9)	C (5.7)	X (11.4)	GHz
Peak rf power, $P_k$	$1 \times 65$	$1 \times 50$	$2 \times 50$	MW
RF pulse length, $T_k$	1.5	1	0.6	$\mu$ s
Efficiency of SLED	71	65	64	%
Gradient, $G$	20.3	40	77.4	MV/m
Number of Structures	4	3	5	
Beam energy, $U_b$	196	145	234	MeV
Rf to beam energy ratio, ( $U_{rf} = P_k * T_k$ ), $U_{rf}/U_b$	0.5	0.34	0.26	J/MeV

$\sim \frac{1}{2} U_{rf}/U_b$  with  $\times 4$  Gradient !

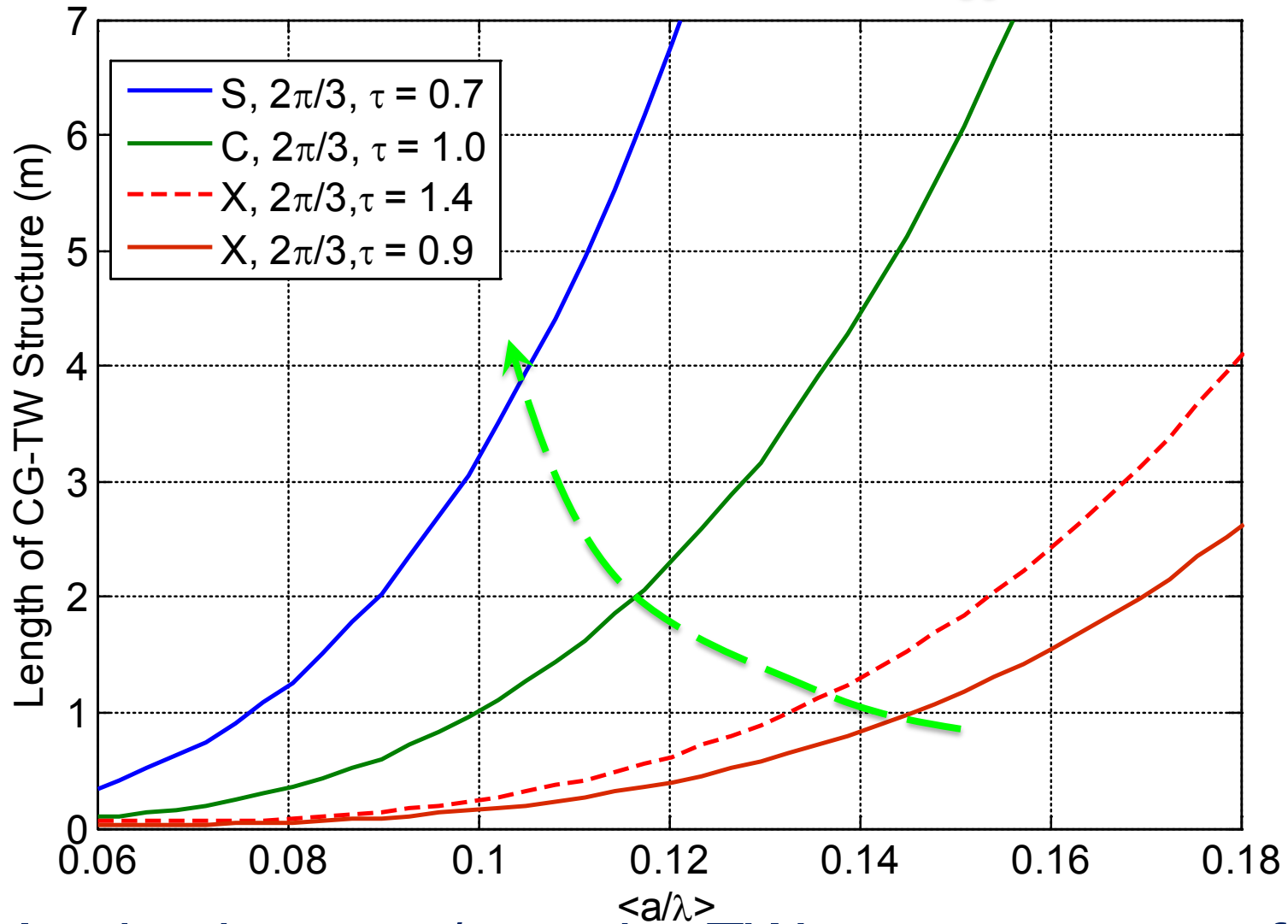
# Long Beam Optimization

(consider  $T_b = 1250$  ns, which is close to the maximum at X-band)



Curves assume the same  $a/\lambda$  where  $r \sim \omega^{1/2}$ ,  $Q \sim \omega^{-1/2}$

# Accelerator Design for $T_b = 1250$ ns



Again choose  $a/\lambda$  so the TW structures are of a reasonable length and the wakefields are manageable

# Optimum Structures for $T_b = 1250$ ns

	<b>S</b>	<b>C</b>	<b>X</b>	
<b>Length</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>m</b>
<b>Fill time, <math>T_f</math></b>	<b>999</b>	<b>511</b>	<b>159</b>	<b>ns</b>
<b>Iris size, <math>\langle a/\lambda \rangle</math></b>	<b>0.11</b>	<b>0.12</b>	<b>0.15</b>	
<b>Shunt impedance</b>	<b>65</b>	<b>83</b>	<b>91</b>	<b>M<math>\Omega</math>/m</b>
<b>Gradient</b>	<b>20</b>	<b>40</b>	<b>50</b>	<b>MV/m</b>
<b>Peak rf power</b>	<b>34</b>	<b>47</b>	<b>34</b>	<b>MW</b>
<b><math>U_{rf}/U_b</math></b>	<b>0.85</b>	<b>0.93</b>	<b>0.95</b>	<b>J/MeV</b>



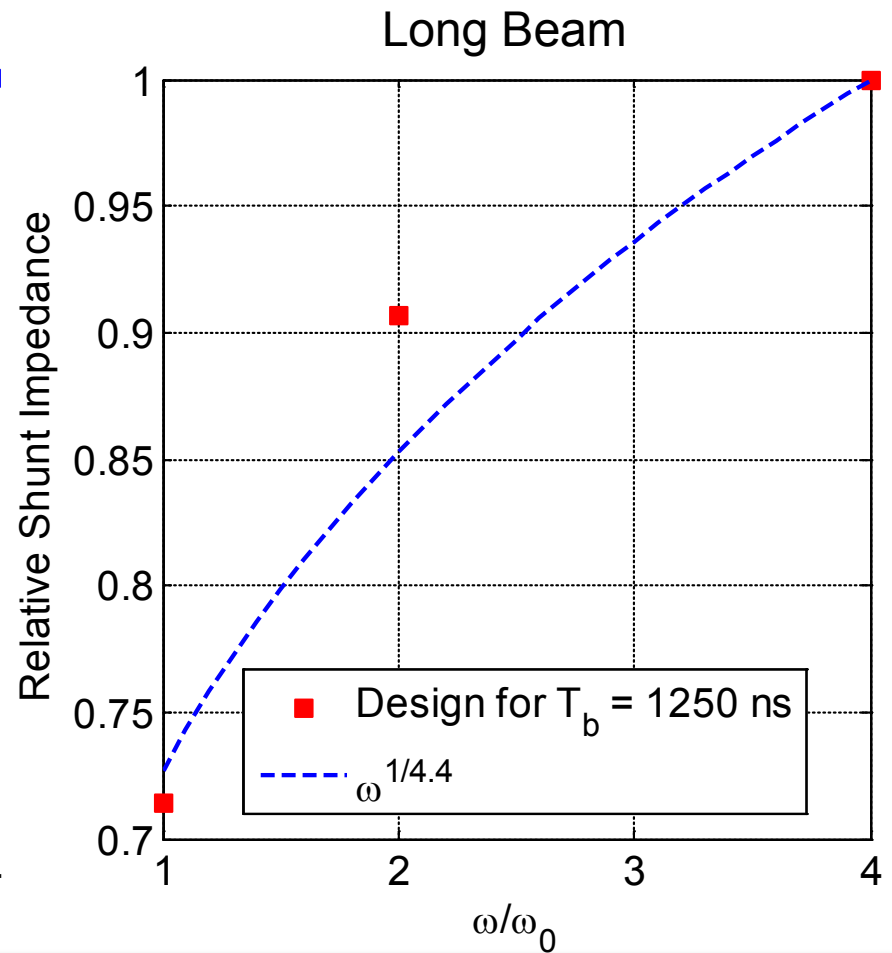
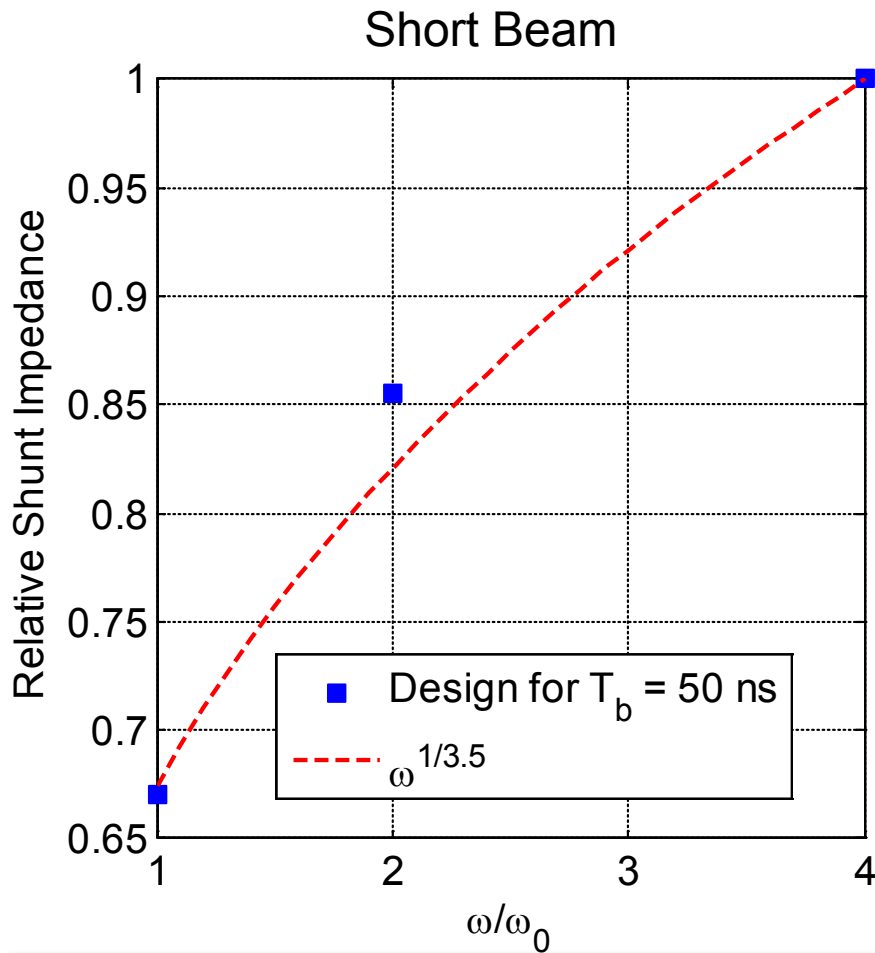
# Summary Per RF Station for $T_b = 1250$ ns

(With long beam, cannot use SLED effectively)

	S (2.9)	C (5.7)	X (11.4)	
<b>Klystron Peak Power</b>	65	50	50	<b>MW</b>
<b>Rf Pulse Width</b>	2.25	1.75	1.5	<b><math>\mu</math>s</b>
<b>Number of Accelerators</b>	2	1	3	
<b>Gradient</b>	19.5	41.4	49.7	<b>MV/m</b>
<b>Beam Energy, <math>U_b</math></b>	157	83.5	148.9	<b>MeV</b>
<b><math>U_{rf}/U_b</math></b>	0.93	0.95	0.99	<b>J/MeV</b>

Similar  $U_{rf}/U_b$  with x2.5 Gradient

# Scaling Law: Shunt Impedance



For realistic structures and tolerances :  $r \sim \omega^{1/4}$

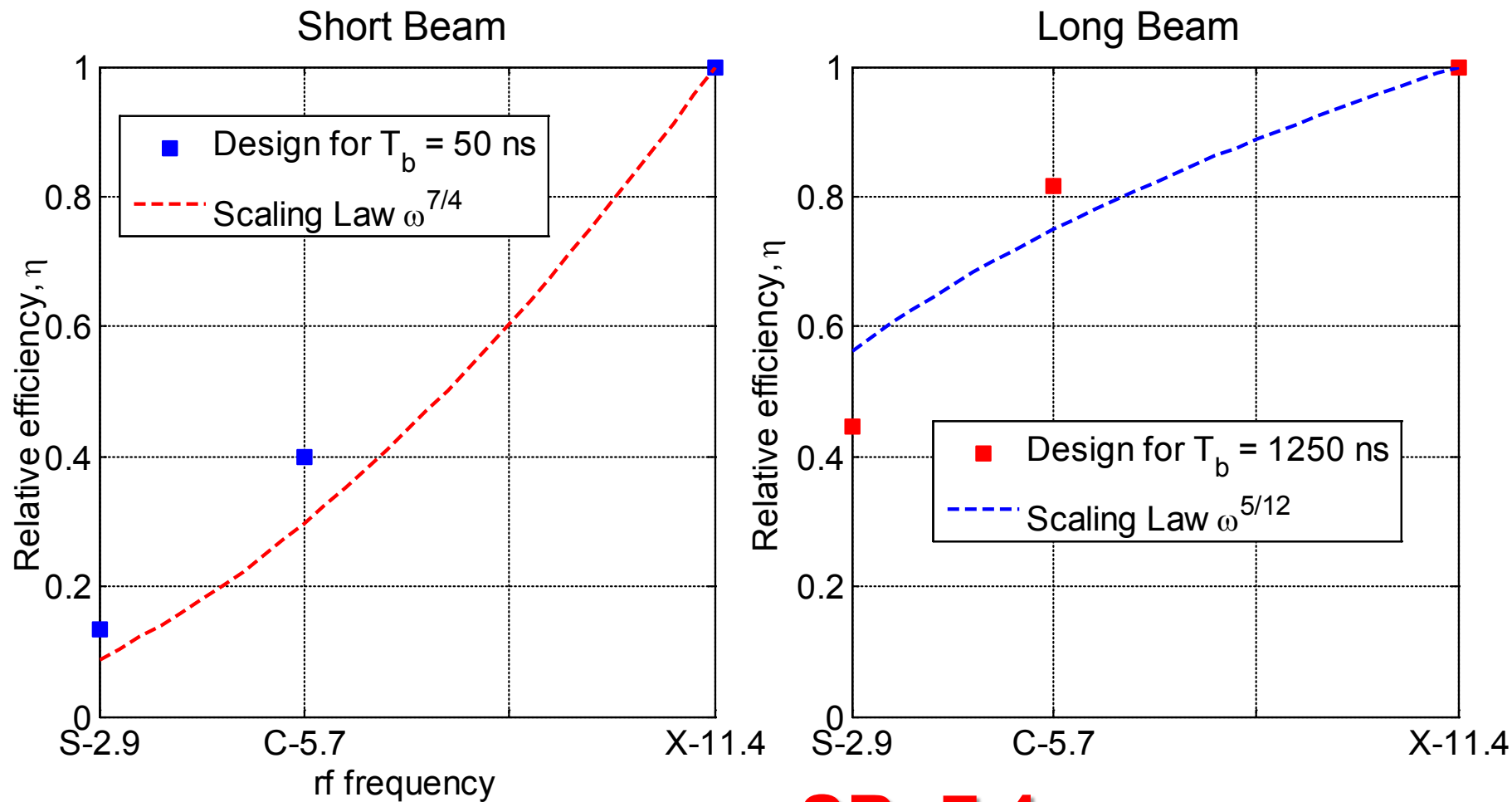
# Scaling Relations

(follows from shunt impedance scaling)

	<b>Short beam pulse</b> $T_b \ll T_f$	<b>Long beam pulse</b> $T_b \gg T_f$
Shunt impedance	$\sim \omega^{1/4}$	$\sim \omega^{1/4}$
$Q$ factor	$\sim \omega^{-1/2}$	$\sim \omega^{-1/2}$
Filling time	$\sim \omega^{-3/4}$	$\sim \omega^{-7/6}$
RF power per unit length	$\sim G^2 \omega^{-1/2}$	$\sim G^2 \omega^{-1/4}$
Efficiency, $\eta$	$\sim G^{-1} \omega^{7/4}$	$\sim G^{-1} \omega^{5/12}$
Repetition rate*	$\sim G^{-2} \omega^{-5/4}$	$\sim G^{-2} \omega^{-5/12}$

\* at a constant cooling rate per unit surface area

# Structure Efficiency: Design versus Values Predicted by Scaling Relations



**At same efficiency, S→X**

**SB: 7.4 ×**  
**LB: 2.5 ×**

**Gradient**

# Rough Cost Comparison by Component

	<b>S</b>	<b>C</b>	<b>X</b>
<b>Klystron</b>	<b>0.73</b>	<b>0.86</b>	<b>1</b>
<b>Modulator<sup>1)</sup></b>	<b>1.5</b>	<b>1.1</b>	<b>1</b>
<b>1m Structure <sup>2)</sup></b>	<b>0.7</b>	<b>0.8</b>	<b>1</b>
<b>RF Distribution per MeV</b>	<b>1.5</b>	<b>0.94</b>	<b>1</b>
<b>LLRF per Klystron</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>AC Power + Cooling</b>	<b>Same per Watt</b>		
<b>Tunnel</b>	<b>Same per Unit Length</b>		

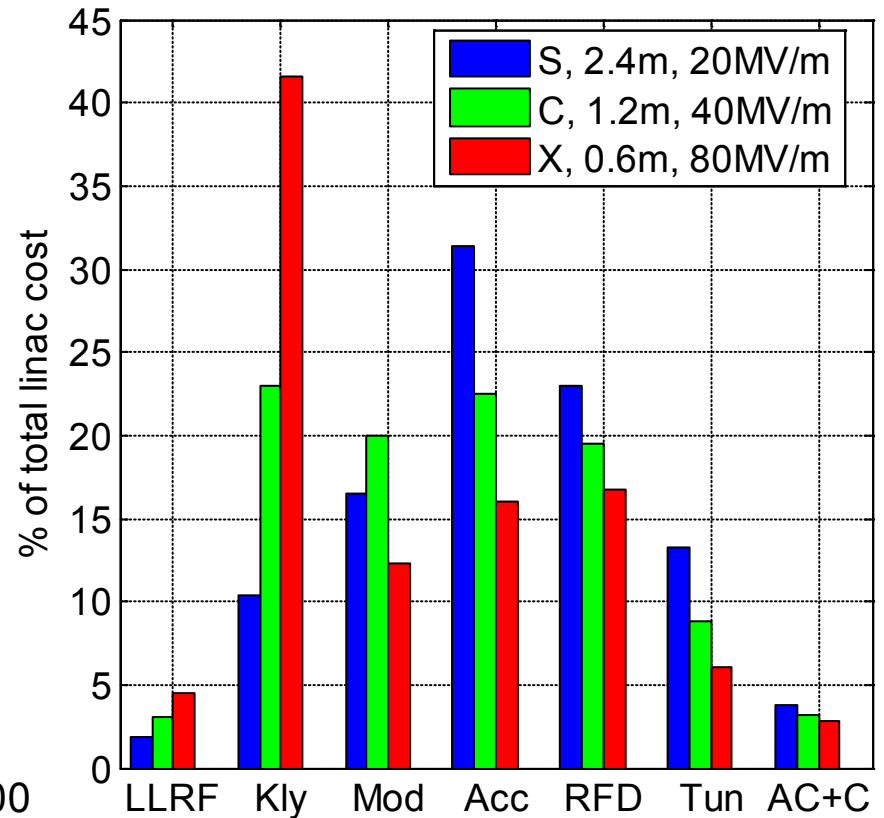
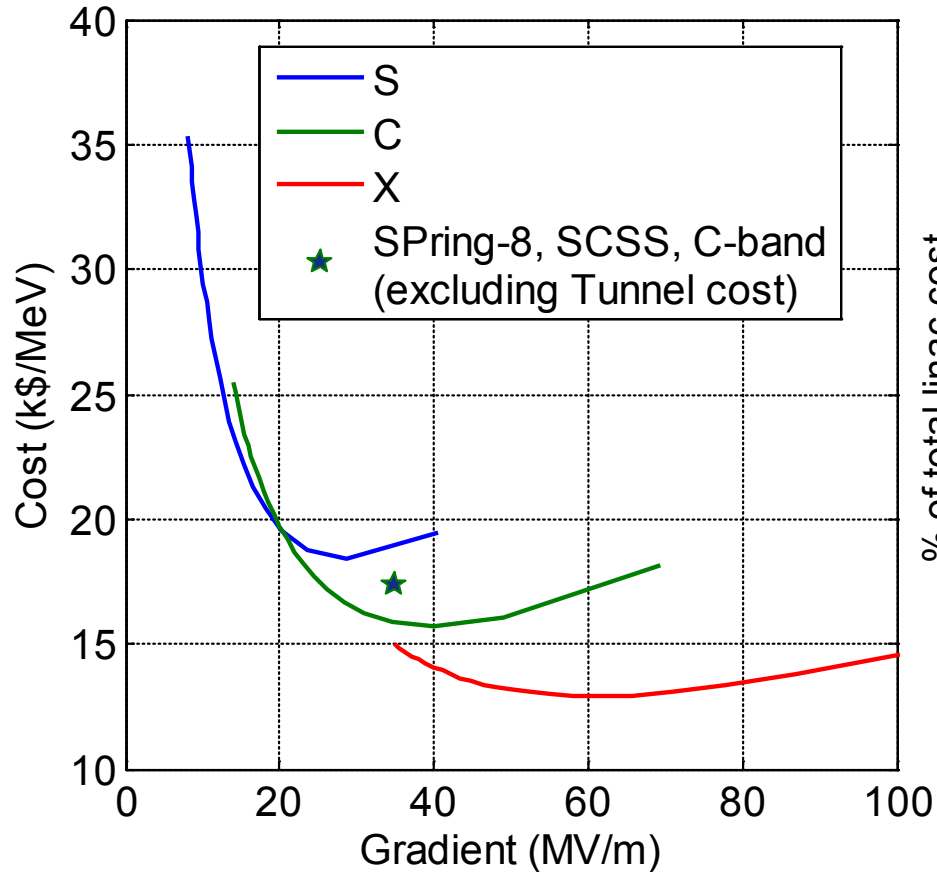
1)  $0.5 + 0.5 * (T_k / 1.5) * (P_{rf} / 50)$

2)  $0.5 / \text{freq} + 0.5 * \text{sqrt}(\text{freq})$

Efficiency of modulator = 85%  
 efficiency of klystron = 48 %

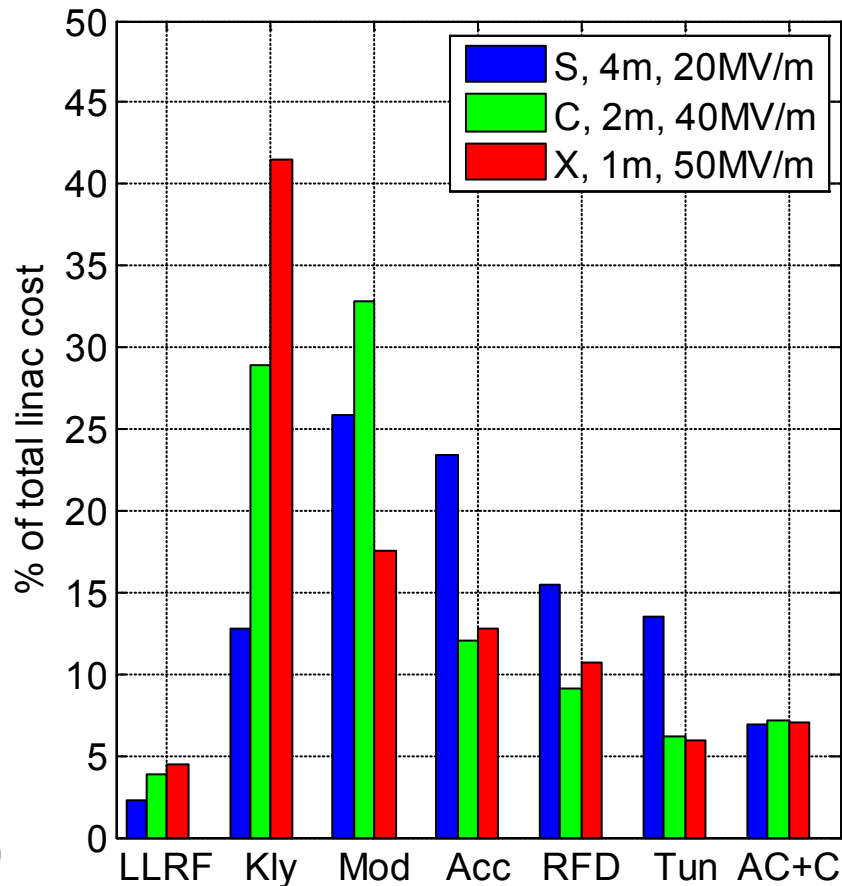
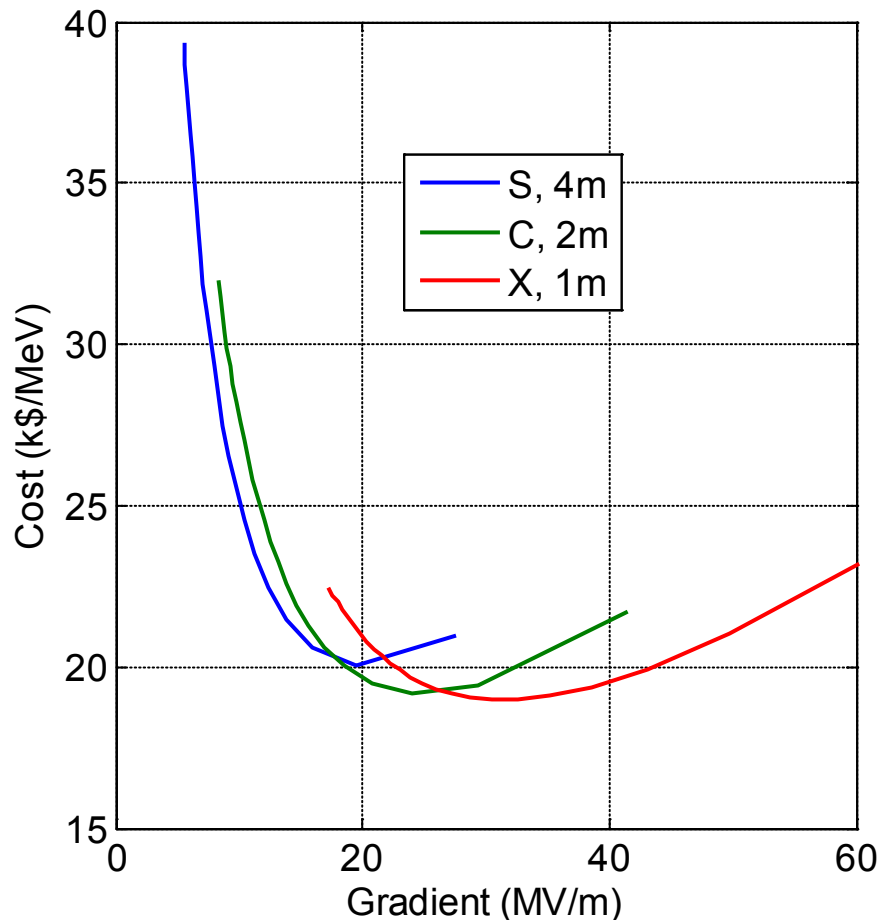
# Rough Estimated Cost per MeV

$T_b = 50 \text{ ns, at } 60 \text{ Hz}$



# Rough Estimated Cost per MeV

$T_b = 1250 \text{ ns}, 60 \text{ Hz}$



# Technical Risk Comparison Versus Frequency

Michael Fazio

	S	C	X
Basis	SLAC Linac Operation	XFEL/Spring-8 Test Linac	NLC Test Accelerator
Klystron	<ul style="list-style-type: none"> <li>• SLAC 5045</li> <li>• 65 MW, 3.5 <math>\mu</math>s, 120 Hz</li> <li>• 46% efficiency</li> <li>• 30 million hours of operation (since 1984)</li> <li>• &gt; <b>1100 tubes</b></li> <li>• MTBF 75K-100K hrs</li> </ul>	<ul style="list-style-type: none"> <li>• Toshiba E3746</li> <li>• 50 MW, 2.5 <math>\mu</math>s, 60 Hz</li> <li>• 47% efficiency</li> <li>• Successfully operated in Test Accelerator</li> <li>• <b>72 installed on Main 8 GeV linac</b></li> <li>• Commissioning begins March 2011</li> <li>• No MTBF Data</li> </ul>	<ul style="list-style-type: none"> <li>• SLAC XL4 and XL5</li> <li>• 50 MW/9 kW avg, 1.5 <math>\mu</math>s, 60 Hz</li> <li>• <b>23 XL4/5 klystrons produced</b></li> <li>• Several have &gt; 10,000 hrs but mostly below 35 MW</li> <li>• Life test starting on a new tube</li> </ul>
Modulator	Line type modulator	“Compact” line type modulator	Solid state or Line type modulator
Linac	<ul style="list-style-type: none"> <li>• 20 MV/m</li> <li>• SLAC Trf = 800ns</li> </ul>	<ul style="list-style-type: none"> <li>• 40 MV/m</li> <li>• SCSS Trf = 250 ns</li> </ul>	<ul style="list-style-type: none"> <li>• 80 MV/m</li> <li>• NLC Trf = 400 ns</li> </ul>

- Low Risk
- Med. Risk
- High Risk

SLAC  
5045



Compact modulators and klystrons  
For XFEL/Spring-8 (72 total)

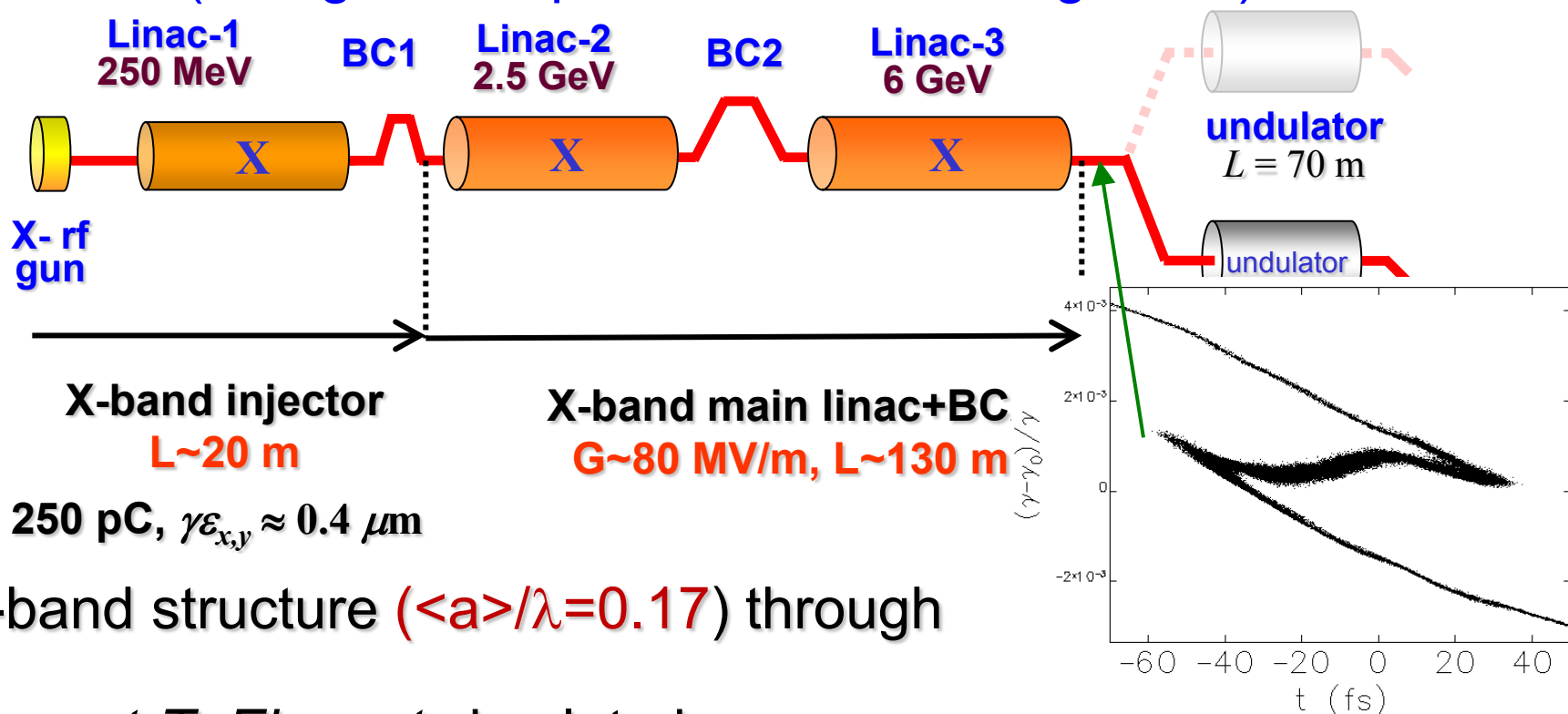
SLAC  
XL4





# X-band Linac Driven Compact X-ray FEL

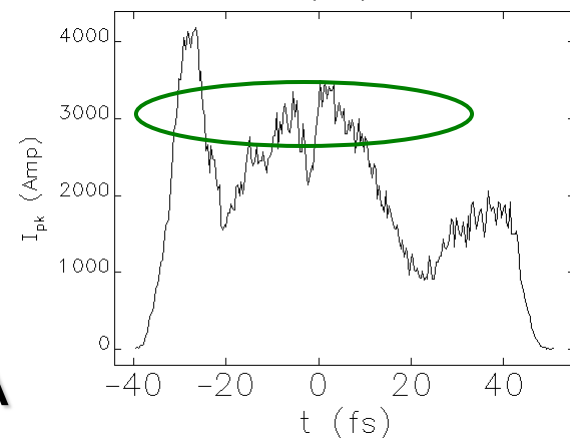
(Design Example: Juhao Wu, Feng Zhou)



- x-band structure ( $\langle a \rangle / \lambda = 0.17$ ) through

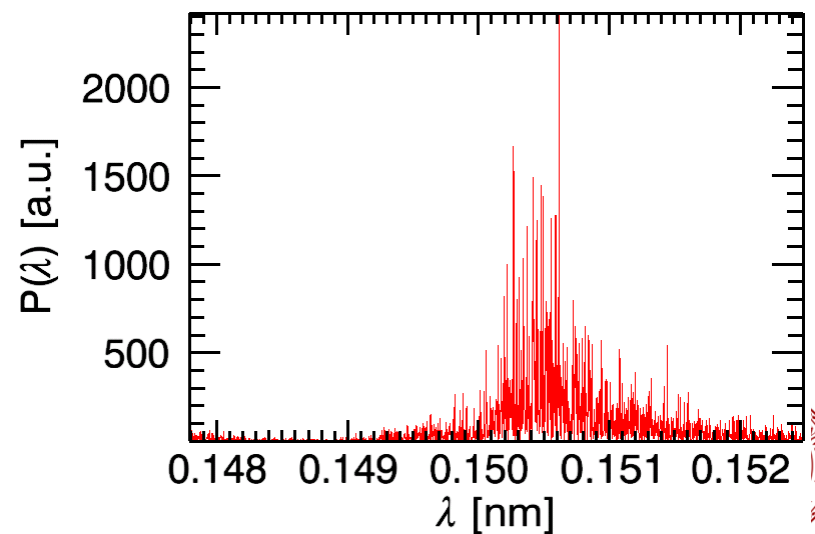
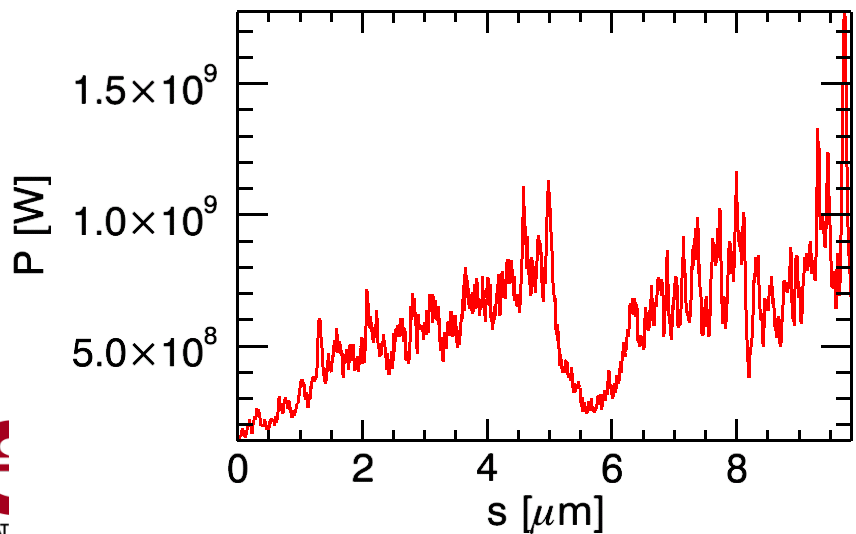
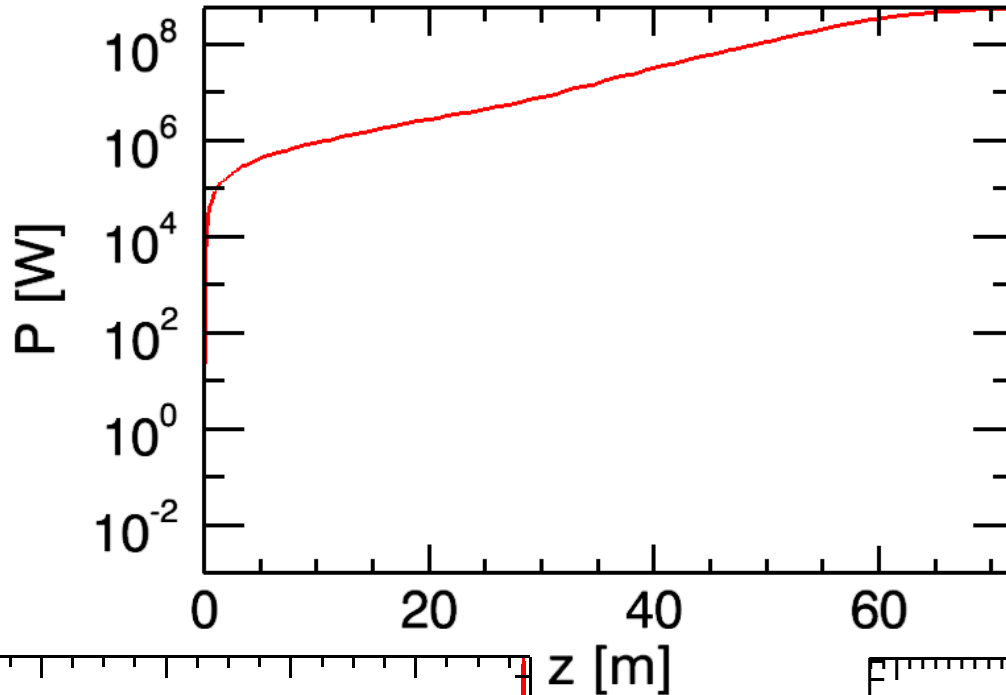
- *Impact-T*, *Elegant* simulate beam dynamics with wake, CSR, and space charge and obtains 3 kA “Gaussian” distribution

- *Genesis* simulation for FEL lasing at  $1.5 \text{ \AA}$



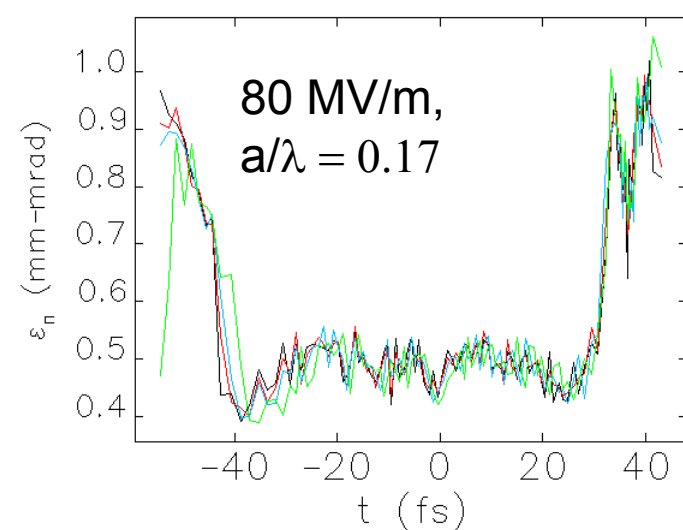
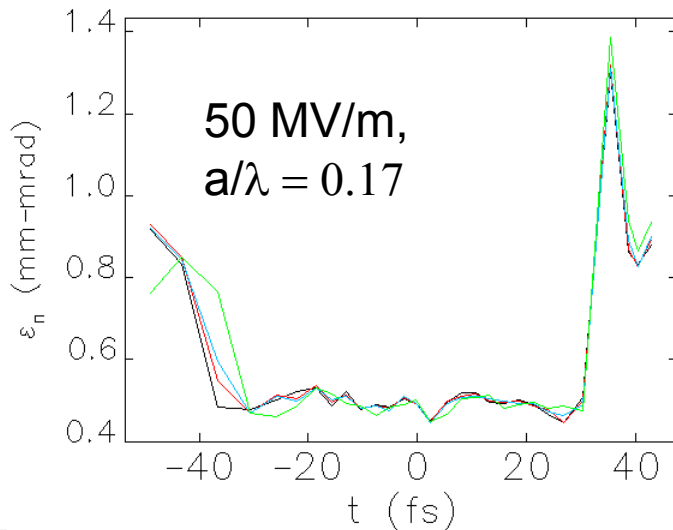
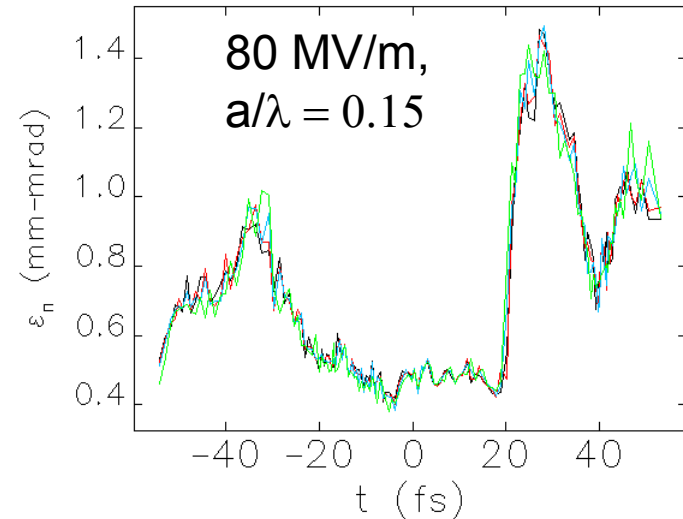
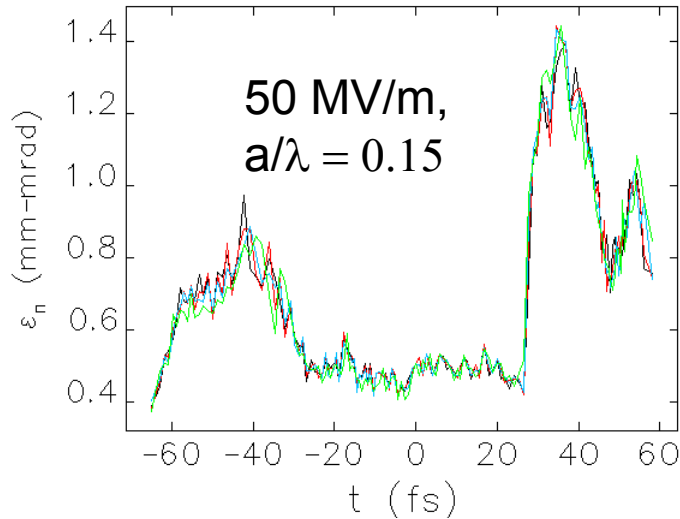
# FEL @ 1.5 Å

- Undulator:  $\lambda_w = 1.5$  cm, reach GW around 70 m



# Misalignment in $x$ -plane

Misalignment ( $\mu\text{m}$ ): 0 (black), 100 (red), 200 (blue), and 500 (green);



# Tolerance for Single Bunch Operation

**Strength parameter:**  $\Upsilon = \frac{eNl\langle W \rangle\beta_0}{2E_0} g(E_f/E_0, \zeta)$  Chao, Richter, Yao

$$g(x, \zeta) = \frac{1}{\zeta} \frac{x^{\zeta-1}}{x-1} \quad (\text{for } \beta \sim E^\zeta)$$

**Emittance growth due to**

**injection jitter  $\mathbf{X_0}$  if  $\mathbf{Y}$  small:**  $\delta\epsilon = \frac{x_0^2 \Upsilon^2}{2\sigma_{x_0}^2}$

• For,  $eN = 250$  pC,  $\epsilon_N = .4$   $\mu\text{m}$ ,  $\zeta = 0$ , and

Linac-2:  $E_0 = .25$  GeV,  $E_f = 2.5$  GeV,  $\sigma_z = 56$   $\mu\text{m}$ ,  $l = 32$  m,  $\beta_0 = 10$  m ( $\sigma_{x_0} = 90$   $\mu\text{m}$ )  $\Rightarrow \mathbf{Y = .14}$

Linac-3:  $E_0 = 2.5$  GeV,  $E_f = 6$  GeV,  $\sigma_z = 7$   $\mu\text{m}$ ,  $l = 50$  m,  $\beta_0 = 10$  m ( $\sigma_{x_0} = 29$   $\mu\text{m}$ )  $\Rightarrow \mathbf{Y = .01}$

•  $\langle \mathbf{a/\lambda} \rangle = \mathbf{0.18}$

An injection jitter of  $\sigma_{x_0} \rightarrow 1\%$  emittance growth in Linac-2 and .003% in Linac-3

Misalignment of **1 mm rms**  $\rightarrow$  an emittance growth of 1% in Linac-2, 0.1% in Linac-3

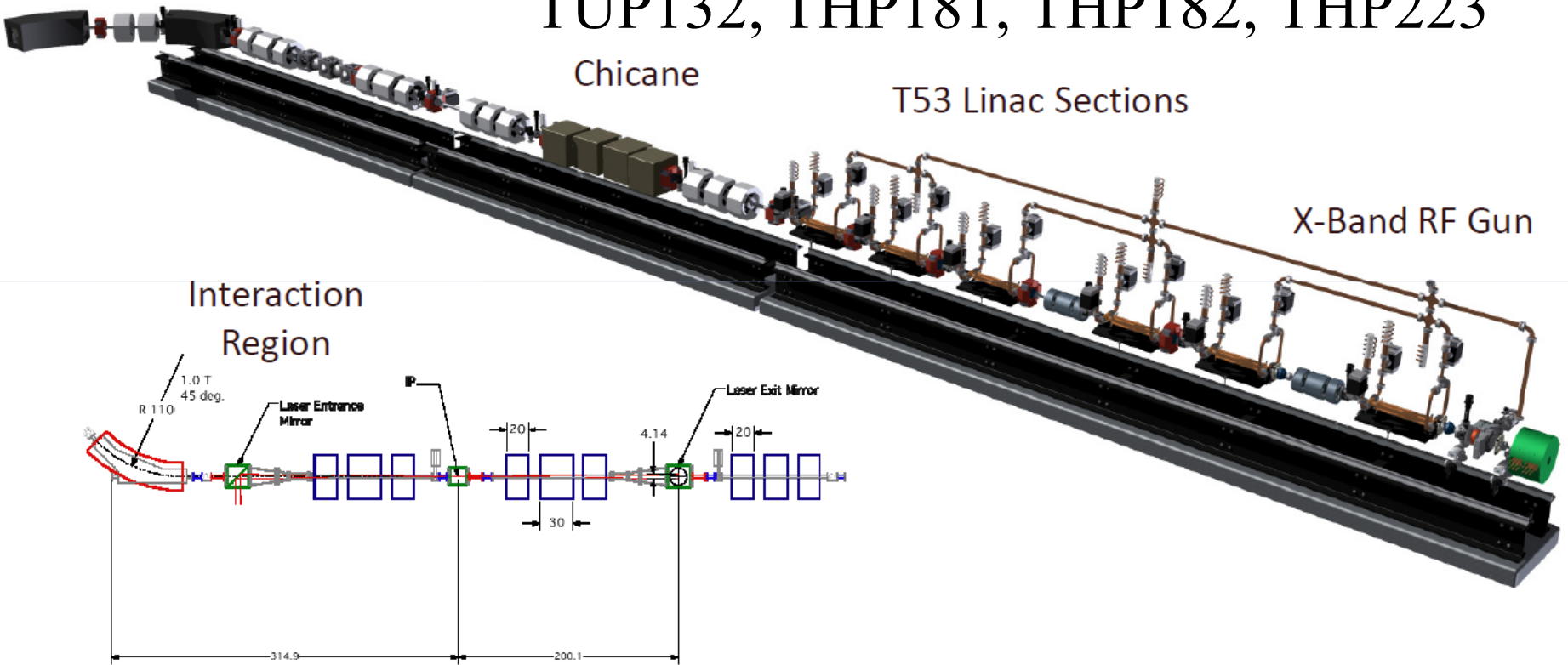
•  $\langle \mathbf{a/\lambda} \rangle = \mathbf{0.13}$  tolerances are 3 times smaller

• **The wake effect is weak mainly because of high gradient, short bunch and low charge.**

# LLNL 250 MeV X-band Linac for Compton Gamma Ray Production

(Injector under Construction)

MOP127, MOP128, TUP023,  
TUP132, THP181, THP182, THP223



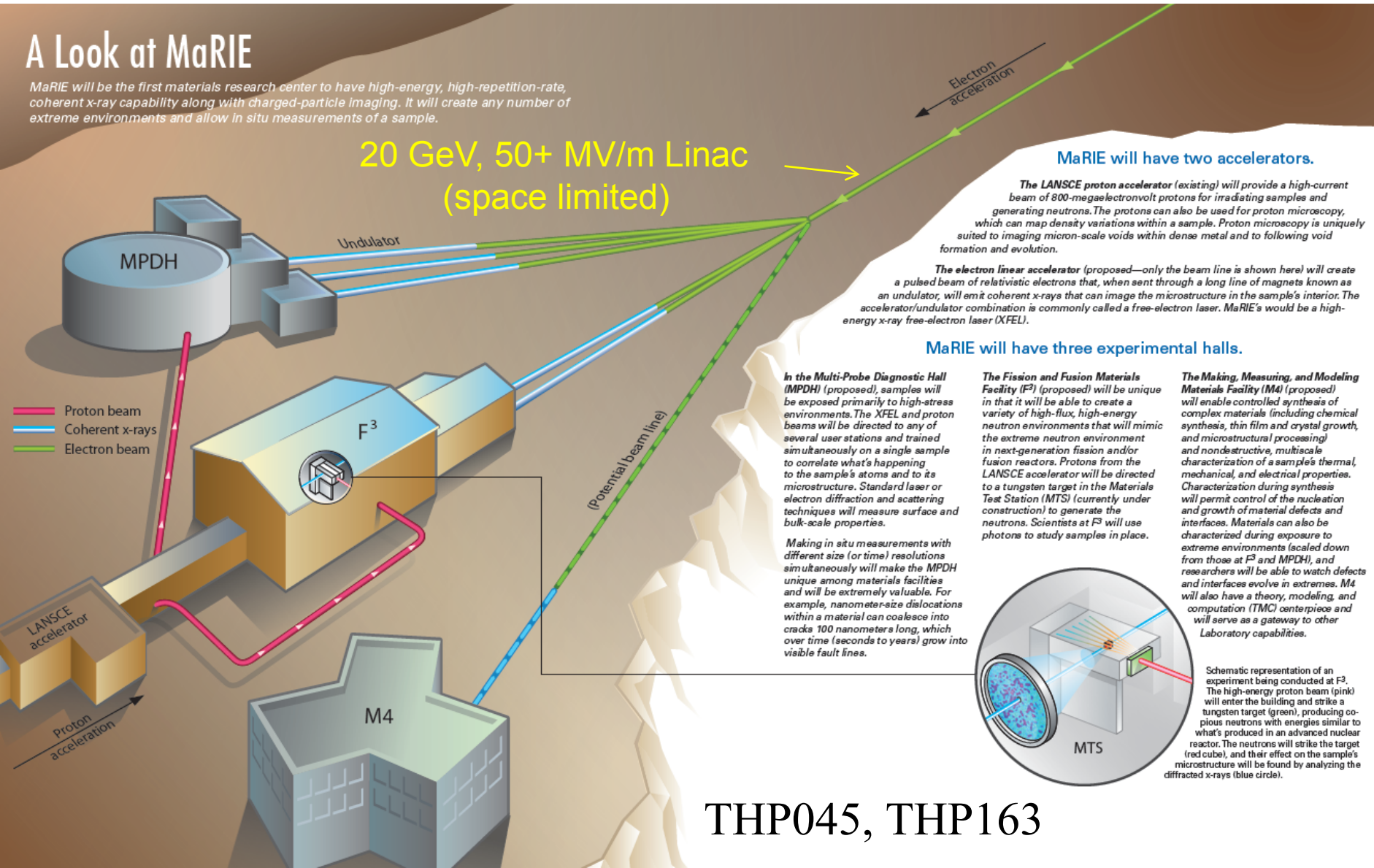
# LANL MaRIE Project: 50 keV XFEL

(in pre-conceptual design phase)

## A Look at MaRIE

MaRIE will be the first materials research center to have high-energy, high-repetition-rate, coherent x-ray capability along with charged-particle imaging. It will create any number of extreme environments and allow in situ measurements of a sample.

20 GeV, 50+ MV/m Linac  
(space limited)



MaRIE will have two accelerators.

The LANSCE proton accelerator (existing) will provide a high-current beam of 800-megaelectronvolt protons for irradiating samples and generating neutrons. The protons can also be used for proton microscopy, which can map density variations within a sample. Proton microscopy is uniquely suited to imaging micron-scale voids within dense metal and to following void formation and evolution.

The electron linear accelerator (proposed—only the beam line is shown here) will create a pulsed beam of relativistic electrons that, when sent through a long line of magnets known as an undulator, will emit coherent x-rays that can image the microstructure in the sample's interior. The accelerator/undulator combination is commonly called a free-electron laser. MaRIE's would be a high-energy x-ray free-electron laser (XFEL).

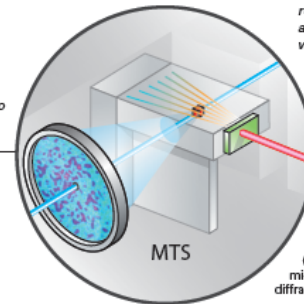
MaRIE will have three experimental halls.

In the Multi-Probe Diagnostic Hall (MPDH) (proposed), samples will be exposed primarily to high-stress environments. The XFEL and proton beams will be directed to any of several user stations and trained simultaneously on a single sample to correlate what's happening to the sample's atoms and to its microstructure. Standard laser or electron diffraction and scattering techniques will measure surface and bulk-scale properties.

Making in situ measurements with different size (or time) resolutions simultaneously will make the MPDH unique among materials facilities and will be extremely valuable. For example, nanometer-size dislocations within a material can coalesce into cracks 100 nanometers long, which over time (seconds to years) grow into visible fault lines.

The Fission and Fusion Reactors Facility (F<sup>3</sup>) (proposed) will be unique in that it will be able to create a variety of high-flux, high-energy neutron environments that will mimic the extreme neutron environment in next-generation fission and/or fusion reactors. Protons from the LANSCE accelerator will be directed to a tungsten target in the Materials Test Station (MTS) (currently under construction) to generate the neutrons. Scientists at F<sup>3</sup> will use photons to study samples in place.

The Making, Measuring, and Modeling Materials Facility (M4) (proposed) will enable controlled synthesis of complex materials (including chemical synthesis, thin film and crystal growth, and microstructural processing) and nondestructive, multiscale characterization of a sample's thermal, mechanical, and electrical properties. Characterization during synthesis will permit control of the nucleation and growth of material defects and interfaces. Materials can also be characterized during exposure to extreme environments (scaled down from those at F<sup>3</sup> and MPDH), and researchers will be able to watch defects and interfaces evolve in extremes. M4 will also have a theory, modeling, and computation (TMC) centerpiece and will serve as a gateway to other Laboratory capabilities.



Schematic representation of an experiment being conducted at F<sup>3</sup>. The high-energy proton beam (pink) will enter the building and strike a tungsten target (green), producing copious neutrons with energies similar to what's produced in an advanced nuclear reactor. The neutrons will strike the target (red cube), and their effect on the sample's microstructure will be found by analyzing the diffracted x-rays (blue circle).

THP045, THP163

# Summary: Advantages of High Frequency Linacs for XFEL Drivers

- Single bunch (S: 20 MV/m, C: 40 MV/m, X: 80 MV/m)
  - ✓ Compact
  - ✓ High efficiency
  - ✓ Less expensive
- Long beam pulse (S: 20 MV/m, C: 40 MV/m, X: 50 MV/m)
  - ✓ Compact
  - ✓ Similar efficiency & cost
  - ✓ Have solution for long-range wakefield suppression at X-band

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PAC'11 committee