

# Performance of Superconducting Cavities for the European XFEL

Detlef Reschke – DESY  
for the EU-XFEL Accelerator Consortium



- European XFEL Linear Accelerator
- Cavity Production
- Vertical Acceptance Test
- Cryomodule Test
- Injector commissioning:
  - 1.3 GHz Injector Module
  - 3.9 GHz Third Harmonic System
- cw R&D on XFEL Cryomodules

# The European XFEL

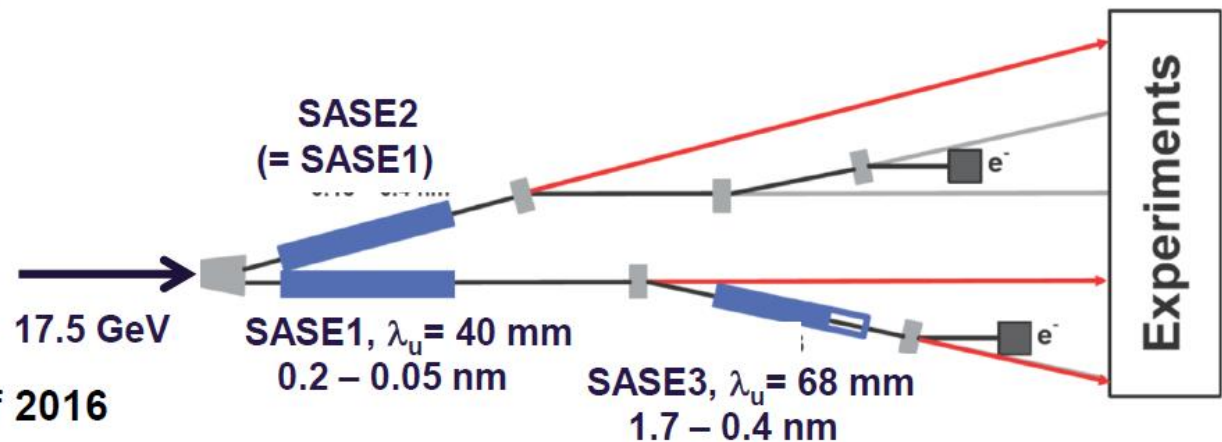
## Built by Research Institutes from 12 European Nations

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### Some specifications

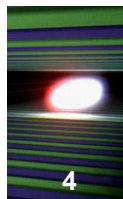
- Photon energy 0.3 - 24 keV
- Pulse duration  $\sim 10 - 100$  fs
- Pulse energy few mJ
- Superconducting linac. 17.5 GeV
- 10 Hz (27 000 b/s)
- 5 beam lines / 10 instruments
  - Start version with 3 beamlines and 6 instruments
- Several extensions possible:
  - More undulators
  - More instruments
  - .....
  - Variable polarization
  - Self-Seeding
  - CW operation

First electron beam 2<sup>nd</sup> half of 2016

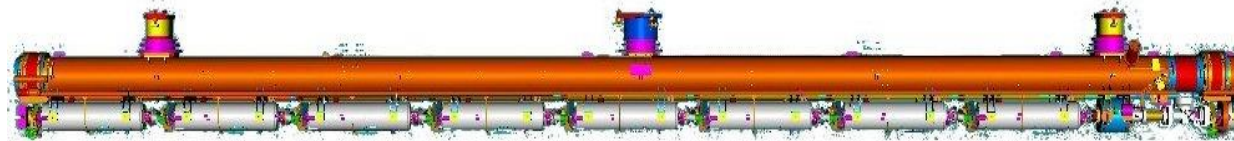




# Accelerator Complex for 17.5 GeV



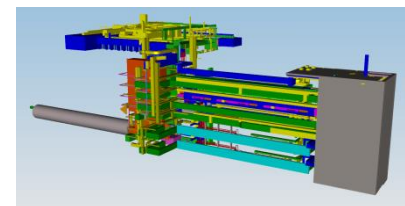
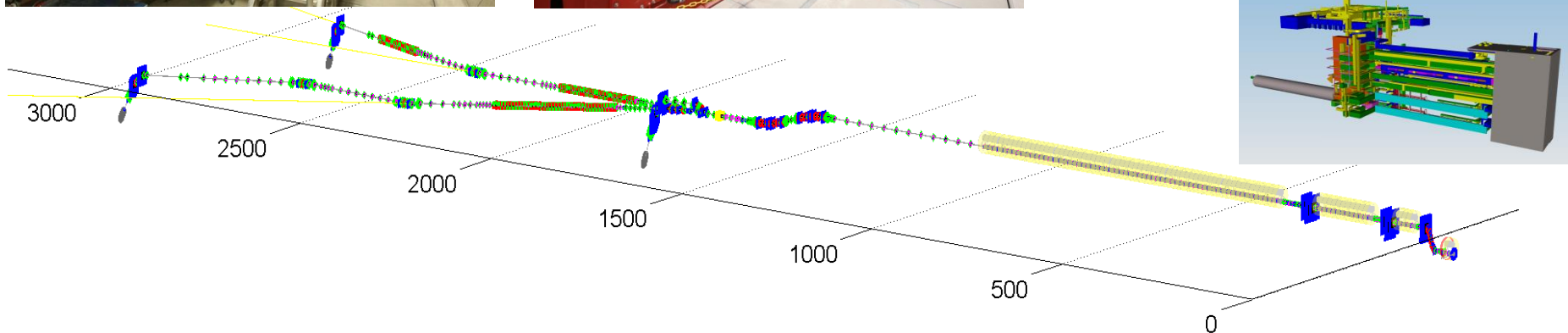
**100 + 1 accelerator modules**



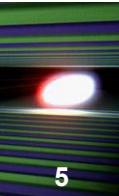
**808 accelerating cavities**  
**1.3 GHz / 23.6 MV/m**



**25 + 1 RF stations**  
**5.2 MW each**



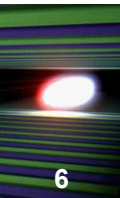
# Cavity production: Preparation Phase



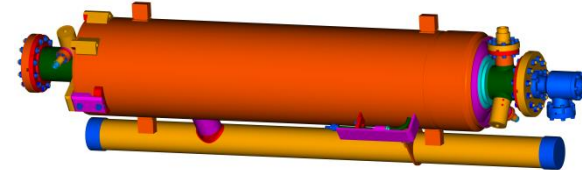
- Define final **cavity design**  
=> **TESLA design** with minor design changes
- Establishing **surface treatment recipes**  
=> based on app. 50 prototype cavities
- **Industrialization of main Electropolishing surface treatment**  
=> set up of EP facilities at 2 companies
- Single-cell cavity R&D program (several aims)
- Preparation of **detailed specifications**  
=> mechanical fabrication, surface treatment, HT integration, transport concept
- Finalize a concept for **documentation and data transfer**
- Qualification + selection of **Nb / NbTi material vendors**  
=> 2 new companies
- Concept for fulfilling **PED requirements**
- Establishing **“Long pulse” vertical acceptance test**  
=> protect HOM feedthroughs
- R&D on Large Grain Nb material

Courtesy W. Singer

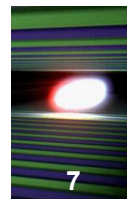
# Cavity production



- Contract allocated to Research Instruments (RI, Germany) and E.Zanon (EZ, Italy) in **equal shares end 2010**:
  - 560 series cavities
  - 24 cavities w/o He-tank for QC and further R&D (EU funded: “ILC-HiGrade”)
  - Option: 240 series cavity  
=> Order allocated end 2012/beginning 2013 in **equal shares**
- **All Nb / NbTi material provided** by DESY (~ 24420 pieces)  
=> includes ordering, PED-applicable QC + parts tracking, shipment
- Order placed following **“Build-to-Print” strategy**:
  - Production has to follow specifications precisely  
=> close supervision by expert team + frequent visits + regular reporting (no resident expert at vendor)
  - **No performance guarantee** by vendors



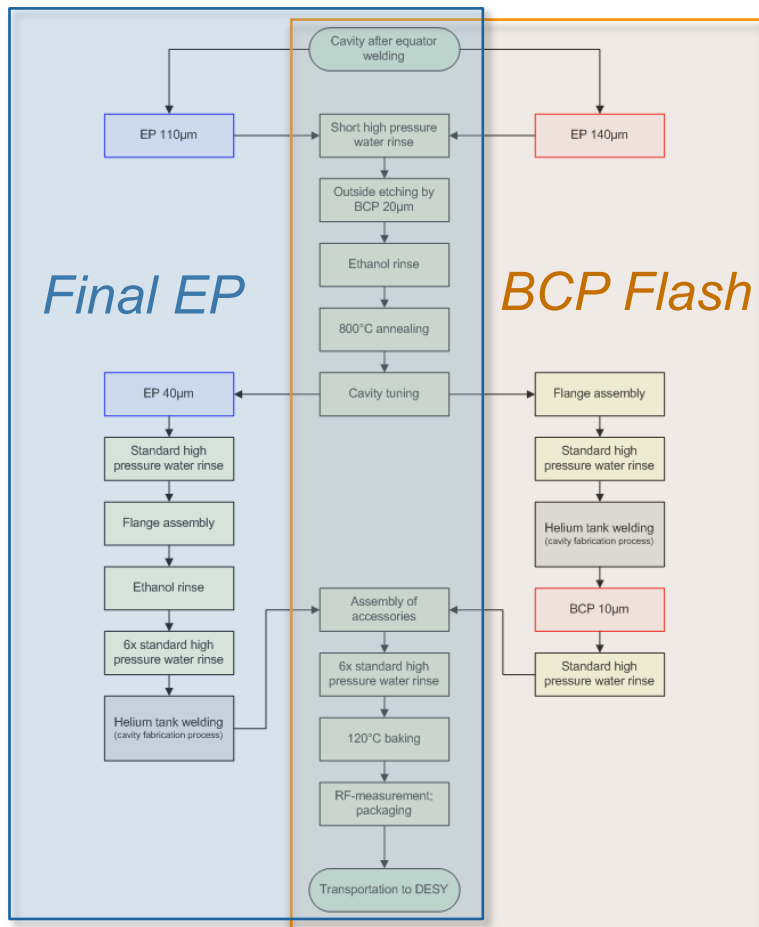
## Cavity Surface Preparation



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■ Two schemes for the final surface treatment:

- **E. Zanon:** Final 10 $\mu$ m BCP (“BCP Flash”)
- **Research Instr.:** Final 40 $\mu$ m EP



**Successful mechanical production and surface preparation at both vendors!**

**No performance guarantee** resulted in DESY taking responsibility for:

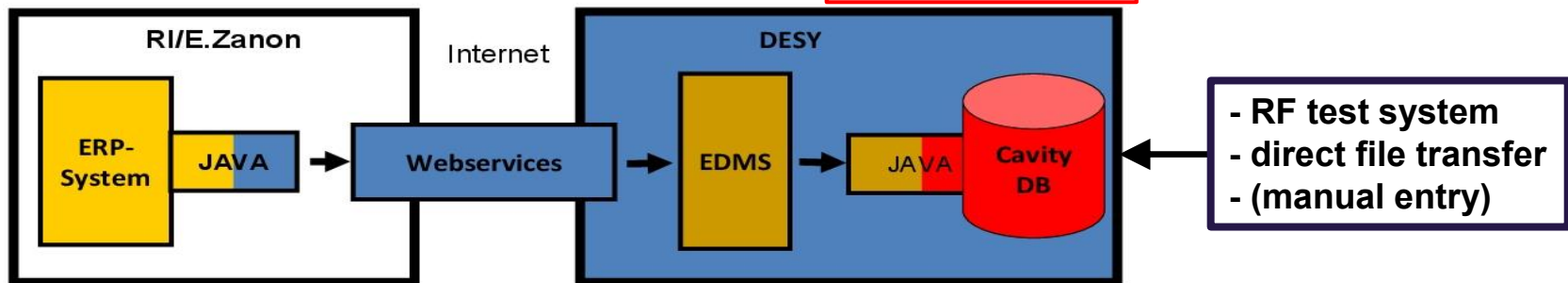
- the risk of unexpected low gradient or field emission
- **retreatment**  
(good cooperation/agreements with both vendors)

# Quality Management + Documentation

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- Key technical documents: **Technical Specifications + Change Reports**
- Quality Process based on **Quality Control Plan** (also for PED) including:
  - Vendor **internal QA, QM** system
  - **Microsoft Project Plan** for tracking of progress + schedule
  - **Non Conformity Reports NCR:**  
Documentation of all NC's including a proposal for correction procedure
  - Stepwise release of production (**Acceptance Levels** 1, 2, 3)
- All production documents (specifications, protocols, PED data, etc.) recorded electronically in **data management system (EDMS)**
- Data analysis in **cavity data base**

TUPOW001



- **Request Tracked e-mail** communication (“tickets”)

Courtesy W. Singer



# Transportation + Incoming Inspection

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## ■ Transportation:

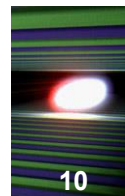
- “Cavity ready for test” requires well-defined transport concept
- Transport **under vacuum**  
=> avoid particle transport
- **Dedicated boxes** for horizontal transport by truck (Vendor => DESY => Saclay)
- **No performance degradation observed**



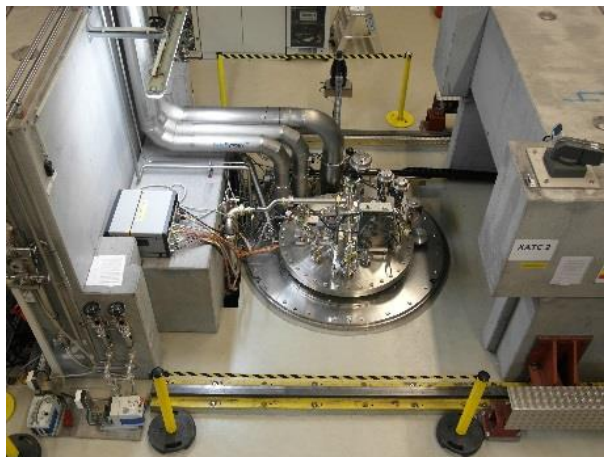
## ■ Incoming inspection at DESY

- Basic mechanical, electrical, RF checks + final vacuum leak check before test
  - Idea: Check for damages during transport
  - Found:
    - Assembly errors + contaminations
    - Mechanical + electrical damages
    - Few leaks
- => 54 Cv's back to vendor
- **Incoming Inspection is mandatory**

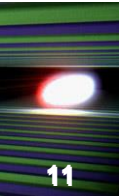
# Vertical tests at AMTF



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# Statistics of Cavities + Vertical Acceptance Tests



## ■ Cavity production finished with last delivery in Mar 2016:

- (800 + extra 4) Series Cavities
- 24 ILC “HiGrade”-Cavities (w/o He-tank; QC)
  - 8 since equipped with He-tank and used for XFEL
- 16 Cavities for infrastructure commissioning
  - 4 since used for XFEL

**=> 816 cavities available and vertically tested for XFEL**

## ■ Analysis of vertical acceptance tests includes

- **Series Cavities**
- **ILC “HiGrade”-Cavities** (w/o He-tank)
- NO infrastructure commissioning tests

## ■ Stable average **vertical test rate ~40 tests/month** achieved

## ■ **1225 vertical tests** (few additional tests due to returns from string assembly possible)

# VT-CM comparison: USABLE GRADIENT

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## Vertical Test – min of

- Maximum gradient (quench, RF power)
- FE limit (top/bottom X-ray)
- $Q_0$  limit ( $= 10^{10}$ )

## Cryomodule – min of

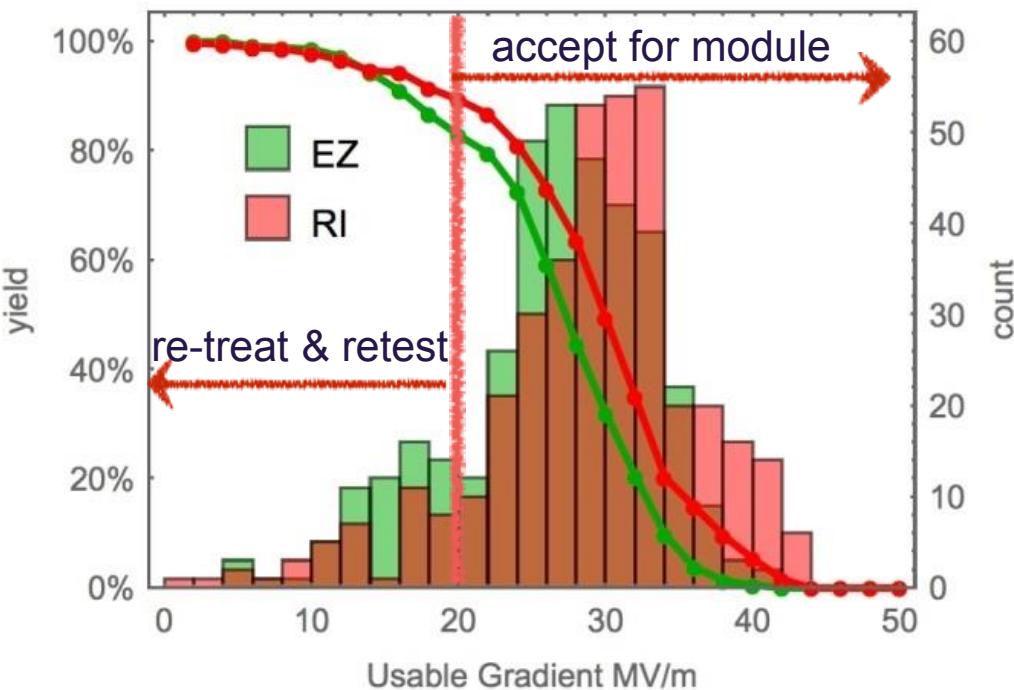
- Maximum gradient (quench)
- FE limit (front/back X-ray)
- RF power limit at 31 MV/m

- **Acceptance criteria** for “Usable gradient” in vertical test
  - INITIAL:  $> 26$  MV/m (10% margin to required average design operating gradient)
  - NOW (after analysis of retreatment results in May 2014):  
 **$> 20$  MV/m** (for optimized number of retreatments and retests)



## Results: Usable Gradient “As received”

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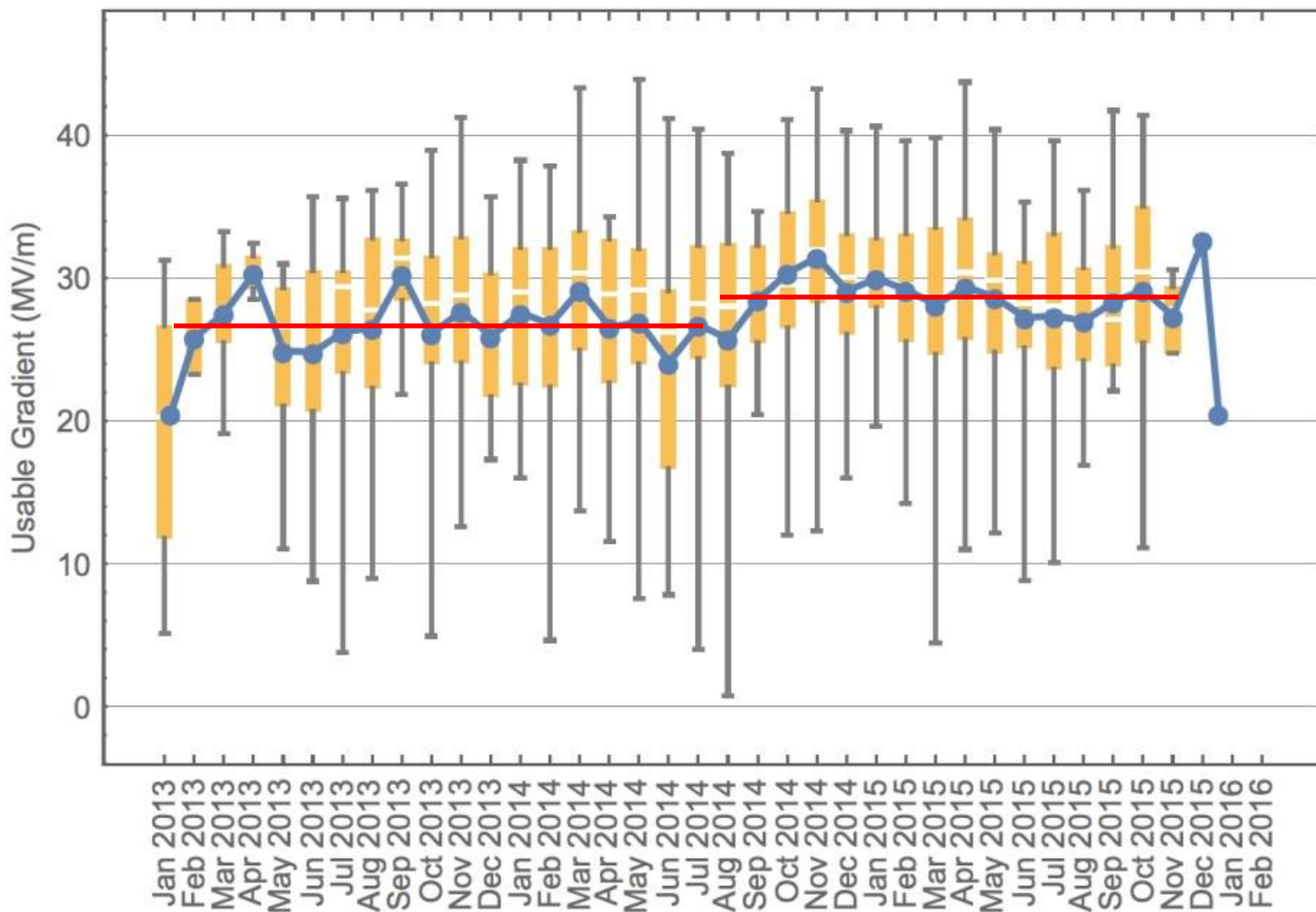
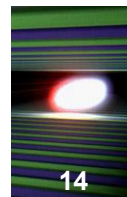
	RI	EZ	Total
Tests	375	367	742
$G_{AVG}$ (MV/m)	29.1	26.4	27.8
$G_{RMS}$ (MV/m)	7.4	6.6	7.1
yield @ 20MV/m	89%	83%	86%
yield @ 26MV/m	73%	59%	66%
yield @ 28MV/m	63%	45%	54%

- Both vendors well **above Spec**
- RI shows ~ 3MV/m in average more than EZ:
  - final EP
  - low gradient quenches at EZ
- Several cavities with **< 20 MV/m accepted** “as received”, especially if
  - limitation = “bd” +
  - no FE
- Average Q-values for both vendors:
 
$$Q_0(4 \text{ MV/m}) = 2.1 \cdot 10^{10}$$

$$Q_0(23.6 \text{ MV/m}) = 1.3 \cdot 10^{10}$$
- Missing ~90 cavities “as received”?

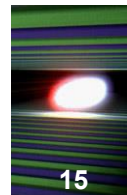


## Trend of Usable Gradient “As received”



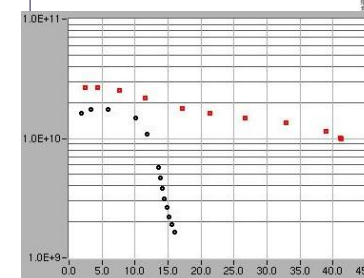
Good stability of  
average usable  
gradient over full  
production period

# Retreatments

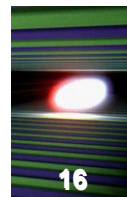


- Why cavities w/o “as received” test?  
 (“as received”: first test after delivery to DESY + cavity conform to Spec)
- Three main categories for retreatment (rework):
  - Non-Conformities after delivery from vendor** (~ 90 Tests)  
 (mechanical, vacuum, defects, ...)
    - => retreatment/rework at DESY or vendor depending on NC-type
  - Performance** (~160 Tests)  
 (acceptance criteria for gradient or Q-value not met)
    - mainly by **field emission** (+ “Quench”, “low Q”)
    - => first + **successful retreatment** **High Pressure Ultrapure Water Rinse**
      - $\langle E_{\text{acc, usable}} \rangle$ : 19 MV/m => 26 MV/m
      - $\langle Q_0(4 \text{ MV/m}) \rangle$ :  $2.1 \cdot 10^{10} \Rightarrow 2.4 \cdot 10^{10}$
  - Non-Conformity during string assembly** (21 Cv)

NON - CONFORMANCE REPORT	
DATE - CONFORMANCE FAILURE	CAL_20080401_NON_COMPLIANCE_03
CUSTOMER	CAL_00000004
REFERENCE OF CONTRACT	00
DESCRIPTION	Defect of headlighting in the front of Carls
ISSUE DETECTED	Defect of headlighting
RECOMMENDED ACTIONS	Fix the headlighting before it is in the car
When is expected to pass	As long as the headlighting is fixed before it is in the car
Preventive action taken	Fix the defect of the lamp before
Preventive action taken	Fix
Preventive action taken	As long as the defect of the lamp is fixed before it is in the car
REMARKS	
<div style="text-align: center;"><b>DEFECTION</b></div> <div> <div> <div>Location</div> <div>Defect</div> <div>When</div> <div>Where</div> </div> <div> <div>Where</div> <div>When</div> <div>Where</div> <div>Defect</div> </div> </div>	
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# Analysis of Cavity Results

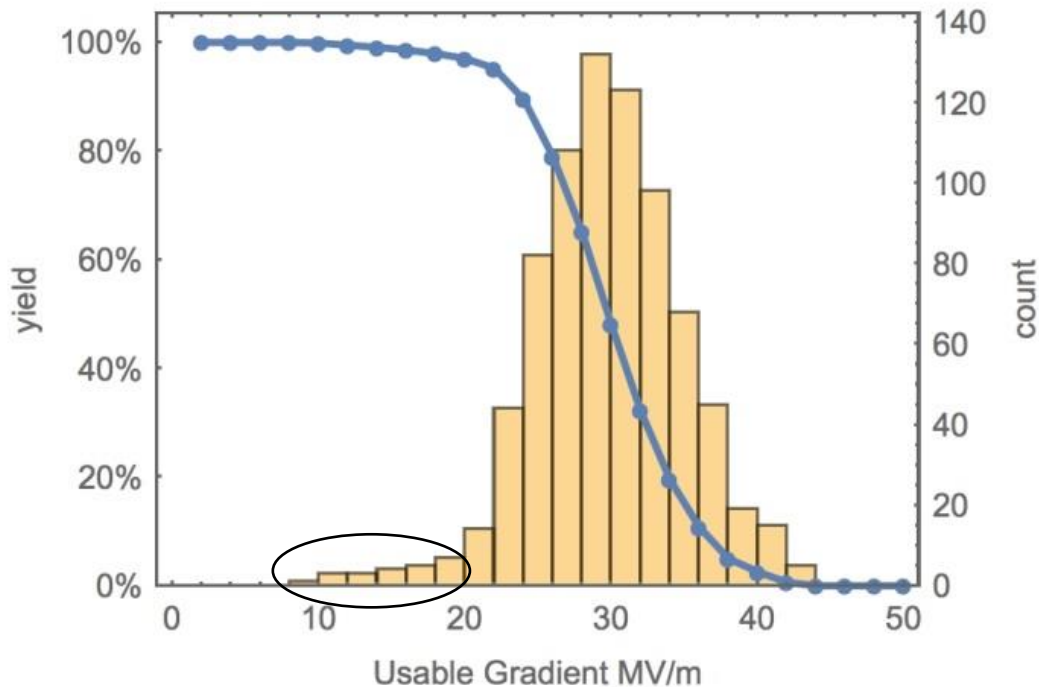


- Fully **statistical approach**; (nearly) no individual cavity analysis  
=> simplified vertical test procedure at 2K:
  - $Q_0(E_{acc})$ , - fundamental mode spectra, - HOM-check (partially)
  
- *Work in progress:*  
**Analysis and possible correlations for  $E_{acc,max}$ , Q-value + x-rays**
  - Nb-material: Vendor dependency
  - Cavity with vs. without He-tank
  - Surface treatment (not vendor)
  - Dependence on test infrastructure (RF test stand, cryostat, test inserts, ...)
  - Cool down procedure  
=> no special procedure applied, bad instrumentation, ...
  - “Processing” vs. degradation in case of field emission; degradation after quench
  - Optical inspection, local repair
  - ...
  - Error analysis

WEPMB007

## Finally: “Send to Saclay”

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Tests	> 810
$G_{AVG}$ (MV/m)	29.8
$G_{RMS}$ (MV/m)	5.1
yield @ 20MV/m	97%
yield @ 26MV/m	79%
yield @ 28MV/m	65%

- (nearly) all cavities shipped to CEA Saclay for string assembly
- average usable gradient:  
**~ 30 MV/m**
- includes cavities for three modules below 20 MV/m
  - Mainly low gradient quenches  
=> retreatment not successful  
=> retreatment would run out of schedule
  - Consequence of missing performance guarantee



# Cryomodule Test at AMTF

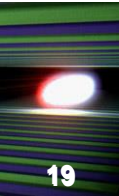
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- April, 20: 90 modules arrived with ~87 modules rf tested (XM-3 excluded)



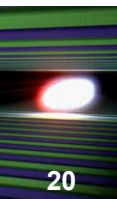


# Statistics of Cryomodules + Cryomodule Tests



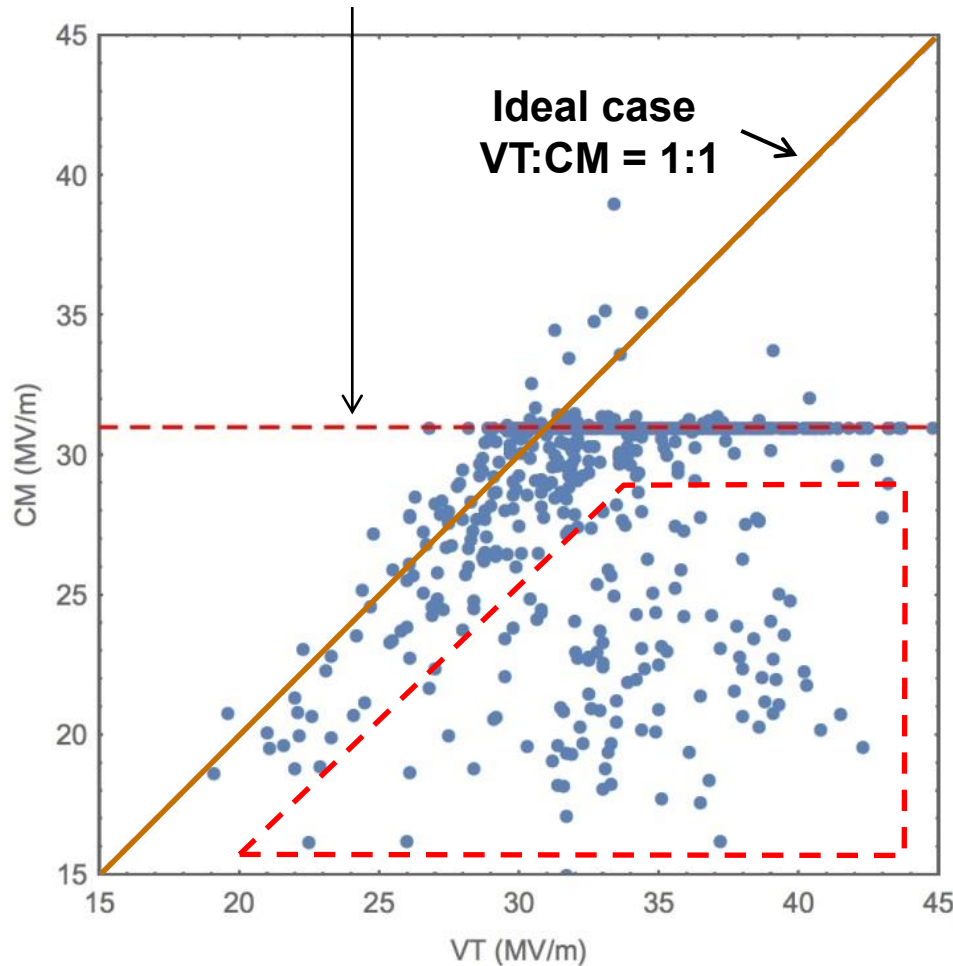
- Cryomodule assembly at CEA Saclay (=> see SRF2015, O. Napoly)
- Analysis of cryomodule tests: **April 20, 2016** (~87 cryomodules)
- **Improved and optimized test procedure** since June 2015  
=> typical test duration reduced to < 15 days  
(assuming no non-conformities!!!)
- **Non-conformities** (causing significant delay):
  - cryogenic + vacuum **leaks** (mainly at temporary connections to test stand)
  - **warm coupler part** (“push rod” bellow leaks, assembly NC’s, ...)

# Cryomodule – Vertical Test Comparison: MAX GRADIENT



## ■ individual cavity comparison of max gradient

(upper limit due to 31 MV/m limit in module test)



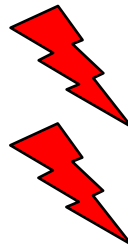
## ■ we lose between vertical and cryomodule test

- average VT: **30.3 MV/m**  
(clipped at 31 MV/m)
- average CT: **28.7 MV/m**  
(includes limit at 31MV/m)

# Vertical Test - Cryomodule Comparison: USABLE / OPERATIONAL GRADIENT

## Vertical Test – min of

- Maximum gradient (**quench**)
- **FE limit** (top/bottom X-ray)
- **Q<sub>0</sub> limit** ( $= 10^{10}$ )
- RF power limit at ~200 W



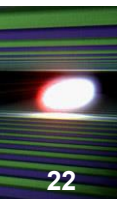
## Cryomodule – min of

- Maximum gradient (**quench**)
- **FE limit** (front/back X-ray)
- not available
- **RF power limit** at 31 MV/m

*when making comparisons,*

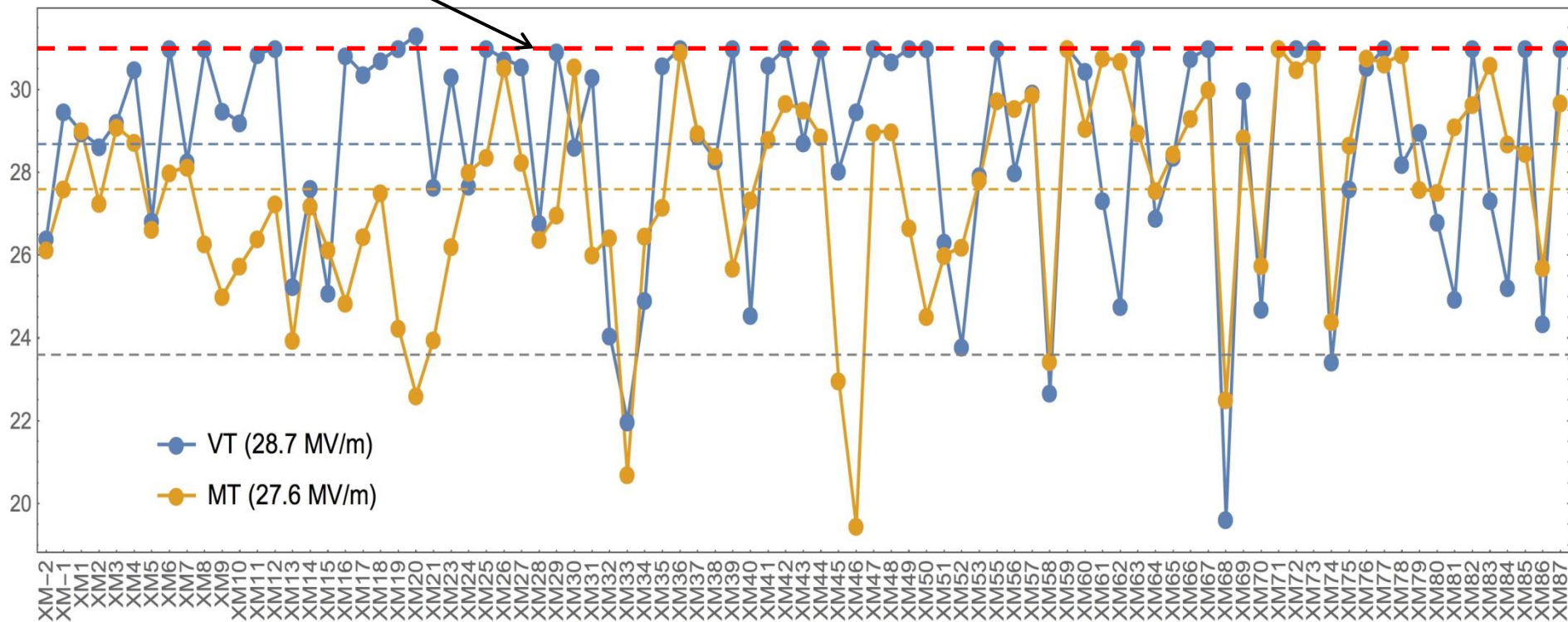


# Vertical Test - Cryomodule Comparison: Average cryomodule gradients



CM: upper limit due to 31 MV/m limit by RF power

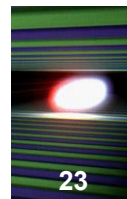
VT: clipped at 31 MV/m



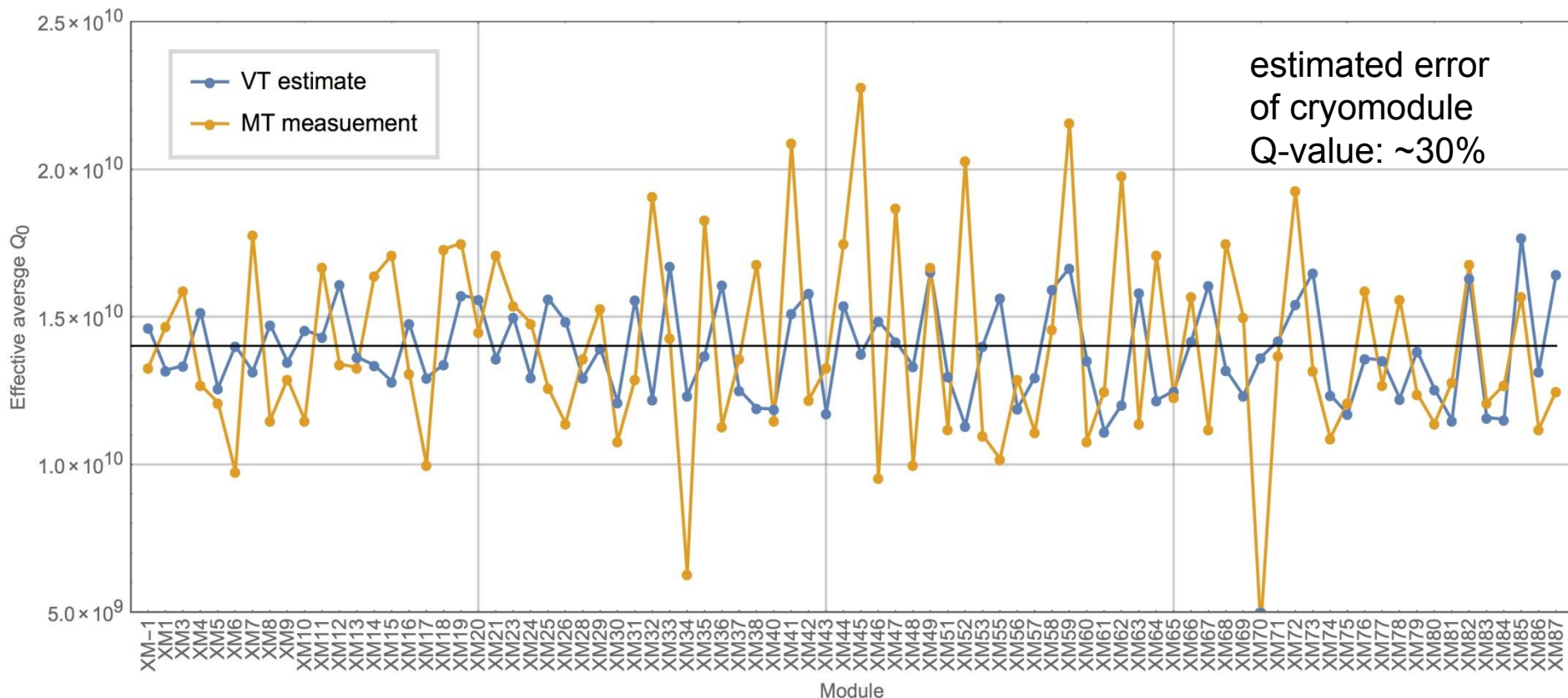
	$N_{\text{cavs}}$	Average	RMS	min	max
VT	695.	28.7	2.9	11.2	31.
CM	695.	27.6	4.5	10.5	31.

**Average VT  
performance met  
within <4%**

# Vertical Test - Cryomodule Comparison: Q<sub>0</sub>-values at ~20-23MV/m



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Average Q<sub>0</sub>-value at ~20-23 MV/m:

vertical

~1.4 × 10<sup>10</sup>

cryomodules

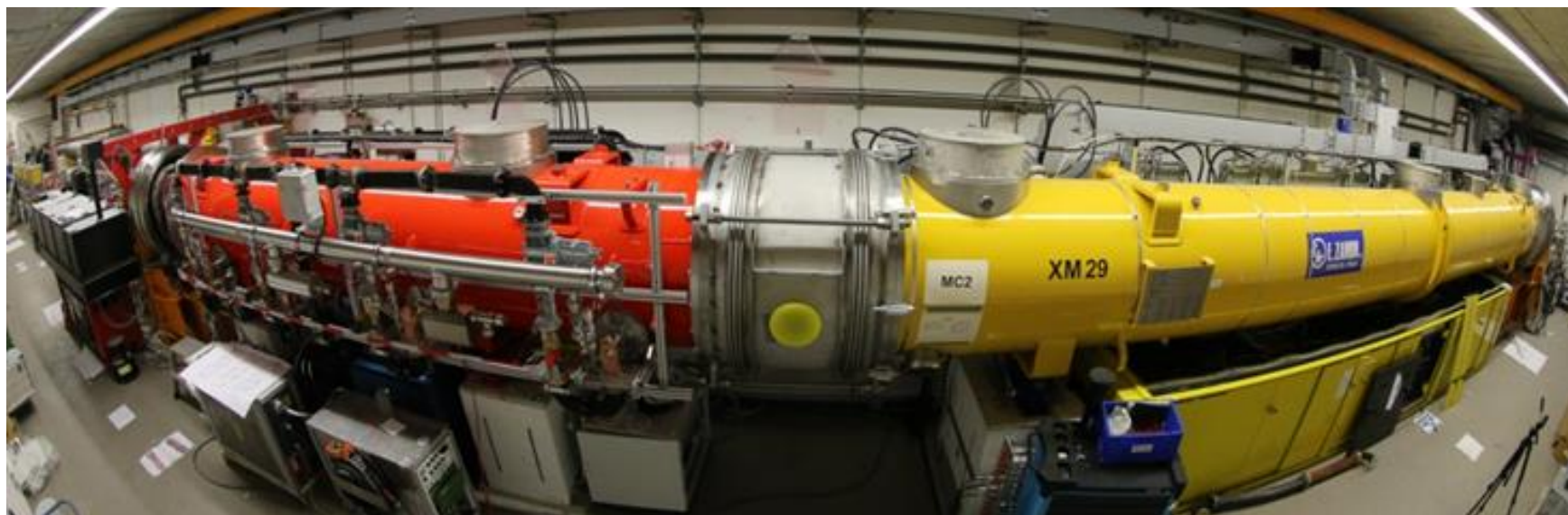
~1.4 × 10<sup>10</sup>



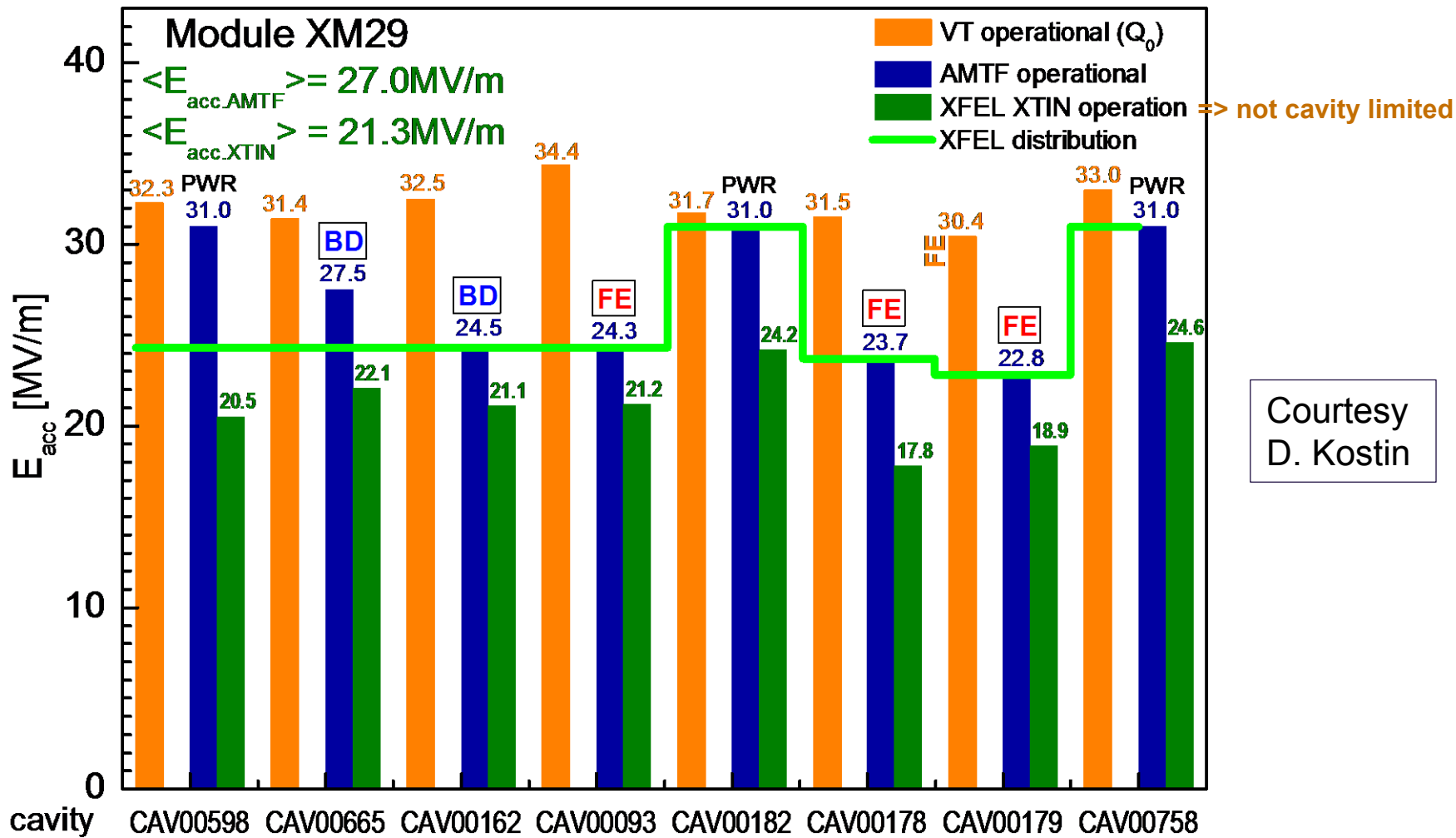
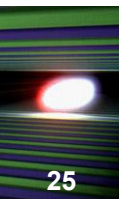
# Cryomodule Operation in the Injector

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- Cold module operation started Dec 2015
- More about “Commissioning of the European XFEL Injector” see F. Brinker & W. Decking: **TUOCA03**



# Injector Module Performance: First “cold” 1.3 GHz module A1 (XM29)



**No cavity limitation** during injector operation (up to **160 MeV** beam energy)

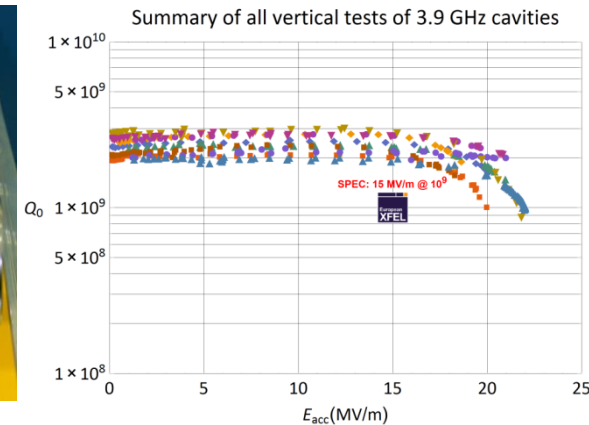
# 3.9 GHz Cavity Performances

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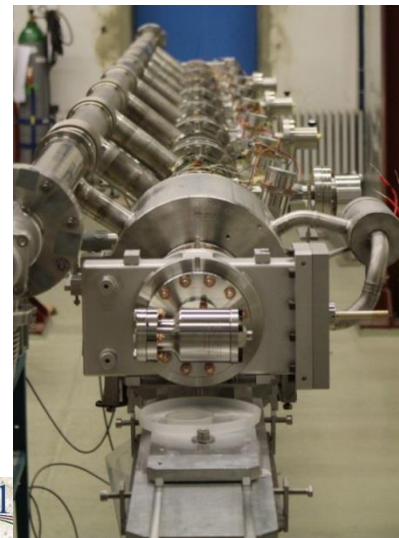
- VT of undressed cavities before integration and module assembly successful:

Spec: 15 MV/m @  $10^9$

<VT>: 20.8 MV/m @  $> 10^9$



- Horizontal RF test of one fully equipped 3.9 GHz cavity up to 24 MV/m:  
=> Cavity package validated (tuner, coupler, WG tuners)
- String assembly:



Courtesy  
P. Pierini

# Third Harmonic module in XFEL injector

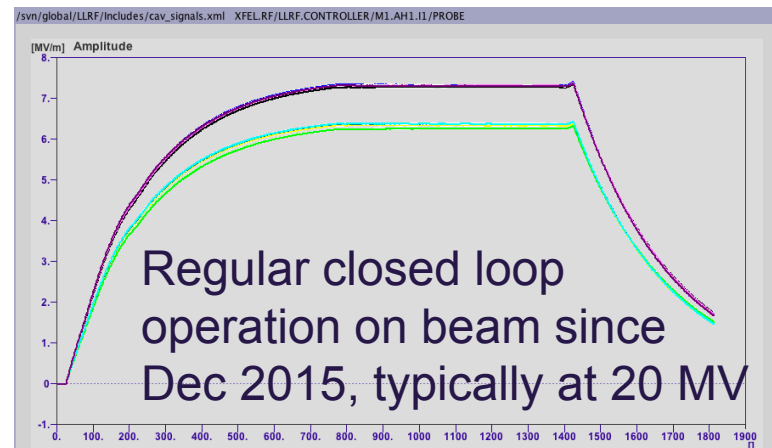
27

- AH1 (3.9 GHz module) operating since the start of the Injector commissioning
  - Beam dynamics requirements: up to approx 30 MV
  - Successful tunnel operation: **tested >45 MV**

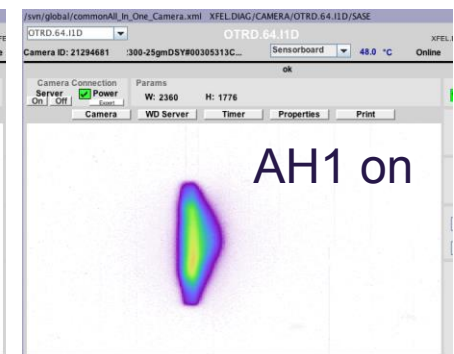
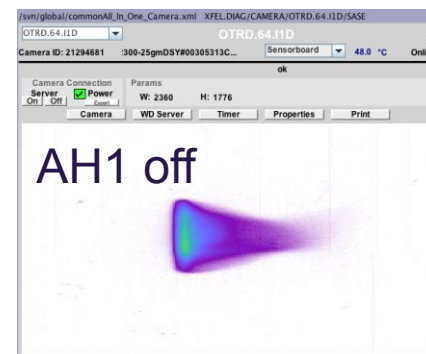
tunnel RF test environment much less accurate

(C7 is the first cavity to reach quench limit above VS setpoint of 45 MV)

Cav	Eacc (MV/m)	
	XTIN	VT
C1	18.1	21.0
C2	17.6	20.0
C3	18.7	20.8
C4	17.1	22.0
C5	18.2	21.0
C6	17.6	19.6
C7	17.7	20.0
C8	17.3	21.8



## First evidence of linearization effect



Courtesy  
P. Pierini

- **Spare module in fabrication (with plans for CW tests)**

**TUPOW005**



## cw R&D on EU-XFEL Cryomodules

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EU-XFEL and FLASH originate from the TESLA collider and therefore they nominally operate (FLASH) and will operate (EU-XFEL) in so called short pulse (sp) mode

RF-pulses		Max RF DF [%]
Max RF pulse length incl. rise time [ $\mu$ s]	Rep. Rate [Hz]	
1400	10	1.40

Both accelerators are based on the SRF technology and thus have potential for much larger DF, up to 100% (cw).

Having **additional** cw and long pulse modes will allow for more flexibility in the time structure of the photon beams and will make both facilities even more attractive to the users.

Courtesy  
J. Sekutowicz



# cw R&D on EU-XFEL Cryomodules

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Summary for series XFEL cryomodule in short pulse, long pulse (lp) and cw operation mode:

Courtesy  
J. Sekutowicz

## Demonstrated Gradients

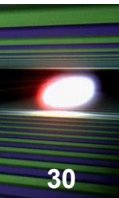
	Mode	sp	lp	cw
XM4 / FG	Max $\langle E_{acc} \rangle$ [MV/m]	31.8	19	15

Demonstrated  $Q_0$  in lp/cw operation at **2K** and **1.8K**

Mode	lp (DF $\approx$ 20%)	cw
XM4 / FG	2.0E10 @19MV/m	2.3E10 / <b>3.5E10</b> @15MV/m

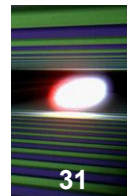
- No quench was observed for cw/lp modes in all conducted tests.
- Maximum demonstrated DHL was 71 W in cw mode at  $\sim 15$  MV/m. Operation was very stable. This proves that the E-XFEL cryomodule helium supply and return system can handle at least 77W dissipation.

# Summary + Outlook

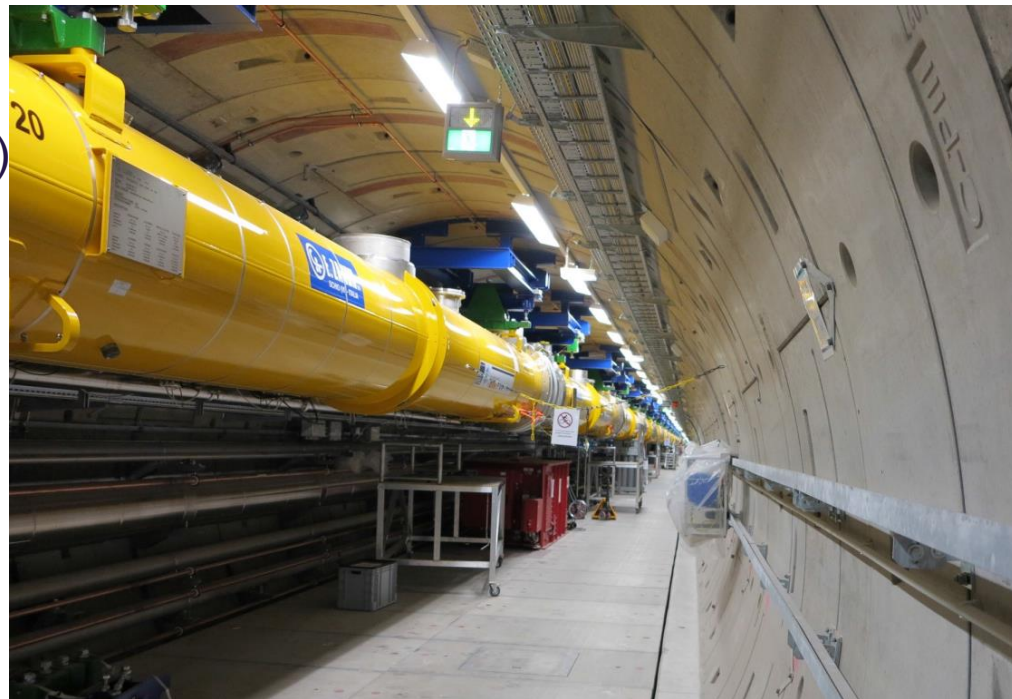


- Cavity production at both vendors successfully finished
- Vertical acceptance tests finished and well above Spec
- Accelerator cryomodule assembly and testing close to be finished
- Cryomodule tests well above Spec  
(some degraded cavities after string assembly)
- 1.3 GHz injector cryomodule and 3.9 GHz third harmonic system in successful beam operation
- Series 1.3 GHz cryomodule tested in cw / long pulse mode with excellent results
- Detailed analysis of VT and CM tests for correlations in progress
- EU-XFEL Linac commissioning in late 2016

Thanks to all colleagues of:



- IFJ-PAN Krakow (esp. J. Swierblewski, M. Wiencek)
- CEA Saclay
- INFN Milano (esp. L. Monaco)
- E. Zanon
- Research Instruments
- Daher Transkem
- Alsyom
- DESY



(esp. V. Gubarev, J. Schaffran, L. Steder, N. Walker, M. Wenskat, S. Yasar)