# A PARALLEL PARTICLE-PARTICLE, PARTICLE-MESH SOLVER FOR STUDYING COULOMB COLLISIONS IN THE CODE IMPACT-T* 

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#### Abstract

In intense charged-particle beams, the presence of Coulomb collisions can result in growth of the beam slice energy spread and emittance that cannot be captured correctly using traditional particle-in-cell codes. Particle-particle, particle-mesh solvers take a hybrid approach, combining features of N -body and particle-in-cell solvers, to correctly capture the effect of short-range particle interactions with less computing time than direct N -body solvers. We describe the implementation and benchmarking of such a solver in the code IMPACT-T for beam dynamics applications.


## INTRODUCTION

Coulomb collisions can play a significant role in the dynamics of low emittance, high-intensity beams for applications such as high-brightness photoinjectors, electron microscopy, and storage ring light sources [1,2]. Short-range fluctuations in the fields seen by each particle can result in a growth of emittance and energy spread that is not captured by traditional accelerator particle-in-cell codes, which assume a smooth (mean-field) model of the beam space-charge seen by each particle.

These effects can be captured by N-body solvers that directly compute the Coulomb particle-particle interactions for every particle pair [3]. However, the successful use of these solvers is limited to beams with extremely low bunch charge, since computing times scale as $O\left(N_{p}^{2}\right)$, where $N_{p}$ is the number of particles per bunch. In cosmology, plasma physics and molecular dynamics, such collisional many-body problems have been treated successfully $[4,5]$ using particle codes with improved scaling $\left(O\left(N_{p} \log N_{p}\right)\right.$ or better) including tree codes [6] and particle-particle, particle-mesh ( $\mathrm{P}^{3} \mathrm{M}$ ) solvers [7]. The application of these methods to chargedparticle beams is relatively new in the context of accelerator systems. In this paper, we describe the implementation of a parallel $\mathrm{P}^{3} \mathrm{M}$ solver in the photoinjector code IMPACT-T [8].

## THE $P^{3}$ M SOLVER

The solver is based on the algorithm described in Chapter 8 of [7]. In the reference frame in which the bunch centroid is at rest, the Coulomb force on a particle at location $\mathbf{x}_{i}$ due to a particle at location $\mathbf{x}_{j}$ is expressed in terms of the displacement $\mathbf{r}_{i j}=\mathbf{x}_{i}-\mathbf{x}_{j}$ as:

$$
\begin{equation*}
\mathbf{F}\left(\mathbf{r}_{i j}\right)=\frac{q^{2} \mathbf{r}_{i j}}{4 \pi \epsilon_{0}\left|\mathbf{r}_{i j}\right|^{3}}=\mathbf{F}^{S}\left(\mathbf{r}_{i j}\right)+\mathbf{F}^{L}\left(\mathbf{r}_{i j}\right) \tag{1}
\end{equation*}
$$

[^0]5: Beam Dynamics and EM Fields


Figure 1: Short-range and long-range contributions to the Coulomb force between two particles (1) for a given cutoff radius $a$ used by a $\mathrm{P}^{3} \mathrm{M}$ solver in IMPACT-T.

Both $\mathbf{F}^{S}$ and $\mathbf{F}^{L}$ are radial forces determined by the interparticle distance $r=\left|\mathbf{r}_{i j}\right|$ and a parameter $a$ known as the cutoff radius. The long-range contribution $\mathbf{F}^{L}$ is the smooth, nonsingular force between two spherically-symmetric distributions of charge $q$ and radius $a / 2$ whose centroids are separated by distance $r$, while the short-range contribution $\mathbf{F}^{S}$ satisfies $\mathbf{F}^{S}(r)=0$ for $r>a$. See Figure 1. We use expressions for $\mathbf{F}^{S}$ and $\mathbf{F}^{R}$ corresponding to the S2 shape function described in (8-3) of [7].

The net long-range force acting on each particle is computed in the beam rest frame by solving on a mesh for the long-range contribution to the corresponding electric and magnetic fields. This is done using an FFT-based convolution procedure $[7,8]$. The net short-range force acting on each particle is computed in the beam rest frame by directly summing the short-range forces due to all other particles in the beam. To reduce computing time, in addition to the potential mesh, a second chaining cell mesh is introduced whose cells have side $H C \geq a$. At each timestep, particles are sorted according to chaining cell location. During the short-range force computation, the solver needs to sum only over the subset of particles that lie within the current chaining cell or its nearest neighbors. The long-range and short-range force computations are each parallelized using domain decomposition $[4,5]$.

The cutoff radius $a$ is typically chosen as 3-4 times the side $H$ of a potential mesh cell. In the limit $a \rightarrow 0$ for fixed $H$, one has $\mathbf{F}^{S} \rightarrow 0$ and the $\mathrm{P}^{3} \mathrm{M}$ computation is equivalent to a particle-in-cell computation (approximating a meanfield model), while in the limit $a \rightarrow \infty$ for fixed $H$, one has $\mathbf{F}^{L} \rightarrow 0$ and the $\mathrm{P}^{3} \mathrm{M}$ computation is equivalent to a direct N -body simulation (all collisional effects are included).

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## BENCHMARKS

## Expansion of a cold Spherical Beam

For this benchmark, we investigate a 0.25 nC electron bunch with a kinetic energy of 250 MeV undergoing freespace Coulomb expansion in a drift. The initial spatial distribution of particles is taken to be uniform within an ellipsoid with semi-principal axes $a_{x}=a_{y}=\gamma a_{z}=R_{0}$, which corresponds to a uniformly-charged sphere of radius $R_{0}=1$ mm in the reference frame co-moving with the bunch centroid. The bunch is taken to have zero initial momentum spread.

In the bunch rest frame, the bunch is described as a uniformly-populated sphere of increasing radius:

$$
\begin{equation*}
R(t)=R_{0} g(t / T) \tag{2a}
\end{equation*}
$$

where $g$ is the solution of the dimensionless envelope equation:

$$
\begin{equation*}
g^{\prime \prime}=1 / g^{2}, \quad g(0)=1, \quad g^{\prime}(0)=0 \tag{2b}
\end{equation*}
$$

and the time scale $T$ for space-charge expansion is given by:

$$
\begin{equation*}
T=\left(\frac{R_{0}^{3}}{N_{p} r_{c} c^{2}}\right)^{1 / 2}=\frac{\sqrt{3}}{2 \pi} \tau \tag{2c}
\end{equation*}
$$

Here $N_{p}$ is the bunch population, $r_{c}$ is the classical particle radius, and $\tau=2 \pi / \omega_{p}$ is the plasma period associated with the initial beam density.

Fig. 2 shows the evolution of the rms beam sizes along each dimension as computed by tracking a beam in IMPACTT using the $\mathrm{P}^{3} \mathrm{M}$ solver described in the previous section. These results are shown together with the prediction $\sigma_{x}=$ $\sigma_{y}=\gamma \sigma_{z}=R(t) / \sqrt{5}$ obtained from (2). This simulation was performed using 10 K particles with a $64 \times 64 \times 64$ potential mesh and 2500 time steps. The cutoff radius was chosen by setting $a=3 H$ at each timestep, where $H=\max \left\{H_{x}, H_{y}, H_{z}\right\}$ denotes the size of a potential mesh cell. Because the evolution of RMS beam size is relatively insensitive to collisional effects, this is primarily a benchmark of particle tracking in the presence of the delicate cancellation between transverse forces due to electric and magnetic space-charge fields in the laboratory frame, that occurs for an intense relativistic beam.

While electron interactions with the linear space-charge fields within an expanding, uniformly-charged ellipsoid produce no emittance growth, some emittance growth is expected to occur due to Coulomb collisions. Fig. 3 shows the evolution of the rms beam emittance that is obtained using a direct N -body simulation including all Coulomb particle-particle interactions among the 10 K simulation particles (black). This emittance growth is enhanced relative to the physical beam, since the number of simulation particles is less than the true number of electrons. However, Fig. 3 also shows the emittance growth obtained using the $\mathrm{P}^{3} \mathrm{M}$ solver for several values of cutoff radius. This emittance converges to the emittance obtained using the direct N -body simulation as the parameter $a$ increases, indicating that the


Figure 2: RMS beam sizes $\sigma_{x}, \sigma_{y}$, and $\gamma \sigma_{z}$ as functions of drift distance for a 0.25 nC electron bunch expanding in free space at 250 MeV , for comparison with (2). Results are obtained using a $\mathrm{P}^{3} \mathrm{M}$ solver with $a / H=3$.


Figure 3: RMS horizontal emittance of a 0.25 nC electron bunch expanding in free space at 250 MeV as obtained using a particle-in-cell solver (red), a direct N -body solver (black), and a $\mathrm{P}^{3} \mathrm{M}$ solver with cutoff radii $a / H=1,3,5,7$, and 9 .
$\mathrm{P}^{3} \mathrm{M}$ solver correctly captures beam heating due to particleparticle collisions when the parameter $a / H$ is sufficiently large.

## Disorder-induced Heating

For this benchmark, we investigate a 25 fC spherical electron bunch with zero initial momentum spread, whose particle coordinates have been initialized randomly within a sphere of radius $R$. The bunch is at rest and confined radially by using an applied linear focusing force that is equal and opposite to the repulsive force caused by the mean space-charge field. After a time of one-quarter plasma period, the initial disorder associated with the particles' coordinates is converted into disorder associated with the particles' momenta, through a collisional process of disorder-induced heating. The beam approaches a final equilibrium temperature $T_{\text {eq }}$ corresponding approximately to the plasma coupling param-


Figure 4: Emittance growth of a confined 25 fC electron bunch undergoing disorder-induced heating as obtained using a $\mathrm{P}^{3} \mathrm{M}$ solver. Results are shown for several values of the cutoff radius $a$.
eter value [9]:

$$
\begin{equation*}
\Gamma_{\mathrm{eq}}=\left(\frac{r_{c}}{d}\right)\left(\frac{m c^{2}}{k_{B} T_{\mathrm{eq}}}\right)=2.23 \tag{3}
\end{equation*}
$$

where $d$ is the Wigner-Seitz radius corresponding to the electron number density $n_{0}$ :

$$
\begin{equation*}
d=\left(\frac{3}{4 \pi n_{0}}\right)^{1 / 3} \tag{4}
\end{equation*}
$$

For a confined, spherical bunch of radius $R$ consisting of $N_{p}$ electrons, this gives an equilibrium temperature and rms emittance of:

$$
\begin{equation*}
\frac{k_{B} T_{\mathrm{eq}}}{m c^{2}}=\frac{r_{c} N_{p}^{1 / 3}}{R \Gamma_{\mathrm{eq}}}, \quad \epsilon_{x, n}^{\mathrm{eq}}=\sigma_{x} \sqrt{\frac{k_{B} T_{\mathrm{eq}}}{m c^{2}}} . \tag{5}
\end{equation*}
$$

To simulate the disorder-induced heating process in IMPACT-T, we use a number of simulation particles equal to the true number of electrons $N_{p}=156055$, which are confined within a sphere of radius $R=17.74 \mu \mathrm{~m}$ to produce a density of $n_{0}=6.67 \times 10^{18} \mathrm{~m}^{-3}$. These parameters have been chosen to correspond to possible bunch charge and density near the cathode in a photoinjector used for ultrafast electron diffraction, as described in [10]. For the parameters given here $k_{B} T_{\mathrm{eq}}=1.96 \mathrm{meV}$ and $\epsilon_{x, n}^{\mathrm{eq}}=0.491 \mathrm{~nm}$.

Fig. 4 shows the simulated evolution of the rms beam emittance over 5 plasma periods. The result is shown for several values of the cutoff radius $a$, illustrating convergence to the predicted equilibrium value (dashed) as $a / H$ increases beyond $\sim 3$. The oscillations of period $\tau / 2$ are characteristic of the disorder-induced heating process [9].

## SUMMARY

A parallel particle-particle, particle-mesh solver has been implemented in the code IMPACT-T for the purpose of studying collisional effects in high-brightness beams. While longwavelength variations in the electric and magnetic self-fields are resolved on a computational mesh, the solver resolves the short-wavelength fluctuations in the electric and magnetic self-fields due to collisions by performing a direct summation of all particle-particle interaction forces within a given cutoff radius $a$. The accuracy of this procedure is primarily determined by the ratio $a / H$, where $H$ is the size of a (potential) mesh cell, and benchmarks indicate that the emittance growth due to beam heating can be resolved when $a / H \sim 3$ 7. In the presence of a photocathode, the $\mathrm{P}^{3} \mathrm{M}$ solver also includes collision effects due to particle interactions with image charges at the cathode. Its application to simulations of a high-brightness photoinjector is underway.

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