Large Aperture X-ray Monitors for Beam Profile Diagnostics

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 - Emittance, Storage rings, Ultimate Storage Rings
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 - URA, MURA, etc, masks
 - Zone Plates
- CRL
- Comparison with other profile measurement systems





Emittance, Storage rings, Ultimate Storage Rings
 – Emittance trend reduces over the SR generations,...







Id

- Emittance, Storage rings, Ultimate Storage Rings
 - Emittance trend reduces over the SR generations, and so the beam size

Id

- Bending Magnet source properties
 - Quasi-homogeneous X-ray source
 - Non-stationary (pulsed)
- Known solution of the source expression and of the spectral degree of coherence
- Behaves like a laser beam in vertical plane and like a infinite diverging source in the horizontal plane
- For X-ray beam, photon beam emittance much larger than the wavelength: geometrical optics approach can be used

Geloni *et al*, Statistical Optics approach to the design of beamlines for Synchrotron Radiation arXiv:physics/0603269

- Undulator Source properties
 - Quasi-homogeneous source (incoherent) provided $\hat{N} = \frac{\sigma_{x,y}^2 \omega}{cL_w} \gg 1$ and $\hat{D} = \frac{\sigma_{x',y'}^2 \omega L_w}{c} \gg 1$

Non-homogeneous source (partially coherent)

$$\hat{N} = \frac{\sigma_{x,y}^2 \omega}{c L_w} \simeq 1 \text{ and } \hat{D} = \frac{\sigma_{x',y'}^2 \omega L_w}{c} \simeq 1$$

Non-homogeneous source (coherent)

$$\hat{N} = \frac{\sigma_{x,y}^2 \omega}{cL_w} \ll 1 \text{ and } \hat{D} = \frac{\sigma_{x',y'}^2 \omega L_w}{c} \ll 1$$

Jon-stationary (pulsed)

Geloni *et al*, Statistical Optics approach to the design of beamlines for Synchrotron Radiation arXiv:physics/0603269

- Undulator Source properties
 - Intensity profile: laser beam like with opening angle: $\theta_{u,n} = \sqrt{\frac{\lambda_n}{2L_u}}$

- Flux:
$$\frac{d\Phi_n}{d\Omega}_{[Photons/s/0.1\%/mrad^2]} = 1.744\,10^{14}N_w^2 E_{[GeV]}^2 I_{[A]}F_n(K)$$

• Diamond U23 with N=90, 3rd Harmonic at 10keV

- $-\Phi_{\rm n} \approx 10^{18}$ Photons/s/0.1%bw
- $\theta_{u,3} \approx 5 \mu rad$

• Large Aperture definition

Imaging device that has a numerical aperture comparable or larger than the X-ray beam numerical aperture

Image

Image: 2D Fourier Transform, scaled source

$$I(x,y) = \frac{1}{(\lambda z)^2} \int_{-\infty}^{-\infty} \int_{-\infty}^{-\infty} \widetilde{P}(\Delta \xi, \Delta \eta) \Gamma_i(\Delta \xi, \Delta \eta) e^{i\frac{2\pi}{\lambda z}(x\Delta \xi + y\Delta \eta)} d\Delta \xi \, d\Delta \eta$$

Fourier transform of the pupil autocorrelation

$$\widetilde{P}(\Delta\xi,\Delta\eta) = \frac{1}{(\lambda_z)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\bar{\xi} - \frac{\Delta\xi}{2}, \bar{\eta} - \frac{\Delta\eta}{2}) P^*(\bar{\xi} + \frac{\Delta\xi}{2}, \bar{\eta} + \frac{\Delta\eta}{2}) e^{i\frac{2\pi}{\lambda_z}(x\Delta\xi + y\Delta\eta)} d\Delta\xi \, d\Delta\eta$$

 Γ_i Mutual Coherence in front of the lens

Image: Incoherent imaging and Deconvolution

Deconvolution and Noise Limitation

• Major Problem: <u>Noise</u> in the image

$$g(x,y) = h(x,y) \otimes o(x,y) + n(x,y)$$

in the Fourier space:

$$G(\nu,\eta) = H(\nu,\eta) O(\nu,\eta) + N(\nu,\eta)$$

- Solution: introduce a noise filter in the deconvolution algorithm
 - Example: Wiener filter:
 - » the algorithm seek an estimate o(x,y) that minimises the statistical error function $|g F^{-1}(G/H + N)|^2$ and in which a low pass filter is introduced
- Other solution: iterative nonlinear algorithm
 - Example Lucy-Richardson:
 - » based on maximum-likelihood formulation in which the image is modelled with Poisson statistics
 - Rapid convergence to the real solution:
 - G. Zech, doi http://dx.doi.org/10.1016/j.nima.2013.03.026

• Vertical emittance $\epsilon \approx 1.3$ pm.rad, $\sigma \approx 5.5~\mu m$

Coded Aperture and Pinhole Camera Imaging

Courtesy of A. Haboub

Decoded Image

umerous Coded Aperture Patterns

Courtesy of A. Haboub

Coded aperture imaging simulation

Courtesy of A. Haboub

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For cosmic rays, Coded aperture imaging using NTHT works with sindiamond convolution because the coded image and NTHT pattern has the same size.

Coded Aperture Measurement Principles

• Coded Aperture Imaging:

R.H. Dicke, Astrophys. Journ., 153, L101, (1968).

- Technique developed by x-ray astronomers using a mask to modulate incoming light. Resulting image must be deconvolved through mask response (including diffraction and spectral width) to reconstruct object.
- Open aperture of 50% gives high flux throughput for bunch-bybunch measurements. Heat-sensitive and flux-limiting monochromator not needed.
 - We need such a wide aperture, wide spectrum technique for shot-byshot (single bunch, single turn) measurements.
- URA (Uniformly Redundant Array) mask
 - Pseudo-random pattern gives relatively flat spatial frequency response.
 - In noiseless, geometric limit, detector image can in principle be inverted directly to give source profile
 - Unfortunately, we don't operate in that limit.
 - Need something like recursive or template fitting.
 - In this talk will discuss latter approach.

E.E. Fenimore and T.M. Cannon, Appl. Optics, V17, No. 3, p. 337 (1978).

What the detector sees

intensity

Normal i zed

Source SR wavefront amplitudes:

where

K.J. Kim, AIP Conf. Proc. 184 (1989) J.D. Jackson, "Classical Electrodynamics," (Second Edition), John Wiley & Sons, New York (1975)

 $\eta = \frac{1}{2} \frac{\omega}{\omega_c} \left(1 + X^2 \right)^{3/2},$ •Kirchhoff integral over mask (+ detector response) \rightarrow Detected pattern: $A_{\sigma,\pi}(Detector) = \frac{iA_{\sigma,\pi}(Source)}{2} \times$ $\int_{mask} \frac{t(y_m)}{r_1 r_2} e^{i\frac{2\pi}{\lambda}(r_1+r_2)} \left(\frac{\cos\theta_1 + \cos\theta_2}{2}\right) dy_m$

Measured slow-scan detector image (red) at CesrTA, used to validate simulation (blue)

- $t(y_m)$ is complex transmission of mask element at y_m . Sum intensities of each polarization and wavelength component.
- Sum weighted set of detector images from point sources.
 - The source beam is considered to be a vertical distribution of point sources.

 $X = \gamma \psi,$

- Can also be applied to sources with non-zero angular dispersion and longitudinal extent, for more accurate simulation of emittance and source-depth effects.
- For machines under consideration here these effects are small, so for computational . restrict ourselves to 1-D vertical distributions.

Measurements at CesrTA

μ m, 31-element CA mask @ D Line 2 GeV

Data Analysis

- 1) Simulate point response functions (PRFs) from various source positions to detector, taking into account beam spectrum, attenuations and phase shifts of mask and beamline materials, and detector response.
- 2) Add PRFs, weighted to possible proposed beam distributions.
- 3) Find best fit to detector data.

Simulated detector image for various beam sizes at CesrTA

Electron-cloud study data

- Study of how of electron clouds change bunch-bybunch beam sizes along a train.
- Beam sizes down to ~10 µm have been measured at CesrTA.

Coded Aperture tests at ATF2

47-element, 5 $\mu\text{m}/\text{element}$ URA mask @ ATF2

- Fresnel Zone Plate:
 - Construction:
 - Alternating zones from opaque to transparent with their radii r_n as: $r_n^2 = n \lambda f + n^2 \lambda^2 / 4$
 - Resolution:
 - $R = 1.22 \Delta r_N$

Fraction of power focussed (classical expression based on 100% absorption and 100% transmission):

• $E = 1/(q \pi)^2$, q = 1,2,3, etc. and E = 0.25 for q=0

- First order max diffraction efficiency: 10.3%

- Performance of FZP
 - Improved performance by the choice of material and also manufacture process
 - Resolution: Δr outer rings radii difference
 - Depth of field: $1 / NA^2$
 - Efficiency: material and thickness
 - Aberrations: imperfection of the lens, NA

Construction tolerance:

- resolution and efficiency: high aspect ratio

• FZP diffraction efficiency for Au, taking into account phase effects of the absorbing material

$$E = \left(\frac{1}{\pi q}\right)^2 \left(1 + e^{-4\pi \frac{T\beta}{\lambda}} - 2e^{-2\pi \frac{T\beta}{\lambda}} \cos 2\pi \frac{T\delta}{\lambda}\right)$$

Syue-Ren Wu *et al* Materials 2012, 5, 1752-1773; doi:10.3390/ma5101752

- Examples of FZP with X-ray beam
 - Beam size measurement: KEK, Spring8, etc
 - Achieved measured beam size, ATF-KEK: 4µm
 - Beam focussed for X-ray experiment
 - Achieved image beam size: 20nm

Fresnel Zone Plates

Fresnel zone plate on Si₃N₄ membrane

SEM image of Fresnel zone plate

Fresnel Zone Plate Modeling: Zone-doubling

Fresnel Zone Plate Modeling: Zone-doubling

Diffraction Efficiency for an Ir-SiO₂ zone-doubled FZP **Focal Spot Profiles** $(\Delta r = 25 \text{ nm})$ at 6.2 keV photon energy FZP, D = 100 μ m, Δ r = 20 nm, h = 600 nm Material Height, h, [nm] 0.20 1500 normalized intensity 1.0 1st order, Ir FZP with Central Stop 1400 1st order, Ir-HSQ FZP with Central Stop 0.18 1300 0.8 1200 0.16 1100 0.14 1000 0.6 0.12 900 800 0.10 700 0.4 600 0.08 500 0.06 400 0.2 300 0.04 200 0.02 100 0.0 20 60 40 80 0 0 0 25 0 5 10 15 20 30 35 vertical axis, [nm] Sidewall Material Thickness, w, [nm] diamond

Nanofabrication techniques transferred to ANL-CNM from the Paul Scherrer Institut (Switzerland)

Au electroplating

Zone-doubling technique

Au FZP for 8-12 keV photon energy

FZPs for sub 100-nm focusing

Au FZP, D = 100 um, dr = 100 nm, t ~ 900 nm

Courtesy of Dr. J. Vila-Comamala

20 nm Ir lines / 40 nm period d after Ir ALD

200nm

Mag = 75.00 K X [WD = 10 mm S

EHT = 10.00 kV Signal A = SE2 User Name = VILA Stage at T = 45.0 ° Date :23 Oct 2010 Time :16:03:21

Expected spatial resolution

<u>Features</u>	
1) High spatial resolu	tion (<1 μ m)
2) Non-destructive m	easurement
3) 2-dimentional (x,y) beam profiling
4) Real time beam pr	ofile
mesurement (<1ms	5)
1111	

Parameters	Definition	Resolution(1σ)			
Diffraction limit (3.235keV)	$\lambda/4\pi\sigma SR$	0.24 [µm]			
CZP ($\Delta r_N = 116nm$)	σCZP / MCZP	0.55 [µm]			
MZP ($\Delta r_N = 124$ nm)	σMZP/(MCZP × MMZP)	0.002 [µm]			
$\begin{array}{c} \text{CCD (1} \\ \text{pixel}=24\mu\text{m} \times 24\mu\text{m}) \end{array}$	σCCD / (MCZP × MMZP)	0.35 [µm]			
Total		0.7 [μm]			

Courtesy of Dr. H. Sakai

Results

Recently , by removing the vibration source come from air compressor near Si monochromator, 100Hz vibration disappeared and less than 4um vertical beam size was measured.

CRL Lenses

• Principle:

– Focal lens depends on material and wavelength λ :

$$F = \frac{R}{2N\delta}$$
 $\delta = \frac{r_0}{2\pi}\lambda^2 N_{at}\frac{mZ}{a^3}$

- Absorption: depends on material

low Z material makes low absorption

high Z material makes short focal length

Microscope images

phase contrast imaging

Measure and computed lens aperture

Tra

ESRF

RWTH Aachen

- Resolution:
 - PSF from 'perfect aperture' calculated from absorption measurement

• Beamline I11 (Diamond)

Stack of 20 lenses

X-ray beam

diamond

Other Beam Profile Diagnostics

- Visible light, quasi-homogeneous source:
 - interferometer: 4.7 μm vertical beam size (T. Mitsuhashi ATF KEK)
 - π-polarisation: 3.5 µm vertical beam size (V. Schlott-PSI)
- X-ray pinhole camera:
 - $5 \mu m$, (C Thomas Diamond)

	Monochromatic	BM Resolution	Trans. Flux	Cost
CRL	Yes	Yes	Al ~80% of the illuminated aperture	+++
FZP	Yes	Yes	Max 25% of the illuminated aperture	+++
MURA	No	Yes/No	50% of the illuminated aperture	++
Pinhole camera	No	No	Fraction of the total flux	+
interferometer	Yes	Yes	Fraction of the total flux	+
				Nomeib 🎨

Concluding remarks

- Sources reaching micron size in near future:
 - need to take into account the coherence of the source and more sophisticated model is needed to design profile monitors.
- Resolution of large aperture X-ray profile monitors can reach the natural resolution limit from the beam
 - Deconvolution can help to retrieve the real source profile: example of the X-ray pinhole showed that very small source size can be imaged with rather large aperture, assuming an accurate model of the PSF
- MURA etc., offer micron size resolution and high sensitivity, but processing of the image is required
- FZP and CRL have probably the best resolution, but they are not very sensitive absorption or low efficiency, but also need monochromatic beam
 - Monochromatic beam imposes additional cost and effort for commissioning and operation
- Other possible profile measurement may be considered and compared to in terms of resolution, cost, commissioning, operation and maintenance

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 - Dr. Joan Vila-Comamala (Diamond)
 - Dr. Abdelmoula Haboub, Dr. Dula Parkinson (LBNL)
 - Dr. Gianluca Geloni (DESY)

Back up Slides

X-ray Pinhole PSF

• Pinhole PSF in the near field:

- Fresnel diffraction integral
- Weighted sum over the power spectrum in the screen
- Convolve the PSF with the camera PSF

PSF of the X-ray pinholes

- Case of Diamond: Diagnostics Pinholes 1
- PSF for several square apertures

PSF of the X-ray pinholes

- Case of Diamond: Diagnostics Pinholes 1
- PSF for several circular apertures

• Beam size measured on raw images and on deconvolved images of both pinholes, for the same K

- Vertical Emittance:
 - Twiss parameters at source position from LOCO by interpolation
 - Dispersion measured at the source

- Note:
 - > if $\varepsilon_{x,y} \gg \lambda/2\pi$: Statistical Optics source description coincide with Geometrical Optics

> This condition is necessary but not sufficient

Condition sufficient:

 $\succ C = \max([2\pi \varepsilon \beta/(L_f \lambda)], 1) \cdot \max([2\pi \varepsilon L_f/(\beta \lambda)], 1) \gg 1$

• Lf for Bending Magnet: $L_f = (\frac{\lambda \rho}{2\pi})^{\frac{1}{3}}$

for Diamond BM: C

$\boldsymbol{\varepsilon}_{v}$ (pm.rad)	λ=500nm	λ=40pm
10	1	88.10 ³
1	1	8.8 . 10 ³

Kinoform lenses

• Experimental results

Focal length = 150 mm Aperture & lens height = 280 um x 60 um Focused beam size: 225 nm fwhm

• Lucia Alianelli et al, J. Synchrotron Rad. 16 325 (2009)

- Manoj Tiwari et al, J. Synchrotron Rad. 17 237 (2010)
- Lucia Alianelli et al, J. of Applied Physics 108 123107 (2010)
- Lucia Alianelli et al, Optics Express 19 11120 (2011)

RayTracing used to simulate Coded Aperture

Courtesy of A. Haboub

To deal with magnification and Field of view issues

• Coded Apertures:

. . .

- grids, gratings, or other patterns of materials opaque to various wavelengths of light. By blocking and unblocking light in a known pattern, a coded "shadow" is cast upon a plane of detectors.
 - Using computer algorithms, properties of the original light source can be deduced from the shadow on the detectors.
- Examples of coded apertures:
 - Uniformly Redundant Array (URA)
 - Optimized RAndom pattern (ORA)
 - Hexagonal URA (HURA)
 - Modified Uniformly Redundant Array (MURA)
 - Fresnel Zone Plate (FZP)

• URA, MURA, HURA, etc.

all URAs are related by the fact that they can be constructed from pseudo-noise (PN) sequences. (A PN sequence is a special type of binary sequence with a two-valued periodic autocorrelation function

Definition of an URA (Fenimore et al., APPLIED OPTICS, 17-3, p.337)

A is a $r \times s$ array with r,s prime numbers and r-s=2

$$A(i,j) = \begin{cases} 0, & \text{if } i = 0\\ 1, & \text{if } j = 0, i \neq 0\\ 1, & \text{if } Q_r(i)Q_r(j) = 1 \end{cases}$$

where the quadratric residue Q_r is:

 $r(p) = \begin{cases} 1, & \text{if there exists an integer } x, 1 \le x < r \\ & \text{such that } p = mod_r \left(x^2\right) \\ & \text{otherwise} \end{cases}$

• URA, MURA, HURA, ORA, etc.

 $I(x,y) = S(x,y) \otimes A(x,y) + N(x,y)$

Shot resolution estimation

- Want to know, what is the chance that a beam of a certain size is misfit as one of a different size?
- Tend to be photon statistics limited. (Thus coded aperture.)
- So:
 - Calculate simulated detector images for beams of different sizes
 - "Fit" images pairwise against each other:
 - One image represents true beam size, one the measured beam size
 - Calculate χ^2/ν residuals differences between images:

N = # pixels/channels n = # fit parameters (=1, normalization) S_i = expected number of photons in channel *i* $\frac{\chi^2}{\upsilon} = \frac{1}{N - n - 1} \sum_{i=1}^{N} \frac{[s'_i - s_i]^2}{\sigma_i^2},$

• Weighting function for channel i:

 $\sigma_i = \sqrt{s_i}.$

- Value of χ^2/ν that corresponds to a confidence interval of 68% is chosen to represent the 1-s confidence interval **diamond**

Coded Aperture tests at ATF2

Used dispersion knob to change beam size →Measured beams of 7.5 um or less with scanned-pixel (not single-shot) measurements

Why URA mask?

- Advantage over simple pinhole/slit:
 - Greater open aperture for single-shot measurements
 - Somewhat better resolution
 - Get some peak-valley ratios that help at smaller beam sizes.
 - Make use of more of the detector
- What about a simple equal-spaced array of pinholes/slits?
 - Flatter spatial frequency response
 - Better chance of reconstructing shape
 - Unique position determination (non-repeating pattern)
 - On the other hand, an equal-spaced array can offer tuned resolution over a narrower range of sizes
 - Array may be suitable for a very stable machine, such as a light source.
- For instability studies (e-cloud, e.g.) or other machine studies, or for a luminosity machine which is always running at the limit of stability, a URA mask promises reasonable performance over a range of bunch conditions.
- However, depending on the beam characteristics and measurements desired, URA is not necessarily the optimal pattern for a given measurement problem.

Other coded aperture patterns also currently under investigation

BERKELEY LAB Lavrence Berkeley National Laboratory

Concept of Coded Aperture Imaging

(1)

Courtesy of A. Haboub

- Coded aperture imaging allow lensless imaging at high photon energies and larger FOVs.
- Coded aperture imaging with fluorescent x-ray source, and an energy resolving CCD can yield to 3D elemental map of the sample.
- The detector response is

 I(i,j) = O(i,j) ⊗ A(i,j)

 Image reconstruction through post-processing

$$O'(i, j) = I(i, j) \otimes \tilde{A}(i, j)$$
(2)

$$A(i, j) \otimes \tilde{A}(i, j) = \delta$$
(3)
Magnification >1

Kinoform lenses

Schematic of a kinoform lens before (a) and after removal of redundant 2π phaseshifting material (b). SEM micrograph of a Si kinoform lens depicting important parameters (c). Focusing action of a kinoform lens (d).

Au FZP for 8-12 keV photon energy

FZPs for sub 100-nm focusing

- 101		1.15.7	1 14/10			Second second second					1.15.7		Inclusion of the second second second		Contraction of the local	1.1000.0.0	
20	l tilt	I HV		i mad 🆽	det	mode	HEVV	→ 30 µm →	200	tilt	I HV I	VVD	i mad 🎛	det	mode	I HEVV	⊷ 2 µm
302									20%		the second distribution of the second	and the second second					
0.8	52 0	10 00 11/	53 mm	1 200 v	ETD	SE	107 um		12.5	50°	10 00 10/	53 mm	20 000 V	ETD	CE	6 10 um	ANIL ONIM
	102	10.00 KV	0.011111	1 200 X			τοεμπι			52	10.00 KV	0.011111	20 000 X			10.40 µm	

Au FZP, D = 100 um, dr = 100 nm, t ~ 900 nm

Au FZP for 8-12 keV photon energy

FZPs for sub 100-nm focusing

Au FZP, D = 100 um, dr = 80 nm, t ~ 900 nm

Au FZP for 8-12 keV photon energy

FZPs for sub 100-nm focusing

Au FZP, D = 100 um, dr = 60 nm, t ~ 1100 nm

Ir-HSQ Fresnel Zone Plates for Hard X-rays made at PSI (6—10 keV)

Diameter, D = 150 and $100 \ \mu m$

Outermost zone width, dr = 20

Zone thickness, t ~ 500-600 nm

J. Vila-Comamala et al., Nanotechnology (2010)

J. Vila-Comamala et al., Optics Express (2011)

