

Numerical Modeling of RF Electron Sources for FEL-Accelerators



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(Germany)

- Low emittance RF electron sources
 - The PITZ photoinjector at DESY
 - Numerical simulations

- Self-consistent simulations with PBCI
 - Beam scraping
 - CDS booster
 - Diagnosis cross

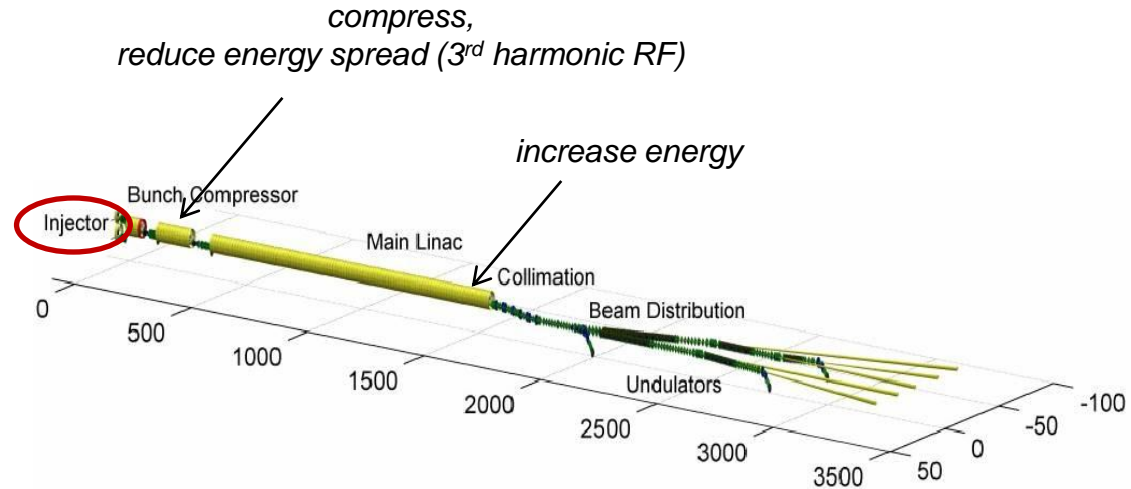
- Summary and conclusions

Low emittance electron sources

The European X-Ray Laser Project (XFEL)

▪ Electron beam specifications:

- Peak current (1-10 kA)
- **Emittance (< 1 mm mrad)**
- Energy (10-20 GeV)
- Energy spread (~0.01 %)
- Bunch length (10 μm - 1 mm)
- ...



European XFEL design layout

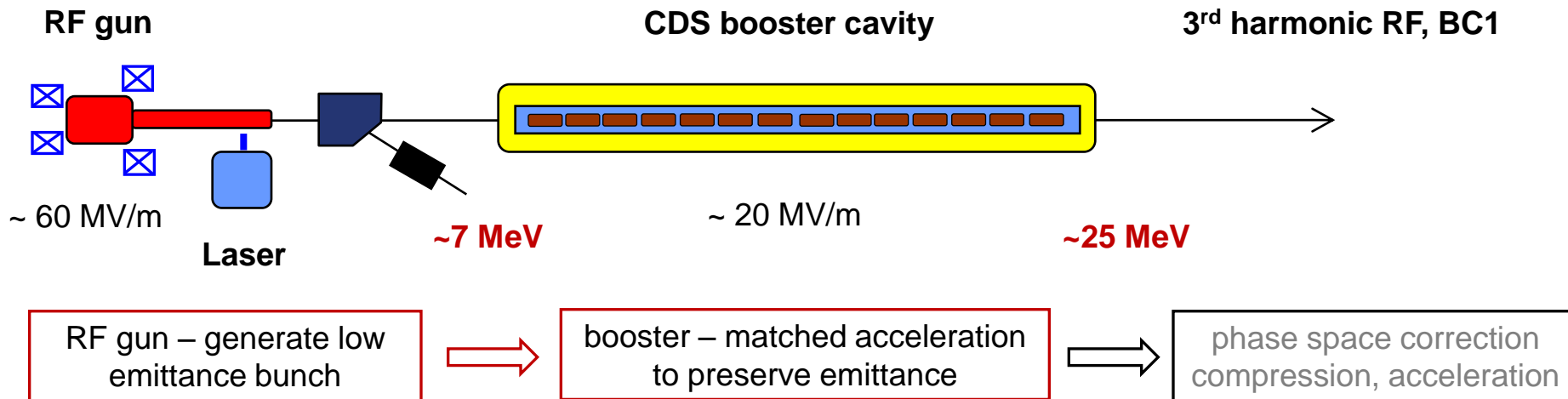
Cannot control transverse beam emittance except for at injection time

⇒ Low emittance source necessary

⇒ RF photoinjector

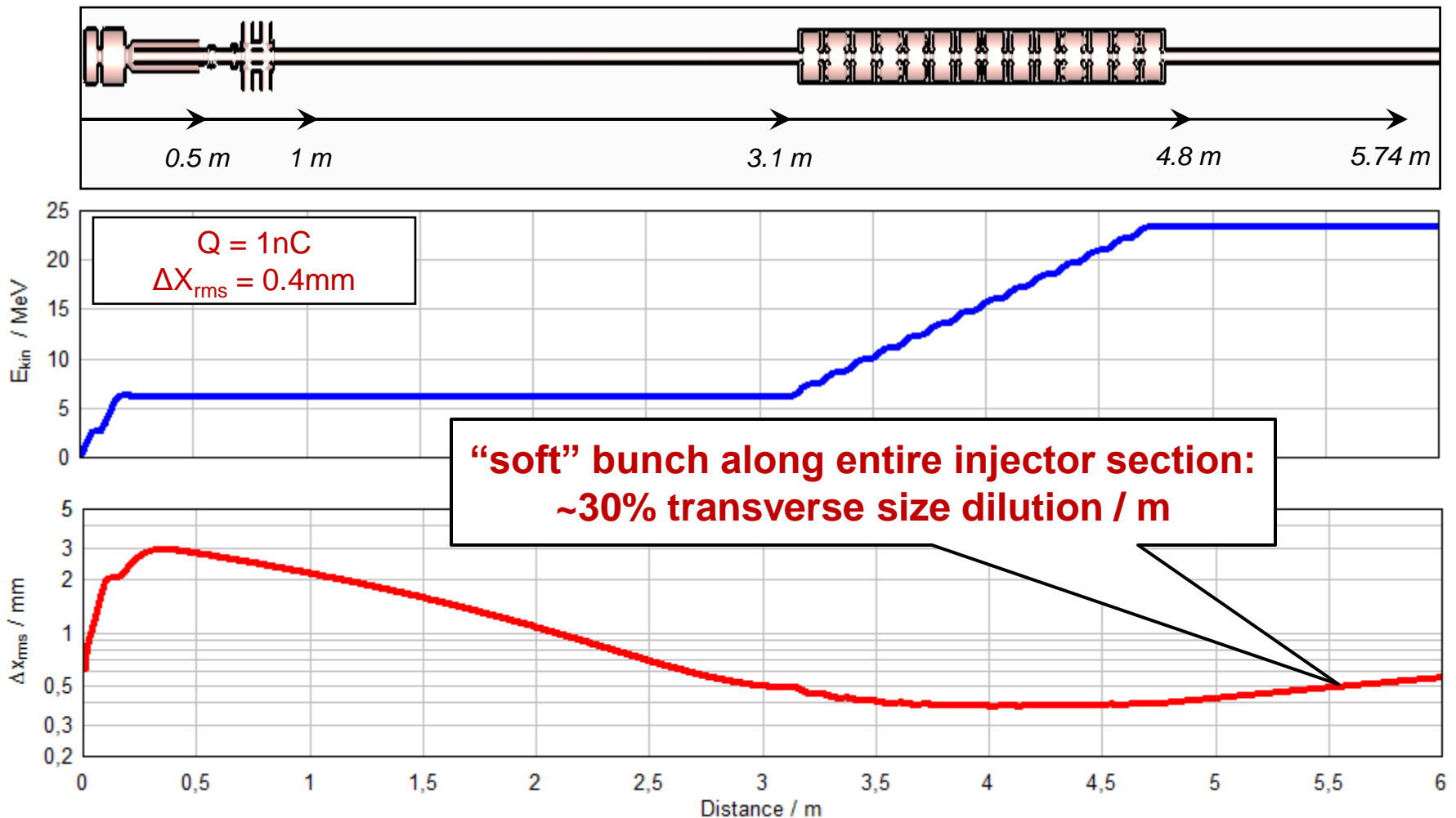
Low emittance electron sources

DESY PITZ-1.8 photoinjector setup

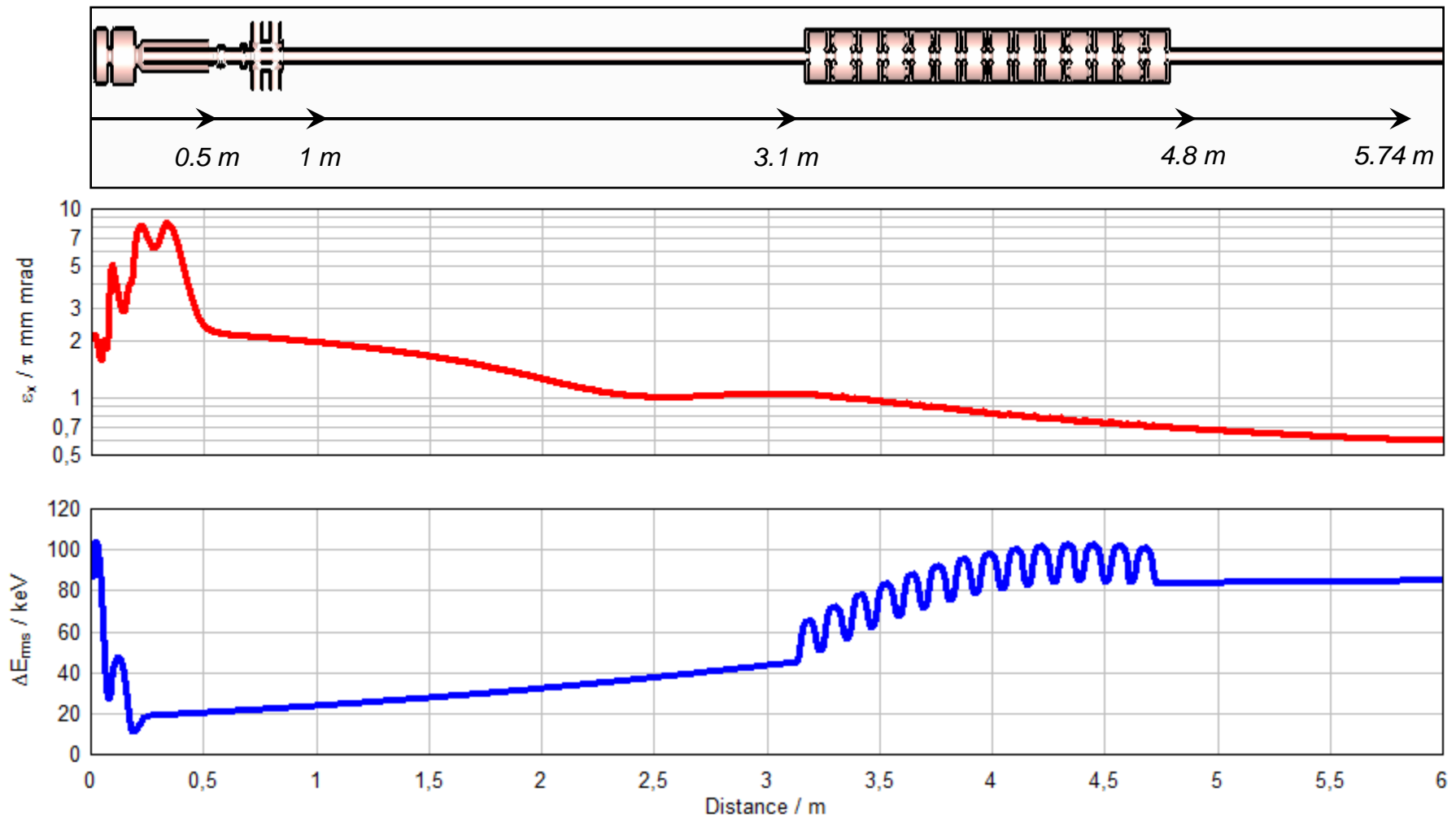


- 1999: project begin at PITZ facility in Zeuthen, Berlin
- 2003: first operating device – 1.7 mm mrad for 1nC bunch
- 2010: PITZ-1.6 – 1.2 mm mrad
- 2011: PITZ-1.8 meets E-XFEL specification – 0.9 mm mrad

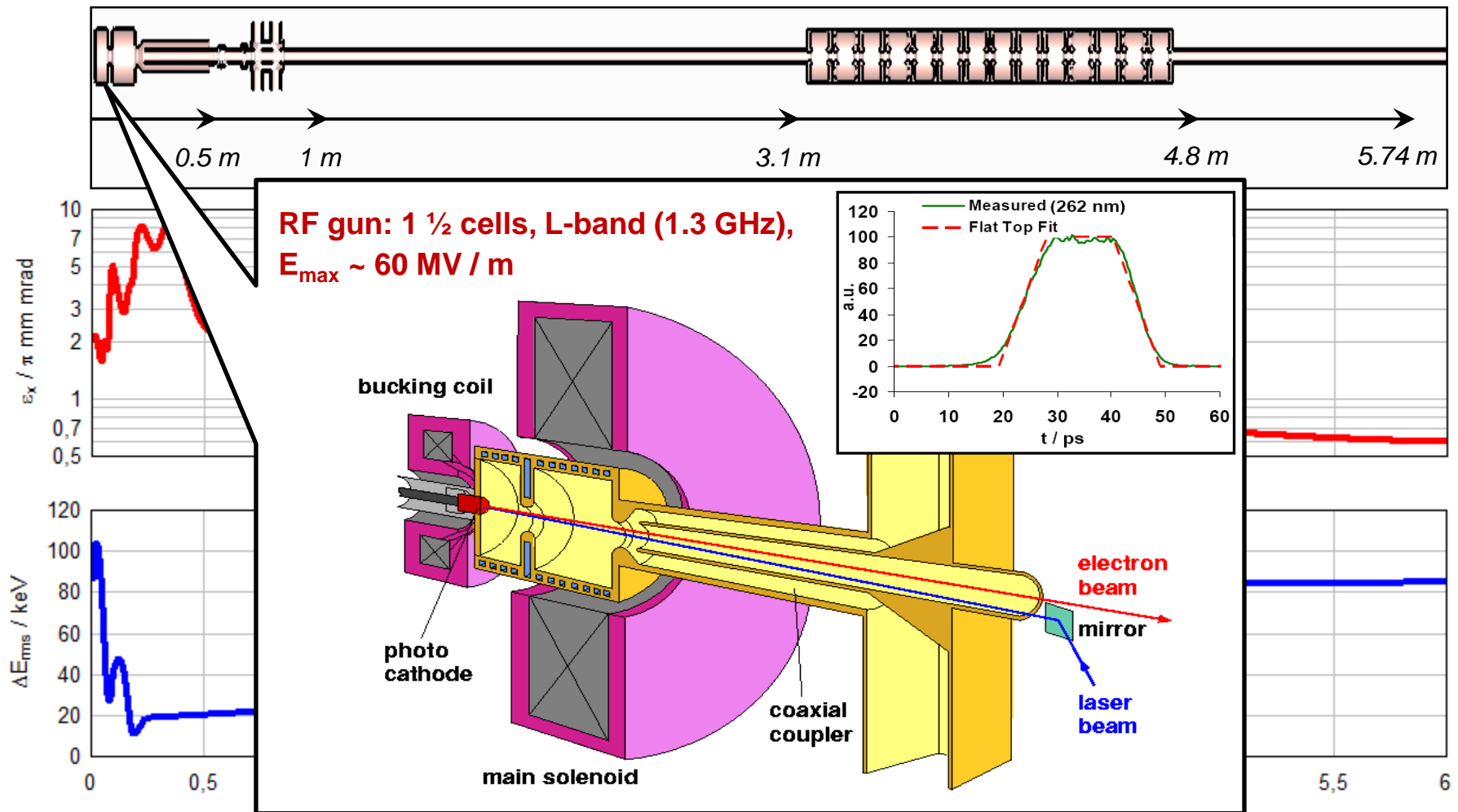
Low emittance electron sources



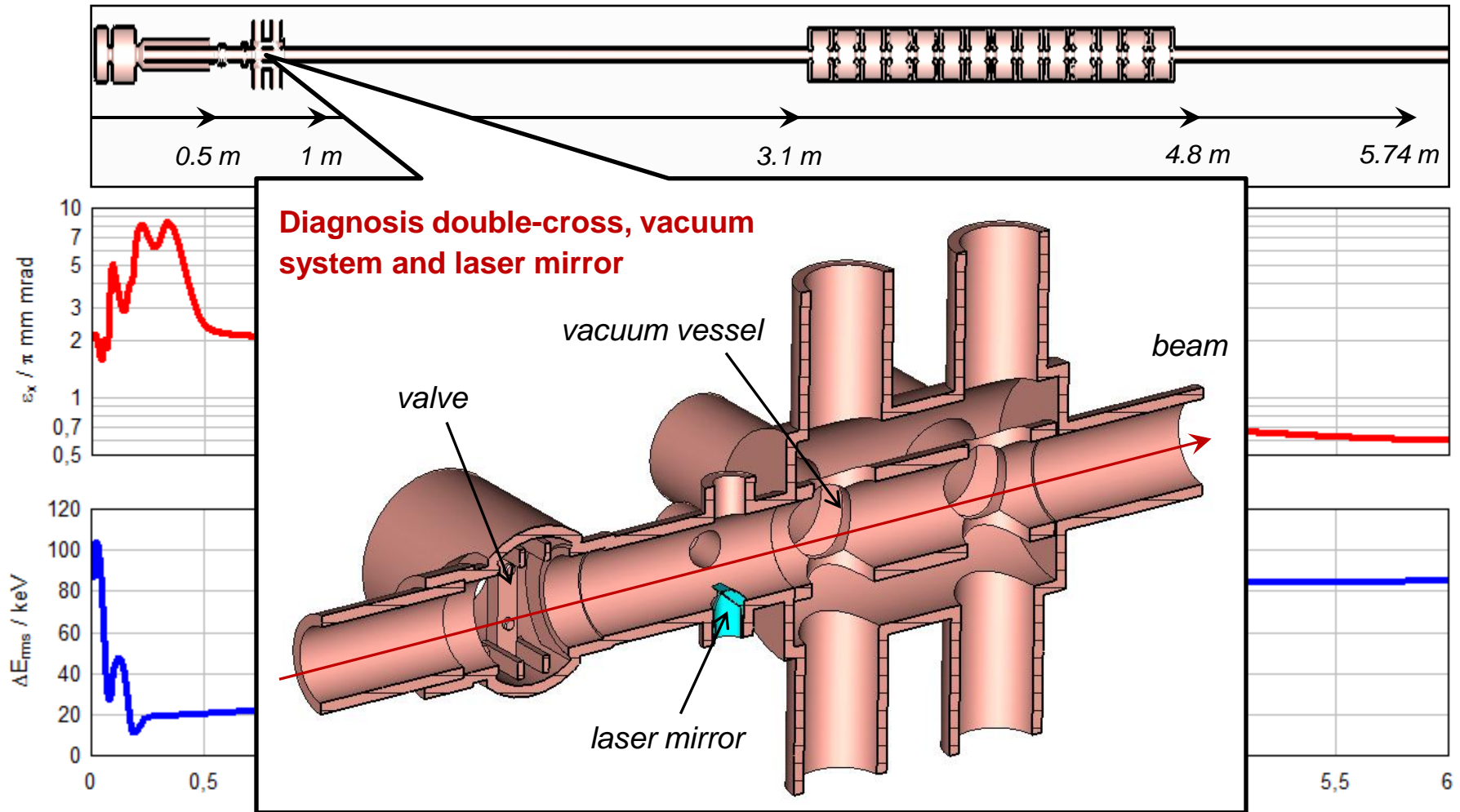
Low emittance electron sources



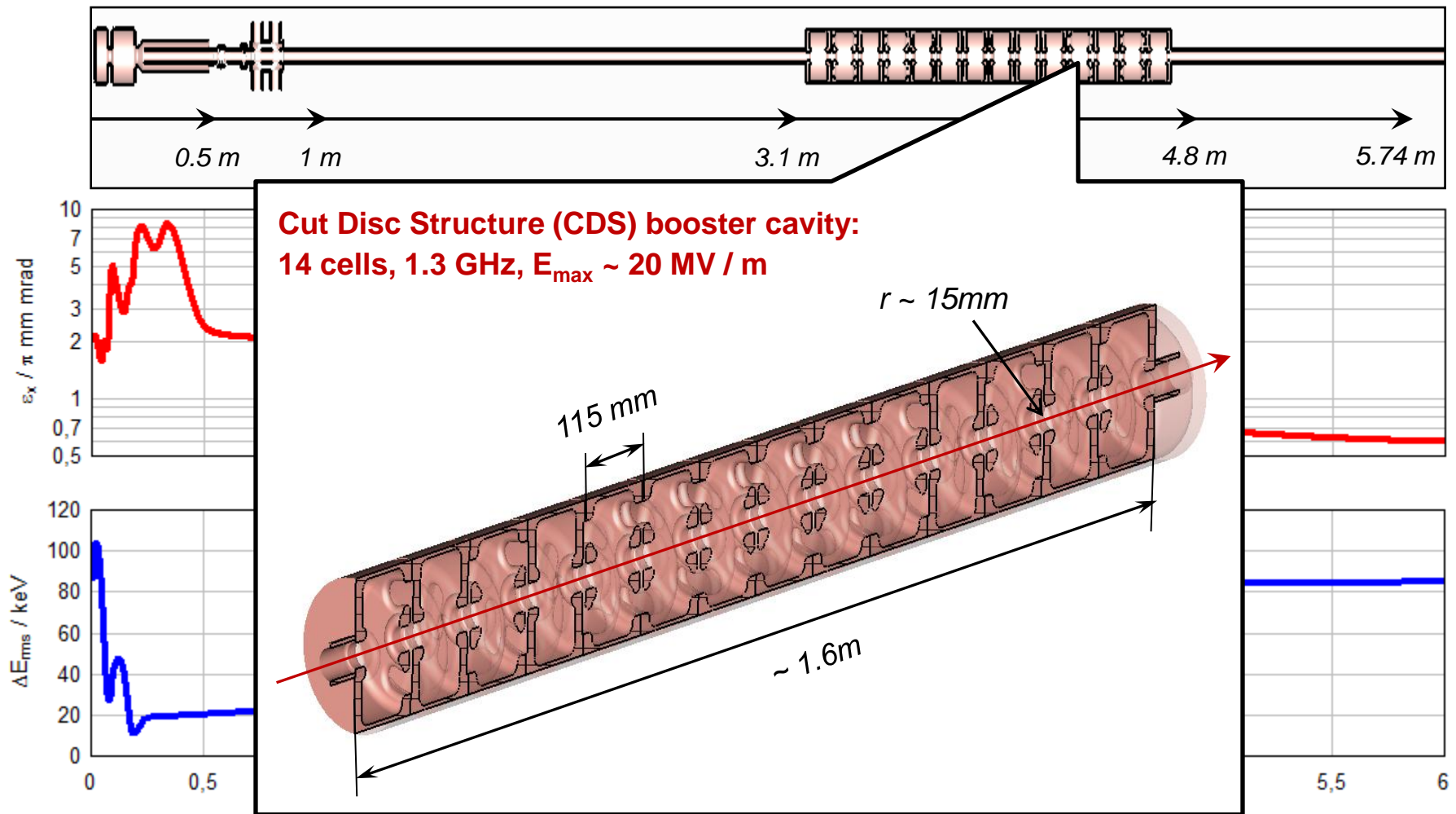
Low emittance electron sources



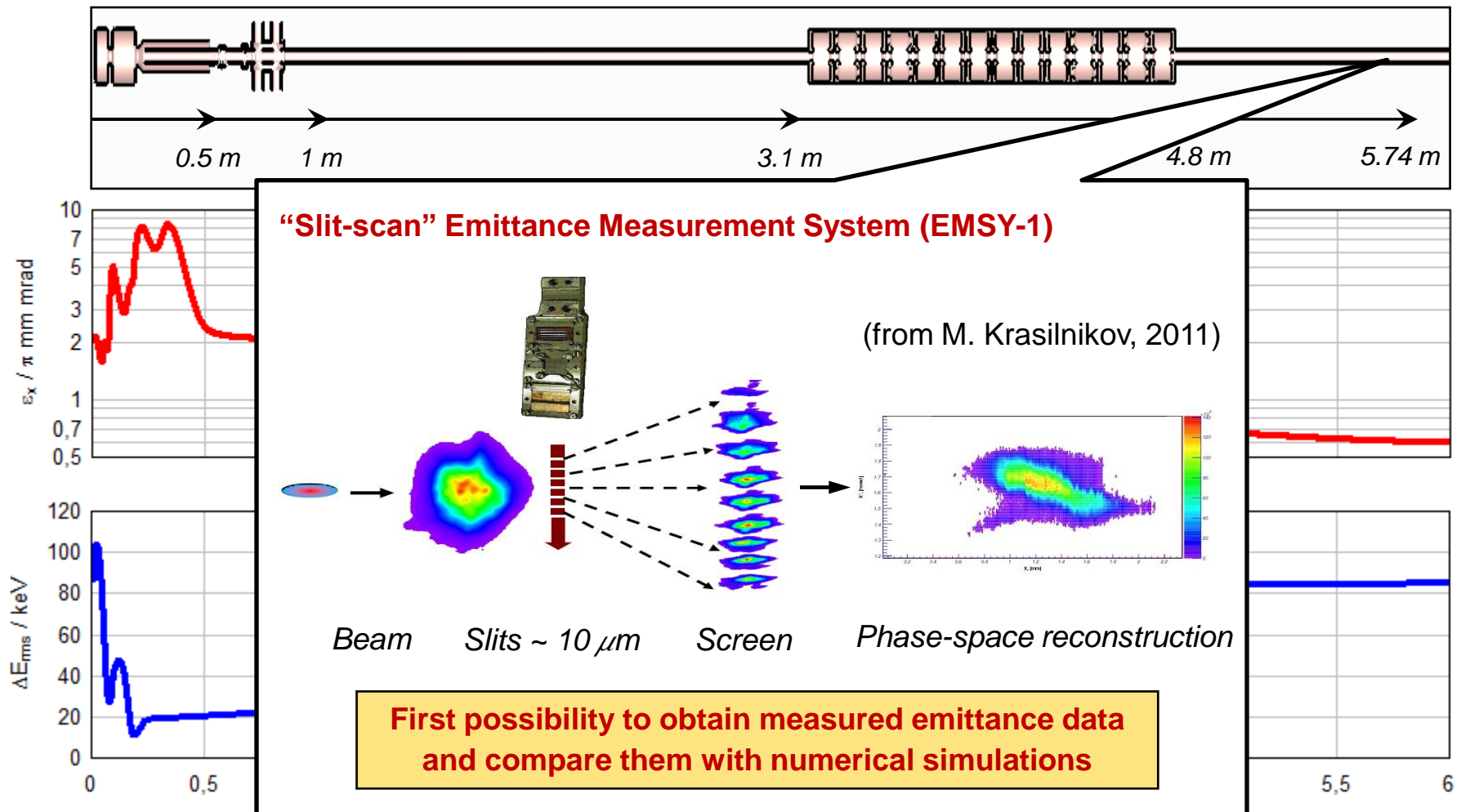
Low emittance electron sources



Low emittance electron sources



Low emittance electron sources



(Some) particle tracking codes

- Rest frame space-charge field codes (Parmela, Astra, IMPACT-T, GPT, ...)
- Vlasov moment equation solvers (V-code, ...)
- Envelope equation solvers (Homdyn, ...)
- ...

- Use of various physical approximations
- Space-charge fields do not „see“ cavity geometry (except for cathode)
- Wakefields only as fixed external maps or Green functions
- Numerically efficient (mostly axis-symmetric)

(Some) particle tracking codes

- Rest frame space-charge field codes (Parmela, Astra, IMPACT-T, GPT, ...)
- Vlasov moment equation solvers (V-code, ...)
- Envelope equation solvers (Homdyn, ...)
- ...
- Wakefield codes (Echo, PbcI, Gdfidl, CST PS, ...)

- Ultra-relativistic beams with fixed beam current only
- No space-charge
- Full-wave in 3D using moving window and dispersion free algorithms

Low emittance electron sources

(Some) particle tracking codes

- Rest frame space-charge field codes (Parmela, Astra, IMPACT-T, GPT, ...)
- Vlasov moment equation solvers (V-code, ...)

- First principle
- No geometry (except for cathode)
- Computationally extremely inefficient

- Lienard-Wiechert solvers (Tredi, Quindi, ...)

(Some) particle tracking codes

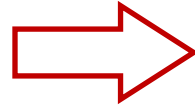
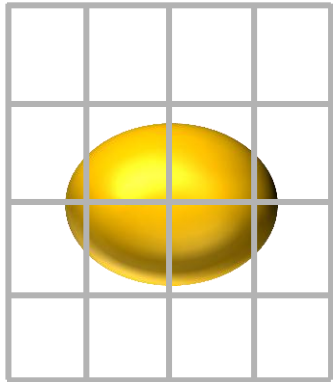
- Rest frame space-charge field codes (Parmela, Astra, IMPACT-T, GPT, ...)
- Vlasov moment equation solvers (V-code, ...)
- Envelope equation solvers (Homdyn, ...)

- First principle
- Full geometry and arbitrary transient beam distributions
- Computationally less efficient in 3D: not applicable for short bunches and long accelerator structures (> 1m)

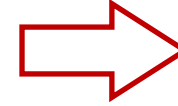
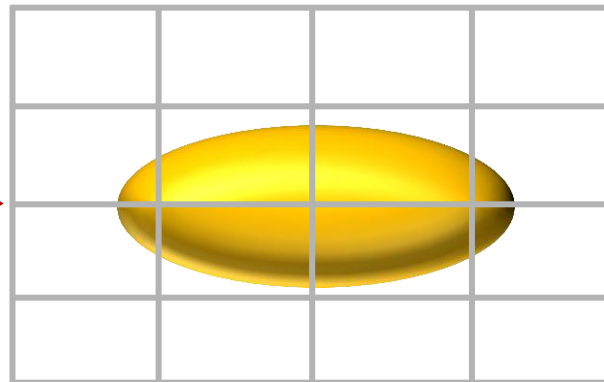
- Full-wave PIC codes (Mafia, CST PS, Vorpal, ...)

Rest frame SC field codes

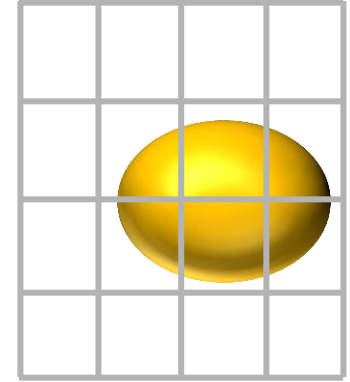
*Bring particles
to computational grid*



*Solve for electrostatic field in
the grid*



*Push particle positions
and momenta*

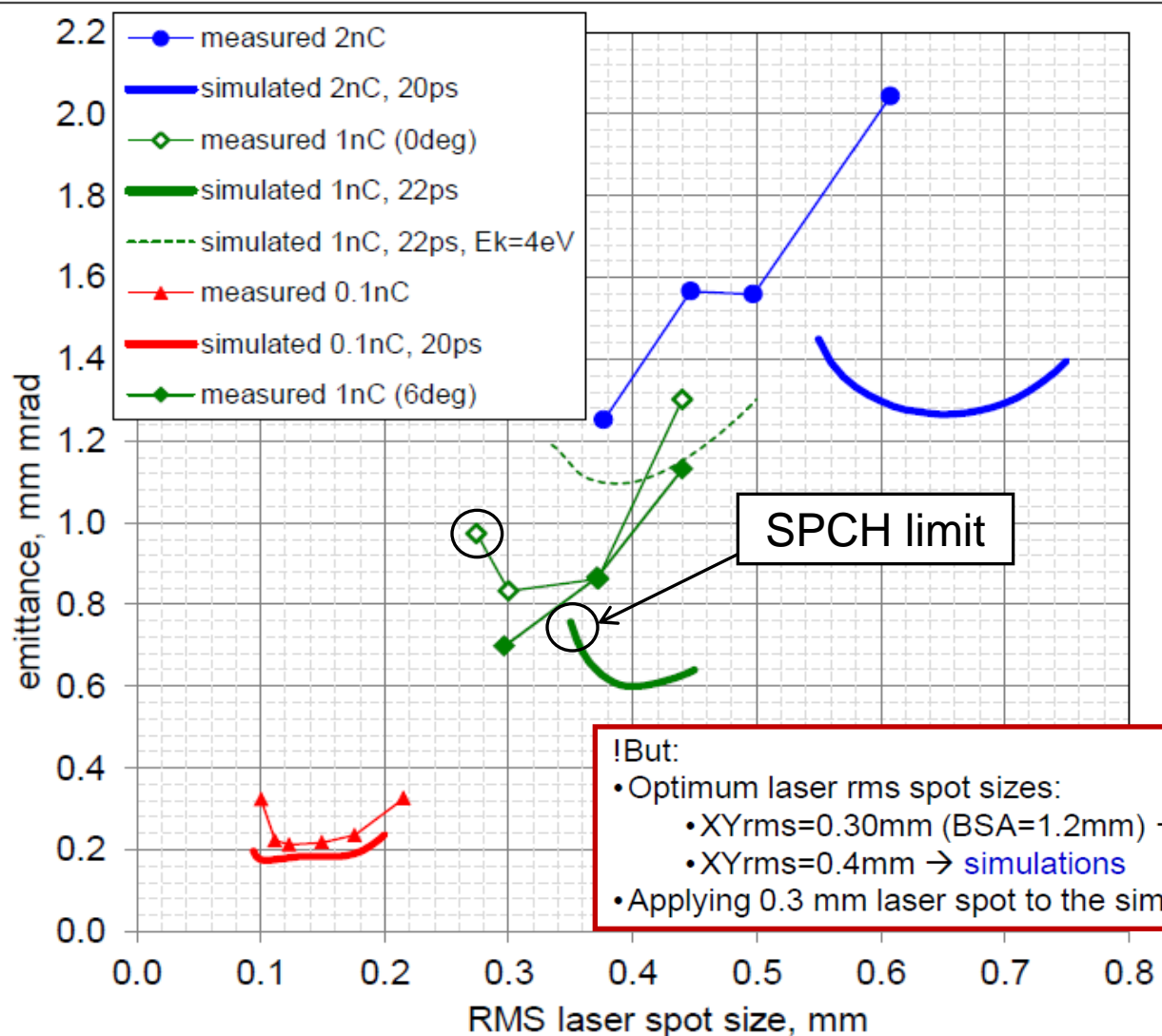


*Transform
(charge) to average rest frame*

*Transform
(fields) back to lab frame*

- Influence of rest frame approximation (neglect retardation and acceleration radiation) on simulation accuracy?
- Impact of geometrical wakefields on transverse emittance?

Low emittance electron sources



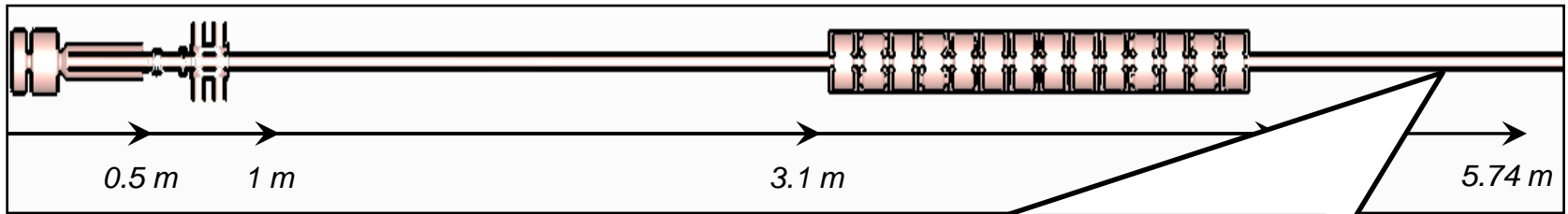
• Optimum machine parameters (laser spot size, gun phase):
experiment \neq simulations

• Difference in the optimum laser spot size is bigger for higher charges (good agreement for 100pC)

• Artificial increase of the thermal kinetic energy at the cathode (from 0.55eV to 4eV) did not improve the situation

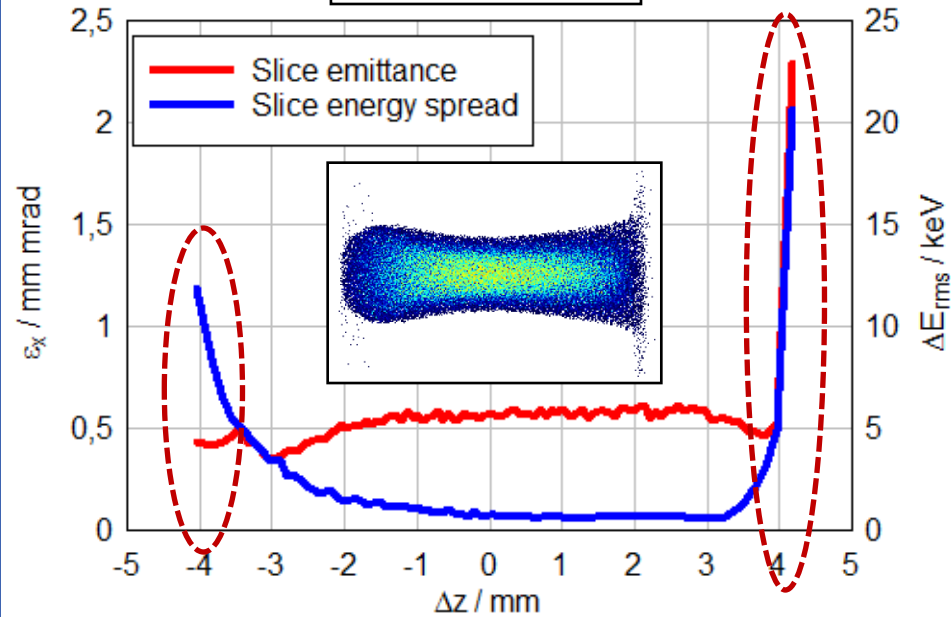
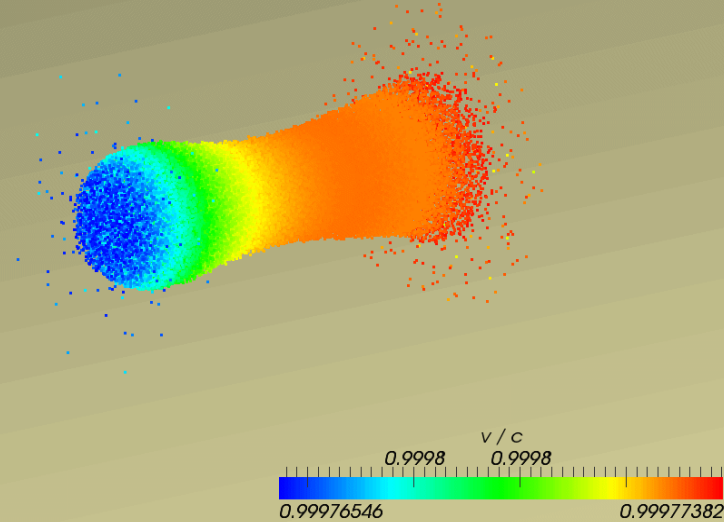
(talk from M. Krasilnikov, Zeuthen, 2011)

Self-consistent simulations with PBCI

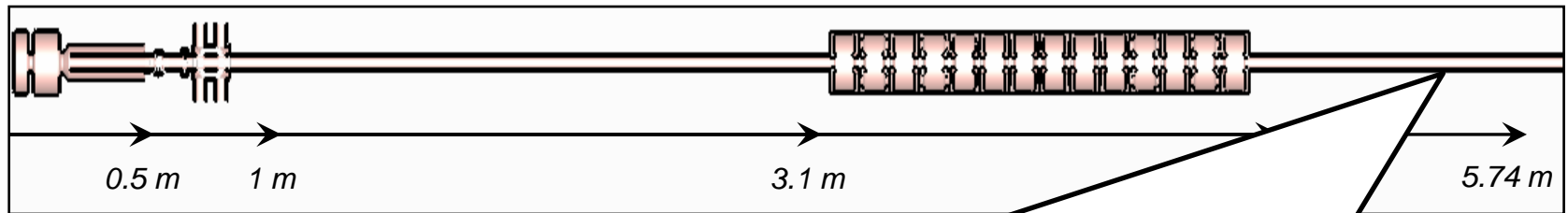


$Q = 1\text{ nC}$
 $\Delta X_{\text{rms}} = 0.4\text{ mm}$

Beam scraper for PITZ

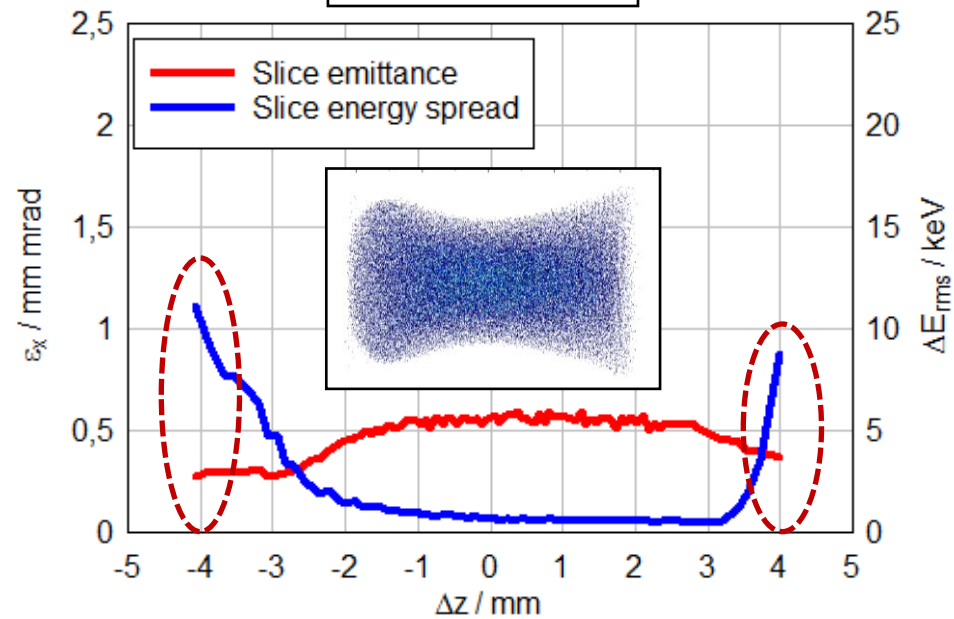
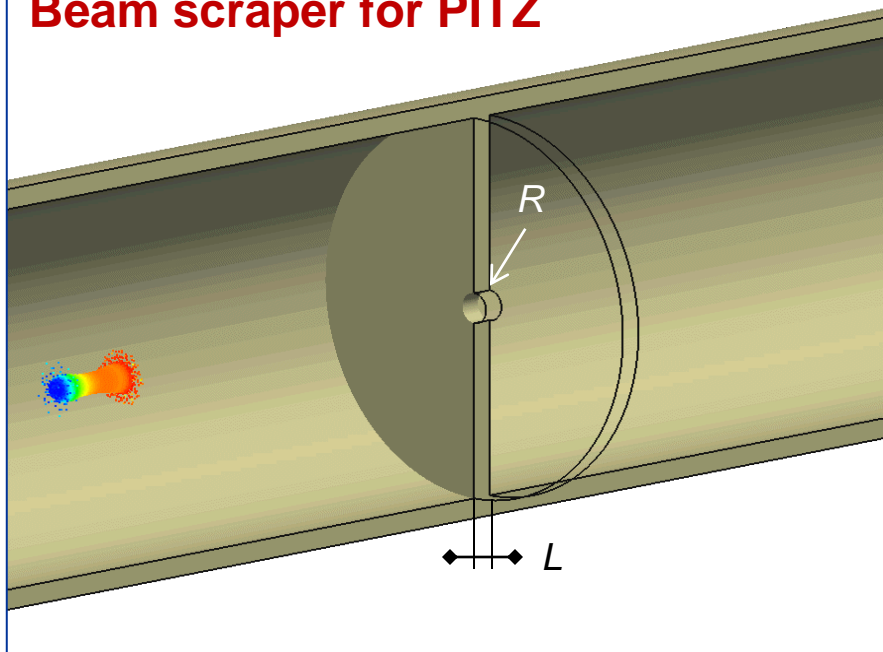


Self-consistent simulations with PBCI

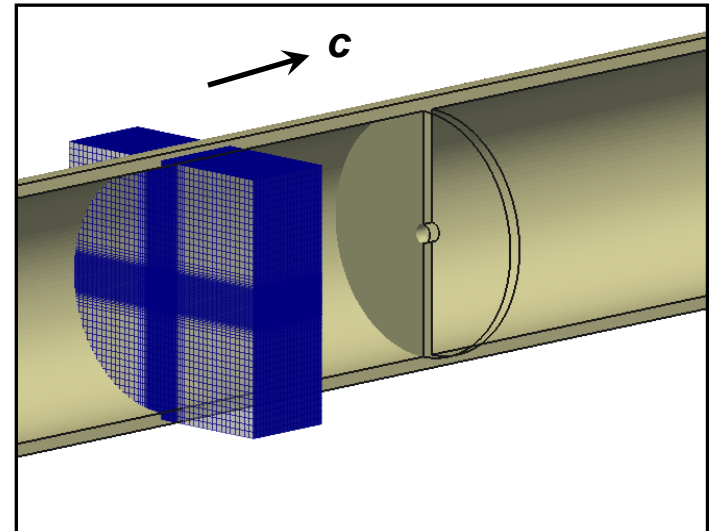
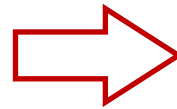
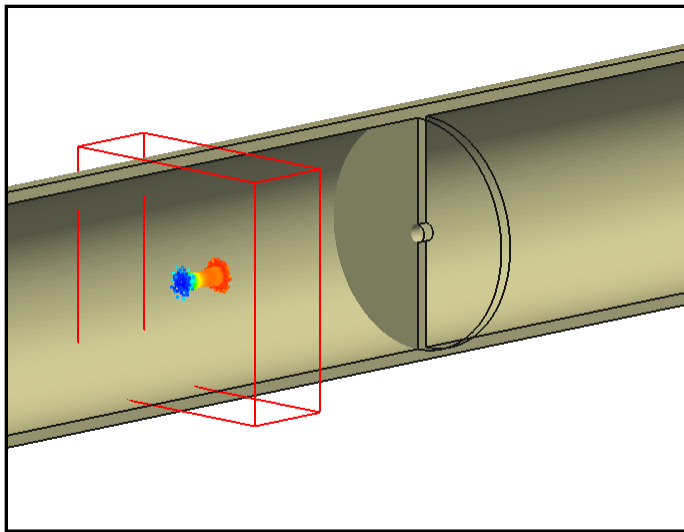


$Q = 1\text{ nC}$
 $\Delta X_{\text{rms}} = 0.4\text{ mm}$

Beam scraper for PITZ



Particle-In-Cell in the moving window

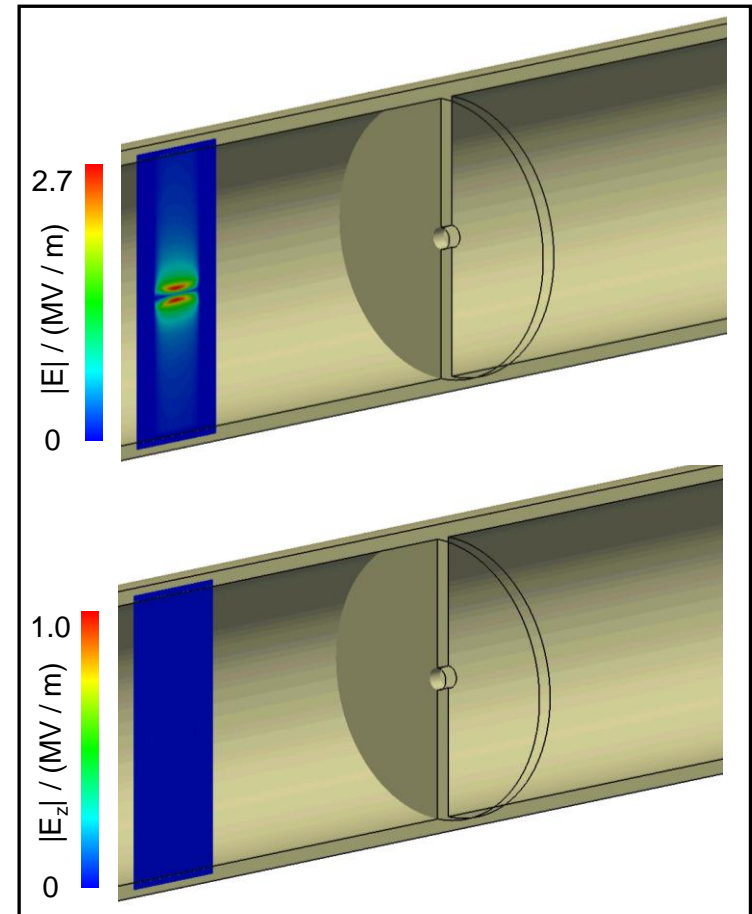


- Nearly ultra-relativistic but:
 - transverse dynamics over long distances not negligible
 - non-constant current due to scraping

▪ **Combine moving frame wakefield simulation approach (PBCI) with PIC**

Particle-In-Cell in the moving window

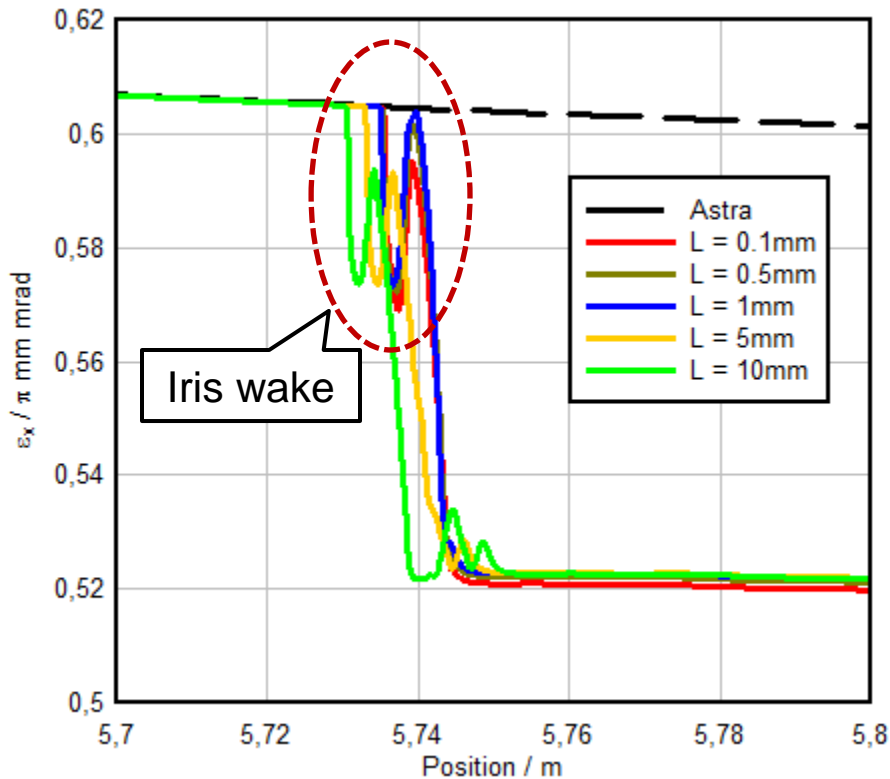
- Dispersion-free EM field solution:
 - Optimum stable time step
 - Computational window moving with c
 - Boundary conditions not needed
- Small discretization domain:
 - **Necessary transverse resolution for bunch and geometry $< 50 \mu\text{m}$**
- Simple field initialization:
 - TEM field of ultra-relativistic bunch in pipe of arbitrary cross-section



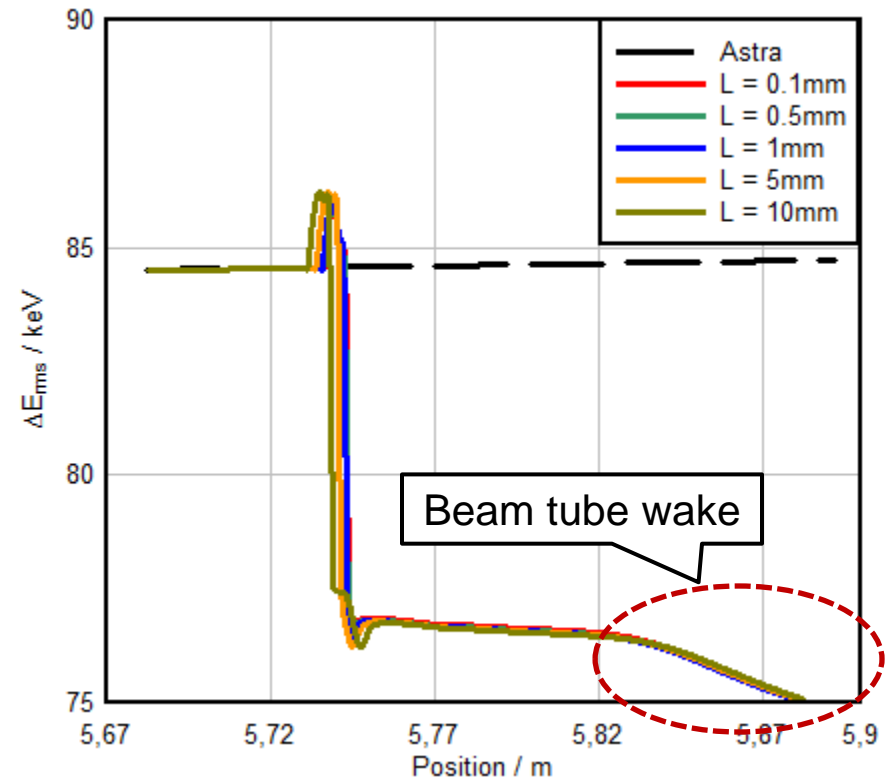
Self-consistent simulations with PBCI

Beam scraper results

Emittance (1nC, 0.4mm, R = 1mm)

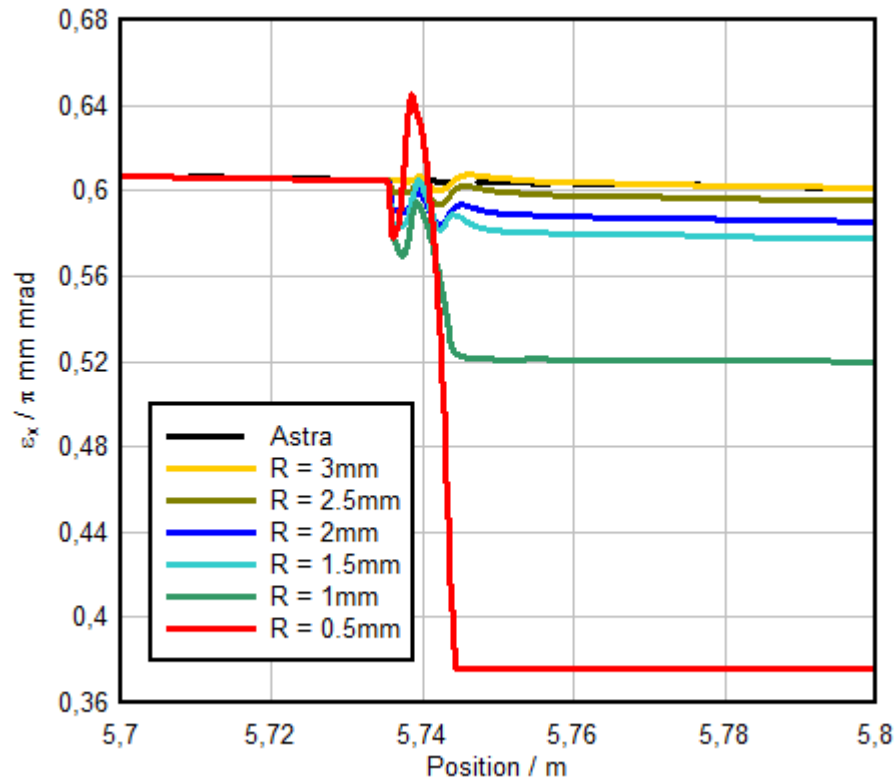


Energy spread (1nC, 0.4mm, R = 1mm)

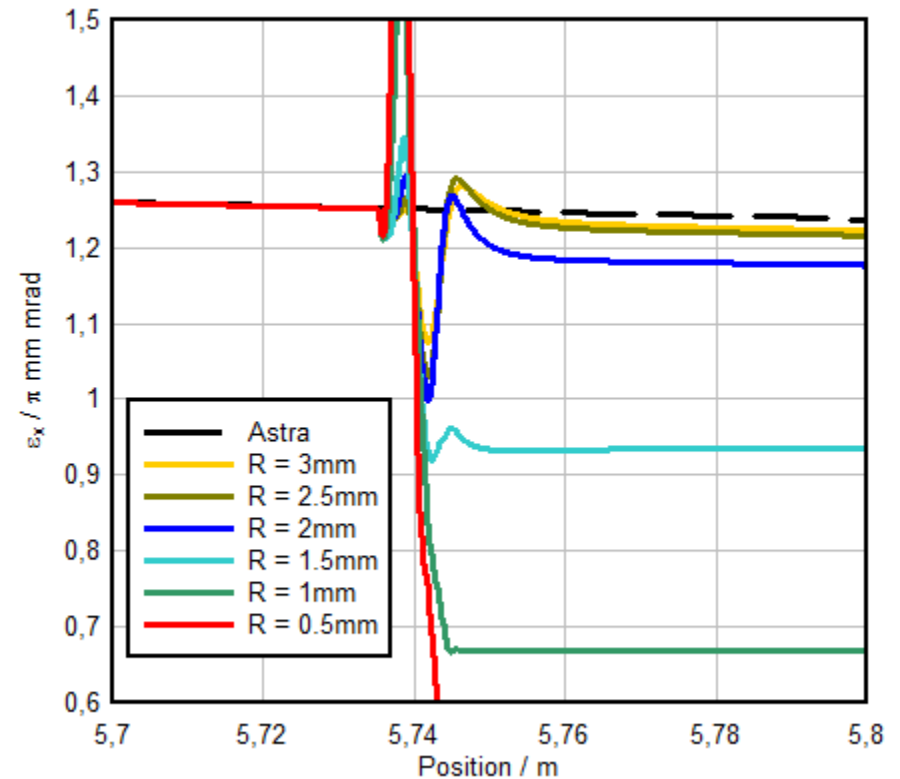


Beam scraper results

Emittance (1nC, 0.4mm, L = 0.1mm)



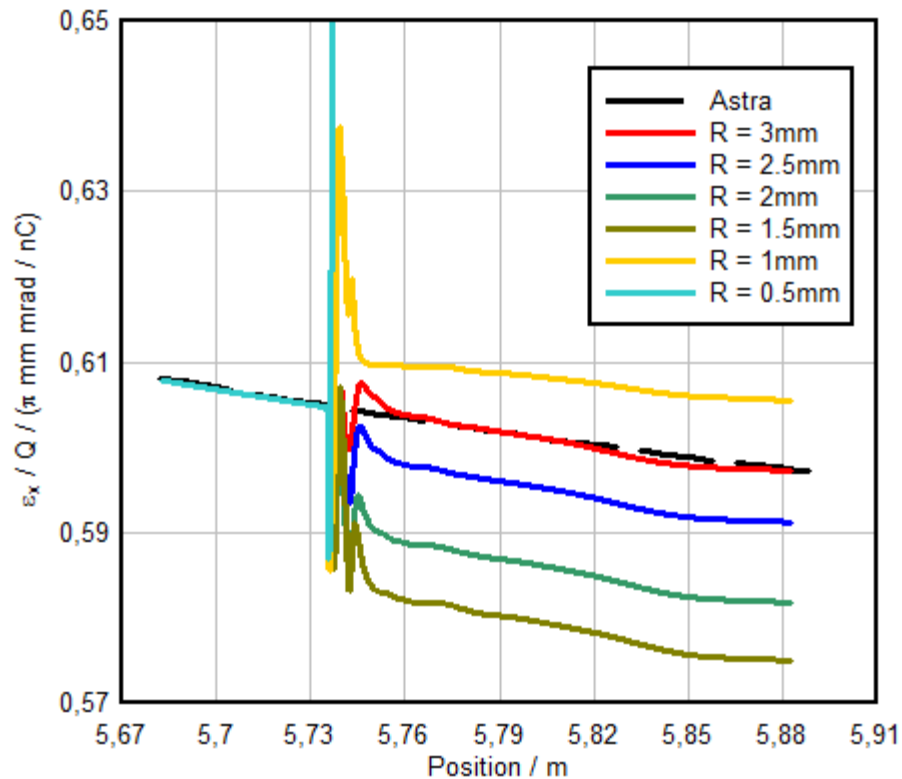
Emittance (2nC, 0.6mm, L = 0.1mm)



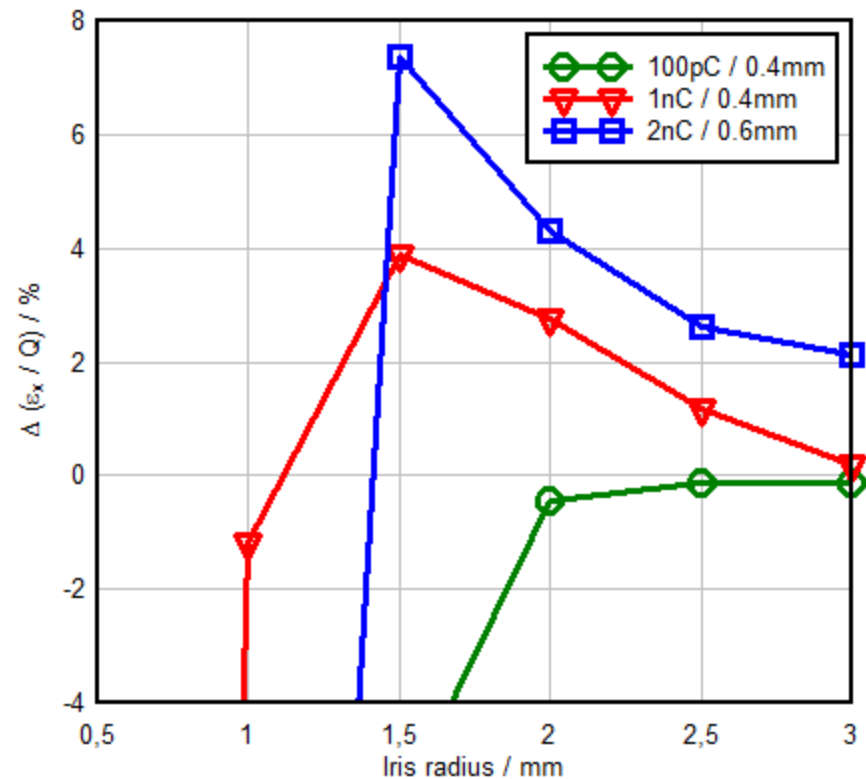
Self-consistent simulations with PBCI

Beam scraper results

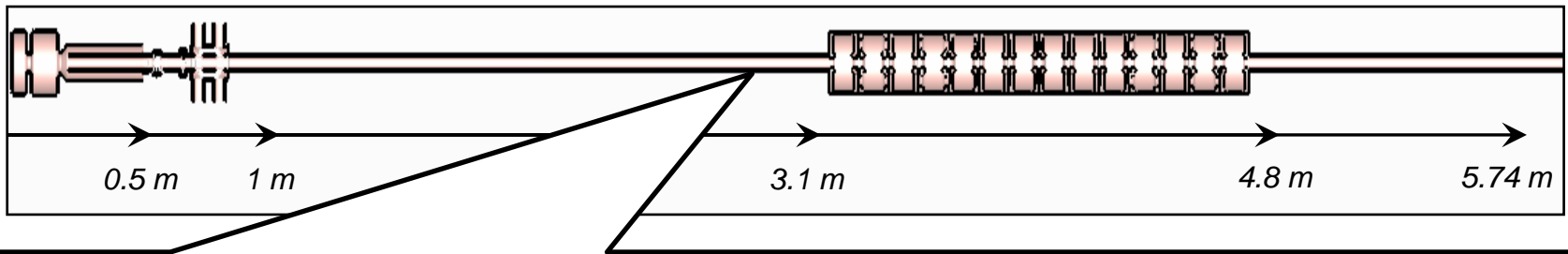
Emittance per Q (1nC, 0.4mm, L = 0.1mm)



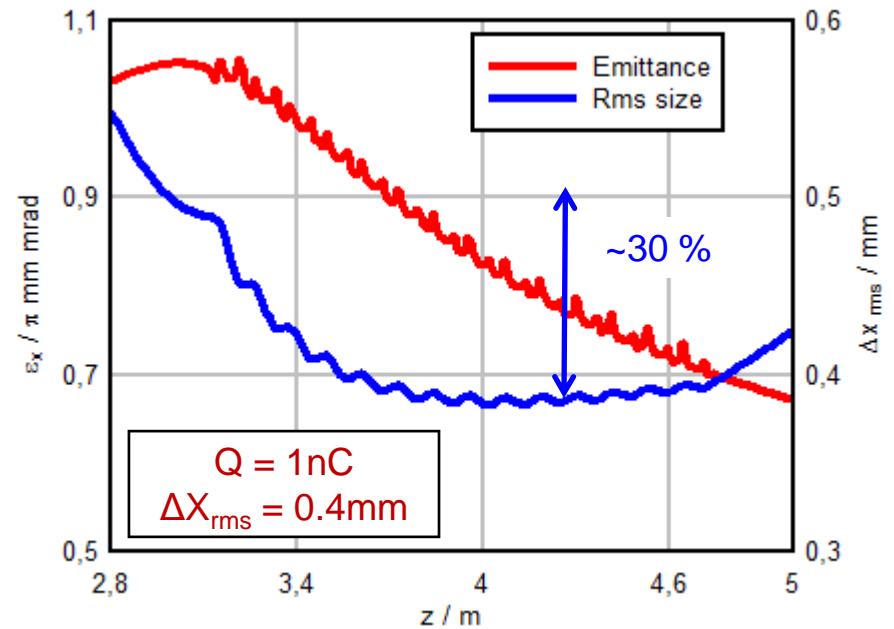
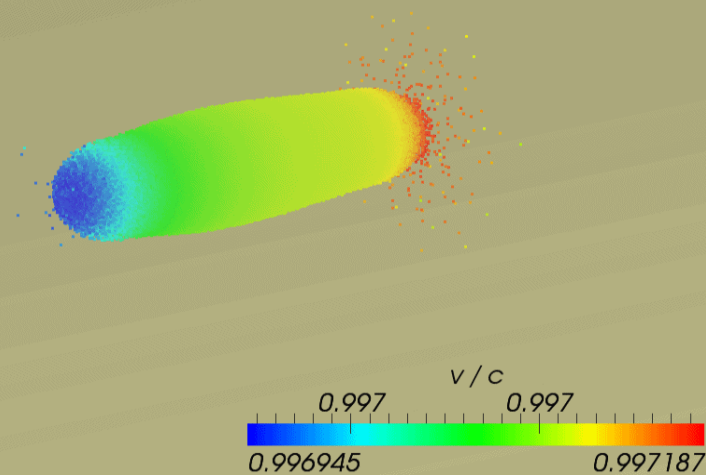
Expected gain in FEL-brilliance



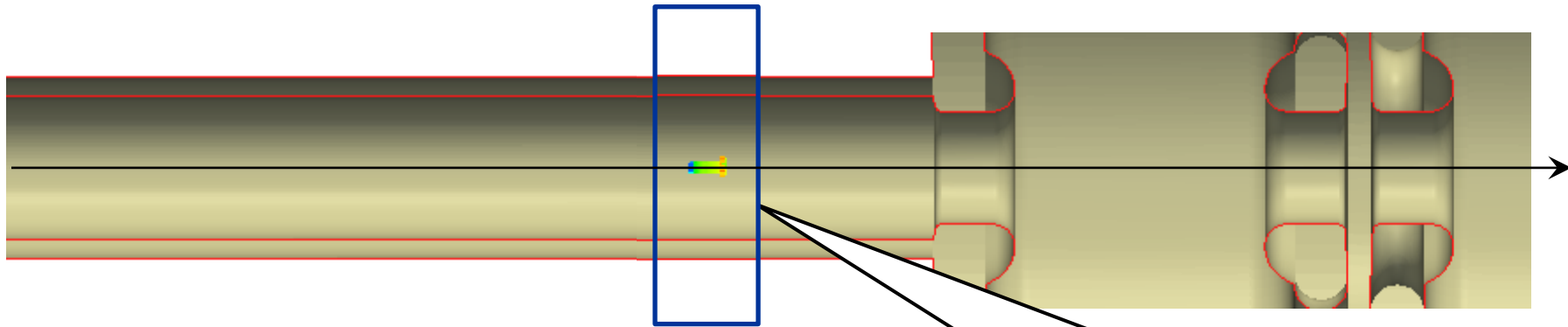
Self-consistent simulations with PBCI



CDS booster



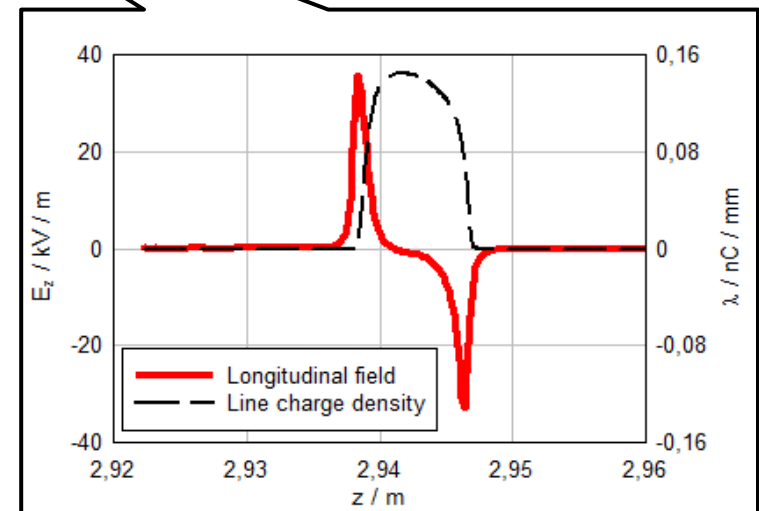
Field initialization procedure



- Transform to (average) rest frame:

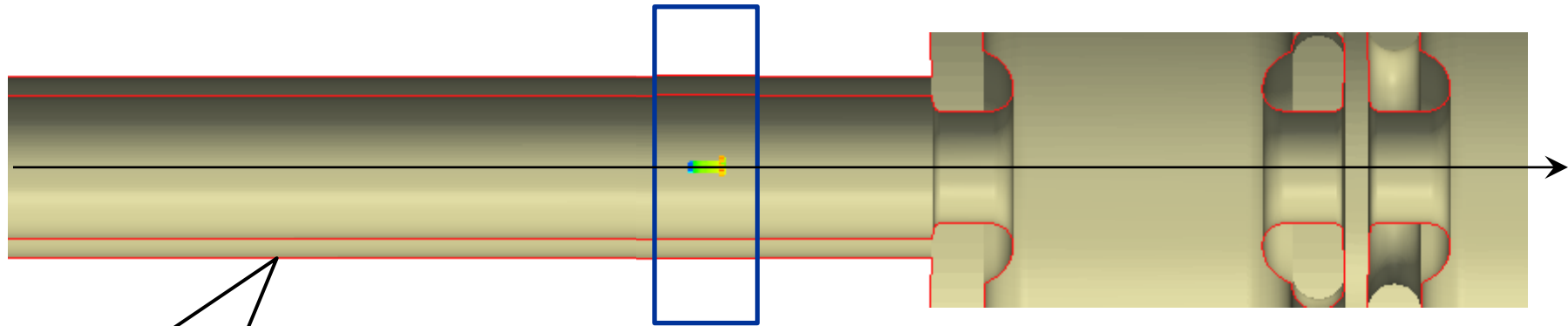
$$\left. \begin{aligned} \rho' &= \gamma \left(\rho - \frac{\beta}{c} j_z \right) := \frac{\rho}{\gamma} \\ j_z' &= \gamma (j_z - v\rho) := 0 \end{aligned} \right\} \Leftrightarrow \boxed{j_z = \rho v}$$

➔ **E-static rest frame solution violates charge conservation in PIC**



Self-consistent simulations with PBCI

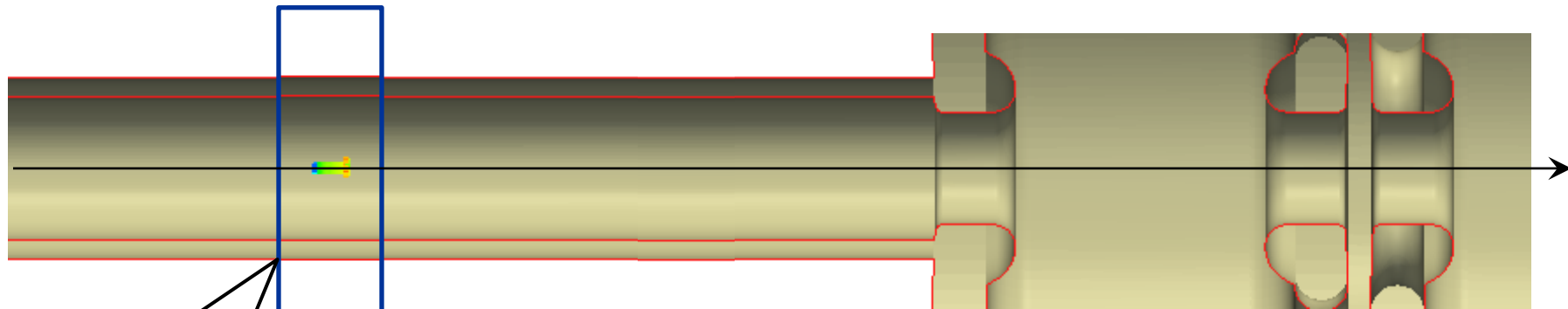
Field initialization procedure



Track backwards
with initial velocities

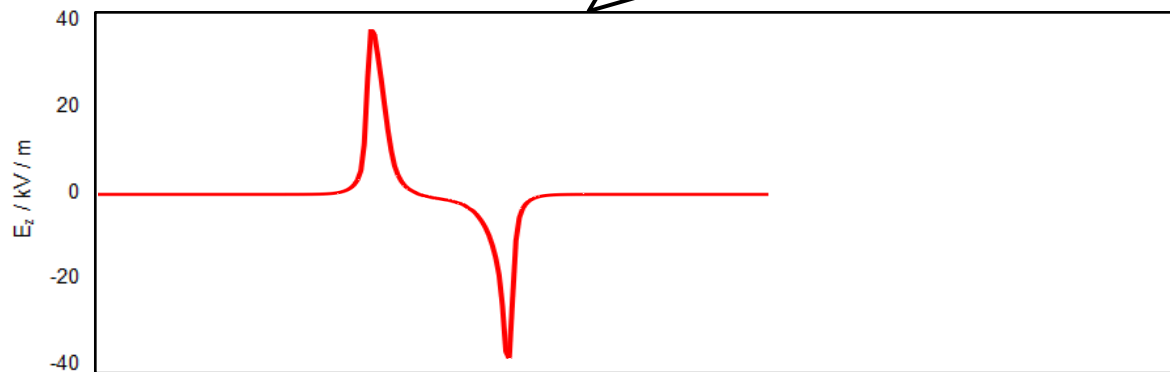
Self-consistent simulations with PBCI

Field initialization procedure



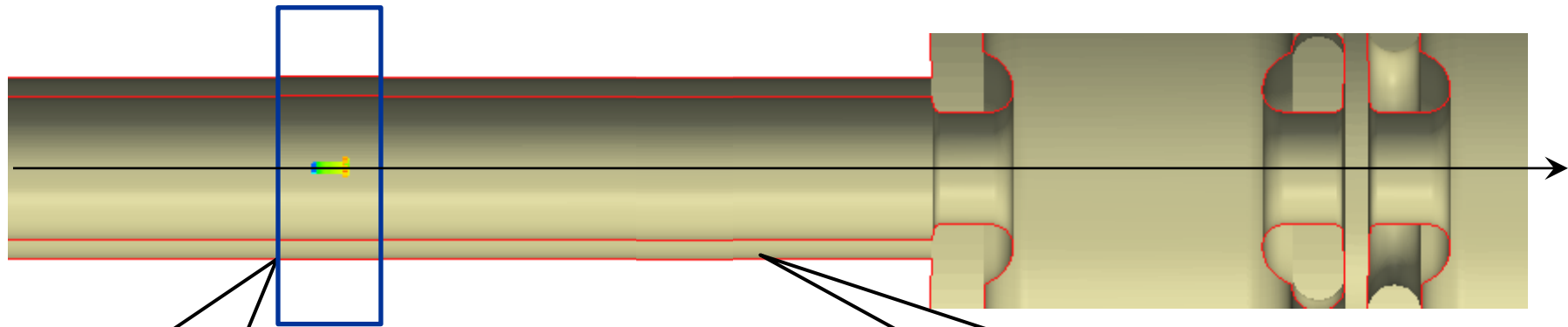
Track backwards
with initial velocities

Initialize by rest
frame transformation



Self-consistent simulations with PBCI

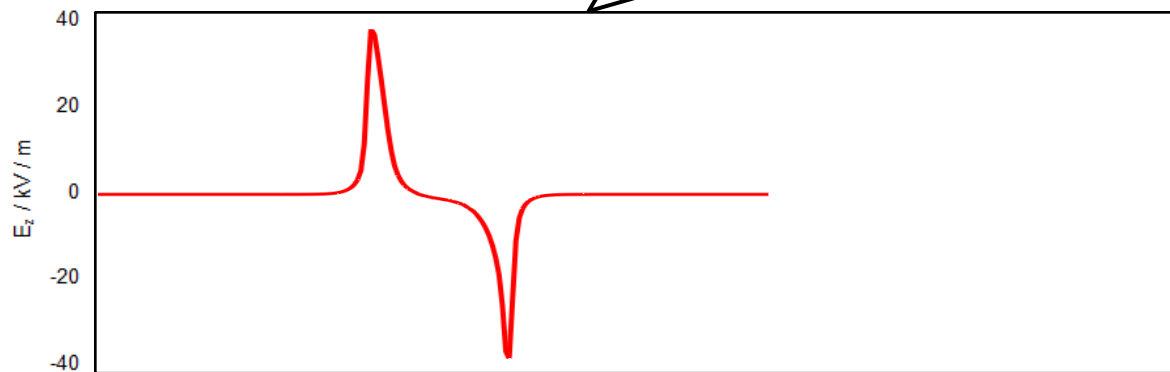
Field initialization procedure



Track backwards
with initial velocities

Initialize by rest
frame transformation

Track forwards to initial
positions / velocities

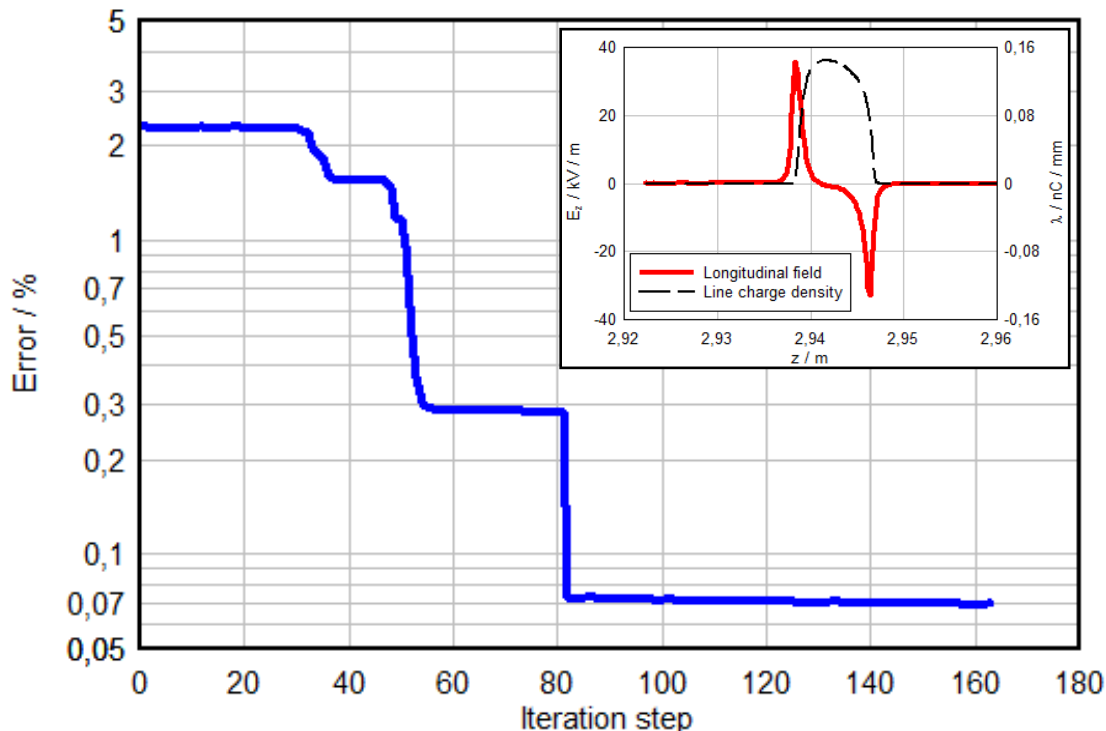


- Build-up consistent initial space-charge fields for PIC
- Include effects of relative motion within bunch

Self-consistent simulations with PBCI

Field initialization procedure

Relative error of $\|E_z\|$ vs. iteration step



(Typical) data for CDS simulation

Length	2.5m
Grid	$\Delta x = \Delta z = 50 \mu\text{m}$
No. DoFs	300×10^6
No. particles	$0.5 \times 10^5 - 5 \times 10^5$
No. steps	$\sim 100,000$
Simulation time	$\sim 12 - 36 \text{ hrs.}$

- Fast convergence (1-2 window lengths sufficient)
- Low cost compared to total simulation time

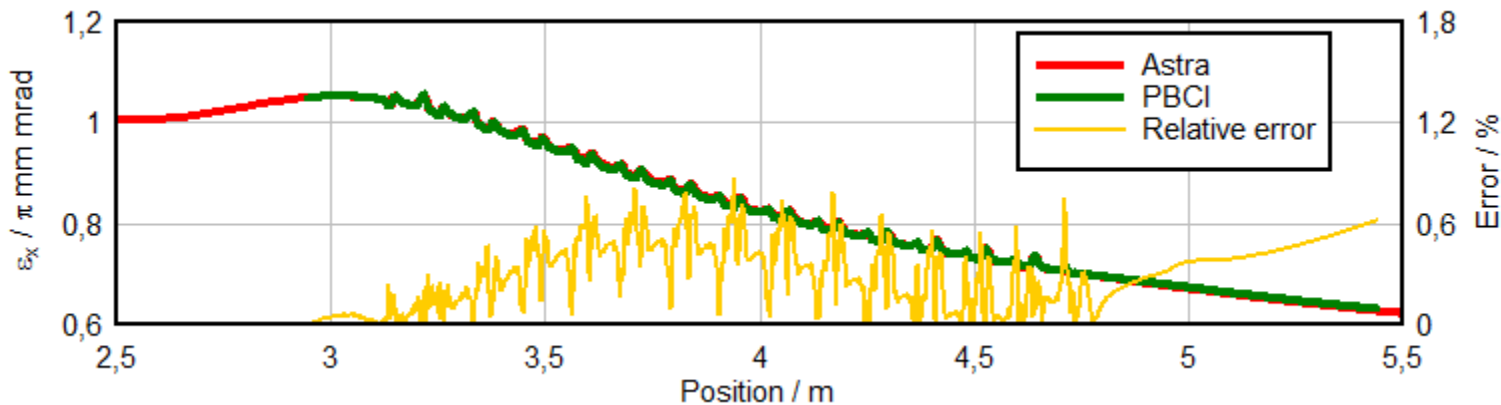
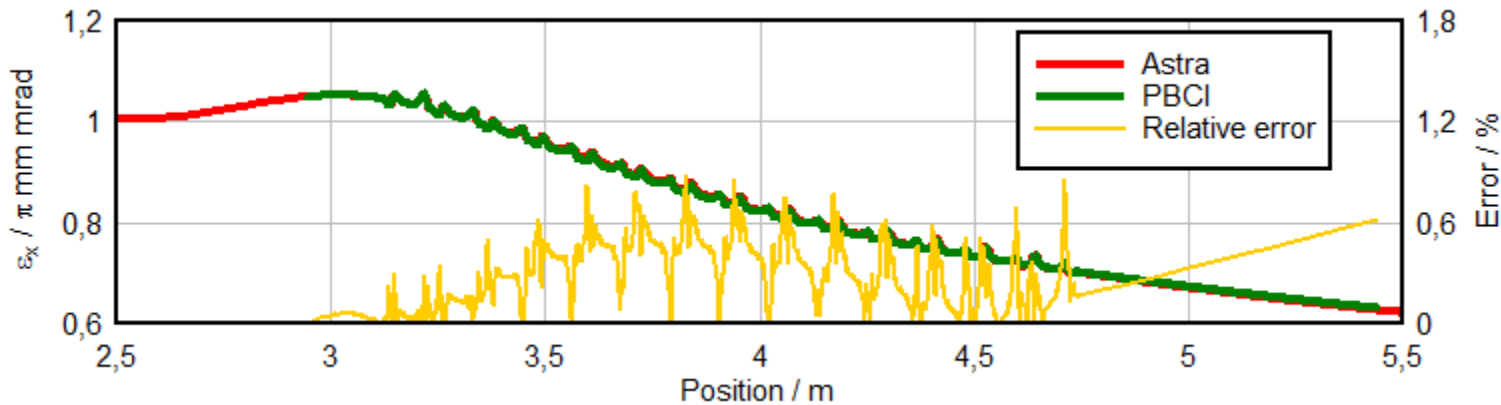
Self-consistent simulations with PBCI

CDS results: emittance

$Q = 1\text{nC}$
 $\Delta X_{\text{rms}} = 0.4\text{mm}$

SPCH only

SPCH + Wake



Self-consistent simulations with PBCI

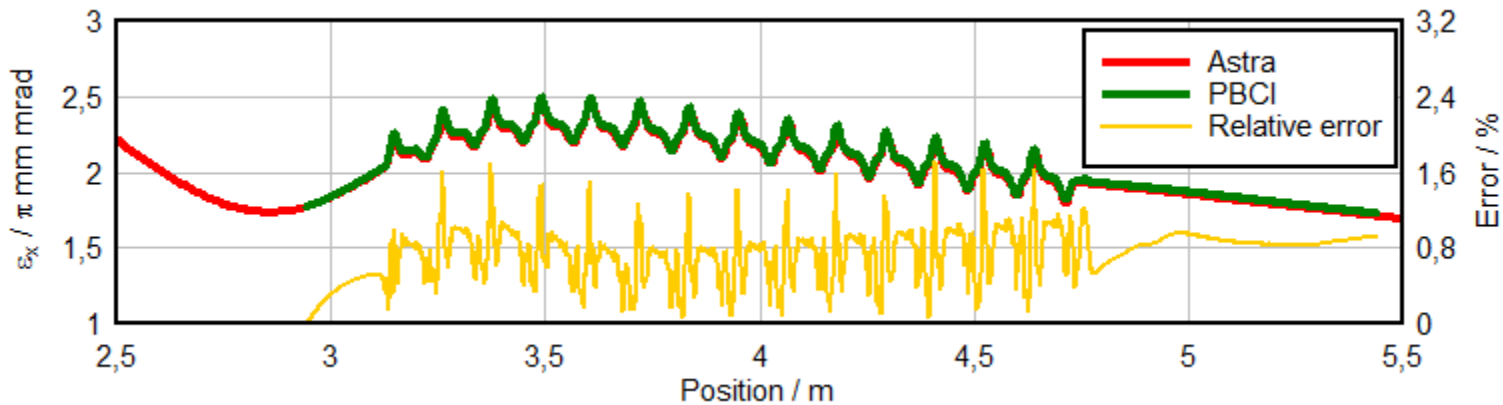
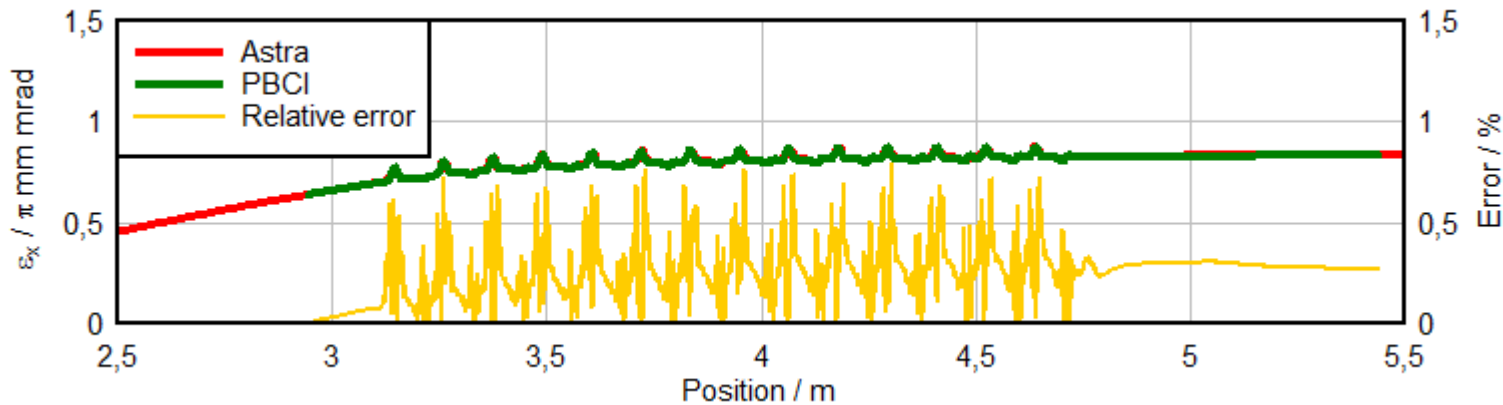
CDS results: emittance

$Q = 100\text{pC}$
 $\Delta X_{\text{rms}} = 0.3\text{mm}$

SPCH + Wake

$Q = 2\text{nC}$
 $\Delta X_{\text{rms}} = 0.5\text{mm}$

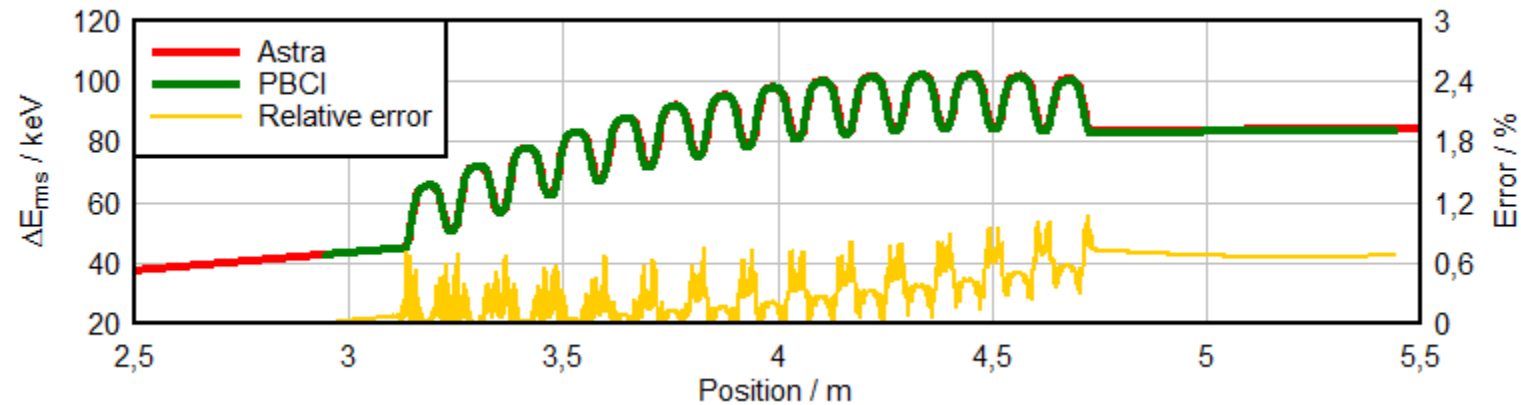
SPCH + Wake



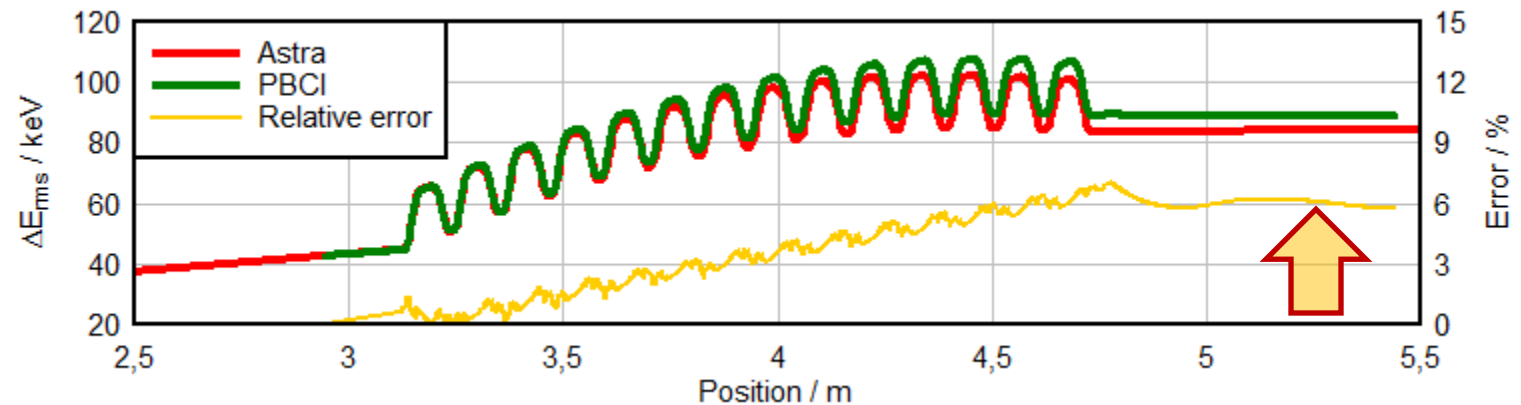
Self-consistent simulations with PBCI

CDS results: energy spread

$Q = 1\text{nC}$
 $\Delta X_{\text{rms}} = 0.4\text{mm}$



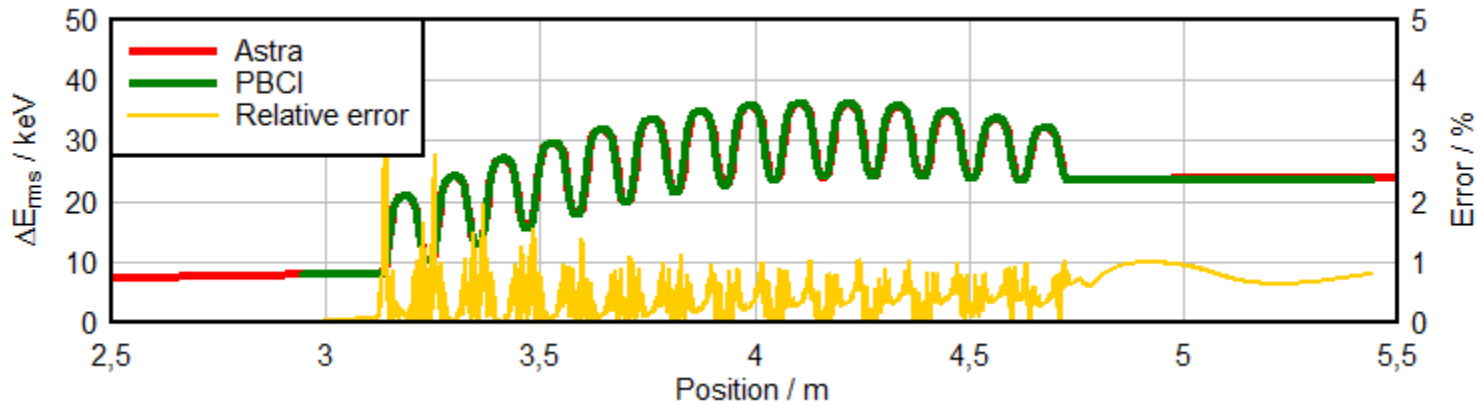
SPCH only



SPCH + Wake

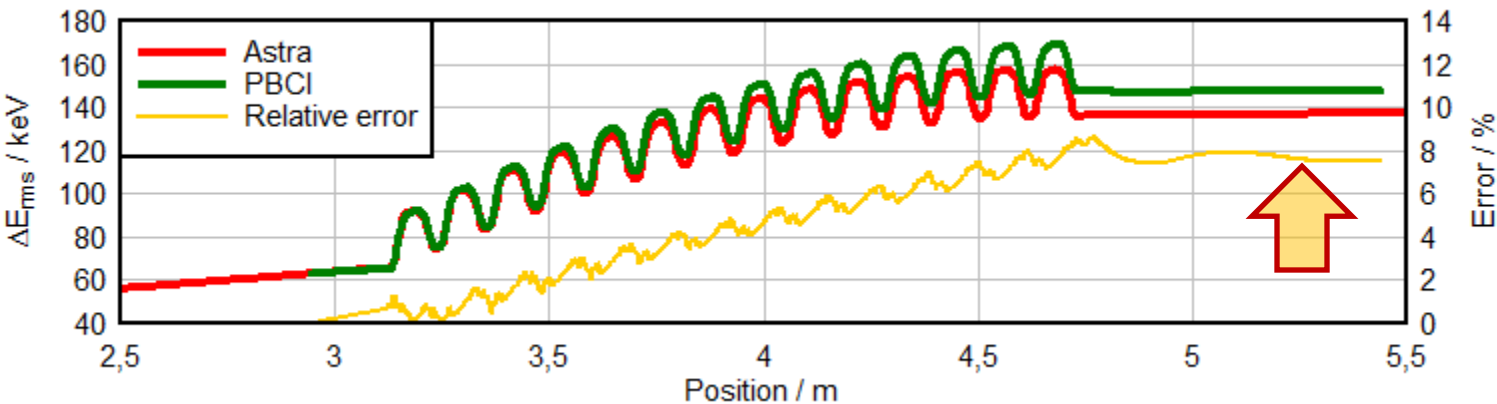
Self-consistent simulations with PBCI

CDS results: energy spread



$Q = 100\text{pC}$
 $\Delta X_{\text{rms}} = 0.3\text{mm}$

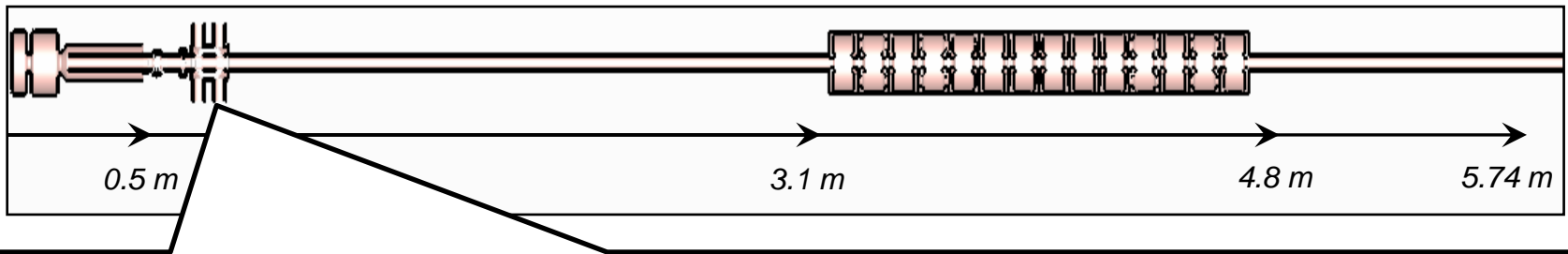
SPCH + Wake



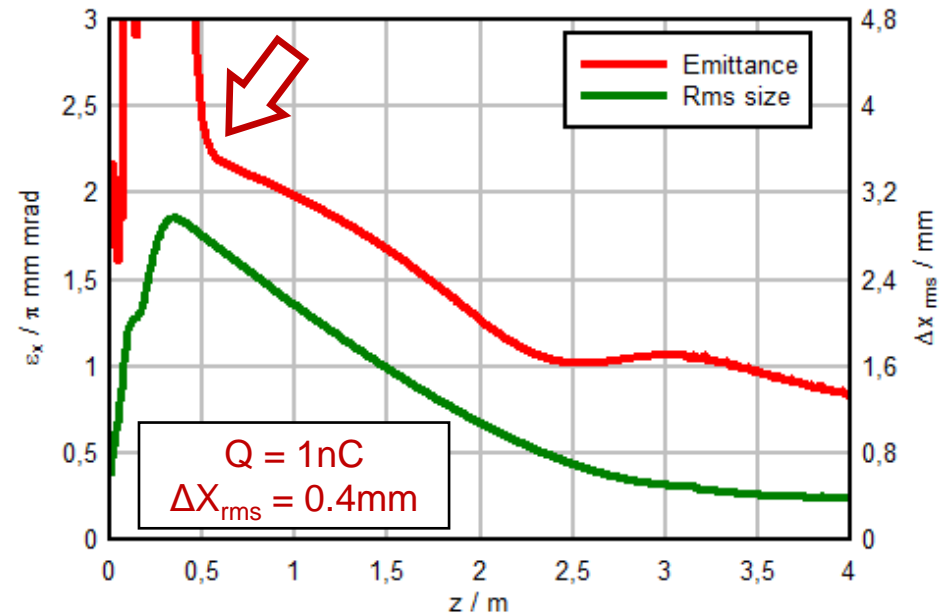
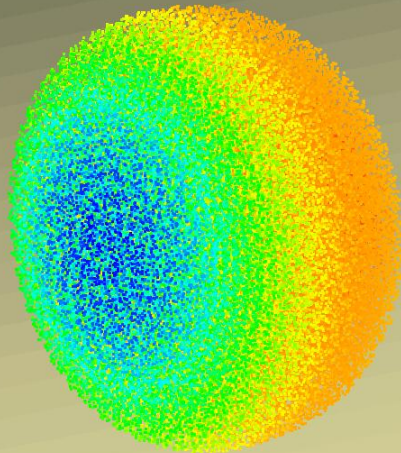
$Q = 2\text{nC}$
 $\Delta X_{\text{rms}} = 0.5\text{mm}$

SPCH + Wake

Self-consistent simulations with PBCI



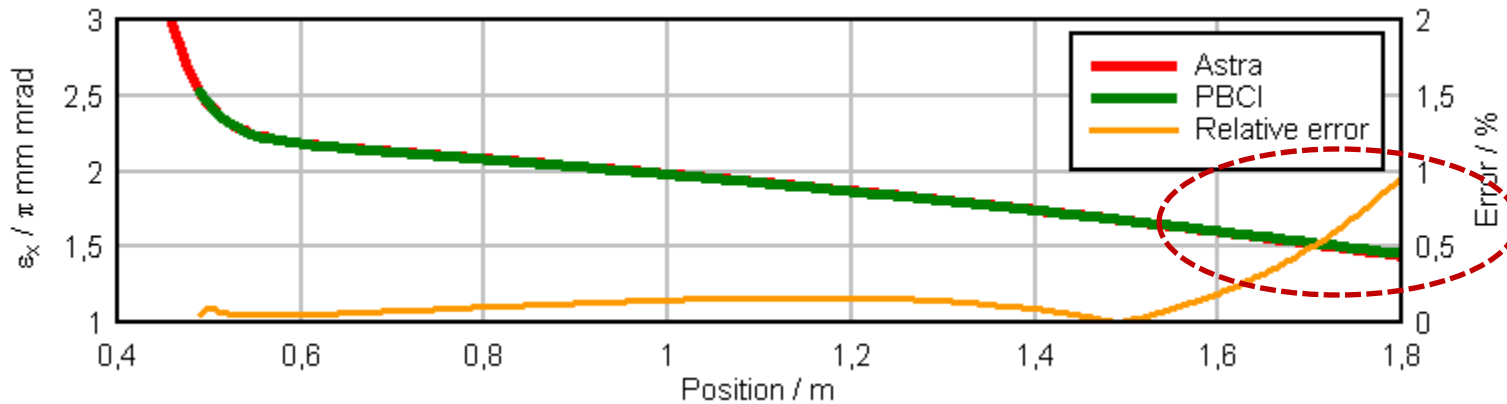
Diagnosis / laser mirror



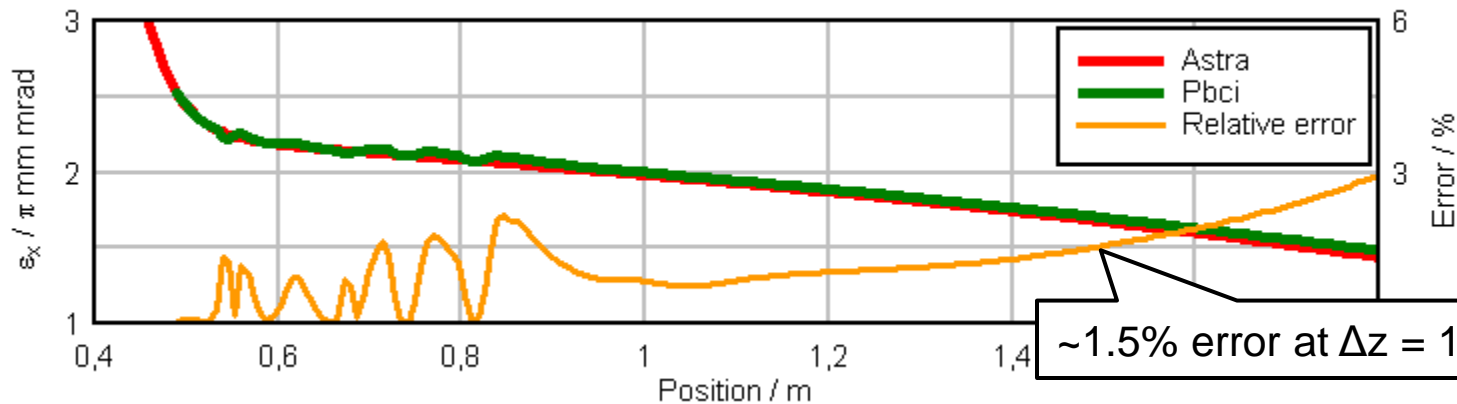
Self-consistent simulations with PBCI

Diagnosis cross results

$Q = 1\text{nC}$
 $\Delta X_{\text{rms}} = 0.4\text{mm}$



SPCH only



SPCH + Wake

Summary and conclusions

Summary

- Beam dynamics simulations for photoinjector including SC and wakefields
 - Impact of geometry on soft beams?
 - Validity of rest frame transformation based computations?
- Introducing SC in PBCI
 - Moving window approach: allows for necessary grid resolution in the simulation of long structures
 - Consistent field initialization at any position within the injector by rest frame transformation + additional iterative procedure

Summary and conclusions

Conclusions

- Long distance self-consistent simulations by combination of moving window / dispersions-free method and PIC
- Beam scraping at injector exit useful for (narrow range of) appropriate parameters
- Retardation field effects not important: gun and cathode region still need to be investigated
- Small impact of geometry on beam emittance: only beams on-axis considered
- Important deviations in energy spread due to wakefields (CDS) observed: should be taken into account by FEL designers
- Differences between measured and simulated emittances at PITZ most probably due to improper emission modeling

Thank You for your attention