

Multilevel Optimization of FELs



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Multilevel Optimization of FELs

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2. Examples: Bunch Compression (BC) Working Point Optimization

FLASH

E-XFEL

3. Low Level: Calculation of BC Working Points

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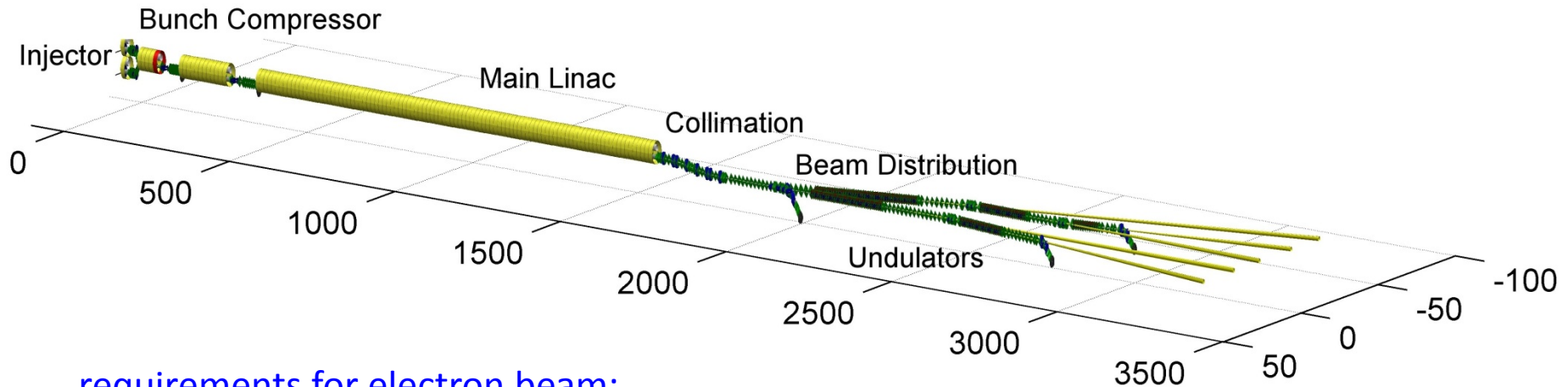
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1. Introduction

the FEL



requirements for electron beam:

- short wavelength → high **energy**
- narrow spectrum → small **energy spread**, small wakes
- short pulses → **short** electron bunches
- short undulators → small gain length → low **emittance**, high **peak current**,
overlapp of photon and particle beam

stability of spectrum and pulse

demanding requirements for all components:

gun, bunch compression system,
main accelerator, collimator and beam distribution, undulators,
diagnostics, feedbacks, ...

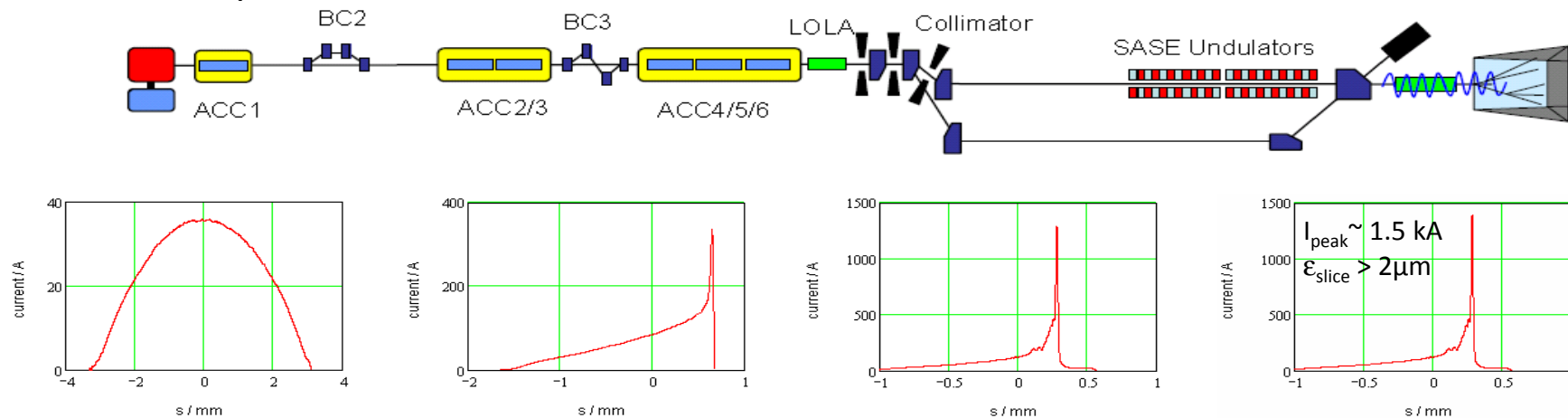


2. Example

FLASH – bunch compression (BC) working point

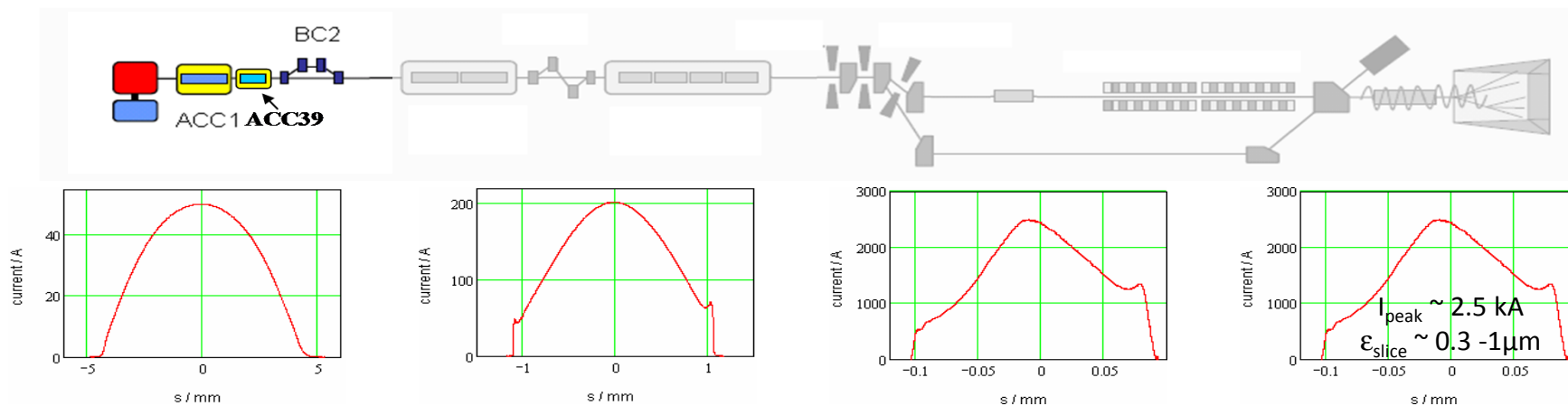
rollover compression, $Q = 0.5$ nC

2008



linearized compression, $Q = 1.0$ nC

2010



3 amplitudes, 3 phases, 2 dispersion parameters



2. Example

FLASH – multilevel optimization → BC-working point

FLASH I

simulation methods (looking for working points)

1d analytical solution without collective effects
(8 macroparameters → 6 RF settings)

initial guess

1d tracking with space charge and wakes

~ seconds
(1 cpu)

→ accelerator low level
→ compressor low level

~ 5 iterations

quasi 3d tracking with all collective effects

~ 30 min
(1 cpu)

→ accelerator “medium” level
→ CSRtrack “medium” level

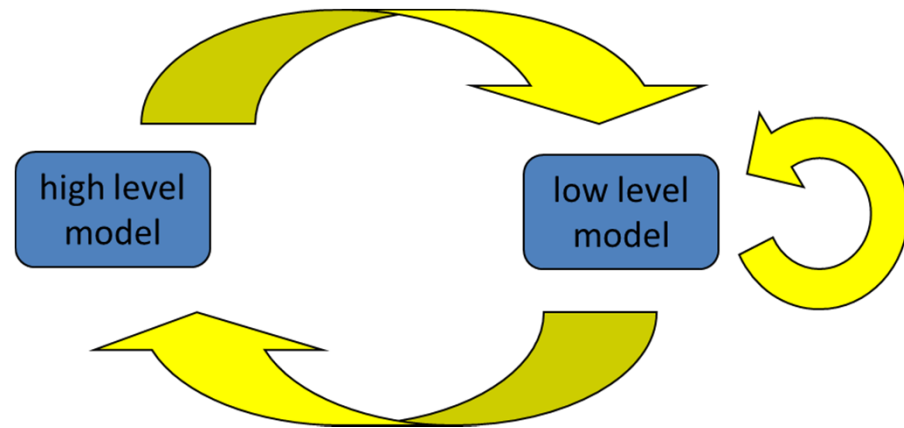
~ 5 iterations

3d tracking with all collective effects

~ 10 h
(46 cpu-s)

→ Astra “high” level
→ CSRtrack “medium” level

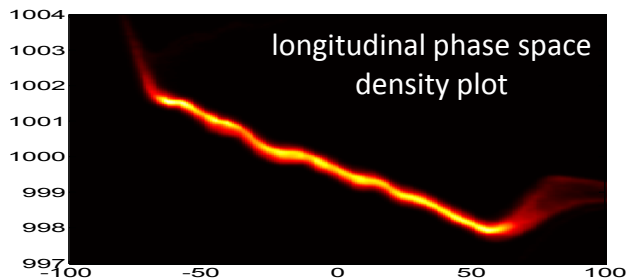
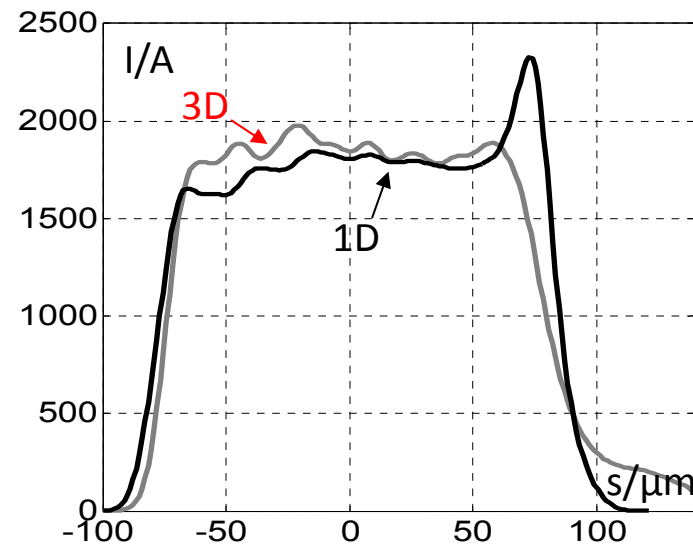
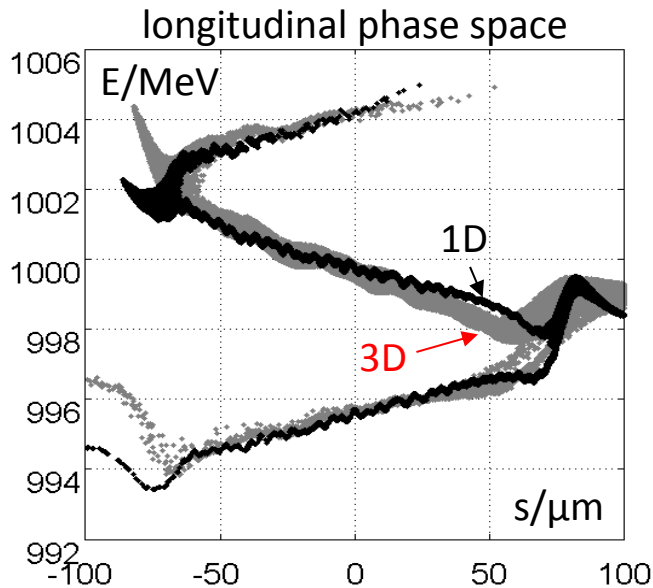
final result



2. Example

FLASH – multilevel optimization → BC-working point

linearized compression: $Q = 1.0$ nC, compression factor ≈ 40
simulation with wakes, SC- and CSR-fields

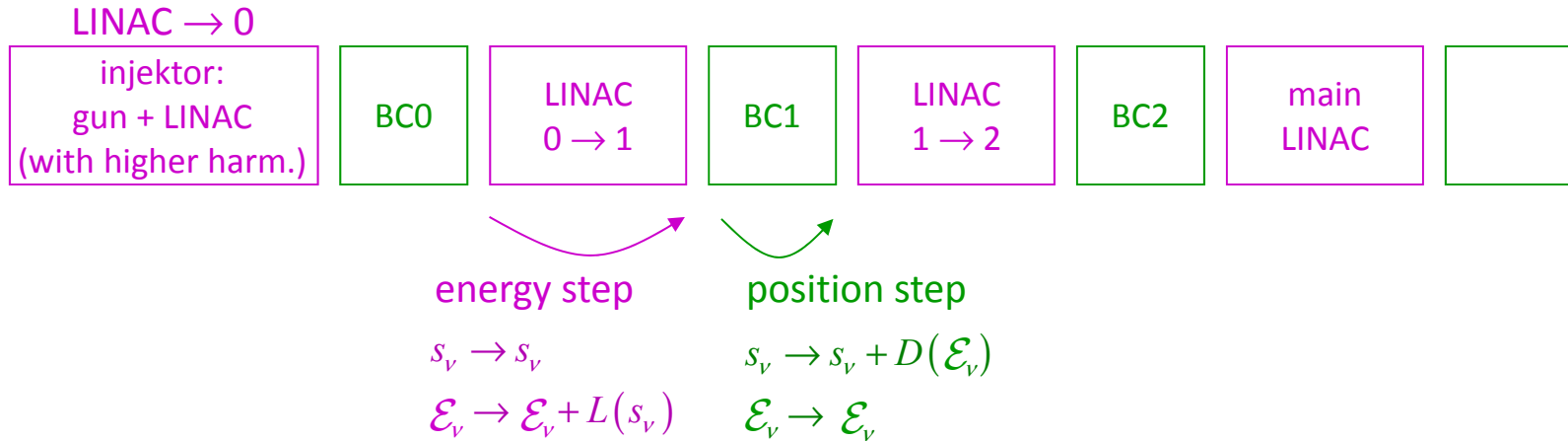
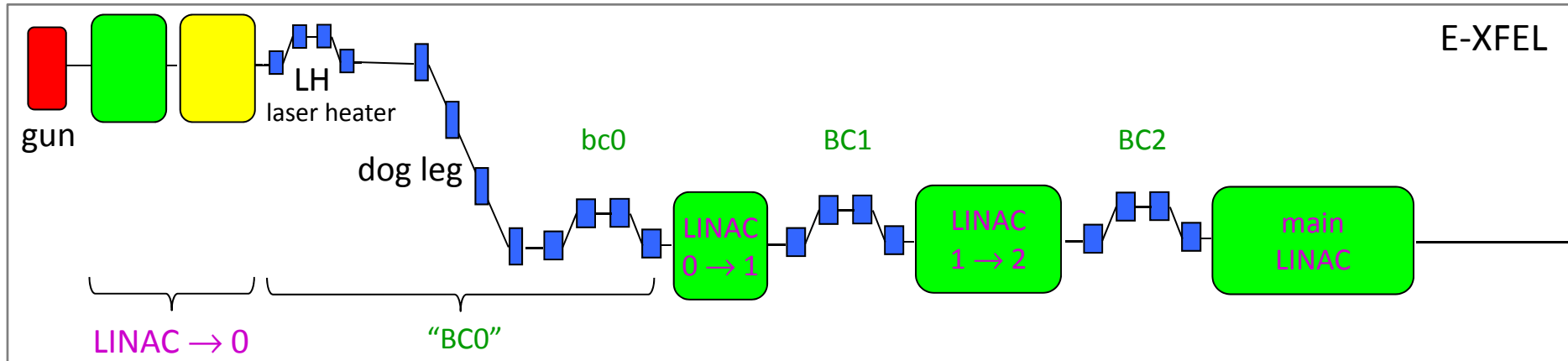


from Igor Zagorodnov, DESY



3. Low Level: Calculation of BC Working Points

simplification (no energy spread, no self effects)



polynomial representation of $L(s)$ and $D(\mathcal{E})$

truncated recursion of polynomials \rightarrow total compression to stage n



3. Low Level: Calculation of BC Working Points

compression factor, compression parameters

total compression to stage n : $C_n(s) = \left(\frac{d}{ds} S_n(s) \right)^{-1}$

with

s = initial long. position

S_n = long. position after stage n

f.i. two stage compression:

$$\underbrace{\left. \begin{array}{l} \text{BC energies} \left\{ \begin{array}{l} \mathcal{E}_0 \\ \mathcal{E}_1 \end{array} \right. \\ \text{compression factors} \left\{ \begin{array}{l} C_0 \\ C_1 \end{array} \right. \\ \text{higher derivatives} \\ \text{of total compression} \left\{ \begin{array}{l} C_1' \\ C_1'' \end{array} \right. \end{array} \right\}}_{\text{working point parameters} = \mathbf{y}} = f \left(\underbrace{A_0, \varphi_0, A_0^{(h)}, \varphi_0^{(h)}, A_1, \varphi_1}_{\text{LINAC parameters} = \mathbf{x} \text{ (amplitudes and phases)}}, \underbrace{\psi_0, \psi_1}_{\text{compressor parameters} = \mathbf{p} \text{ (magnet strengths or } R_{56})} \right)$$

short: $\mathbf{y} = f(\mathbf{x}, \mathbf{p})$

inverse function can be calculated: $\mathbf{x} = g(\mathbf{y}, \mathbf{p})$



3. Low Level: Calculation of BC Working Points

criteria and constraints

here begins physics:

choice and optimization of
working point parameters \mathbf{y}

BC energies

compression factors

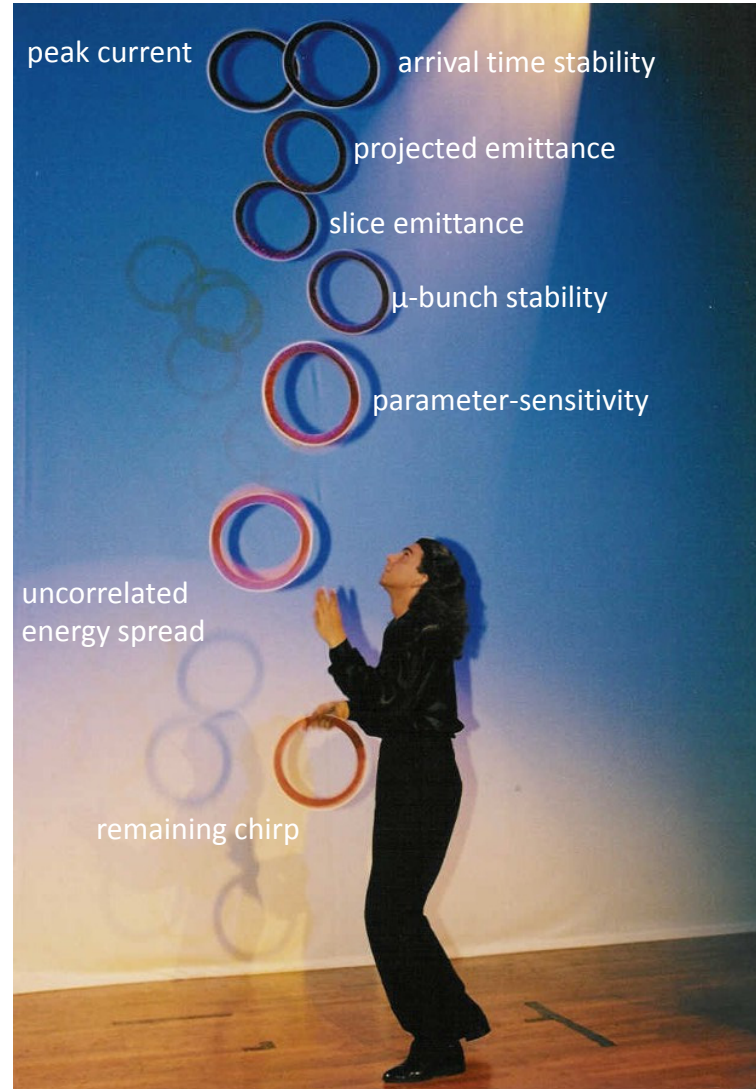
higher derivatives
of total compression

and

compressor parameters \mathbf{p}

f.i. strength of BC,
deflection angle, or R_{56}

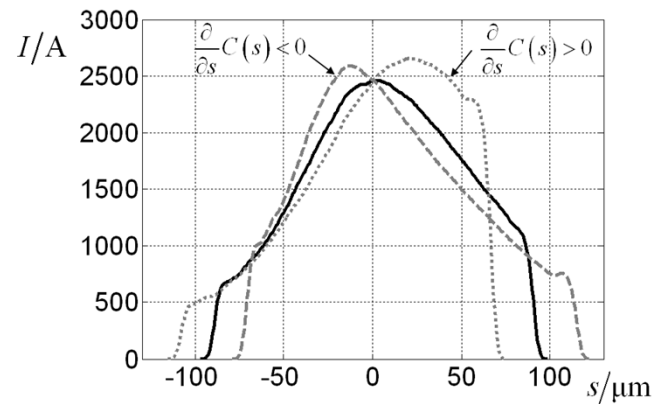
many criteria



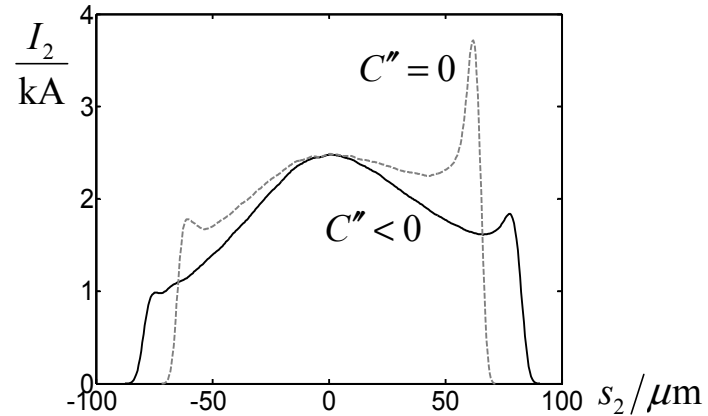
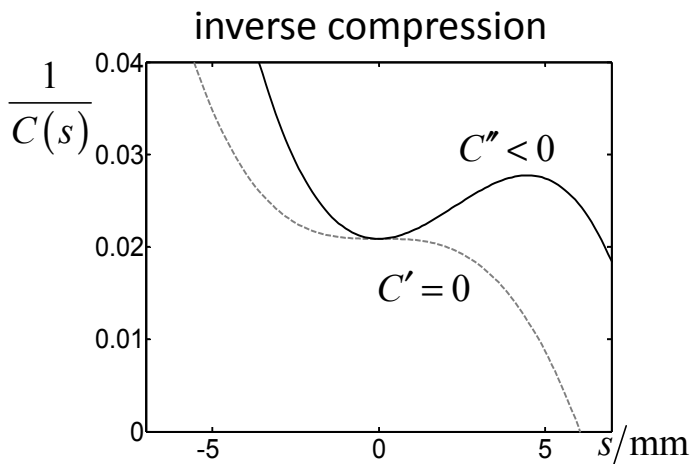
3. Low Level: Calculation of BC Working Points

criteria and constraints

f.i. derivatives of total compression
 can be used to control the bunch shape



C'
 bunch center



C''
 bunch tails

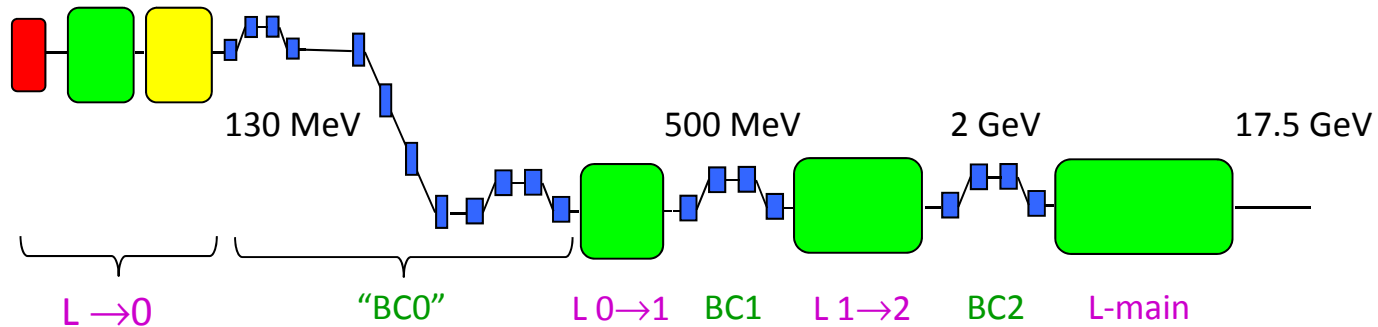


2. Example

E-XFEL – BC working point

1 nC \rightarrow 5 kA, total compression = 100

three stage compression (E-XFEL)

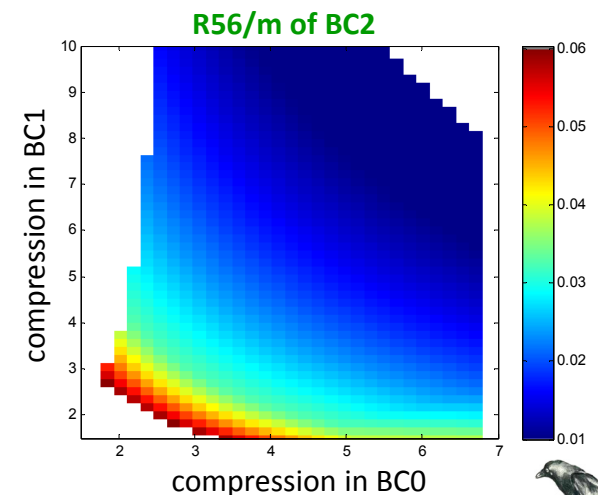
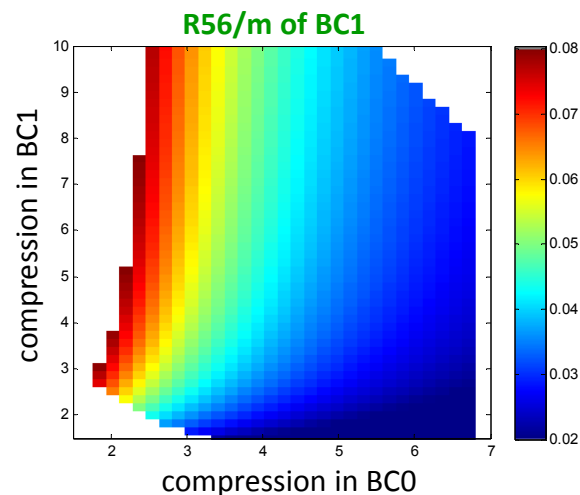
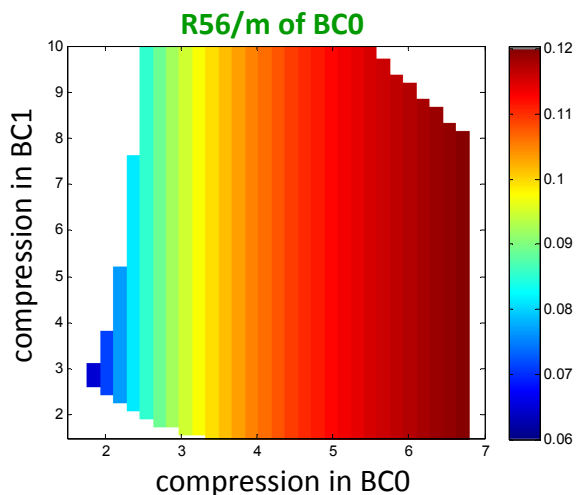


BC energies: pre defined

compression factors: **free**, but total compression fixed (=100)

higher derivatives of total compression: pre defined (=0)

compression parameter: R56, criterion = maximal chirp, constraints from RF, BC, maximal $\Delta\mathcal{E}$



2. Example

XFEL – BC working point

1 nC → 5 kA, total compression = 100

charge sensitivity

R56 sensitivity

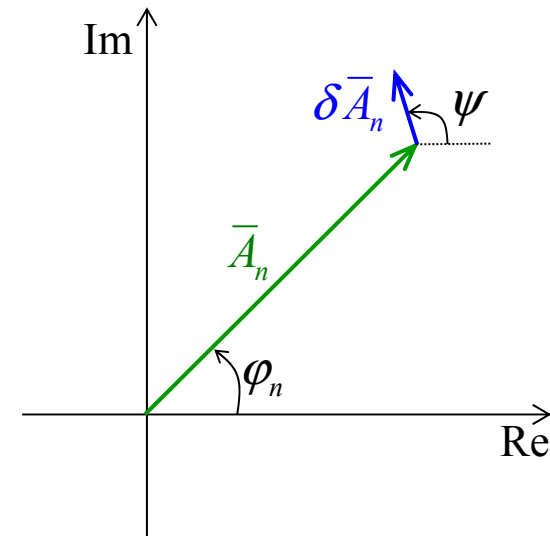
rf **sensitivity**: amplitude sensitivity $A_n \rightarrow A_n + \delta A_n$

phase sensitivity $\varphi_n \rightarrow \varphi_n + \delta\varphi_n$

complex sensitivity
(amplitude and phase together)

$$S_n = \max_{\psi} \left| \frac{\delta C}{C} \frac{\bar{A}_n}{\delta \bar{A}_n} \right|$$

$$\text{with } \delta \bar{A}_n = \delta A_n \exp(i\psi)$$



rms rf sensitivity
(all amplitudes and phases together)

$$S_{\text{rms}} = \sqrt{\sum S_n^2}$$

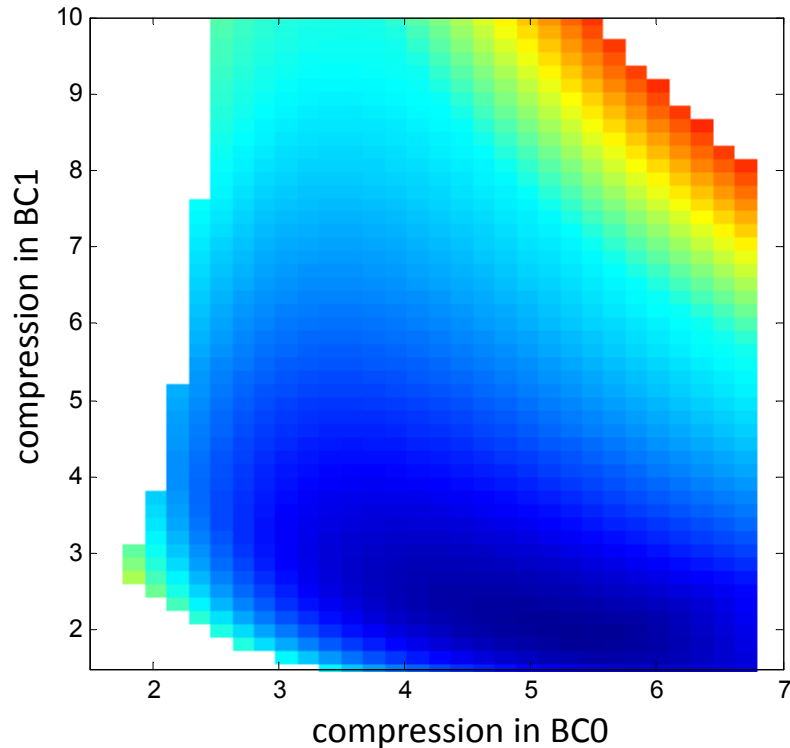


2. Example

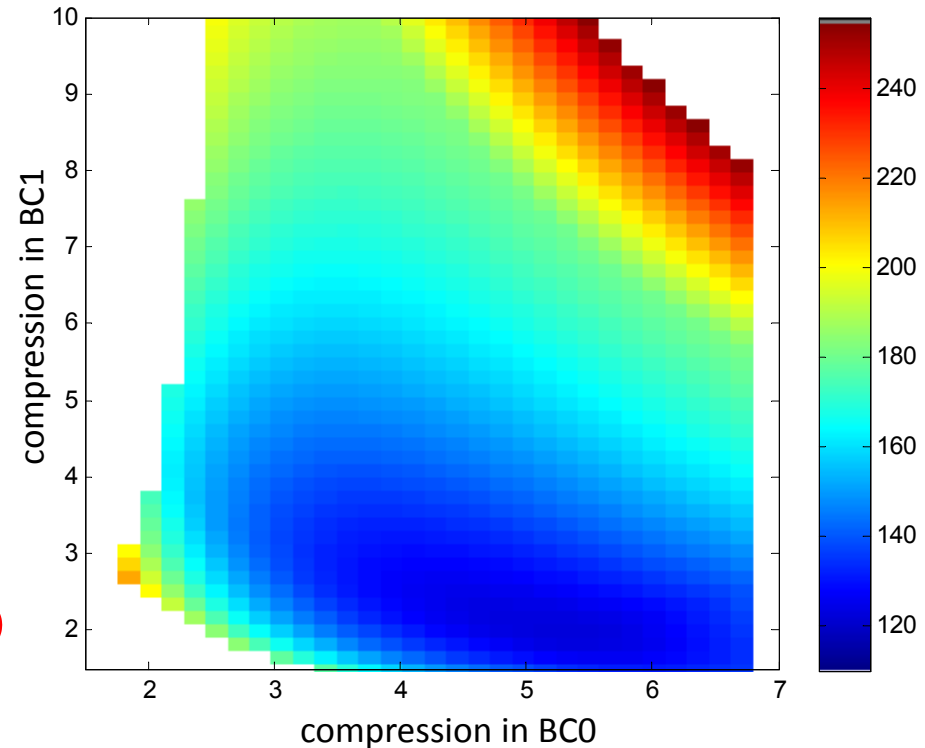
XFEL – BC working point

1 nC → 5 kA, total compression = 100

complex sensitivity $L \rightarrow 0$



rms sensitivity

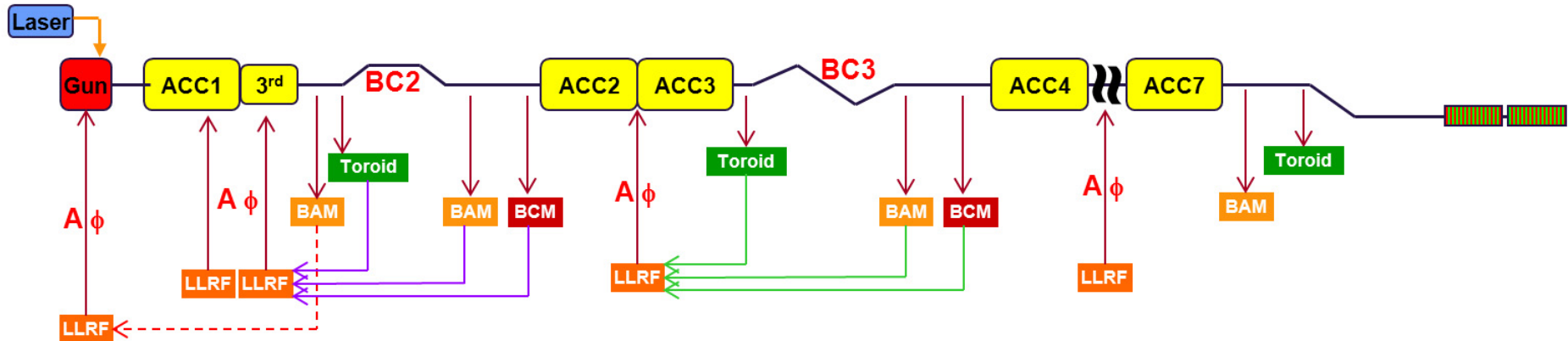
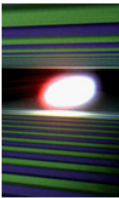


this is essentially the $L \rightarrow 0$ picture!

$$\text{f.i. } S_0 = 120 \quad \text{and} \quad \left| \frac{\Delta C}{C} \right| < 0.1 \quad \rightarrow \quad \left| \frac{\Delta A_0}{A_0} \right| < \frac{0.1}{120} \approx 0.00083$$

required amplitude stability of $L \rightarrow 0$





Beam Based Feedbacks:

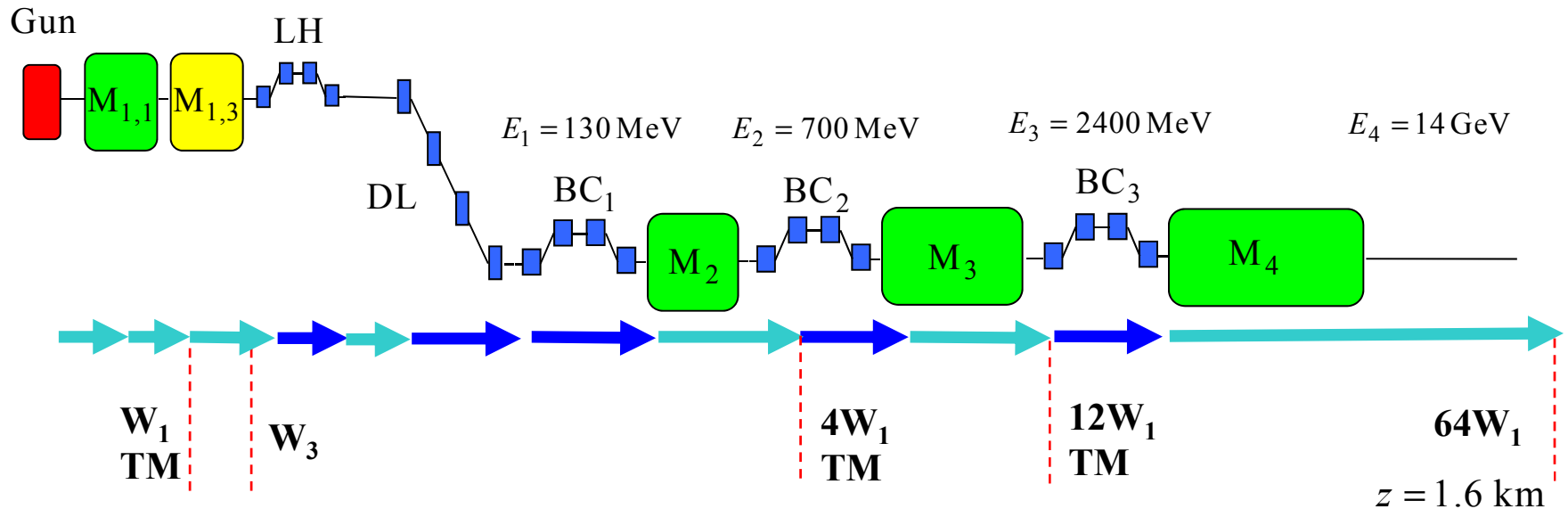
- BAM before BC2 corrects phase in RF-Gun
- BAM and BCM after BC2 simultaneously correct amplitude and phase in ACC1 and 3rd harmonic
- BAM and BCM after BC3 correct amplitude and phase in ACC23

from Holger Schlarb, DESY

4. Two Level Optimization of BC Working Point

high level simulation

Full 3D simulation method (200 CPU, ~10 hours)



 **ASTRA** (tracking with 3D SC) since 2011: ASTRA with wakes

 **CSRtrack** (tracking with CSR, “projected” model)

W1 = wake of TESLA module

W3 = wake of 3rd harmonic module

TM = transverse matching to the design optics



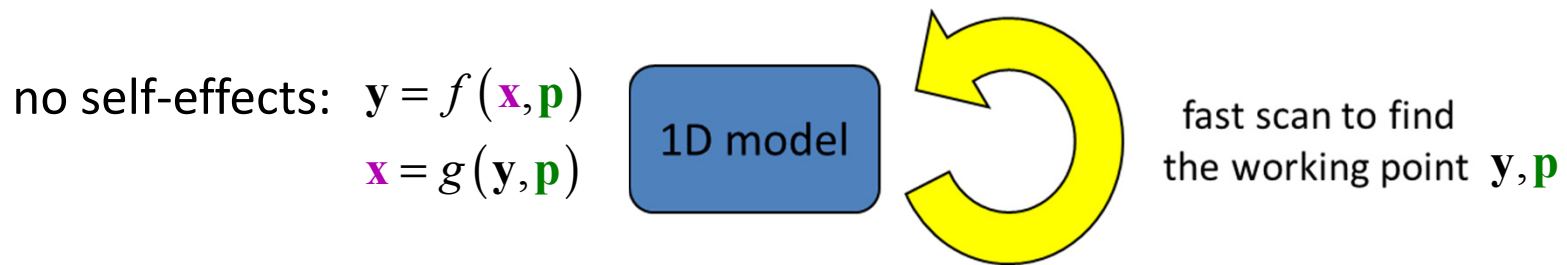
4. Two Level Optimization of BC Working Point

find WP parameters of high level simulation

\mathbf{y} = working point parameters (energy, compression + derivatives)

\mathbf{x} = LINAC parameters (amplitudes and phases)

\mathbf{p} = compressor parameters (magnet strengths or R56)



with self-effects: $\mathbf{y} = f_Q(\mathbf{x}, \mathbf{p}, Q)$ $Q = \text{bunch charge}$

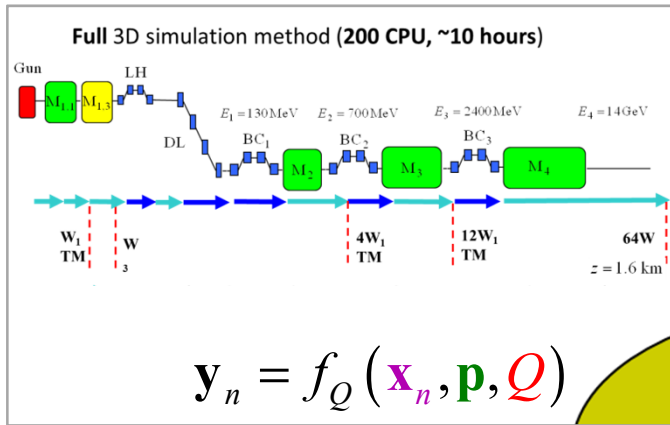
$$\mathbf{y} = f_Q(\mathbf{x}_n, \mathbf{p}, Q) + f(\mathbf{x}_{n+1}, \mathbf{p}) - f(\mathbf{x}_n, \mathbf{p})$$

fix point iteration to find LINAC parameters



4. Two Level Optimization of BC Working Point

find WP parameters of high level simulation



3D model

1D model

$$\mathbf{x}_{n+1} = g(\mathbf{y} - \mathbf{y}_n + f(\mathbf{x}_n, \mathbf{p}), \mathbf{p})$$

↑
(use low level inverse function)

scheme from

I.Zagorodnov, M.Dohlus: A Semi-Analytical Modelling of Multistage Bunch Compression with Collective Effects, Phys. Rev. STAB, 2011.

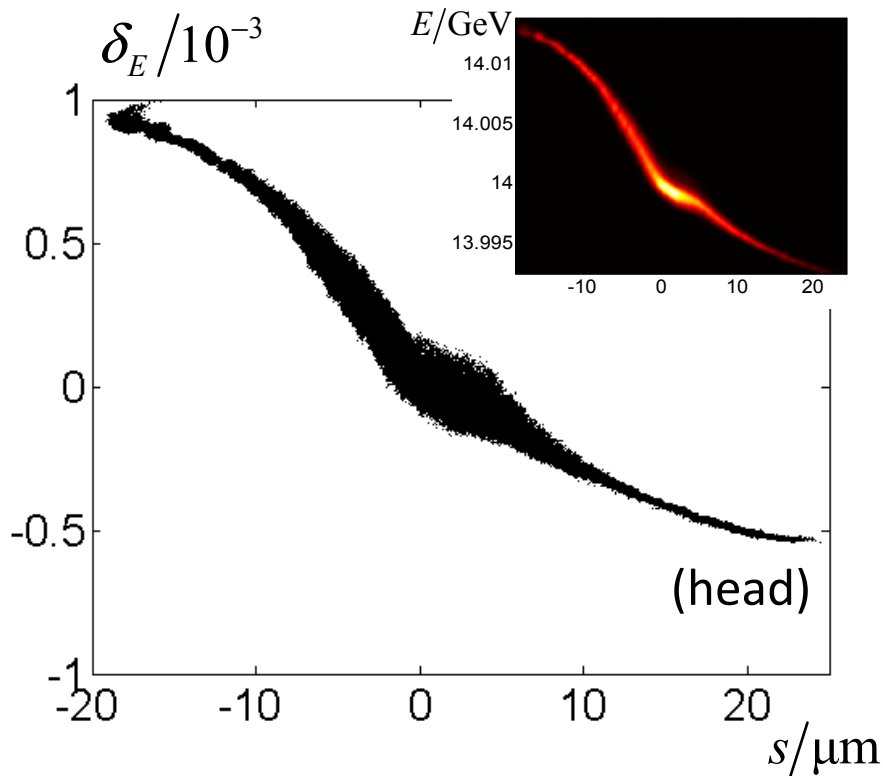


2. Example

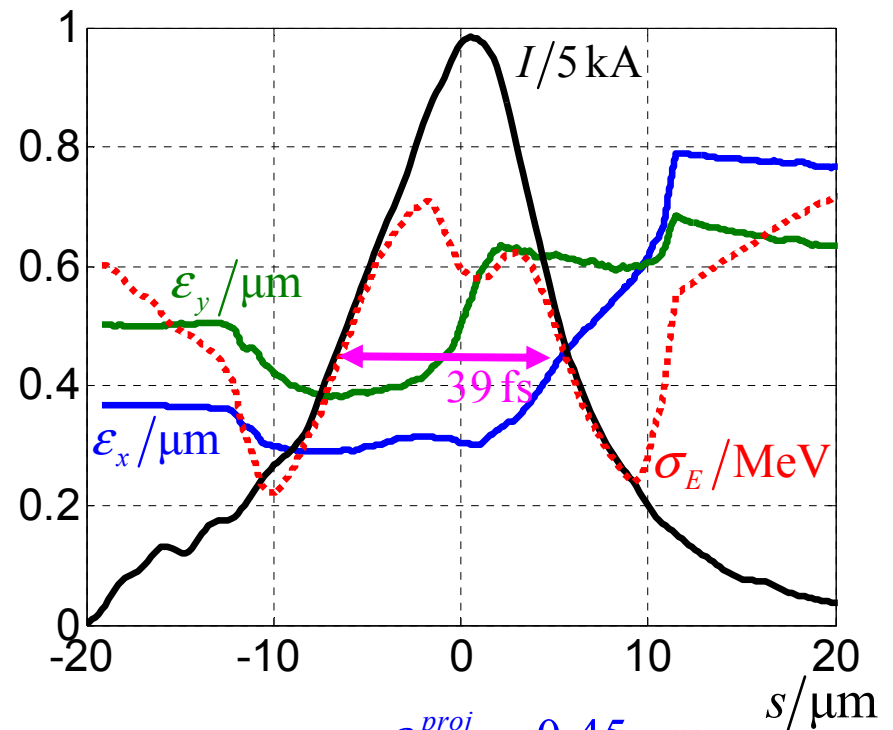
E-XFEL – BC working point

f.i. $Q = 250 \text{ pC} \rightarrow 5 \text{ kA}$ compression factor ≈ 400

longitud. phase space



current, emittance, energy spread



$$\epsilon_x^{proj} = 0.45 \mu\text{m}$$

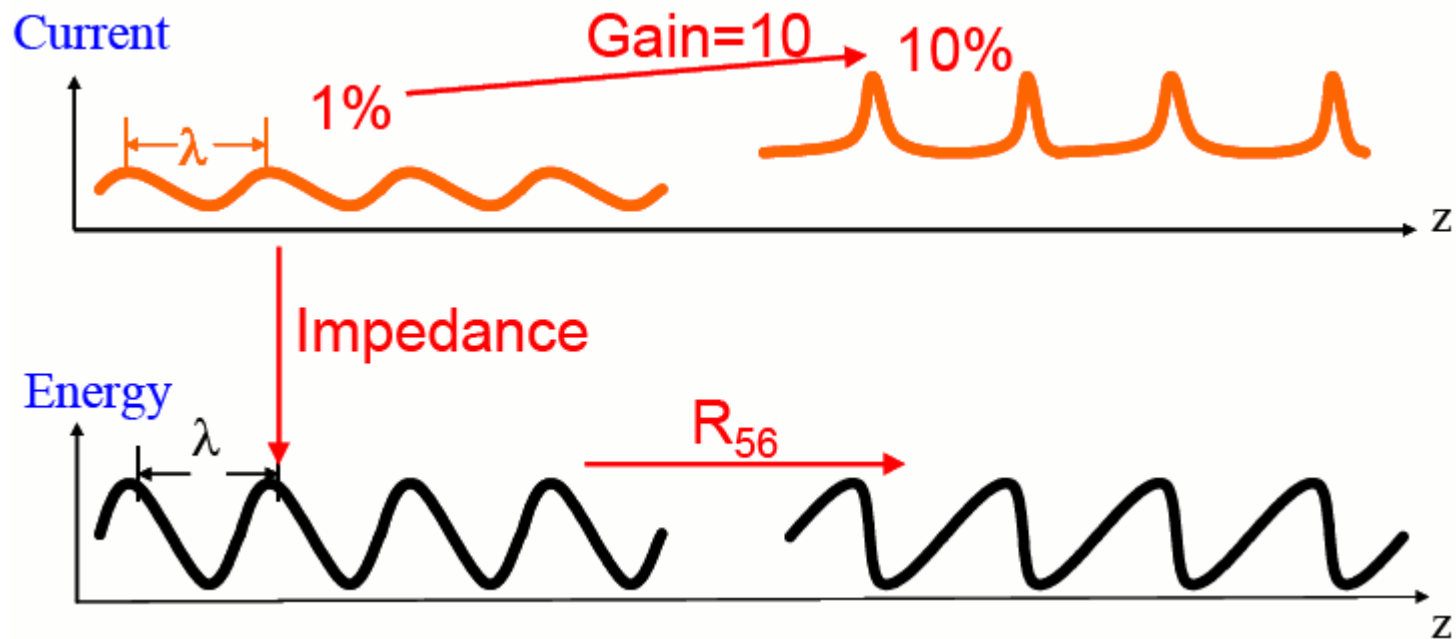
$$\epsilon_y^{proj} = 1.5 \mu\text{m}$$

from Igor Zagorodnov, DESY
1st meeting of EXFEL accelerator consortium



5. Micro-Bunching

amplification mechanism



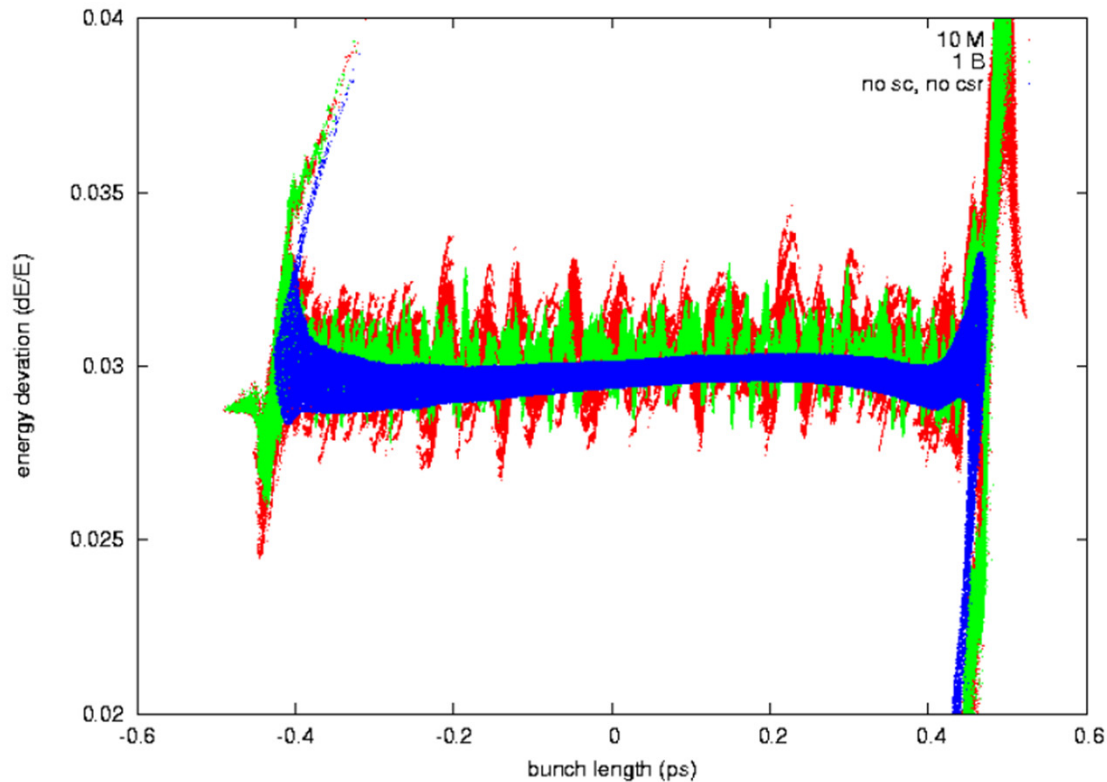


5. Micro-Bunching

"high" level

Large Scale Simulation Is Needed

Final Longitudinal Phase Space Distribution w/o SC and CSR
(Using **10M** and **1B** particles)



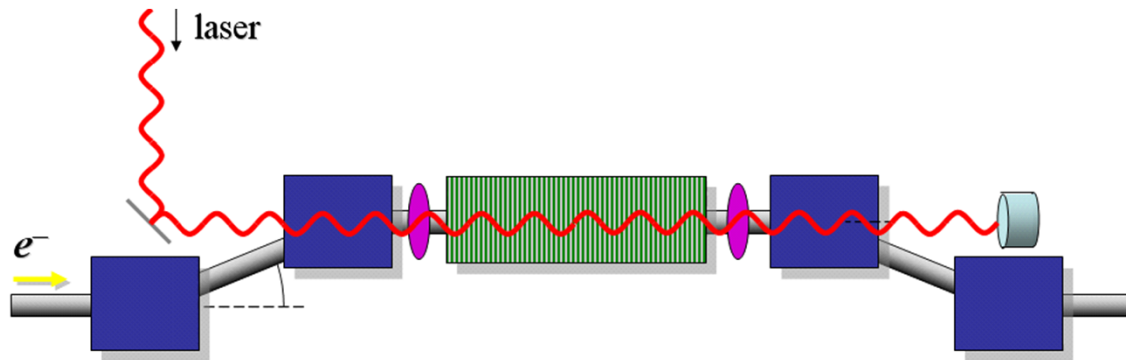
no sc, no csr
with, 1E7 particles
with, 1E9 particles



5. Micro-Bunching

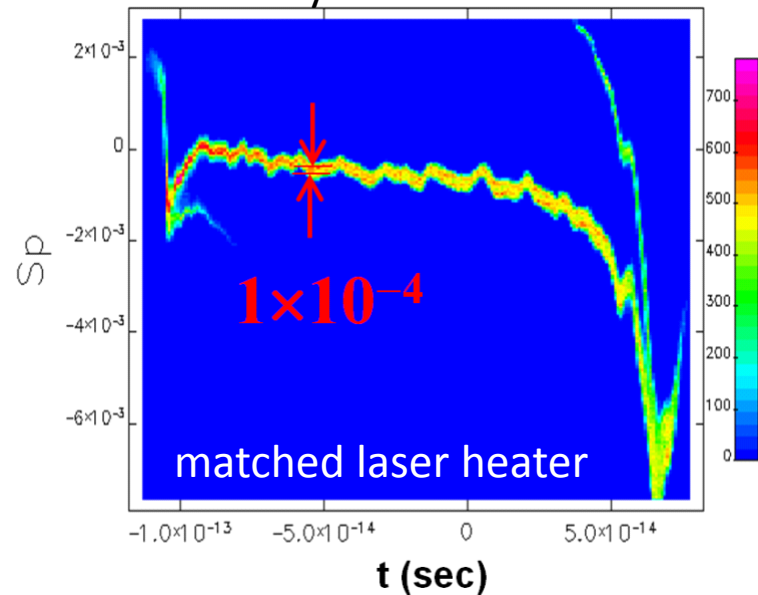
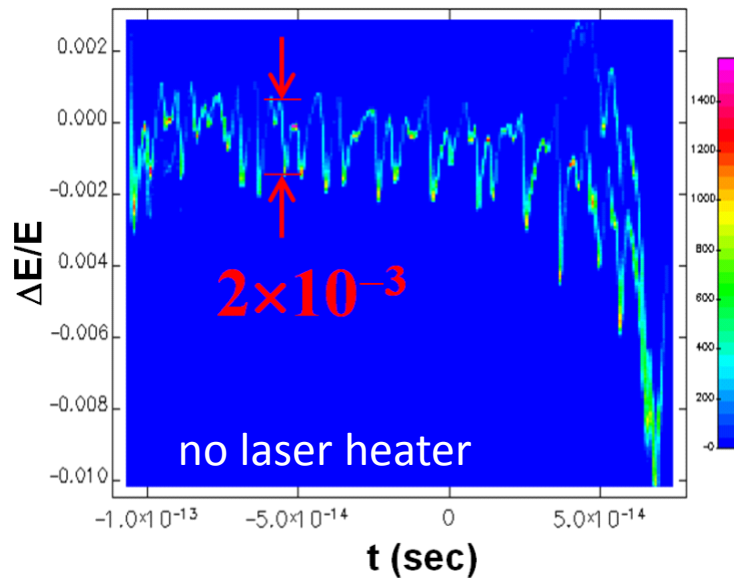
increase slice energy spread \rightarrow reduced micro-bunching

laser heater:



Example LCLS:

final long. phase space at 14 GeV for initial 8% uv laser intensity modulation at $\lambda = 150\text{nm}$



courtesy P. Emma



5. Micro-Bunching

low level: linear gain model

integral equation method:
$$G(z) = G^{(0)}(z) + \int_0^z K(z, \tilde{z}) G(\tilde{z}) d\tilde{z}$$

Heifets, Stupakov: PhysRev ST, 064401 2002
Huang, Kim: PhysRev ST, 074401 2002

$$G = \frac{\tilde{I}(z) I(0)}{I(z) \tilde{I}(0)}$$
 gain factor, amplification of **relative** modulation

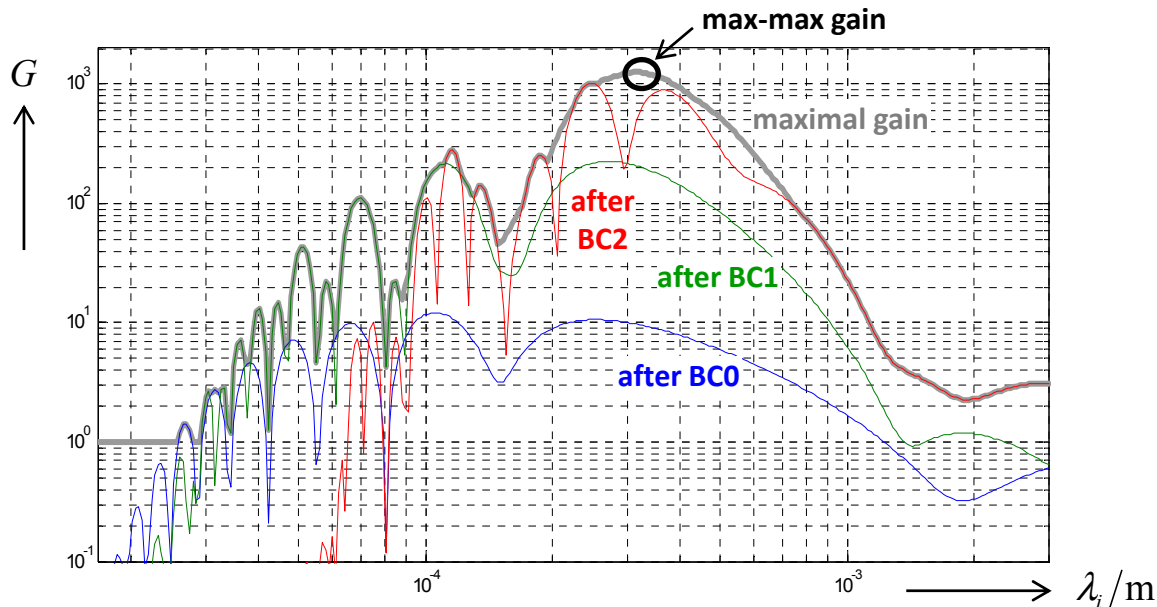
z = length along linac

λ_i = wavelength of initial density modulation

K = kernel depends on **impedance**, current, optics, rf settings,
spectrum of uncorrelated **energy spread**, ...

laser heater

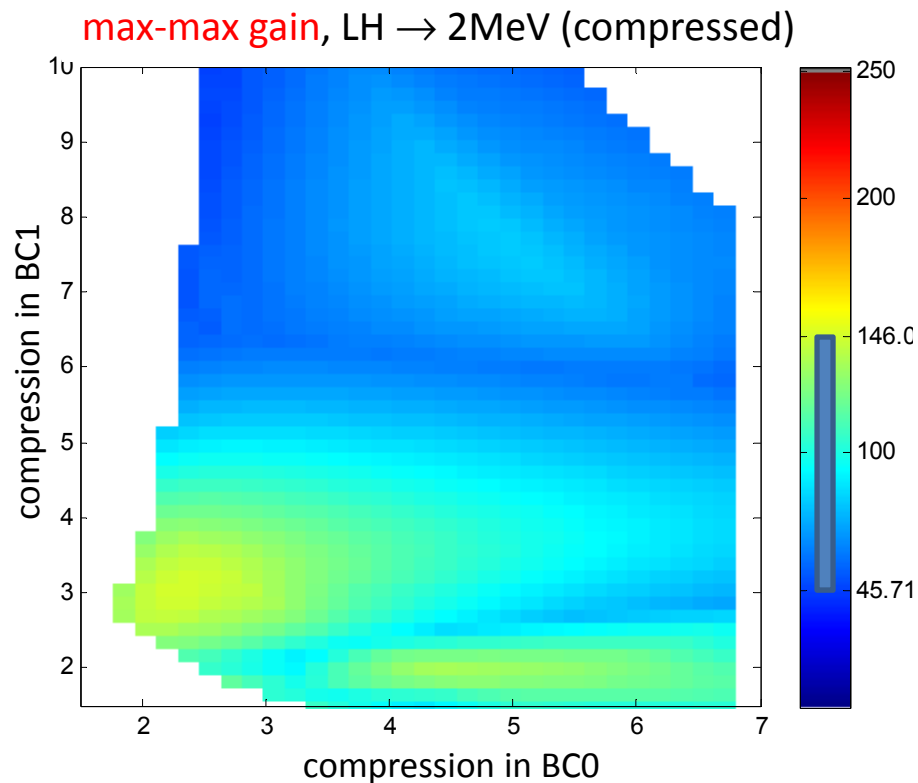
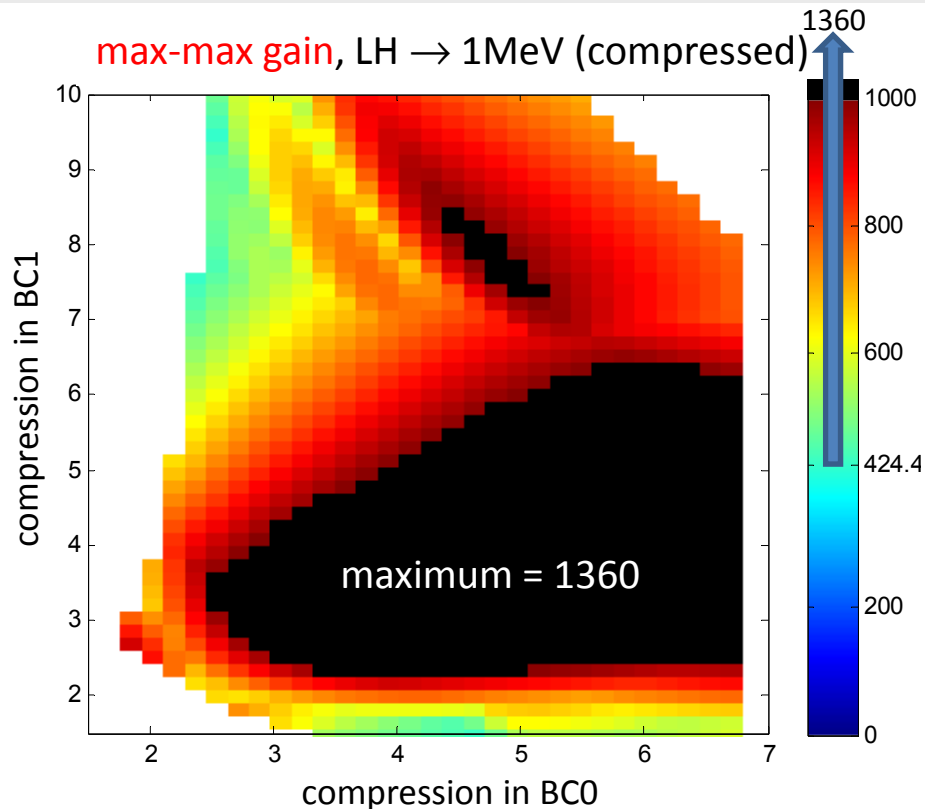
example:
E-XFEL



5. Micro-Bunching

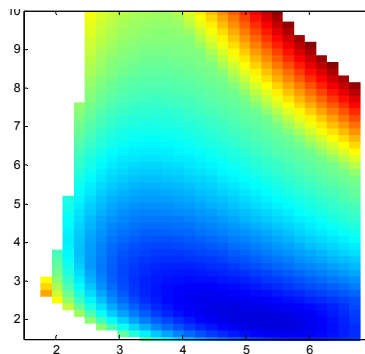
example: E-XFEL

1 nC → 5 kA, total compression = 100



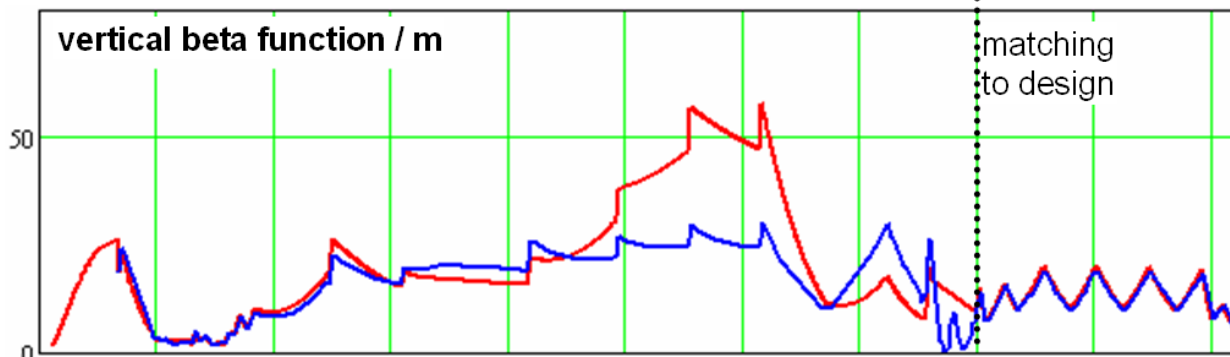
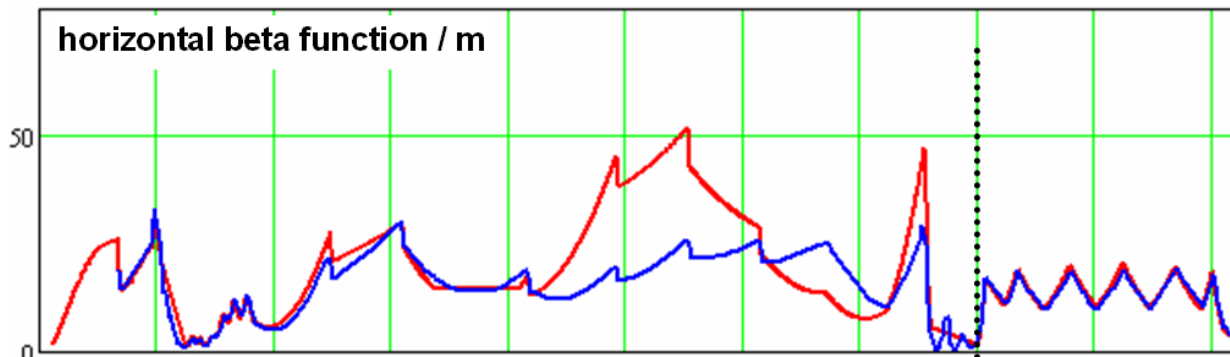
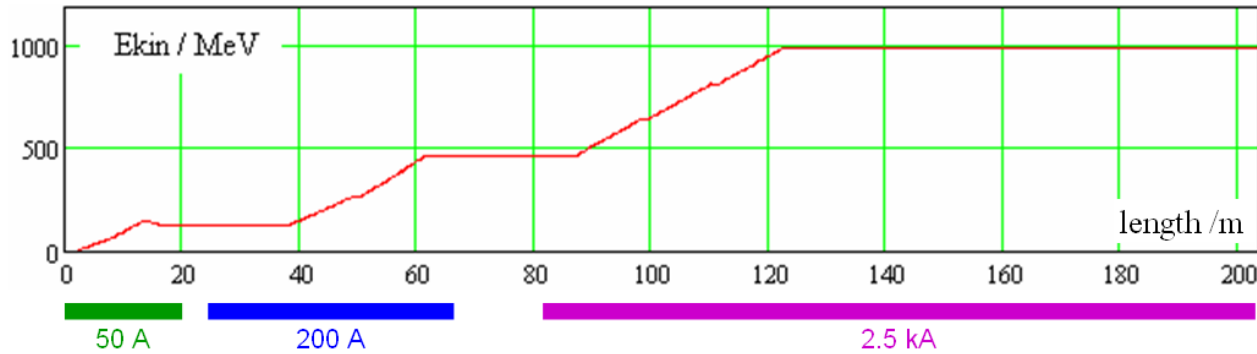
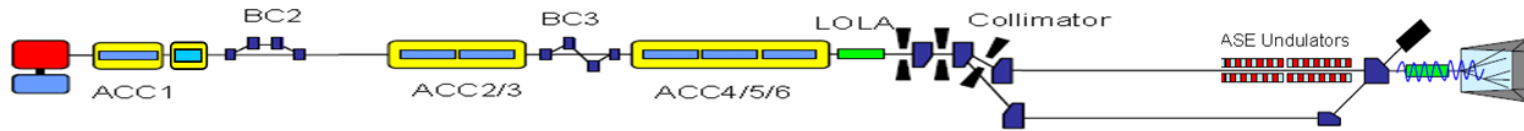
rms rf sensitivity:

$$S_{\text{rms}} = \sqrt{\sum S_n^2}$$



6. Transverse Dynamics

example: FLASH 2008

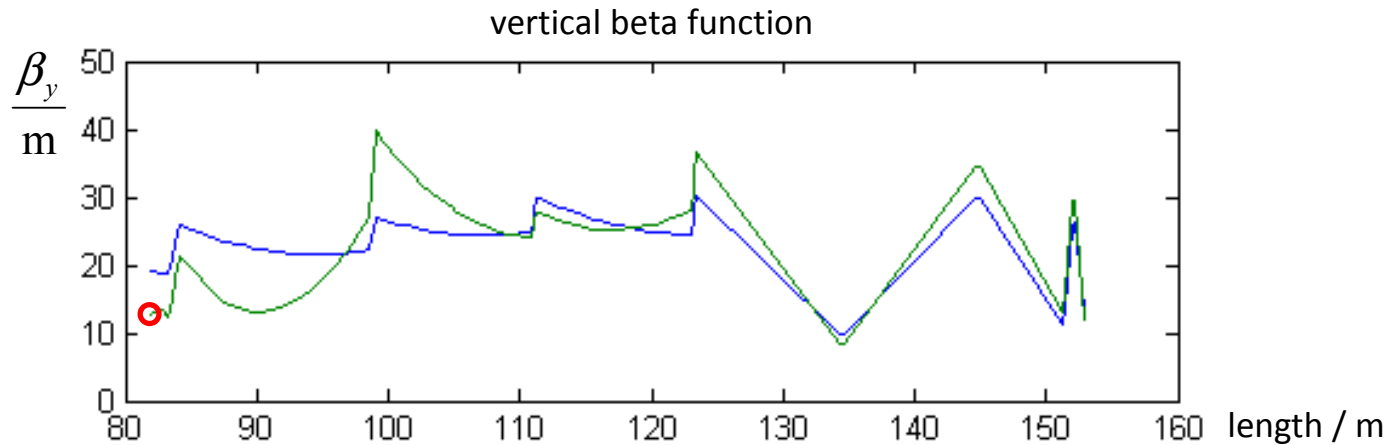
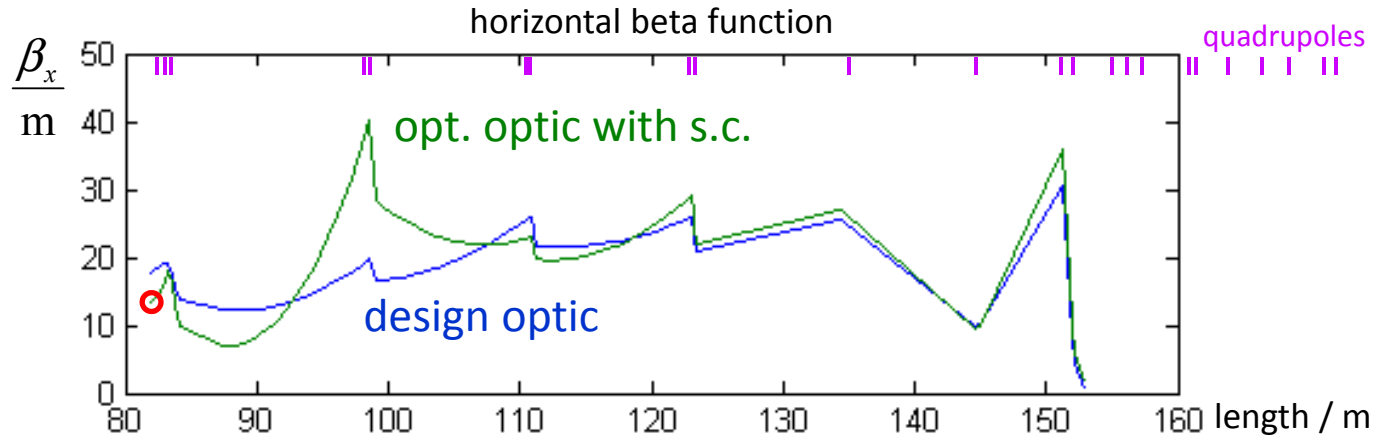


s2e calculation
ASTRA
CSRtrack 1d (3d)
design optic (2+)



6. Transverse Dynamics

optimization: change quadrupole settings to approximate design optic



optics after BC3
(s2e simulation)
deviates from design

fast optimization with low level model



6. Transverse Dynamics

a low level model

transverse EoM: $\frac{d}{dz} \begin{pmatrix} x \\ x' \end{pmatrix} = \mathbf{M} \begin{pmatrix} x \\ x' \end{pmatrix} + \begin{pmatrix} 0 \\ F_x/v_{\parallel} p_{\parallel} \end{pmatrix}$ with $\mathbf{M} = \begin{pmatrix} 0 & 1 \\ k_x & -p'_{\parallel}/p_{\parallel} \end{pmatrix}$

k_x hor. focusing strength
 F_x hor. SC force
 $v_{\parallel}, p_{\parallel}$ long. velocity, momentum

2nd order momenta: $c_{xx} = \langle x, x \rangle$ $c_{xx'} = \langle x, x' \rangle$ $c_{x'x'} = \langle x', x' \rangle$ $\mathbf{C} = \begin{pmatrix} c_{xx} & c_{xx'} \\ c_{xx'} & c_{x'x'} \end{pmatrix}$

momenta tracking: $\mathbf{C}' = \mathbf{M}\mathbf{C} + \mathbf{C}\mathbf{M} + \frac{1}{v_{\parallel} p_{\parallel}} \begin{pmatrix} 0 & \langle F_x, x \rangle \\ \langle F_x, x \rangle & 2\langle F_x, x' \rangle \end{pmatrix}$

low level momenta tracking: $\mathbf{C}' = \mathbf{M}\mathbf{C} + \mathbf{C}\mathbf{M} + \frac{f_x}{v_{\parallel} p_{\parallel}} \begin{pmatrix} 0 & c_{xx} \\ c_{xx} & 2c_{xx'} \end{pmatrix}$

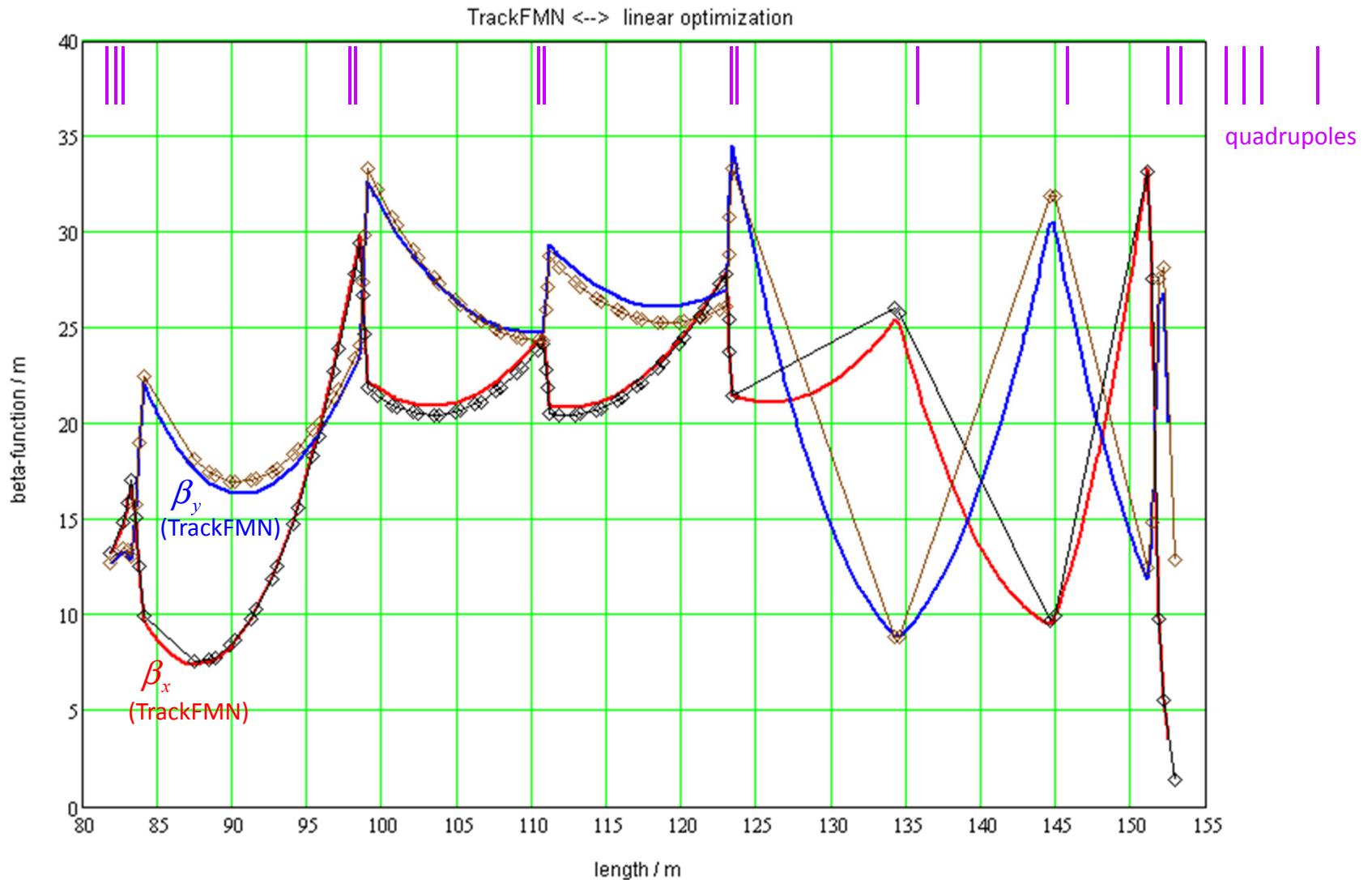
approximation „effective linear force“: $F_x \approx f_x x$ with $\langle F_x, x \rangle = f_x \langle x, x \rangle$

coefficient $f_x = f_x(I, c_{xx}, c_{yy}, \dots)$ for a gaussian distribution



6. Transverse Dynamics

comparison: “high” level (TrackFMN) with low level



red/blue = TrackFMN = tracking with xy space charge solver

brown/black diamonds = low level momenta tracking



7. Conclusion

high numerical effort for “high level” physical models

even these models are not gauged (to the very end),
but they are successful

also much simpler models (low level) are successful

optimization and tuning:

complicated goal because of difficult tradeoff between
different effects

with self effects:

tuning of BC working point and optics is necessary

both is feasible with multi level computations

