



# **Low Emittance Upgrade for Existing Mid-size Light Source**

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1. Introduction to Light Source.
2. 3<sup>rd</sup> Generation Light Source in Operation.
3. Low Emittance Upgrade for Existing Mid-size Light Source.
  - USR upgrade (Many facilities on study)
  - Practical upgrade (Three upgrade cases: SPEAR-3, PLS-II, ALS)
4. Summary.

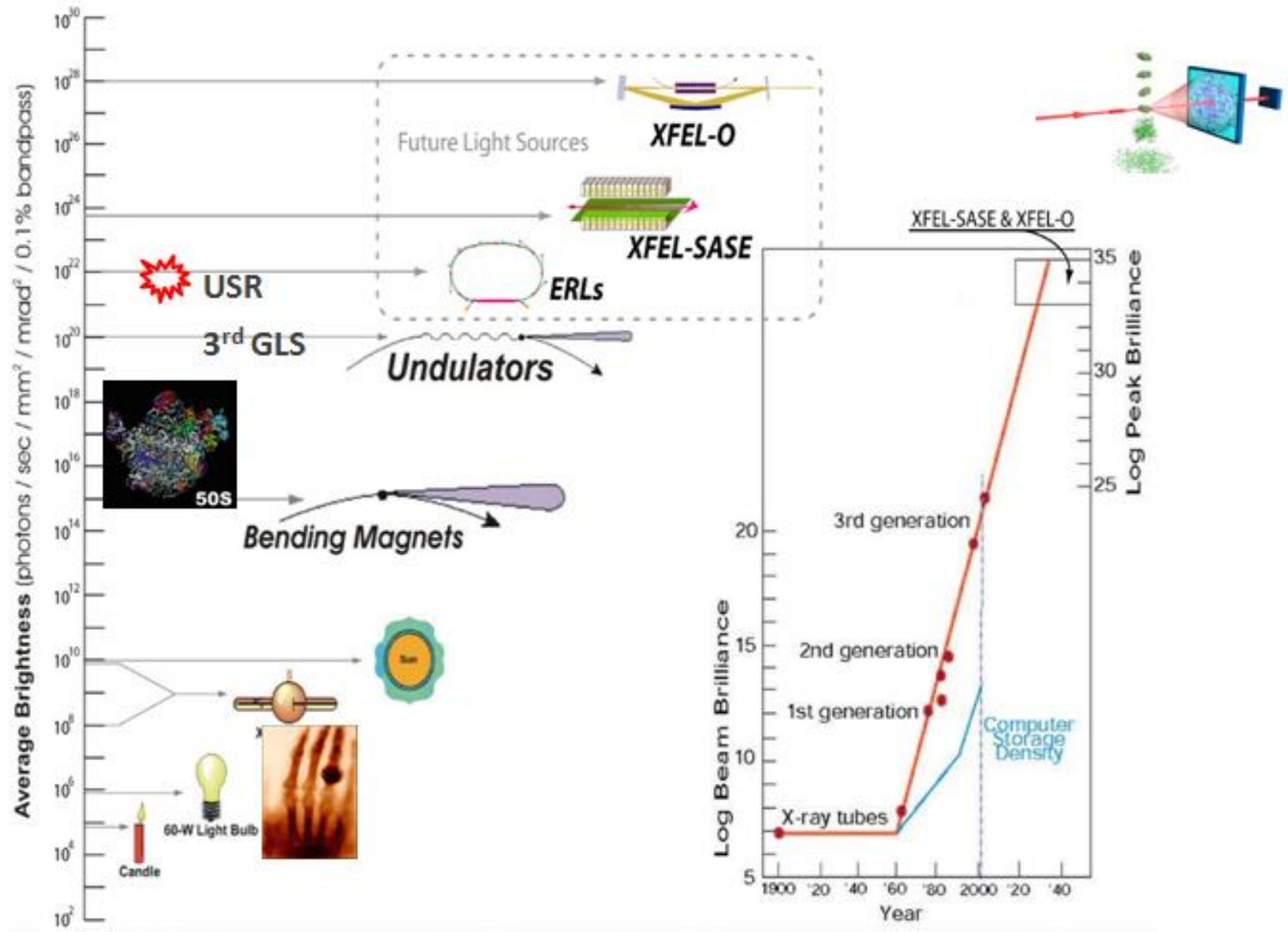
(Courtesy of L. Nadolski)

Nearly 80 facilities producing synchrotron light



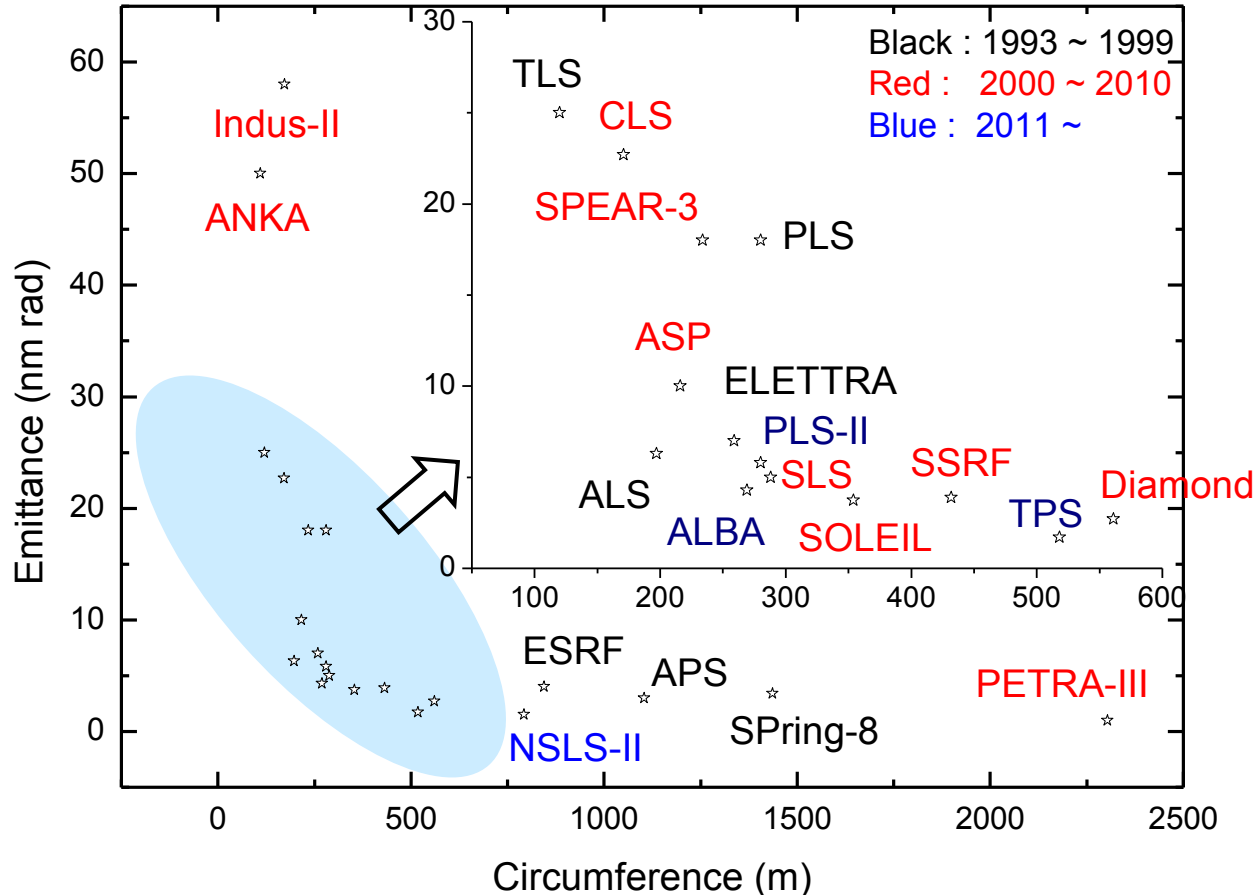
1. 1<sup>st</sup> Generation SR Source.
  - Parasitic operation: 1961, electron synchrotron UV source at NBS
  - 1974, SOR (1<sup>st</sup> ring designed for SR). SPEAR (1<sup>st</sup> x-ray beam line)
2. 2<sup>nd</sup> Generation SR Source.
  - dedicated for SR with dipole source.
  - PF, NSLS, BESSY, SPEAR-II, SUPER-ACO (1981 ~ 1990)
3. 3<sup>rd</sup> Generation SR Source.
  - High brightness & low emittance
  - ESRF (1<sup>st</sup> 3GLS in 1992), ALS, PLS (1996), APS, SPring-8, ... PLS-II (2011)
  - Undulator source.
4. 4<sup>th</sup> Generation SR Source.
  - Higher brightness, higher coherence, shorter bunches.
  - LCLS, SCSS, Euro-XFEL, Swiss-XFEL, PAL-XFEL, ...
5. Ultimate Storage Ring (Existing 3<sup>rd</sup> GLS, New LS)
  - Diffraction limited emittance, high average brightness, stable CW operation.
  - MBA and small horizontal aperture

# The progression of bright x-ray sources



Most 3<sup>rd</sup> generation light sources are in the intermediate energy range and mid-size ring.  
 Expection

- ESRF, APS, SPring-8 in the early part
- PETRA-III: Converted source from a former high energy physics machine.
- NSLS-II: “Green field” project





## Technology and Experience on 3<sup>rd</sup> Generation Sources

1. Extended spectral range.
  - Around 3 GeV operation energy. (High flux, 6-12 keV)
  - Reduce undulator period. (In-vacuum undulator)
2. Stability.
  - Sophisticated feedback systems. (no longer submicron stability but 200 ~ 300 nm.)
  - Top-up operation. (mandatory)
3. High availability (Target: 98~99% with 96% as low limit, MTBF: 50~100 h)
  - Reliable software and hardware.
4. Advanced diagnostics.
  - Bunch motion analysis from post-mortem.
  - Beam position monitor electronic
5. SC cavities.
6. Automated beam-based optimization.
7. Alignment precision.
8. Small vacuum pipe.
9. Magnet technology.
10. Modeling improvement.



# Performance of 3<sup>rd</sup> Generation Light Source



Circumference = 354 m

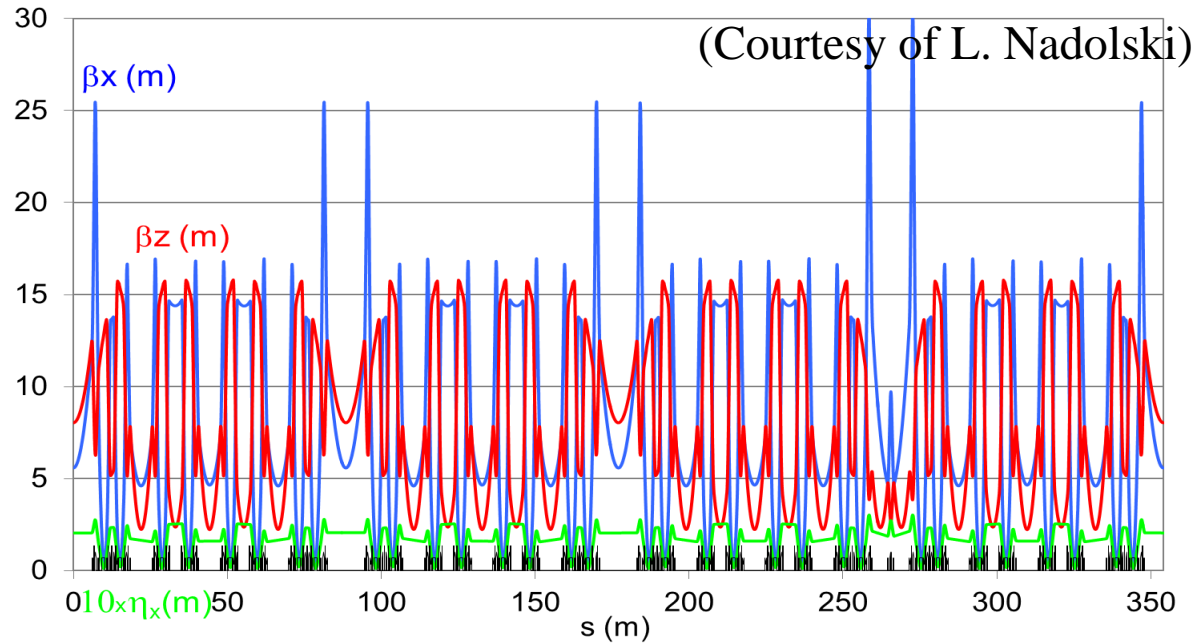
24 straight sections

4 × 12 m

12 × 7 m

8 × 3.6 m

45 % of the circumference  
available for straight sections



| Parameters                        | Design | Achieved as of Oct 2013               |
|-----------------------------------|--------|---------------------------------------|
| Energy ( GeV )                    | 2.75   | 2.739                                 |
| Emittance H ( nm•rad )            | 3.9    | 3.9                                   |
| Coupling, $\epsilon_V/\epsilon_H$ | <1%    | 0.7% (w/o corr.) 1% (w/ V dispersion) |
| Current Multibunch mode ( mA )    | 500    | 500 (430 for Users operation)         |
| Beam Lifetime ( h )               | 16 h   | 14h @ 500 mA                          |

## 5 Modes of Operation for the Users (All in Top-up injection)

5 feedbacks simultaneously in operation: TFB, SOFB, FOFB, BTUNE-FB, Coupling.-FB 8

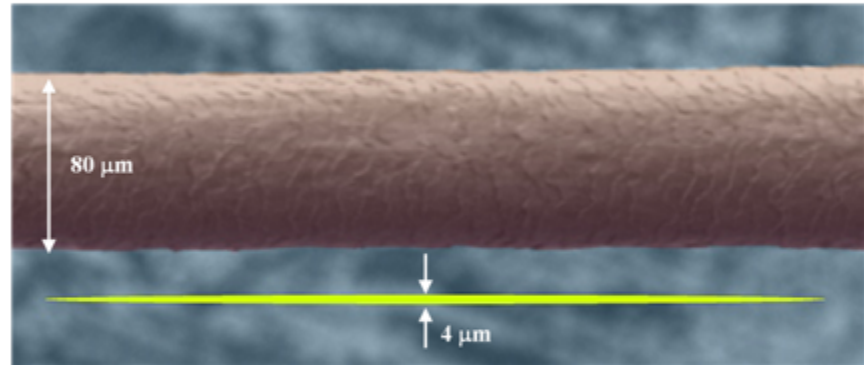


SLS world record for smallest vertical emittance

## Coupling minimization at SLS

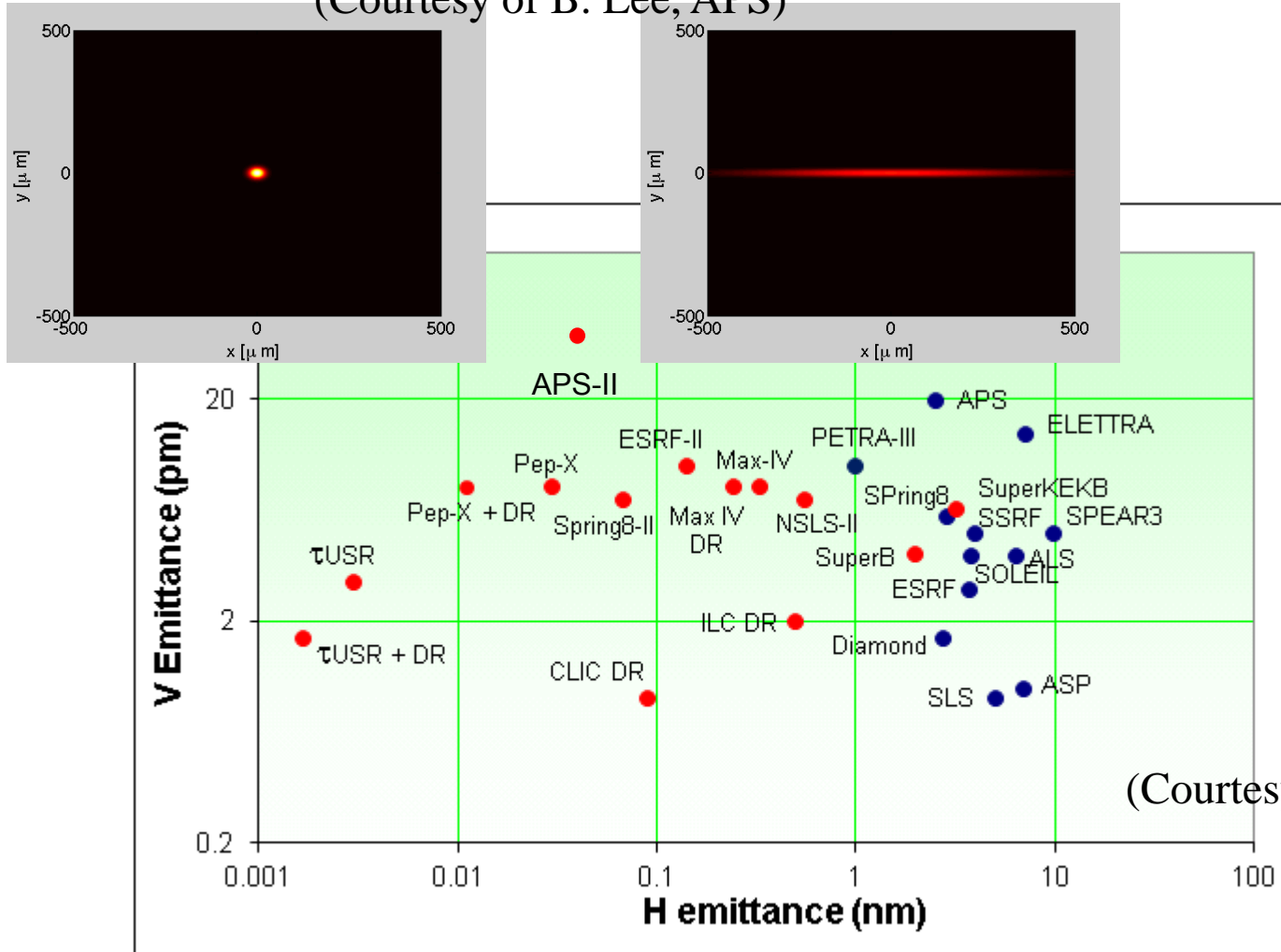
M. Aiba et al., *Ultra low vertical emittance at SLS through systematic and random optimization*, NIM A 694 (2012), 133-139

- observable: vertical beam size from monitor
  - knobs: 24 skew quadrupoles
  - random optimization: trial & error (small steps)
  - Start: model based correction:  $\varepsilon_y = 1.3 \text{ pm}$
  - 1 hour of random optimization  $\varepsilon_y \rightarrow 0.9 \pm 0.4 \text{ pm}$
  - Measured coupled response matrix off-diagonal terms were reduced after optimization.
- ⇒ Model based correction limited by model deficiencies rather than measurement errors.



# H emittance vs V emittance

(Courtesy of B. Lee, APS)



(Courtesy of R. Bartolini)

**Transverse coherence requires small emittance**

**Diffraction limit at  $\lambda = 0.1$  nm requires 8 pm emittance**

$$\varepsilon \leq \frac{\lambda}{4\pi}$$

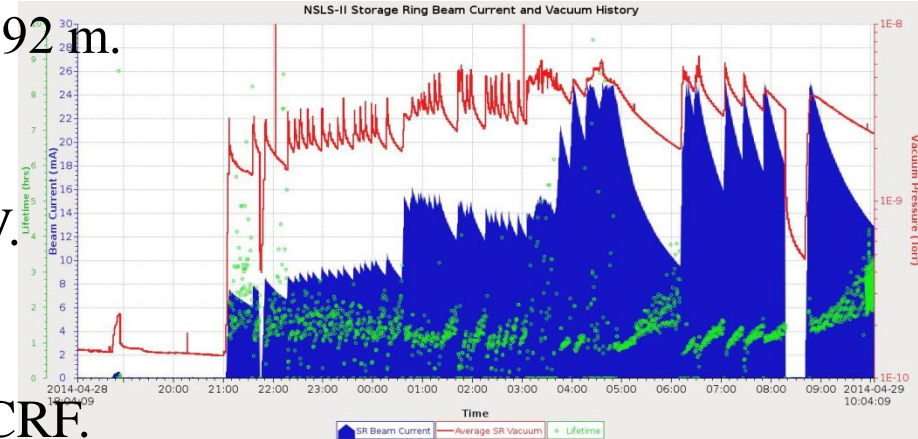


# New 3<sup>rd</sup> Generation Light Source

## 1. NSLS-II

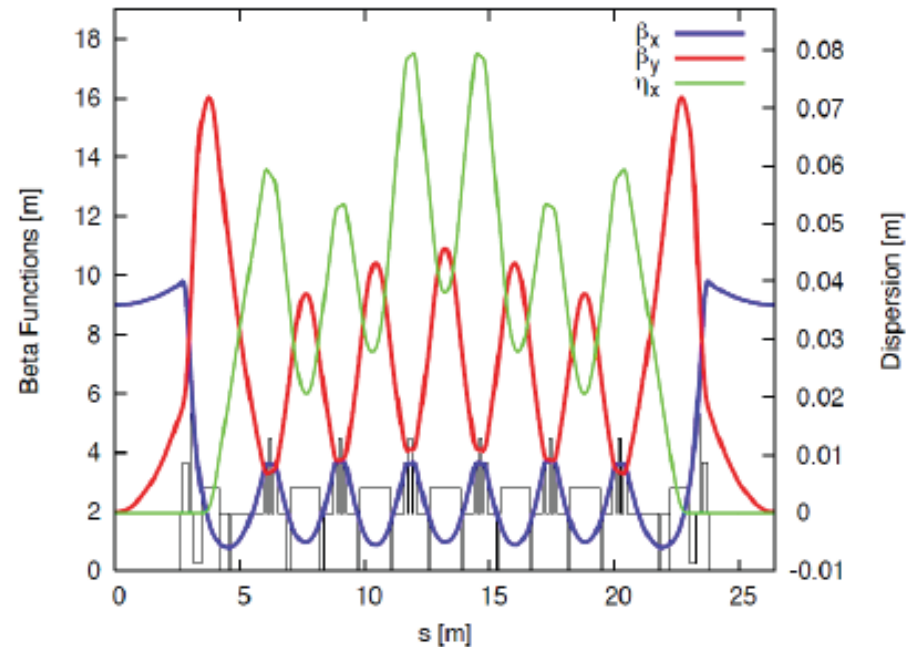
- “Green field” project with ring size 792 m.
- 30 Double Bend Cell.
- Emittance in X with DWs < 1 nm.  
diff. limited emittance in Y at 10 keV.
- Finished commissioning Phase-1  
25 mA Beam store (April 2014)
- Start commissioning Phase-2 with SCRF.

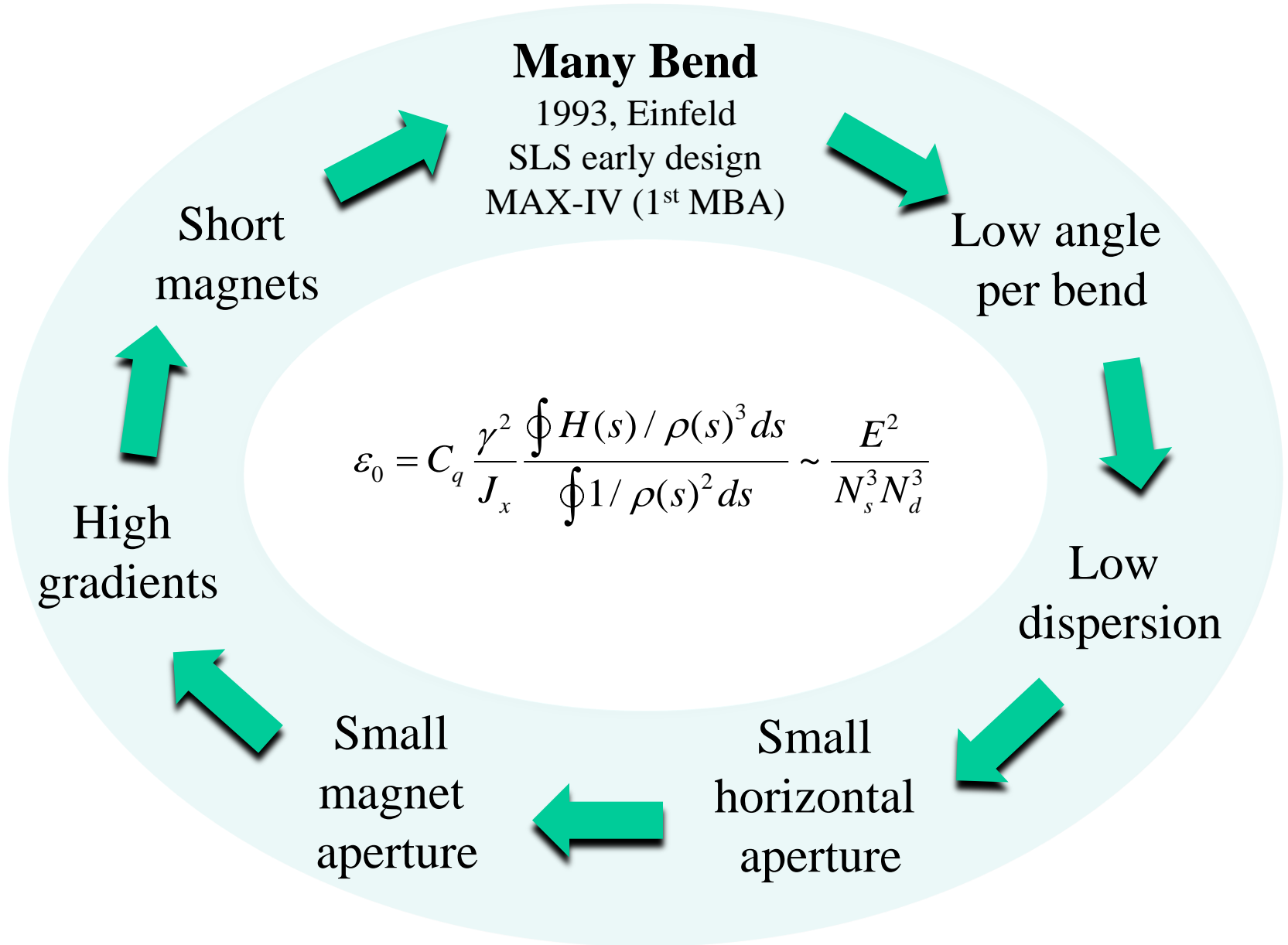
NSLS-II Storage Ring Beam Current and Vacuum History



## 2. MAX-IV

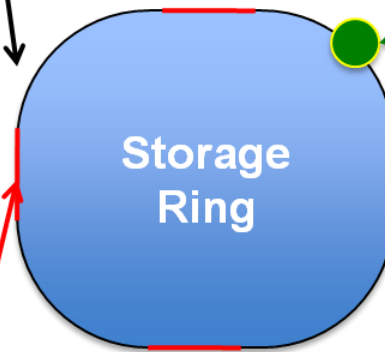
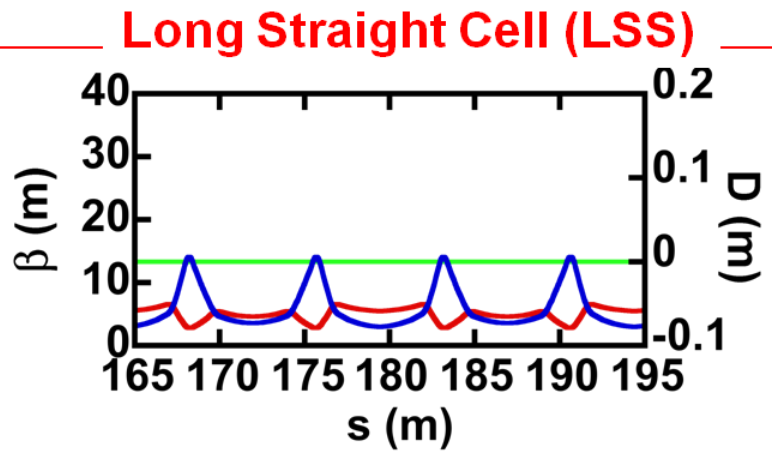
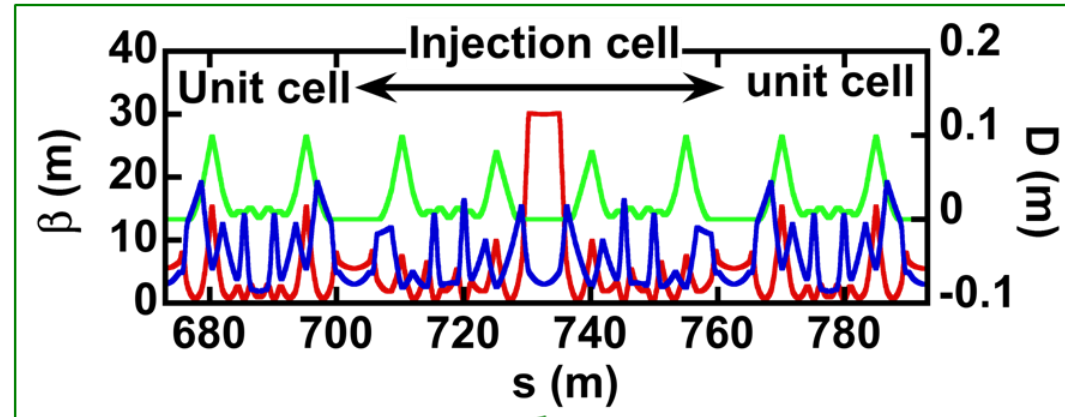
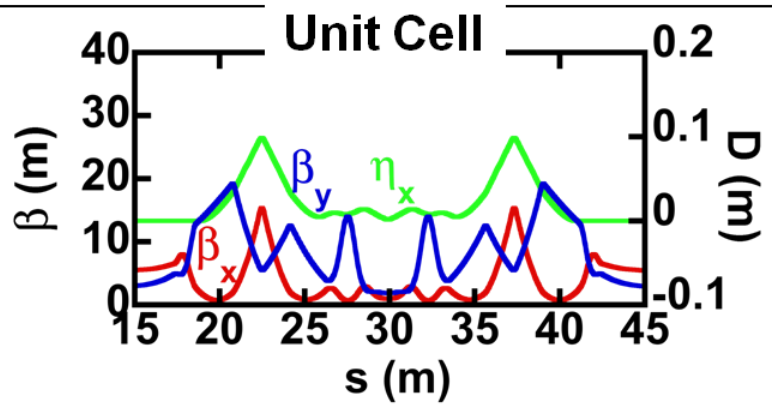
- First 3 GLS using MBA.
- 20 7BA (zero dispersion in SS) cell
- 3 GeV, 528 m, 0.34 nm emittance
- Small magnet bores  
NEG pumped round chamber in arcs.
- Commissioning in early 2015





## 1. SPring-8 upgrade study

(Courtesy of Y. Shimosaki)



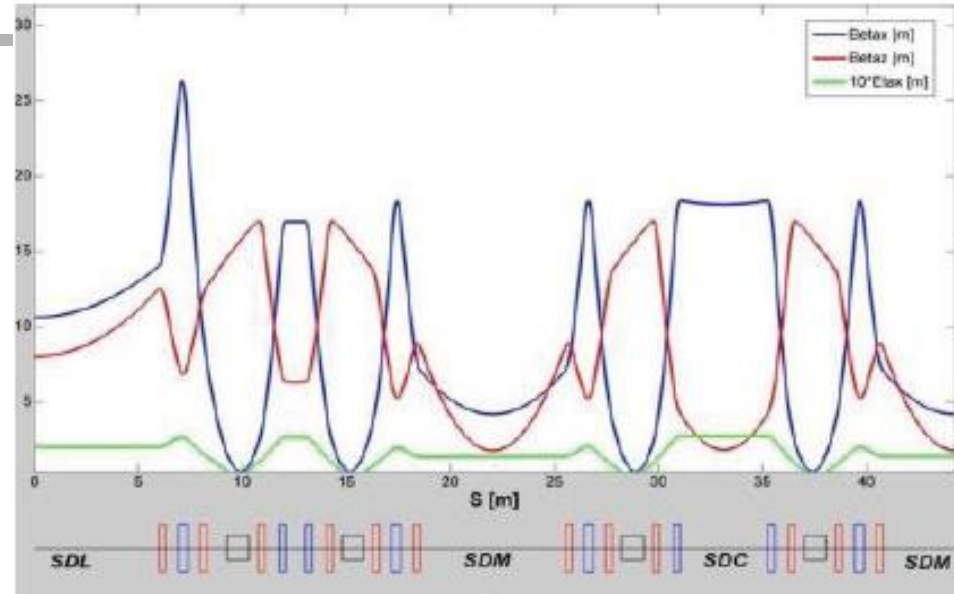
**Injection Point**

Double bend -> 5 Bend Achromat  
2.4 nm -> 100 pm (w IDs)

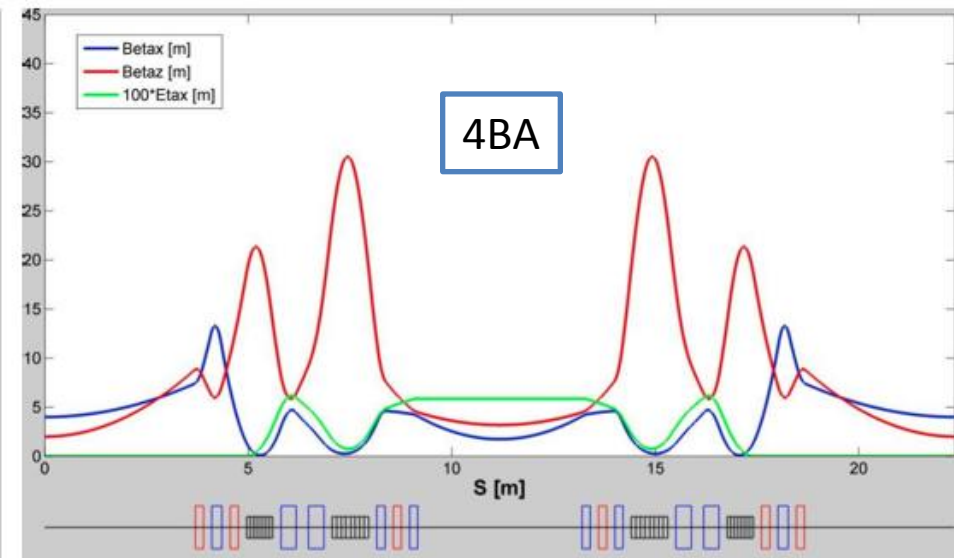
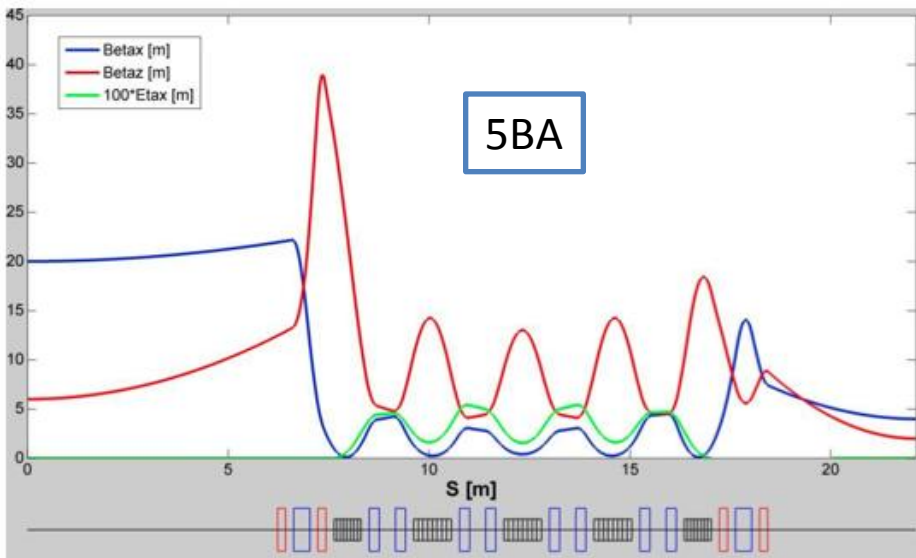
Basic ring structure is ("Unit cell" x 11 + "LSS") x 4, but it is locally modified at **injection point**.

## 2. APS (~100 pm), ESRF (~150 pm)

1. SOLEIL upgrade study
  - 1) Keep BL and SS.
  - 2) 520 pm emittance @ 2.75 GeV
  - 3) Maximum gradient 50 T/m.
  - 4) Reasonable chromaticities.
  - 5) Combined function dipole.



R. Nagaoka et al. Study Of Lower Emittance Optics Using Multi-Bend Achromat Lattice At SOLEIL. In Proceedings of the fourth International Particle Accelerator Conference, pages 76–78, Shanghai, China, May 2013.



Lattice and envelop functions for the SDL-SDM (left) and SDM-SDC-SDM cells (right), altogether representing 1/8<sup>th</sup> of the ring

## 2. DIAMOND upgrade study

1) 2750 -> 280 pm emittance @ 3 GeV

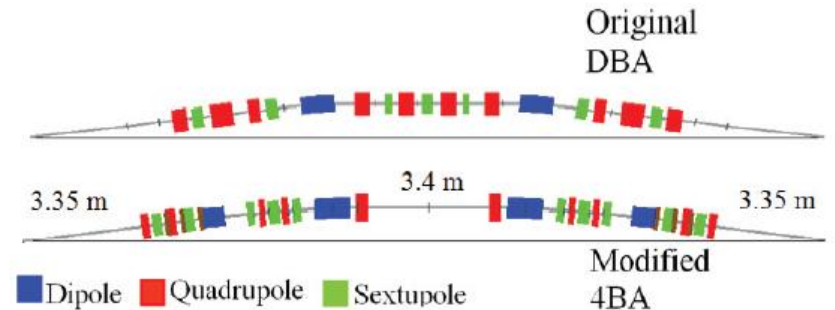
2) Modified 4BA.

3) Doubling capacity.

4) Feasibility studies for the current ring

- replacing the existing cell2 with a Modified 4BA.

- serves as a prototype for low emittance lattice upgrade.



## 3. ALS upgrade study

1) MBA (Similar to MAX-IV concept)

2) 50 pm at 2 GeV in 200 m ring.

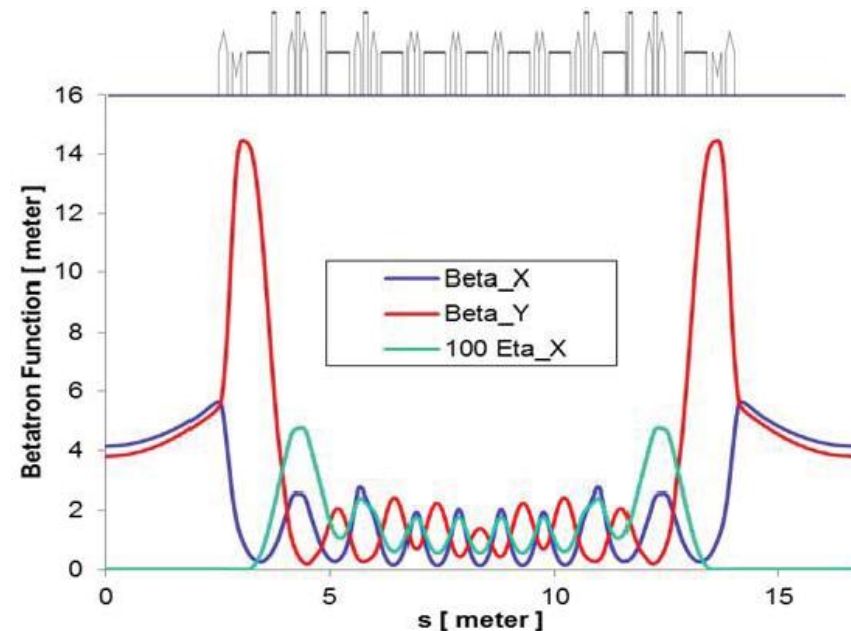
3) Emittance with IBS < 70 pm at 400 mA

4) Keeping the existing straight.

5) High magnet strength

- 80 T/m for quadrupole

- 3000 T/m<sup>2</sup> for sextupole



## 4. SLS upgrade study

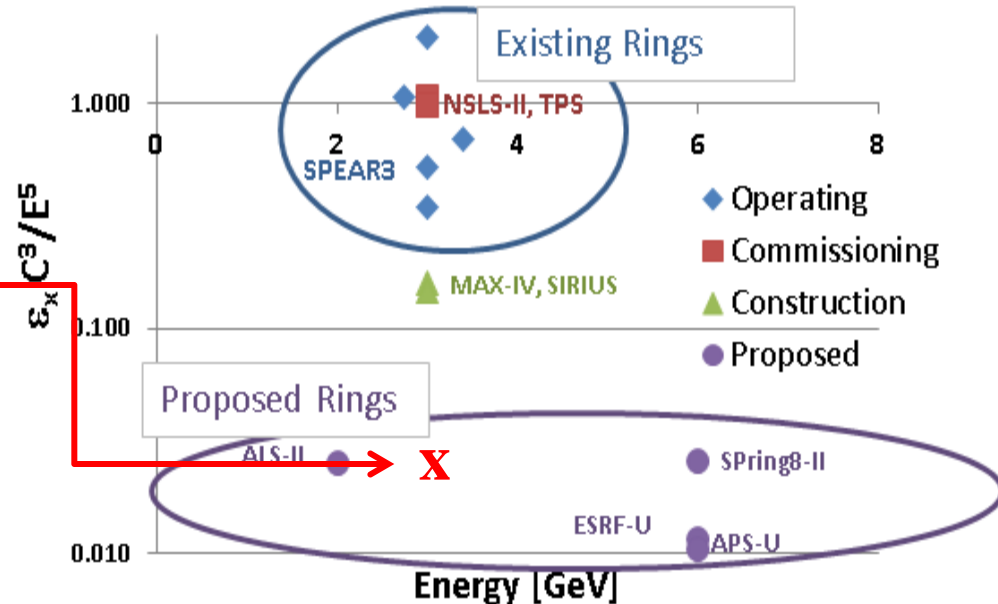
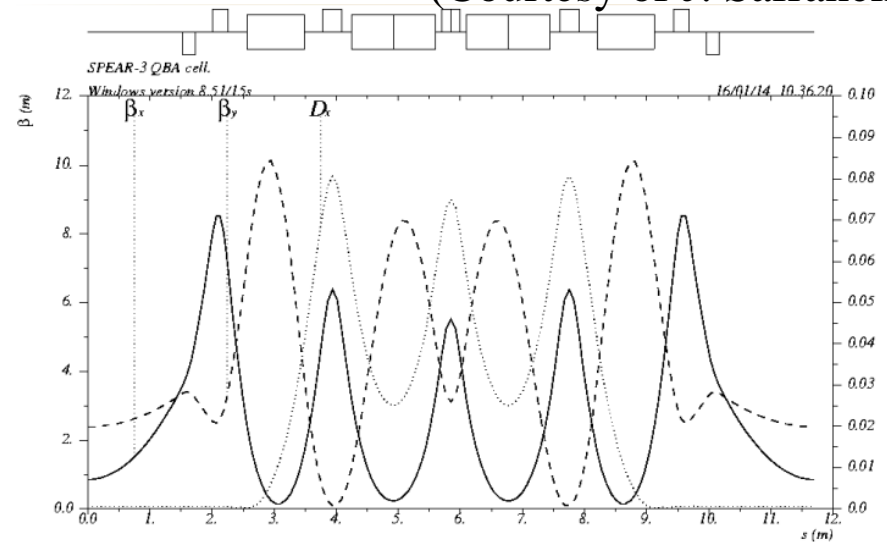
- 1) Keep all beam lines.
- 2) re-use girder, injector system
- 3) 100 ~ 150 pm @ 2.4 GeV in 288 m.
- 4) Longitudinal gradient super bend
- 5) On study for 1<sup>st</sup> budget in 2017.

## 5. SPEAR-3 upgrade study

- 1) 234 m size for 3 GeV.
- 2) 11.5 m cell is short.
- 3) 0.7 nm emittance
- 4) Low scaling emittance.

- $\epsilon_x \sim E^2/N_{\text{dip}}^3$
- $N_{\text{dip}} \sim C/E$  (B-field limit)
- $\epsilon_x \sim E^5/C^3$

(Courtesy of J. Safranek)



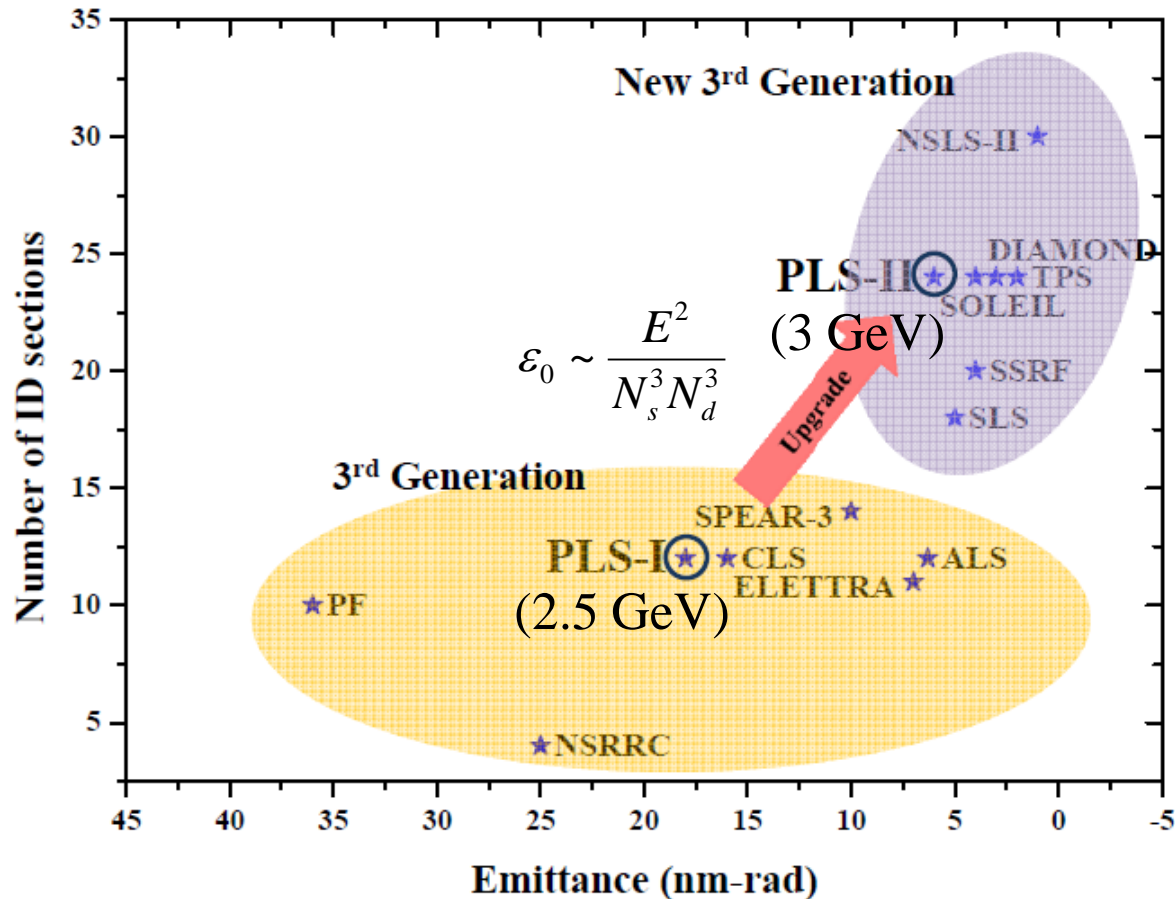




## Ultimate Storage Ring: Issues

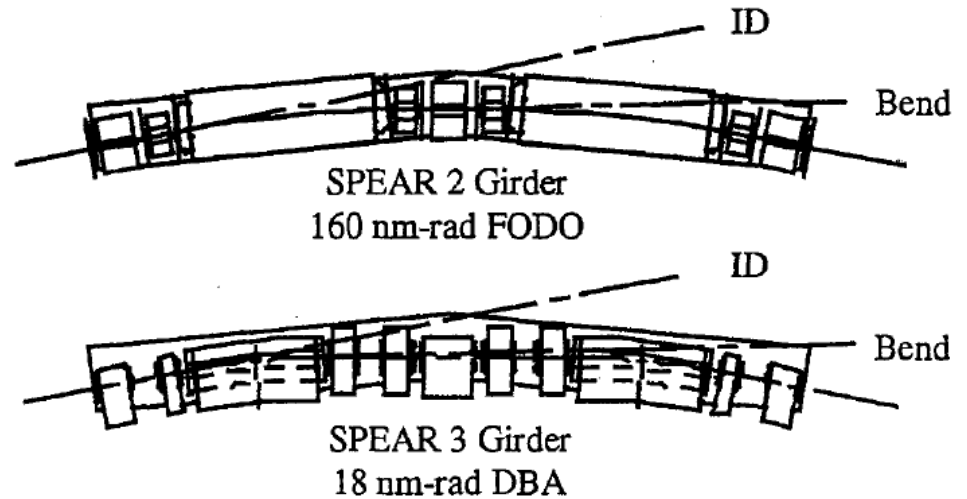
1. Lower Emittance: Damping wiggler, Magnet type
2. Dynamic aperture
  - 1) Strong sextupole.
  - 2) Beam injection
    - Multipole injection (but still off-axis injection)
    - On-axis injection
3. Round beam
  - 1) Diffraction limit in H and V plane.
  - 2) Simplification of the optics in beam line.
4. Beam current: IBS, Harmonic cavity
5. High strength magnet
  - 1) Quadrupole: MAX IV (40 T/m), USR study ( $\sim 100$  T/m)
  - 2) Sextupole: MAX IV (4000 T/m<sup>2</sup>), USR study ( $\sim 13000$  T/m<sup>2</sup>)
6. Constraint existing ring and BLs.
7. Schedule and budget.

1. SOLEIL, DIAMOND, SLS, ALS etc. on study for USR
2. Many 3<sup>rd</sup> GLS facilities have potential capacity to be upgraded.
3. SPEAR-3 (2004) / PLS-II (2011) / ALS (2013, partial replacement)



## 1. Major Parameter

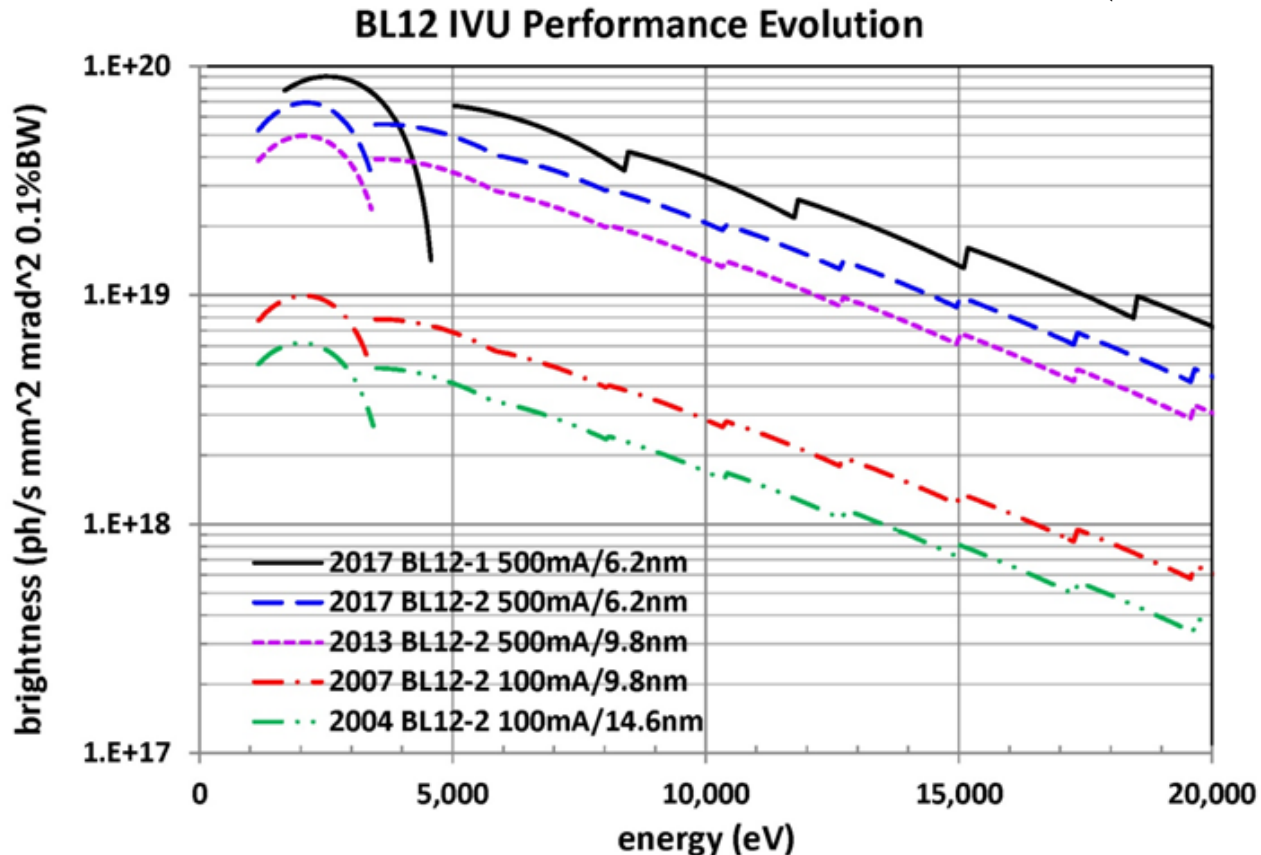
|                             | <b>SPEAR 2</b> | <b>SPEAR 3</b>  |
|-----------------------------|----------------|-----------------|
| Energy                      | 3 GeV          | 3 GeV           |
| Current                     | 100 mA         | 500 mA          |
| Emittance (w/ID)            | 160 nm-rad     | 18 nm-rad       |
| RF frequency                | 358.5 MHz      | 476.3 MHz       |
| RF gap voltage              | 1.6 MV         | 3.2 MV          |
| Lifetime @ I <sub>max</sub> | 30 h           | >17h            |
| Critical energy             | 4.8 keV        | 7.6 keV         |
| Tunes (x,y,s)               | 7.18,5.28,.019 | 14.19,5.23,.007 |
| e- $\sigma$ (x,y,s) - ID    | 2.0,.05,23 mm  | 0.43,0.3,4.9 mm |
| e- $\sigma$ (x,y,s)-dipole  | .79,.20,23 mm  | .16,.05,4.9 mm  |
| Injection energy            | 2.3 GeV        | 3 GeV           |



2. 1<sup>st</sup> Existing ring Upgrade (replacement from 2<sup>nd</sup> GLS to 3<sup>rd</sup> GLS)
3. 4 bending BLs and 7 ID BLs
4. Emittance (~9 fold reduction)
5. 7 month dismantling and installation and 5 month commissioning.
6. Completion on schedule.
7. Budget: 58 M\$

- Brightness improvement:  $\sim 18 \times$  total

(Courtesy of J. Safranek)



- Requirement for 6 nm  $\epsilon_x$  : Must capture the injected beam.
  - Improve dynamic aperture: Sextupole PS from 4 to 10.
  - Septum wall reduction and improvement of injected beam control.

ALS

## Project History

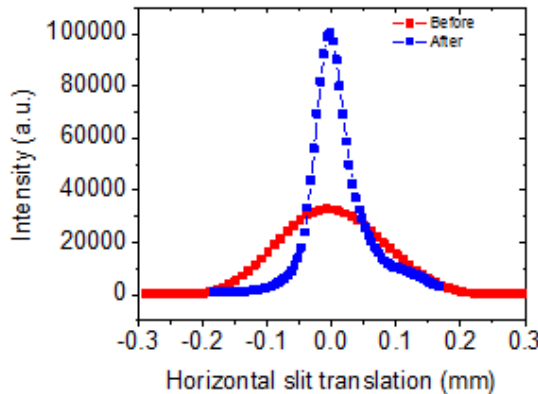
- Received funding (summer 09)
- Comprehensive project review (12/09)
- Awarded magnet contract (9/10)
- Detailed magnet design review (3/11)
- Prototypes of 3 magnet types complete (12/11)
- First set of 13 production magnets shipped (4/12)
- All magnets received (8/12)
- Pre-Installed 13 of 48 sextupoles (1/13)
- Remaining magnets and power supplies installed (3/13)
- **User operation in high brightness mode (2.0 nm emittance) – since (4/13)**

**Existing Correctors**

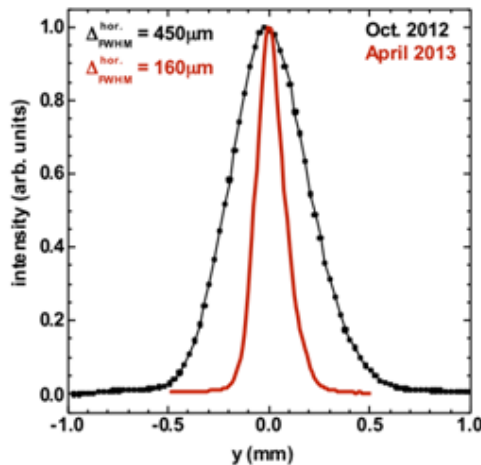
**Sextupole / Corrector Multimagnets**

ALS

## Commissioning Results

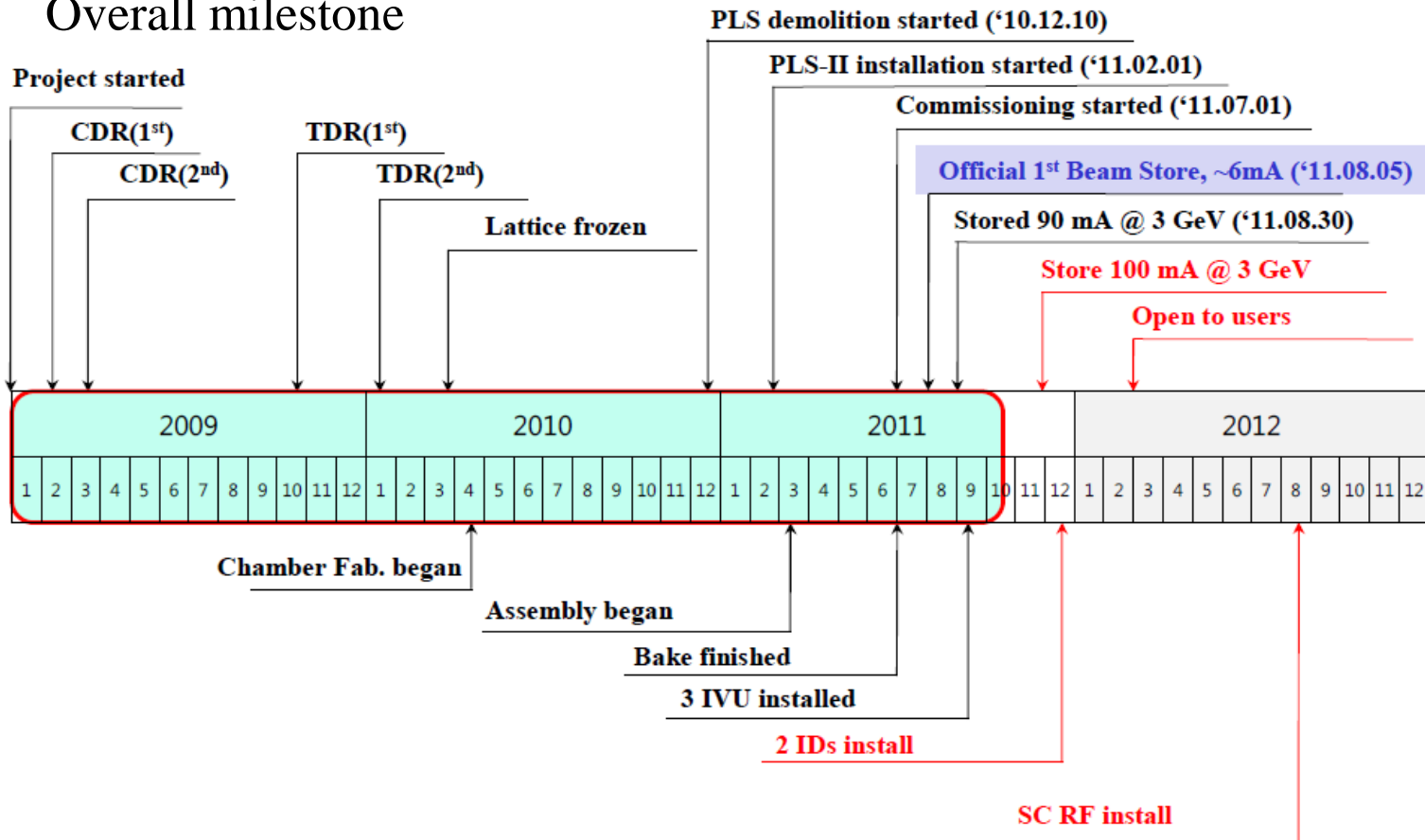


Measured horizontal photon beam profiles showing the reduction in size and increase in brightness. Above: BL 12.3.2, Below: BL 6.3.1



- Installation completed on time (Mar/Apr 2013)
- Quick Commissioning Progress
  - Benefit of pre-installation and commissioning: orbit feedbacks, detuned upgrade lattice
- Managed to deliver low emittance beam during BLC shifts – and continue into user operations
  - 3 months ahead of schedule
- Beamlines able to resolve brightness increase
- Reliable operation (no faults due to new lattice or hardware so far)

1. Period : 3 year (One year break in user service)
2. Budget : 100 M \$
3. Critical path : All PLS beam lines (30) should be operated in PLS-II after one year shutdown.
4. Overall milestone





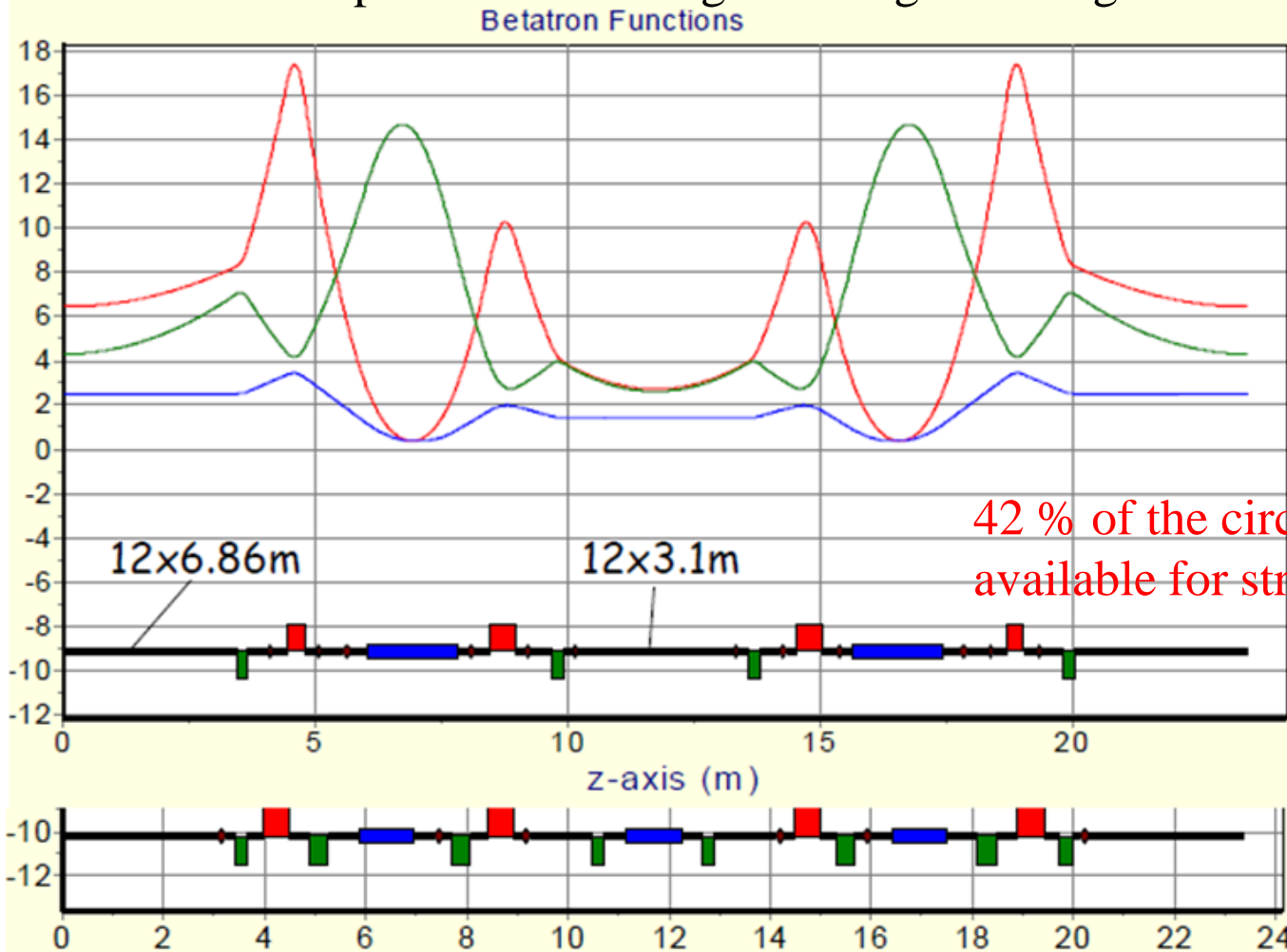
## PLS Upgrade (PLS-II) : Major Parameter

| Parameter                   | PLS                     | PLS-II            |
|-----------------------------|-------------------------|-------------------|
| Beam Energy (GeV)           | 2.5                     | 3.0               |
| Beam emittance (nm)         | 18                      | 5.8               |
| Beam Current (mA)           | 200                     | 400               |
| <b>IDs</b>                  | <b>10</b>               | <b>20</b>         |
| Tune (H/V)                  | 14.28 / 8.18            | 15.24 / 9.17      |
| Natural Chromaticity (H/ V) | -23.36 / -18.19         | -32.95 / -14.88   |
| Harmonic Number             | 468                     | 470               |
| Circumference               | 280                     | 281               |
| <b>RF voltage (MV)</b>      | <b>NC/2.0</b>           | <b>SC (3)/3.3</b> |
| <b>Lattice</b>              | <b>TBA</b>              | <b>DBA</b>        |
| <b>Operation</b>            | <b>Decay</b>            | <b>Top-Up</b>     |
| Brightness                  | $\sim 2 \times 10^{18}$ | $\sim 10^{20}$    |

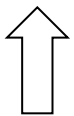


## Design Challenges

- biggest challenge is the doubling of ID SSs.
- ring shielding wall cannot be changed / moved (all possible beam lines should aim at convenient penetration through the ring shielding wall).



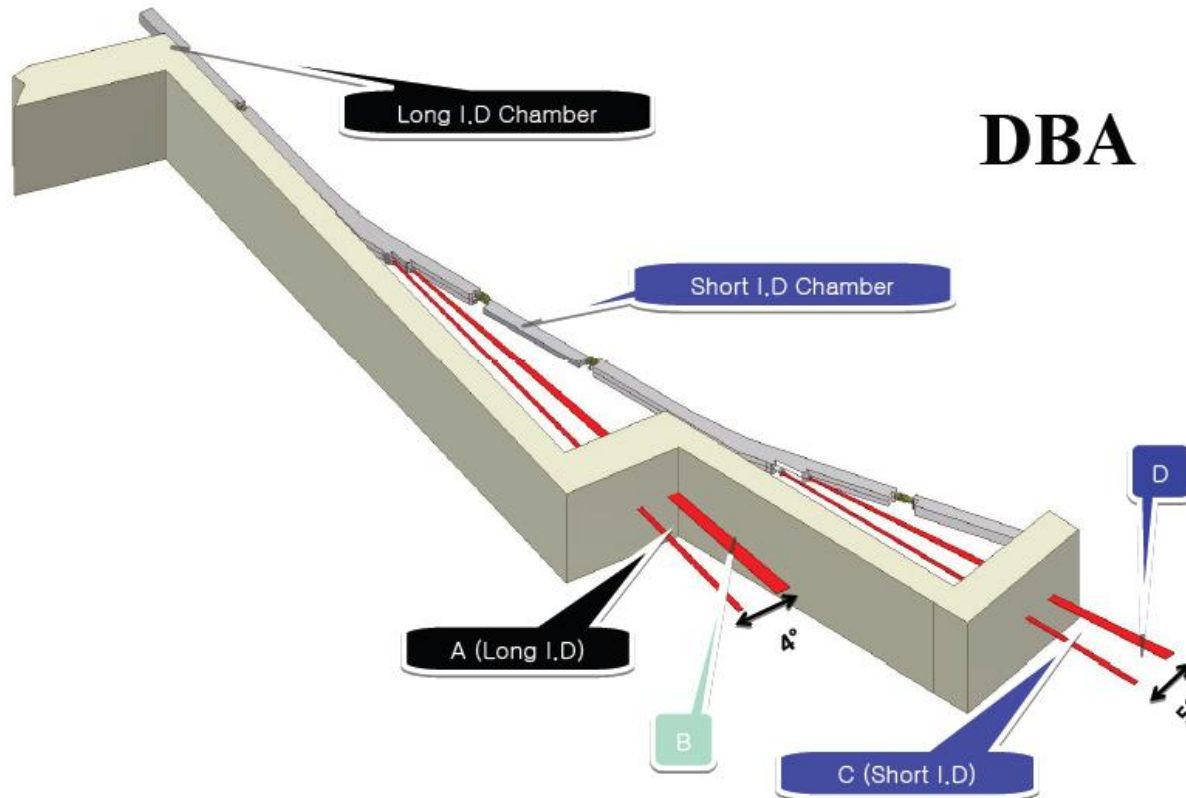
PLS-II



PLS

## 1. Adjustment of Ring Geometry

- to accommodate easy transit to experimental hall through existing shielding wall.
- need to expand avg. ring radius by 0.20 m.
- rotate ring against beam direction by 1.5 degree.
- causing the need for small adjustments in injection line.

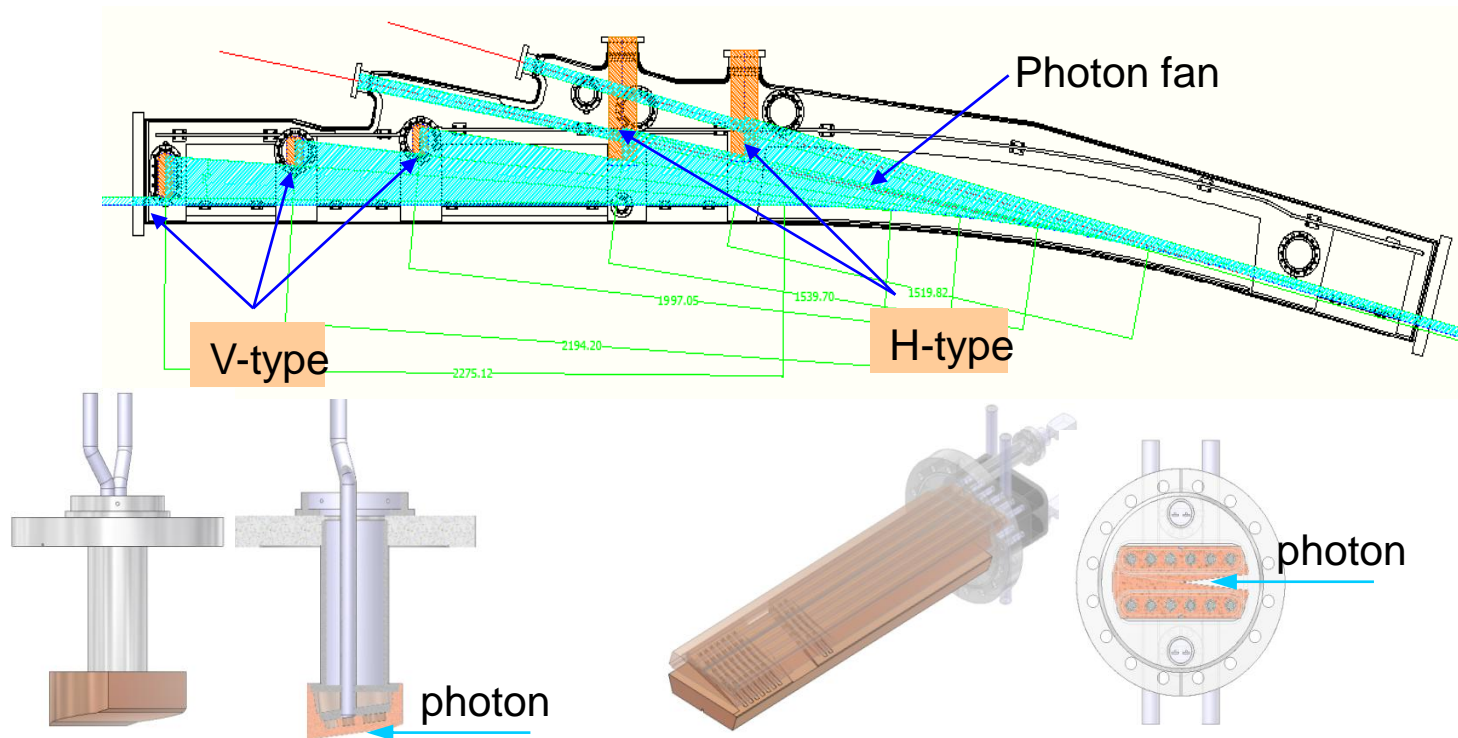


## 2. Vacuum

- Total synchrotron radiation power is increased from 110 kW to 417 kW.

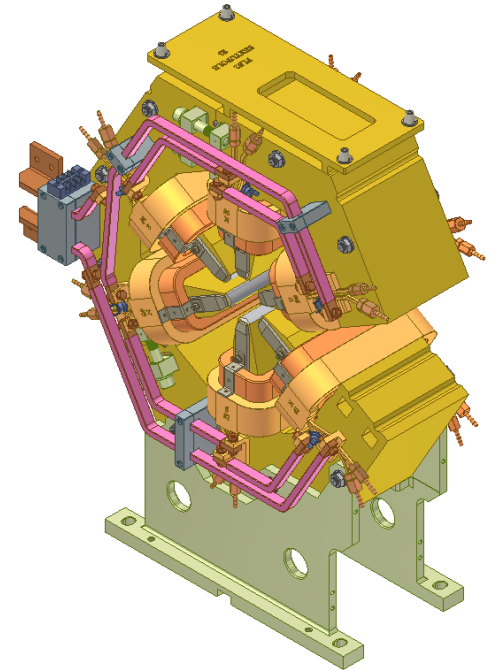
$$P[kW] = 88.463 \cdot \frac{E[GeV]^4}{\rho[m]} \cdot I[A]$$

- To accommodate such high heat flux in the limited space, complicated design of several different types for the photon absorbers is unavoidable.

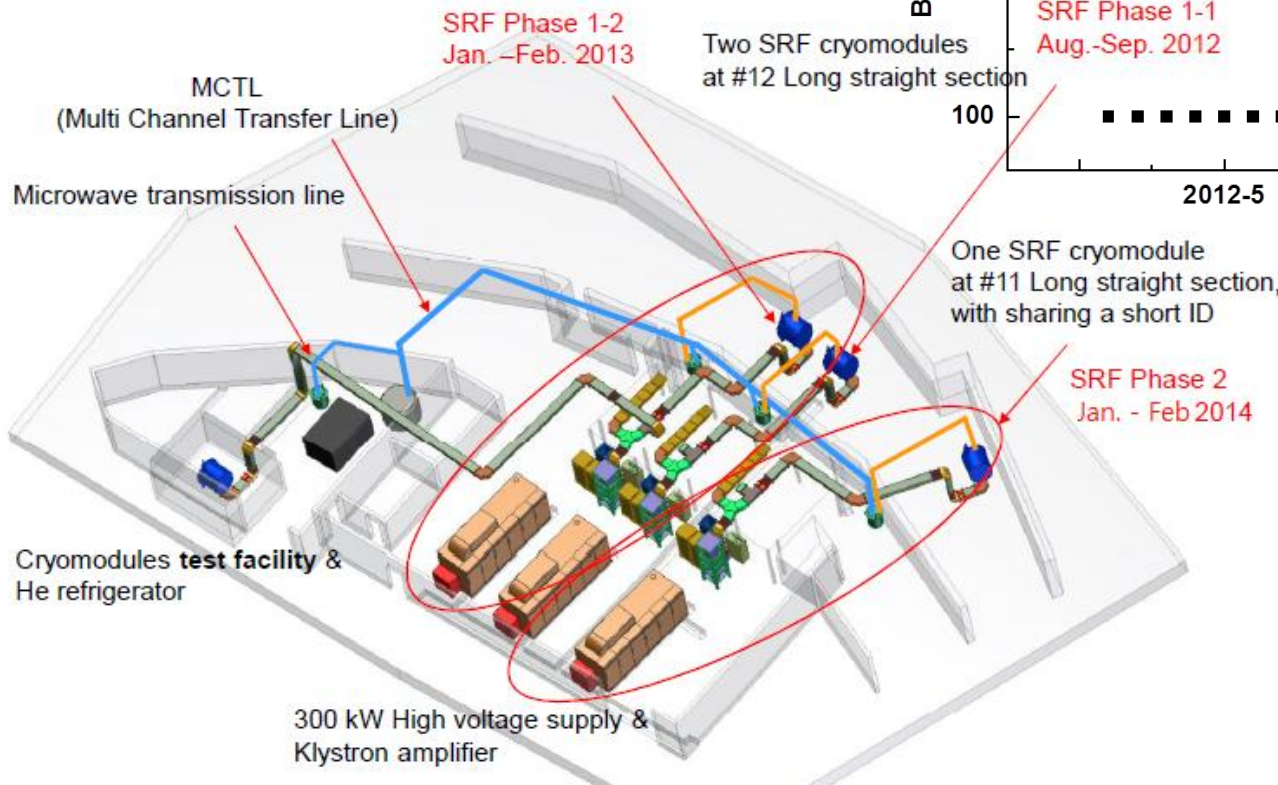
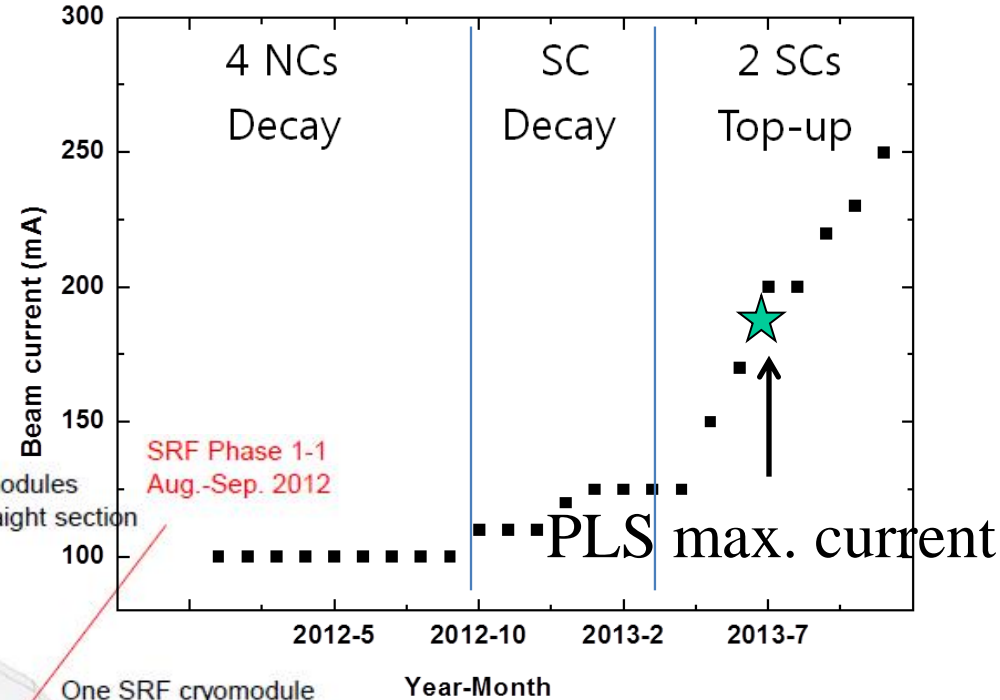


3. Radiation Shielding.
  - No change of storage ring tunnel from PLS to PLS-II.
  - Additional 10 cm local Lead block (beam height)
4. Magnet. (Reuse quadrupoles and sextupoles)

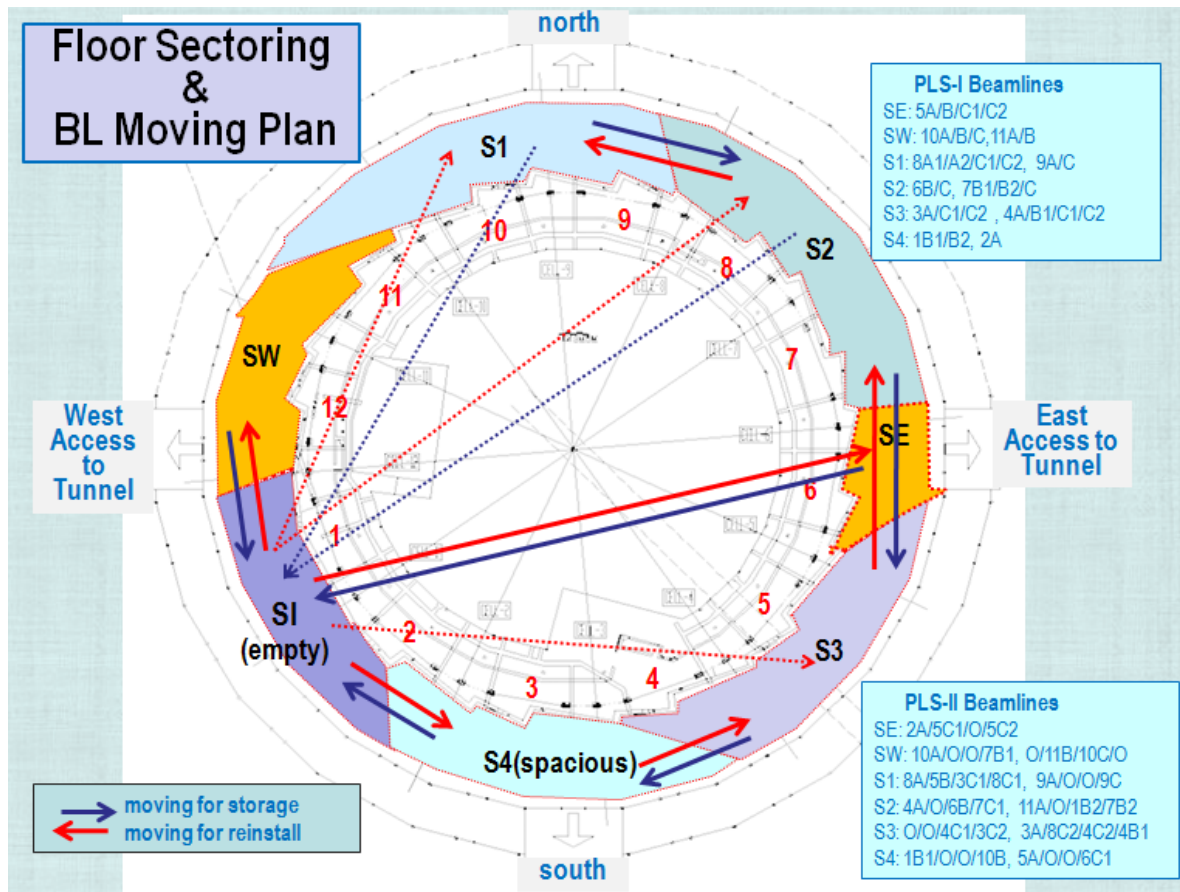
|   | PLS            | PLS-II          |
|---|----------------|-----------------|
| # of bending magnets  | 36             | 24              |
| length each (m)   | 1.10           | 1.80            |
| field (T)/gradient(T/m)   | 1.2/0.0        | 1.455/-0.4      |
| crit Photon Energy (keV)  | 5.52           | 8.73            |
| # of quadrupoles  | 144            | 96              |
| length (m)  | 0.25/0.35/0.54 | use PLS magnets |
| # of sextupoles   | 72             | 96              |
| families  | 2              | 4               |
| sextupole magnets include H/V correctors and skew quadrupole fields |                |                 |



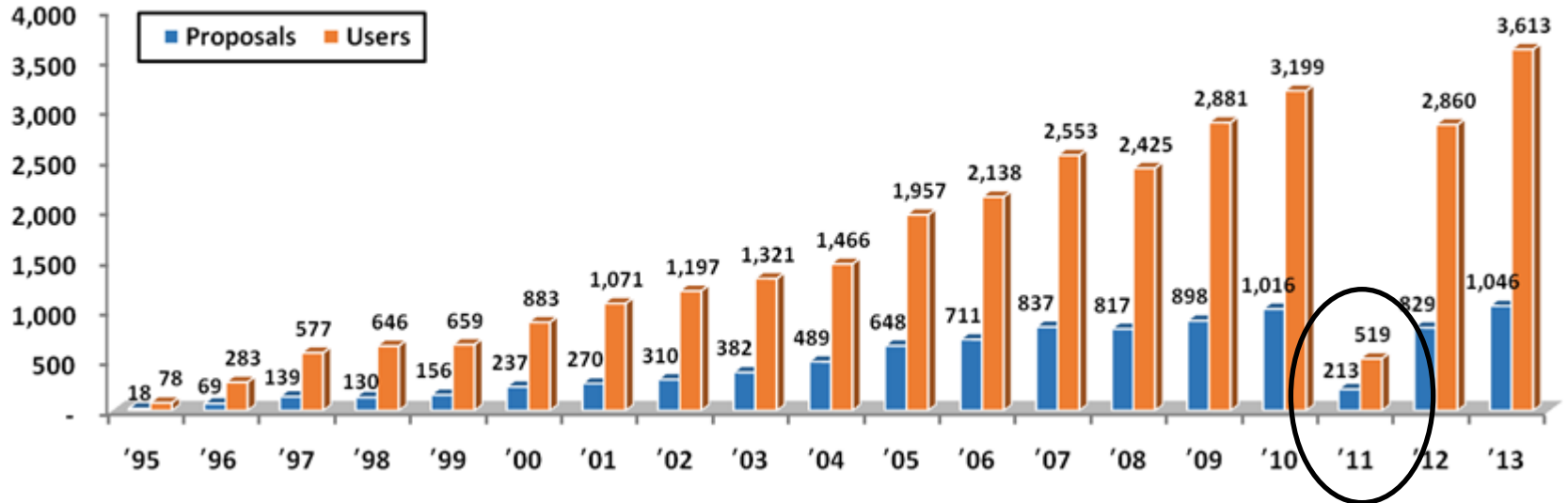
5. RF system.
  - 3 SC system
  - Phased installation.



1. DCM development
2. Beam line allocation.
  - BM BL-> ID BL : 6 BLs (SAXS, Nanoscopy, etc.)
  - New ID BL : 6 BLs
2. Beam line dismantling and installation. (Total 30 BLs)



## 1. User Statistics.



One year shutdown

## 2. Block Beamtime Program in foreign facilities.

- Budget U\$ 100,000.
- In total of 213 experiments.
- PF, RIKEN, SSRF, NSRL.



## Summary

1. There is no argument that 3<sup>rd</sup> generation light sources have been operated and developed successfully for 20 years.
2. Most technologies related with 3<sup>rd</sup> generation light sources are matured.
  - for instance, no revolution is needed but steady improvement on what already achieved for beam stability and feedback system)
3. Now it's time to push horizontal emittance of existing ring to ultimate regime (diffraction limited ring).
4. Some cases of low emittance upgrade for existing mid-size ring imply
  - Short break in user service. (On schedule operation)
  - Performance increase.  
(Not only emittance reduction but also energy, IDs, stability, current, etc.)
  - Relatively low budget. (Same building and utility)



This talk was possible thanks to the contribution of

- L. Nadolski (SOLEIL)
- J. Safranek (SPEAR-3)
- Y. Shimosaki, T. Nakamura (SPring-8)
- T. Shaftan, J. Choi (NSLS-II)
- L. Emery (APS)
- A. Streun, M. Boege (SLS)
- C. Kuo (TPS)
- H. Tarawneh (ALS)
- R. Bartolini, B. Shingh (Diamond)
- H. Wiedemann (SLAC)