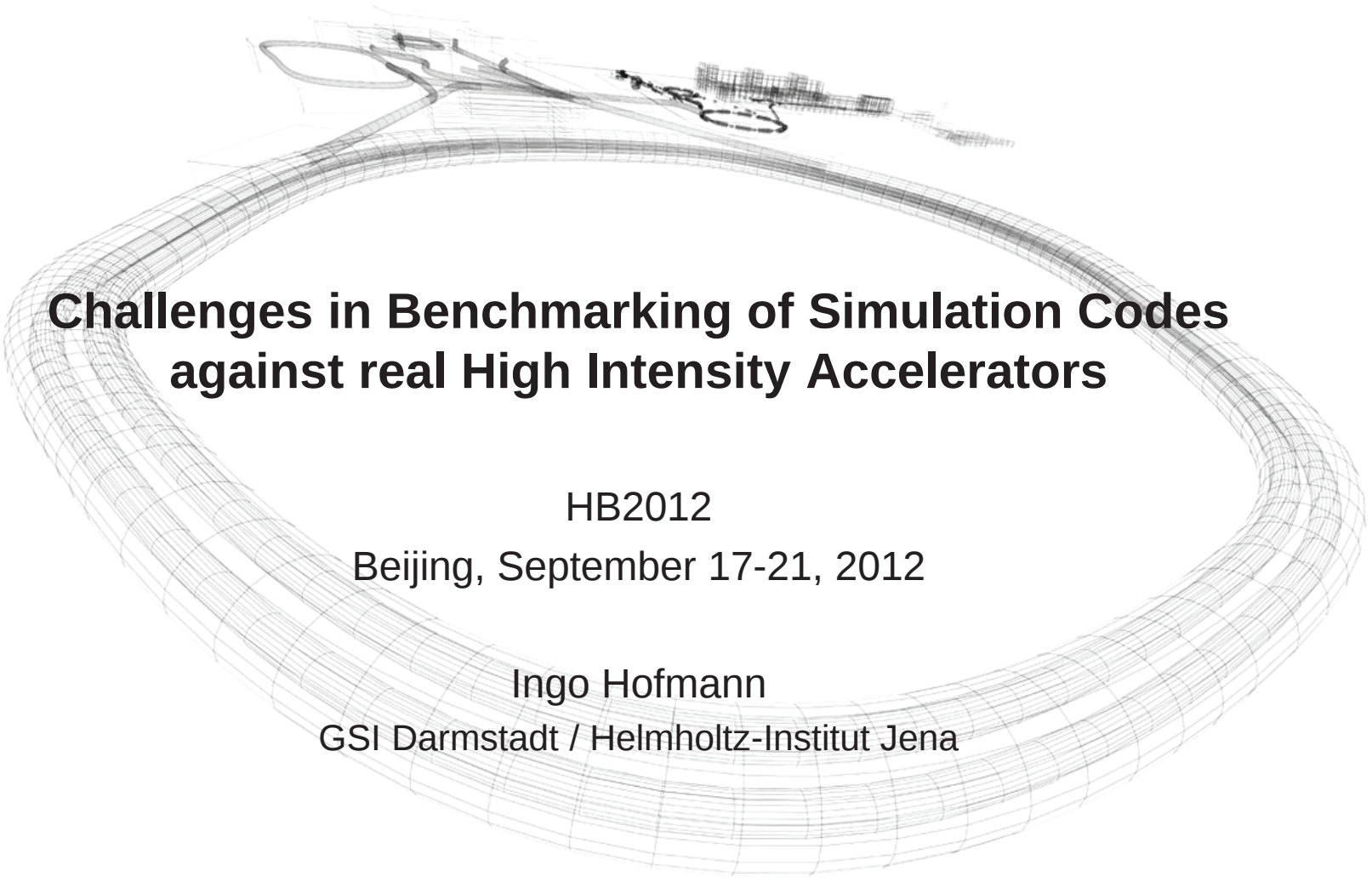


A large, complex wireframe model of a particle accelerator ring, likely the Large Hadron Collider, is shown in a perspective view. The ring is composed of many interconnected segments, forming a large, irregular loop. The background is a light blue gradient with faint, abstract patterns.

# **Challenges in Benchmarking of Simulation Codes against real High Intensity Accelerators**

HB2012

Beijing, September 17-21, 2012

Ingo Hofmann

GSI Darmstadt / Helmholtz-Institut Jena

# Overview

- What is benchmarking?
  - Verification - Validation
- Major linac benchmarking campaigns of ~ last decade
  - enhanced confidence in codes
  - limitations - challenges
- Ring benchmarking campaigns
  - gaps between codes and machines
  - challenges for future steps
- Some conclusions

# Brief history of Particle-in-Cell Codes

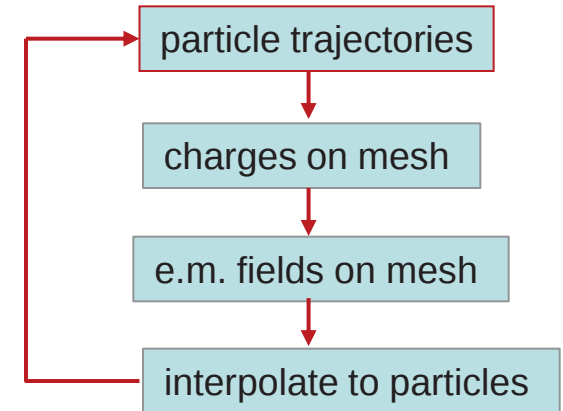
primary technique used in high intensity accelerators

## Particle-in-Cell (PIC) Development

- ❑ > 1960's developed for **fluid dynamics, plasma physics, magneto-hydrodynamics** (Buneman, Dawson, Hockney, Birdsall, Morse and others)
- ❑ > 1970's common in **fusion laboratories**
- ❑ **challenges have been short-wavelength fluctuations in density and e.m. fields - scale lengths  $\gg$  Debye screening length**
- ❑ today largest PIC  $10^{10}$  particles  $10^5$  processors

## PIC in Accelerators – 15-20 years delay

- ❑ > 1970's single particle dynamics
- ❑ binary Coulomb interaction starting
- ❑ ~1980 first PIC started in some labs
- ❑ in 1990's transition to PIC nearly everywhere
- ❑ **Challenges quite different from fluid or plasma PIC – bunch size:  $a < \text{or} \sim \text{Debye screening length } (\lambda_D/a \sim k/k_0 \text{ for } k/k_0 \ll 1)$**
- ❑ other methods disappearing or only for very special situations
  - o binary interaction – crystalline beams (some linac codes like DYNAMION)
  - o direct Vlasov solvers (1D or 2D)
  - o ...
- ❑ Benchmarking challenge started late 1990's with SNS ...



### PIC advantage:

→ calculation time  $\sim N \log N$  instead of  $N^2$  (for binary interactions)

# "Code Benchmarking" – a widespread problem

The benchmarking dilemma:

*(from M. Greenwald, MIT, Computational Plasma Physics, 2004):*

1. **No one** believes the simulation results, except the one who performed the calculations.
  2. **Everyone** believes the experimental results, except the one who performed the experiment.
- Experimentalist has a strategic advantage as real world stands behind him – really?

# Levels of comparison

## Code Development – Verification:

**verify** that your computer code represents **the intended conceptual model**

- o multi-particles with smoothed space charge force, idealized magnets + cavities
- o compare with analytical models (important also for modeling of experiments)
- o verify accuracy of code in idealized model accelerator



## Experiments - Validation:

**validate** that your code is **sufficient** to describe **certain experiments**

- o never claim **the code** is validated - only **a particular calculation** or application
- o think of **hardware and diagnostics** uncertainties
- o good collaboration between theorist and experimentalist!



## Reality:

**only partly accessible – with uncertainties**

- o no 6D phase space reconstruction, only projections
- o ...

# Examples

- ❑ Verification: "Is my code doing what it is written for?"
  - ✓ Is the algorithm programmed correctly?
  - ✓ Is the grid resolution of my Poisson solver consistent with some criteria?
  - ✓ ...
- ❑ Validation: "Is my code good enough to make predictions for the real machine?"
  - ✓ Do I have the same closed orbit?
  - ✓ Same aperture limitations?
  - ✓ Self-consistent space charge (rings)?
  - ✓ ...

**Focus of this talk is on Validation!**

# Main questions

1. What is needed to bring my simulation model close to the real accelerator?
  - large number of parameters, partly unknown
  - do we have the right codes?
  - accuracy/completeness of diagnostics
2. Can we expect simulation to help towards optimizing machine performance?
  - ❖ Still quite incomplete understanding of many issues
    - ❖ many different personal views exist
    - ❖ I apologize for material I have overlooked!

# Overview on "dedicated" high intensity benchmarking efforts

## Major campaigns of last decade

(elaborate experimental efforts and one/several codes)

### Code comparison (Verification):

❑ SNS (>1999): S. Nath et al., Comparison of linac simulation codes, PAC01

### Validation with experiments:

❑ CERN-Proton Synchrotron with GSI (2002-04): MICROMAP Code + others

❑ European HIPPI (High Intensity Pulsed Proton Injector) project (2003-08): verification and validation - several codes

❑ GSI (for FAIR high intensity upgrade) (>2009): UNILAC + Codes

❑ SNS (2006→): Orbit, Parmila

❑ Ring campaigns:

❑ GSI-SIS18 (2007→)

❑ SNS (2006→): Orbit

❑ CERN (2012 →): new campaign has been started for LHC

❑ Expect J- PARC will be contributing significantly to this field

# "Early" example: SNS benchmarking of linac codes (>1999)

limited to rms matched beams!

*S. Nath et al., Comparison of linac simulation codes (SNS), PAC01*

- using **well-matched initial distribution from RFQ**
- DTL 1<sup>st</sup> tank  $\beta=0.07-0.125$
- 10 transverse focusing periods
- **excellent agreement in rms emittance growth**
- **deviations in "halo region" at 5-7  $\sigma$  (beam loss predictions!)**

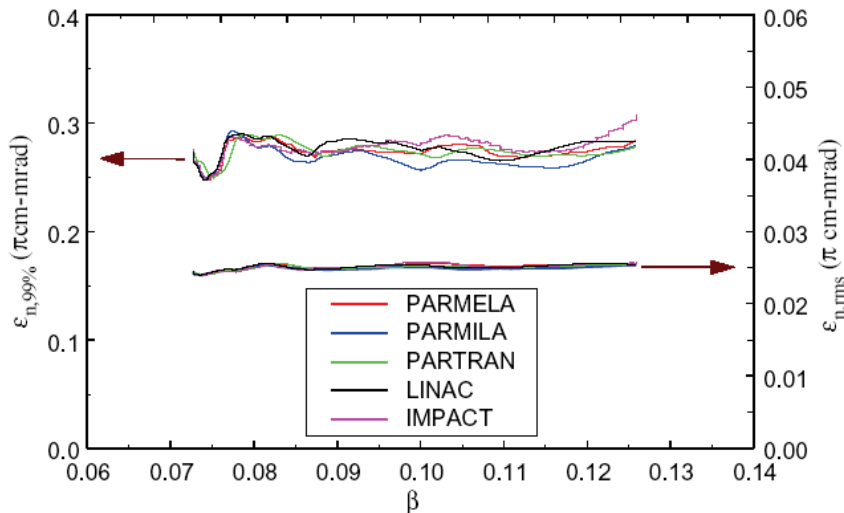


Figure 1. Transverse RMS and 99% emittance profiles through tank 1 of the DTL.

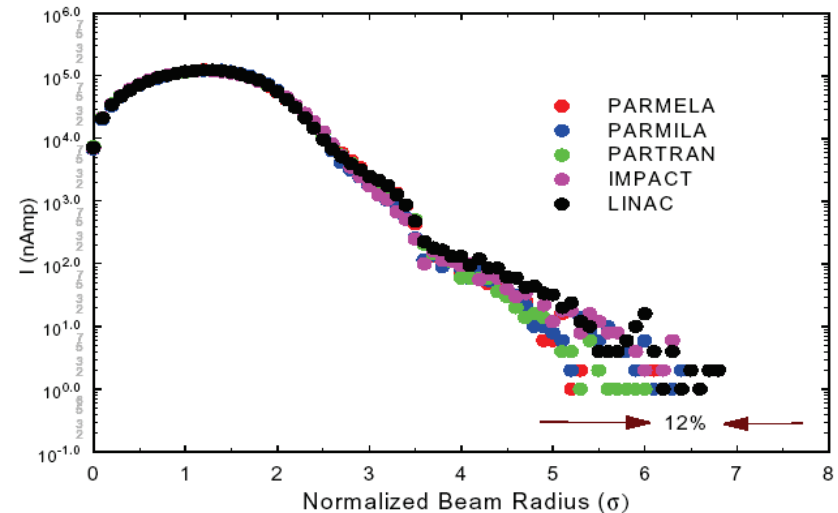


Figure 3. Simulated radial distribution at the output with different codes.

# European **HIPPI** Project (2003-08)

## (**H**igh **I**ntensity **P**ulsed **P**roton **I**njector)

Strengthen basis for future high intensity linacs (CERN-SPL, FAIR p injector...)

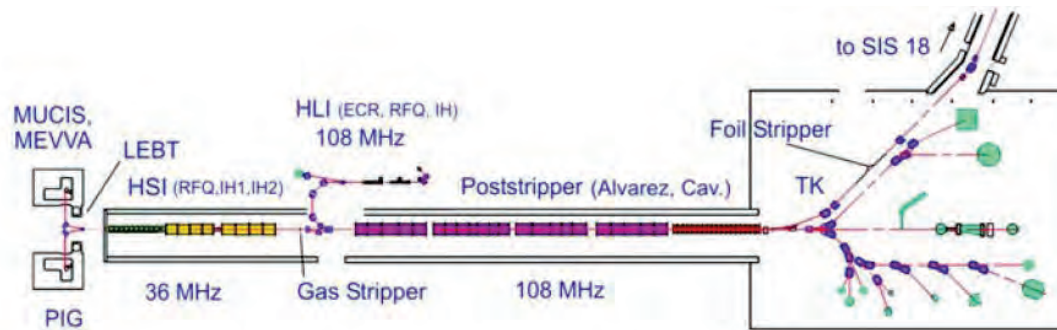


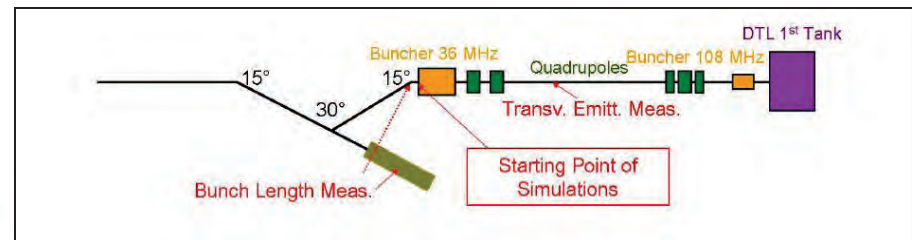
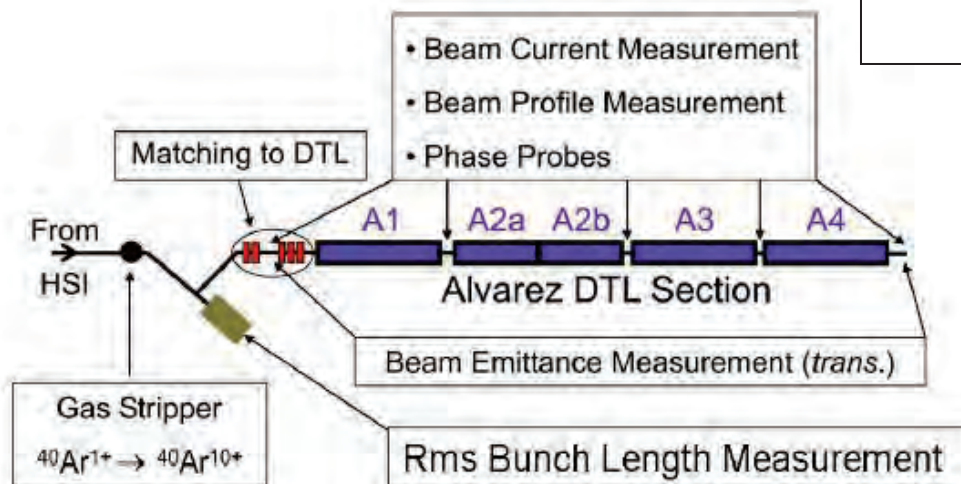
FIG. 1. (Color) The UNiversal Linear ACcelerator UNILAC at GSI.

- EU supported partnership between CERN, CEA, GSI, FZJ, RAL, Frankfurt
- **HIPPI** helped "politically" to justify a real experimental campaign at GSI-UNILAC -
  - dedicated experiment - beyond mere machine development - most important condition for its success in benchmarking!
- UNILAC: DTL: 190 cells (~30 betatron periods A1... A4)
- A1: 60 cells (~10 transverse periods)
- variable transverse focusing for p → Uranium
- → "free knob": transverse phase advance could be varied from  $30^\circ$  ...  $100^\circ$  for  $\text{Ar}^{18+}$

# HIPPI cont'd

Benchmarking of measurement and simulation of transverse rms-emittance growth  
*L. Groening et al., PRST-AB 2008*

- tedious reconstruction of initial 6D phase space distribution
- compared "well-matched" with mismatched beams



# HIPPI cont'd

Good agreement for matched beams – poor for mismatched

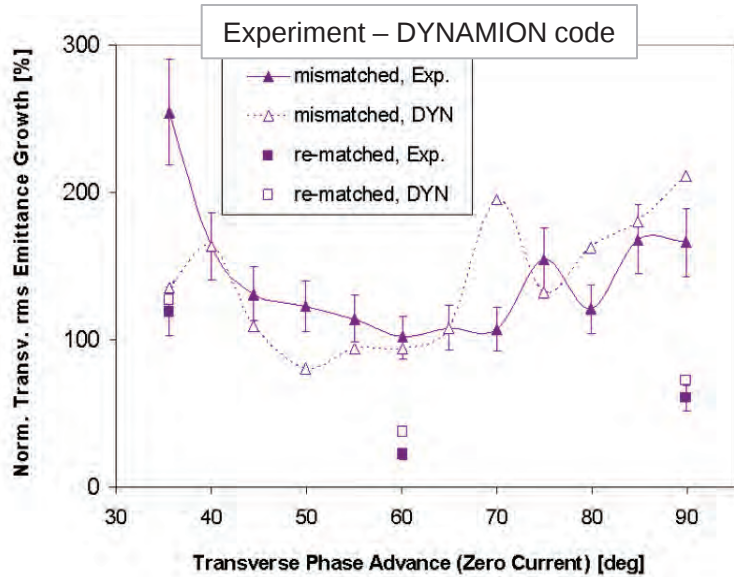


Figure 9: Relative growth of mean value of horizontal and vertical rms emittance at the end of the DTL as a function of  $\sigma_o$ . Data are shown for moderate mismatch and for the case of minimized mismatch.

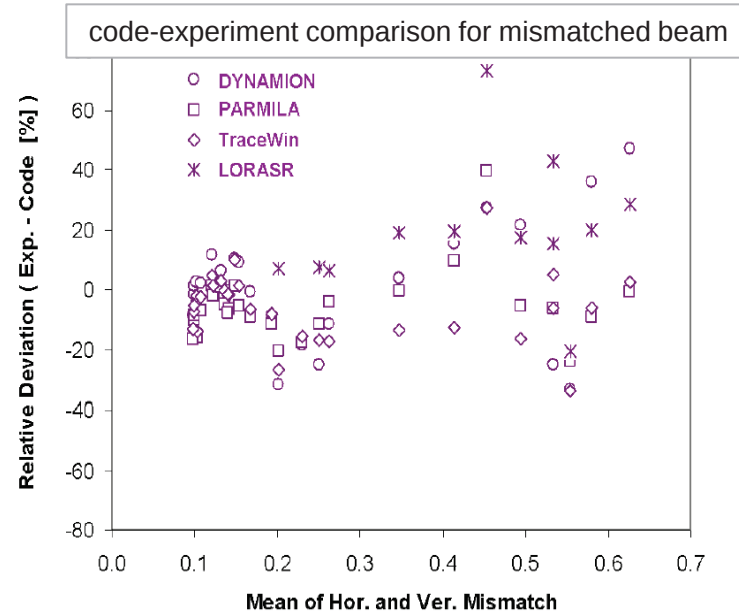


Figure 10: Relative deviation between final transverse rms emittances as measured and predicted by the codes versus the mean of horizontal and vertical mismatch to the DTL.

## Possible explanations for mismatched beam discrepancies:

1. Gap modeling off-axis varies between codes
2. Space charge calculations far off-axis more sensitive?
3. More "chaotic" behavior for non-periodic system

# Initial distribution re-construction

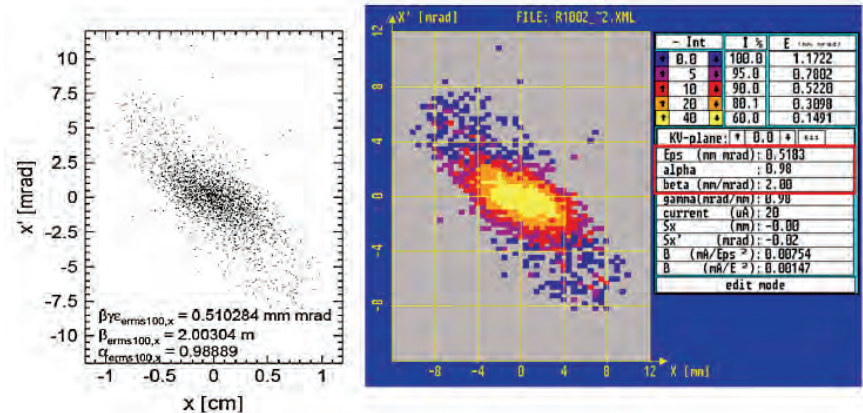
## - a major challenge (L. Groening et al., PRSTAB-2008)

- Real direct measurement at UNILAC injection wasn't possible
- Simple WB or Gaussian approximations not enough
- Analytical formula fitted to data – different powers in X and Y gave best fit for tails

$$\tilde{R}^2 = X^2 + X'^2 + Y^{1.2} + Y'^{1.2} + \Phi^2 + (\delta P/P)^2$$

$$f(\tilde{R}) = \frac{a}{2.5 \cdot 10^{-4} + \tilde{R}^{10}}, \quad \tilde{R} \leq 1$$

$$f(\tilde{R}) = 0, \quad \tilde{R} > 1,$$



Transformation of format of a distribution from DYNAMION simulation (left) to the format of measured data (right) coming from slit/grid measurement device. Normalized 100%-rms-Twiss parameters are highlighted in red.

→ Data reduction of simulation identical to measurement

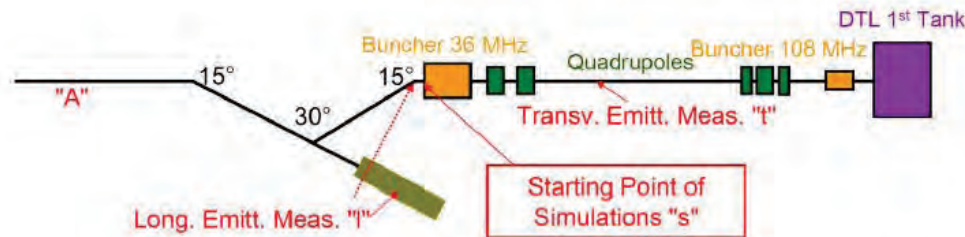


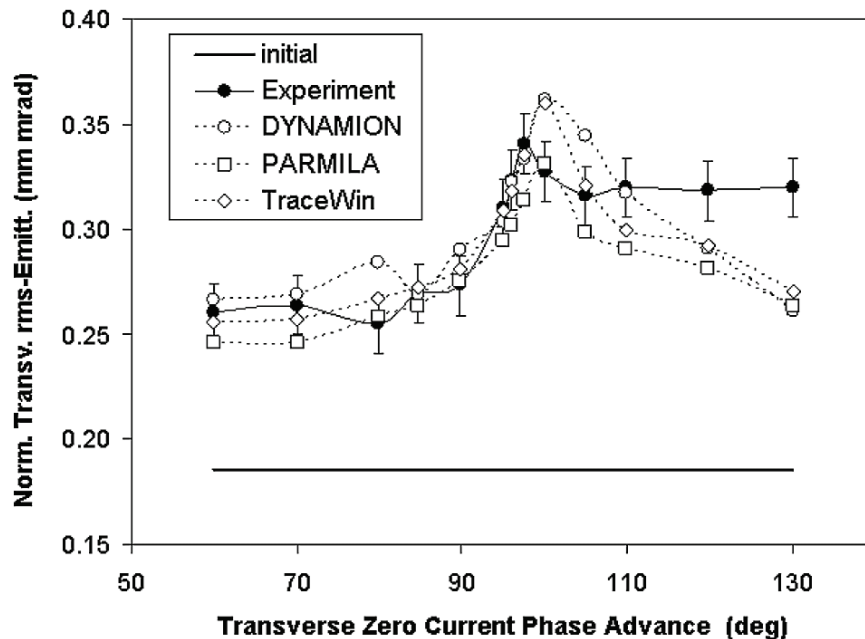
FIG. 6. (Color) Reference points used for reconstruction of the initial phase space distribution as needed for the simulations.

# GSI-UNILAC: further code validation

using two "theoretical" concepts (2009)  
- benefitted from HIPPI benchmarking campaign -

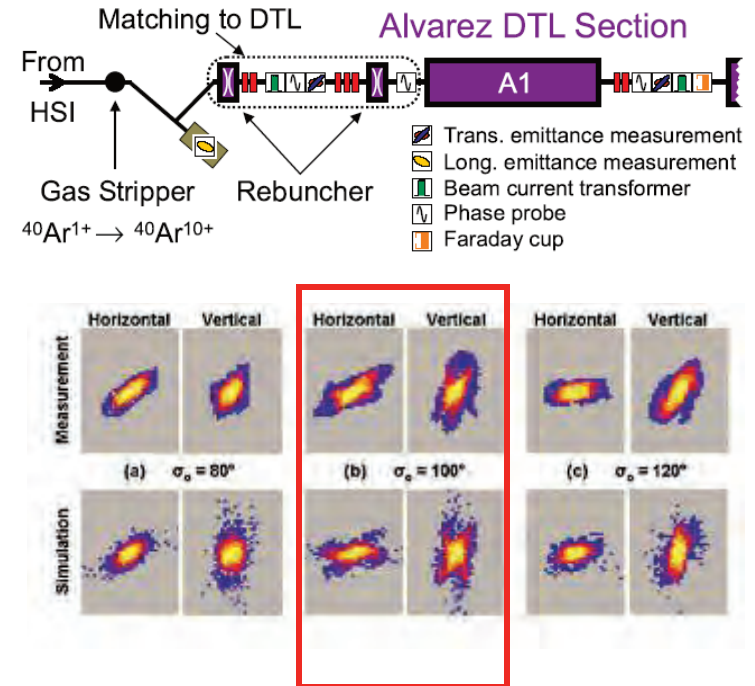
1. **"Experimental Evidence of the 90° Stop-Band in the GSI UNILAC"** L. Groening et al. PRL 102 (2009)
  - Resonance at  $\sigma_{ox} > 90^\circ$  ( $\sigma_x \sim 90^\circ$ )
  - first experimental evidence in linac
2. **"Resonant exchange of longitudinal and transverse emittance at the UNILAC"** L. Groening et al. PRL 103 (2009)
  - first experimental evidence of emittance exchange
  - an issue for FAIR (highest current Uranium)

# Case 1: $\sigma_{ox} > 90^\circ$ resonance measured at UNILAC (PRL, 2009) - a successful code validation experiment



codes:

- DYNAMION: L. Groening
- PARMILA : D. Jeon
- TRACEWIN: D. Uriot



Clear evidence that fourth order resonance ( $4\sigma \sim 360^\circ$ ) and **not** (competing) envelope instability

# Case 2: Emittance exchange in UNILAC (PRL, 2009)

- first benchmarking of linac stability charts

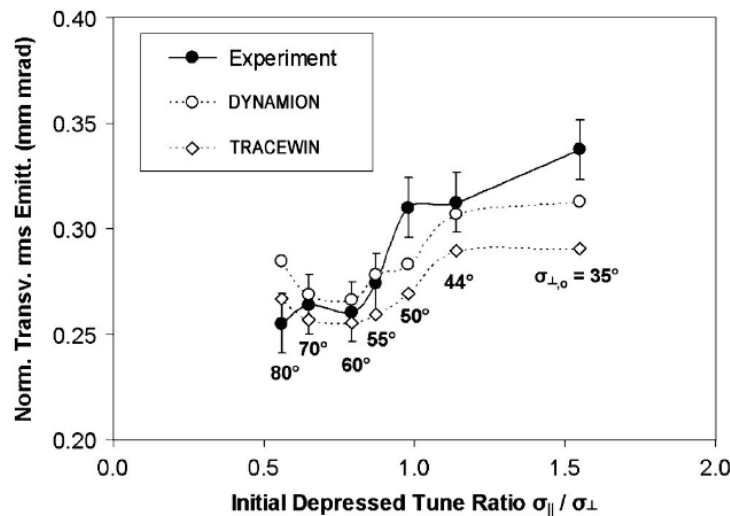
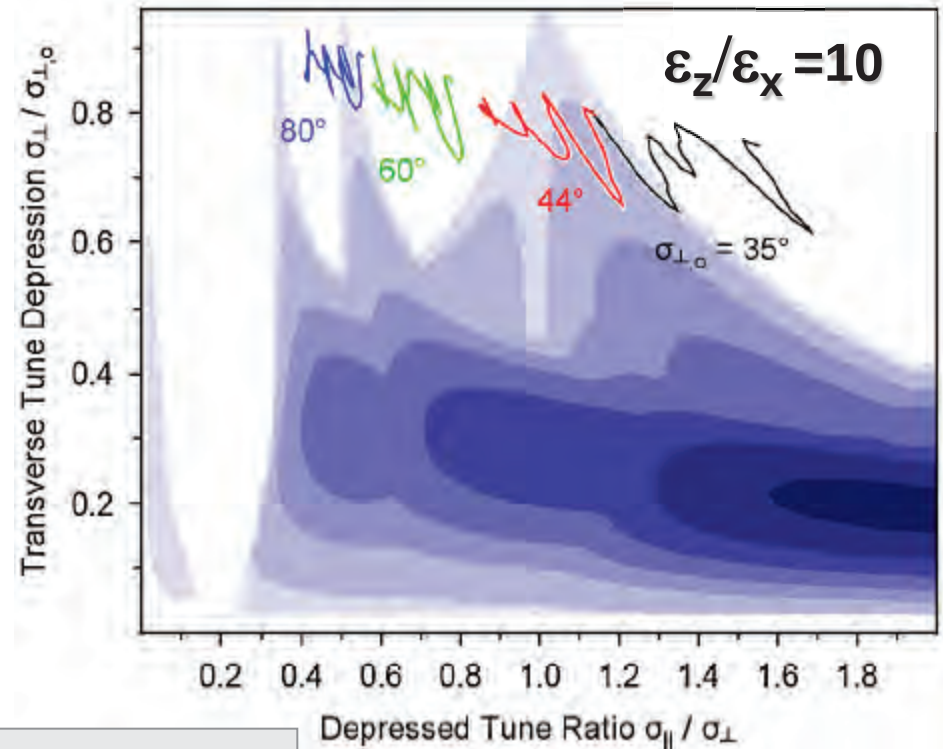


FIG. 4. Mean of horizontal and vertical rms emittance at the DTL exit as a function of the initial ratio of depressed longitudinal and transverse tune  $\eta = \sigma_{\parallel} / \sigma_{\perp}$ .



- far from equipartition
- driven by large energy anisotropy  $\epsilon_{lo}\sigma_{lo} \sim 10 \epsilon_{tr}\sigma_{tr}$
- observed in transverse plane (growth)
- an issue for future full intensity of U<sup>28+</sup>

# SNS -Linac campaigns (2010): reconcile calculated and measured beam parameters?

- SNS one of best known high intensity machines -

source: A. Alexandrov, *Challenges of reconciling theoretical and measured beam parameters at SNS, HB2010*

Qualitative agreement:

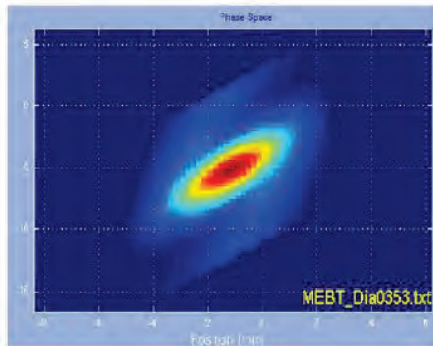


Figure 5a: Measured transverse emittance in the MEBT.

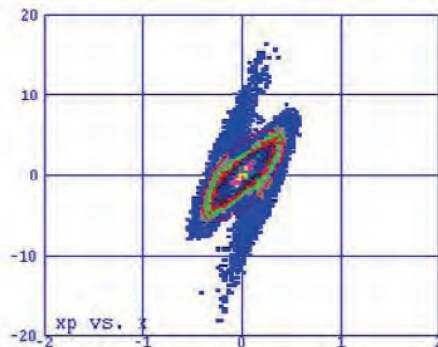


Figure 5b: Simulated transverse emittance in the MEBT.

However:

- big deviation in a series with rebuncher rf phase varied
- reveals quantitative behaviour

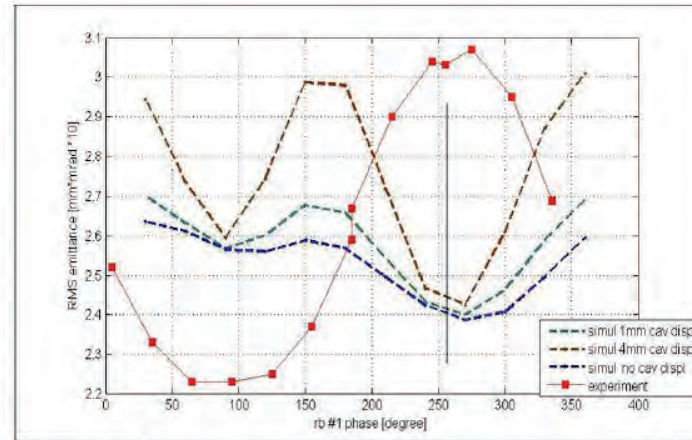


Figure 6: Measured (solid line) and simulated (dashed lines) dependence of the transverse rms emittance vs. the re-buncher phase.

# cont'd SNS –Linac

## Longitudinal rms bunch size - comparison of measurement and code model (A. Alexandrov, 2010):

- Three measured points well reproduced by model
- Fitting at four locations increasingly accurate
- Mismatch at entrance to CCL?

## Conclusions:

- Significant uncertainty on real parameters of accelerators (more diagnostics)
- Minimize difference model-data by varying (many!) parameters
- **Challenge to (new) codes and diagnostics!**
- **This questions that "trend" to higher .e.m. field resolutions and larger N is useful!**

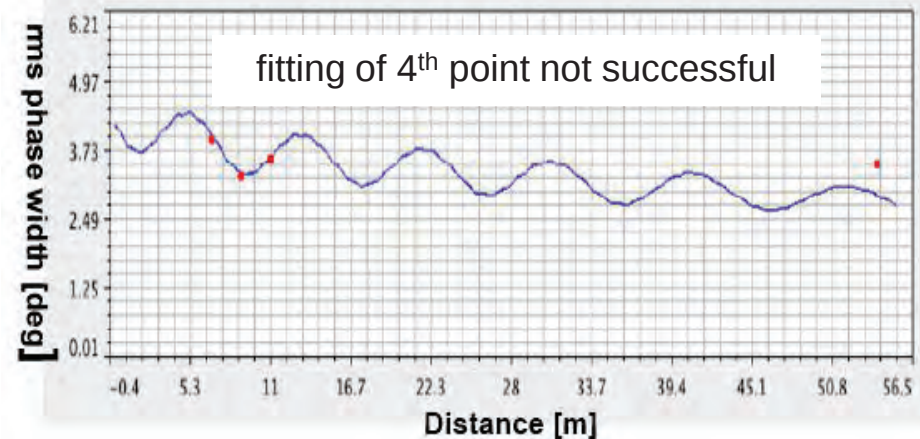


Figure 8: Comparison of the measured longitudinal rms bunch size (dots) with the model (solid line) at four locations in the SNS CCL.

Table 1 Beam Modeling Accuracy in the SNS Linac

|      | Transv. centroid | Transv. RMS | Long. centroid | Long. RMS   |
|------|------------------|-------------|----------------|-------------|
| RFQ  | NA               | NA          | NA             | NA          |
| MEBT | good             | good        | not so good    | good        |
| DTL  | good             | not so good | very good      | NA          |
| CCL  | very good        | not so good | very good      | not so good |
| SCL  | not so good      | not so good | very good      | NA          |

# Ring codes benchmarking

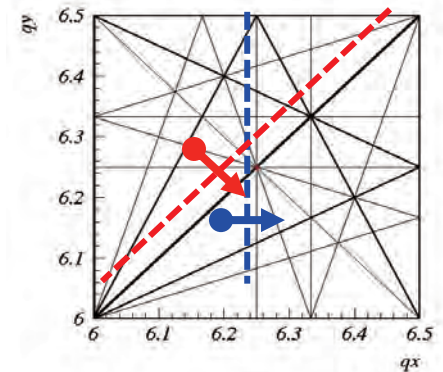
## CERN "space charge" campaigns 2002/04

long-term ( $\sim$  second) real time

Carried out at CERN Proton Synchrotron

(M. Giovannozzi, M. Martini, E. Metral, G. Franchetti and I. Hofmann, PRSTAB 2003)

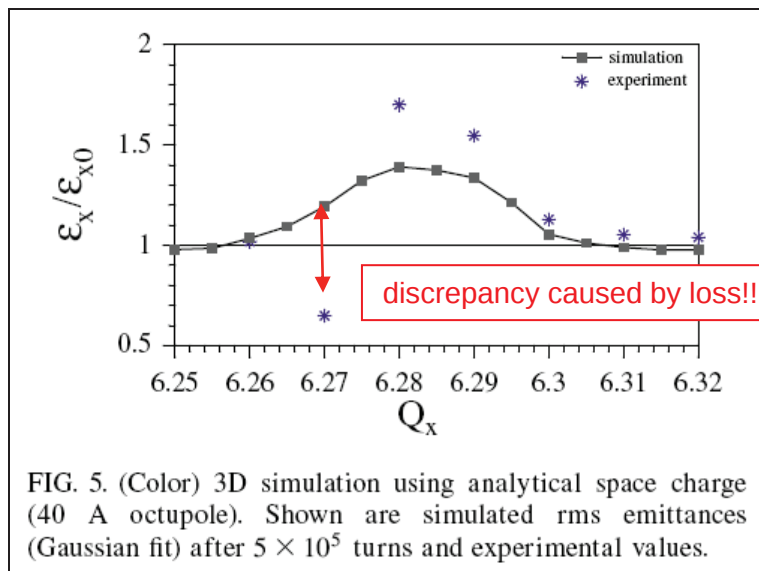
1. 2002: Octupole crossing resonance ( $4Q_h=25$ )
  1. controllable nonlinearity
  2. beam loss underestimated
  3. uncertainties on lattice – dynamic aperture!!!
2. 2003/04: Montague resonance ( $2Q_h=2Q_v$ )
  1. good starting point: intrinsic, less subject to particular machine, 3D aspects (very slow crossing) only recently resolved
  2. code comparisons
3. Importance of controlled "series definition"



# CERN-Proton Synchrotron Benchmarking

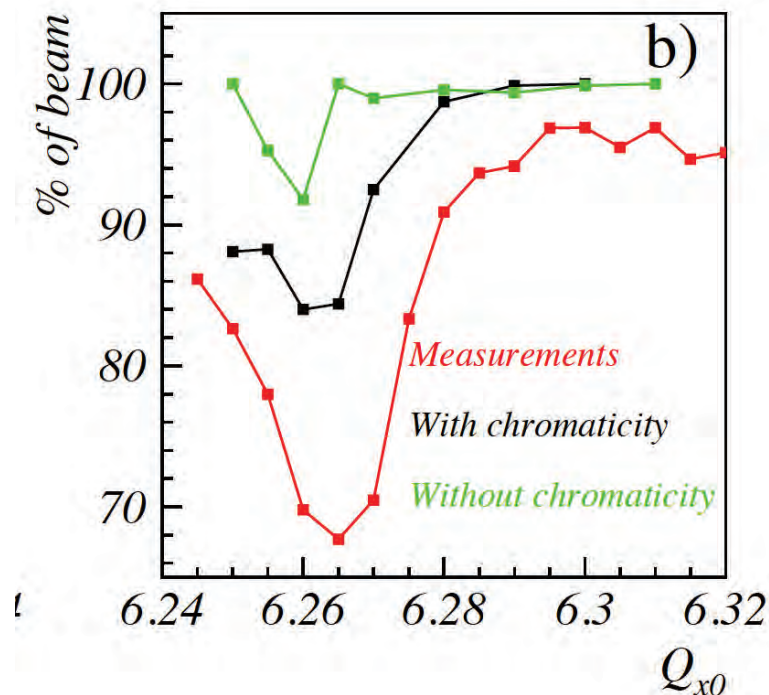
## Controlled octupole strength + space charge

Emittance comparison (2002) over  $5 \times 10^5$  turns ("frozen" space charge):



Intensity comparison updated later (2006):

- by including the chromaticity beam loss matched better
- still by factor 2 underestimated
- remaining uncertainties?

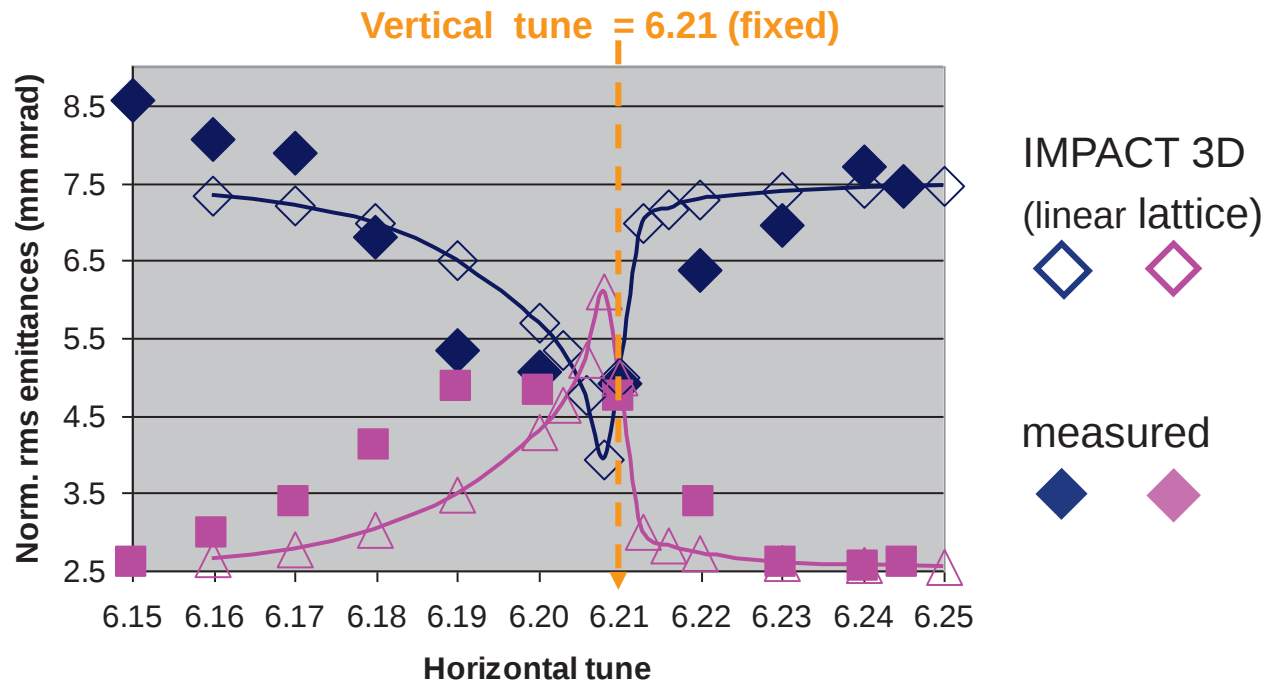


G. Franchetti and I. Hofmann, HB2006

# CERN-PS: Montague emittance coupling resonance

$$(\epsilon_h = 3 \times \epsilon_v; Q_h \rightarrow Q_v)$$

- 2003: Issue for choice of ideal high-intensity working points
- Intrinsic space charge issue – largely "lattice independent"
- → quite good agreement (*E. Metral et al., HB2004*)



# Used for "coasting beam" code benchmarking (2004) in realm of space charge and < 1000 turns

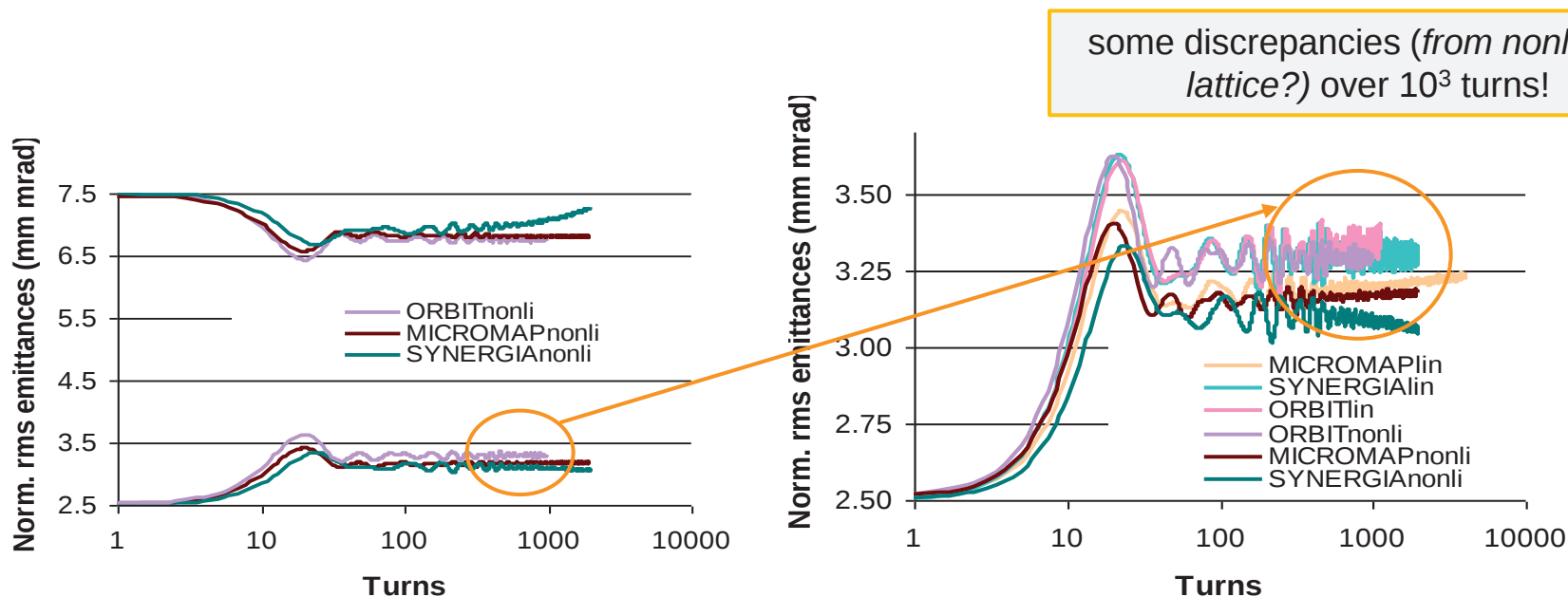
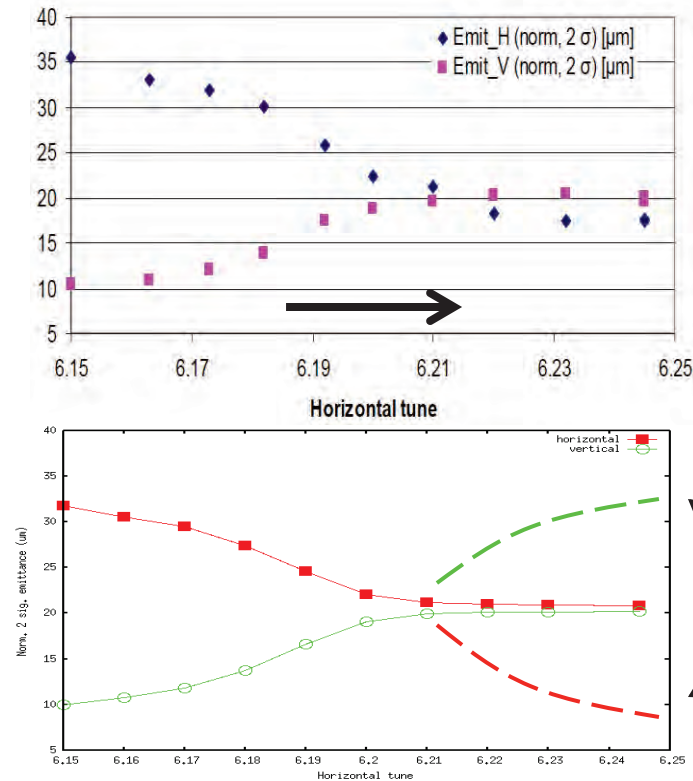


Table 1: Participating codes

| Code            | Lab    | Dim              | N               |
|-----------------|--------|------------------|-----------------|
| ACCSIM (ACC)    | TRIUMF | $2\frac{1}{2}$ D | $10^6$          |
| IMPACT (IMP)    | LBNL   | 3D               | $10^6$          |
| MICROMAP (MIC)  | GSI    | 2D               | $5 \times 10^4$ |
| ORBIT (ORB)     | ORNL   | $2\frac{1}{2}$ D | $10^6$          |
| SIMBAD (SIMB)   | BNL    | $2\frac{1}{2}$ D | $10^5$          |
| SIMPSONS (SIMP) | KEK    | $2\frac{1}{2}$ D | $10^4$          |
| SYNERGIA (SYN)  | FNAL   | 3D               | $10^6$          |

# Recent update with **fully self-consistent 3D long-term simulation** for CERN-PS Montague study (Ji Qiang et al., IPAC2012)

## Dynamic crossing of stop-band:



IMPACT fully **selfconsistent** (3D space charge)

- 100.000 macroparticles
- 65x65x129 grid points
- 50.000 turns
- nonlinear PS lattice

Slow synchrotron motion de-coheres correlation  
in 4D phase space  
→ **new quality of benchmarking in rings**

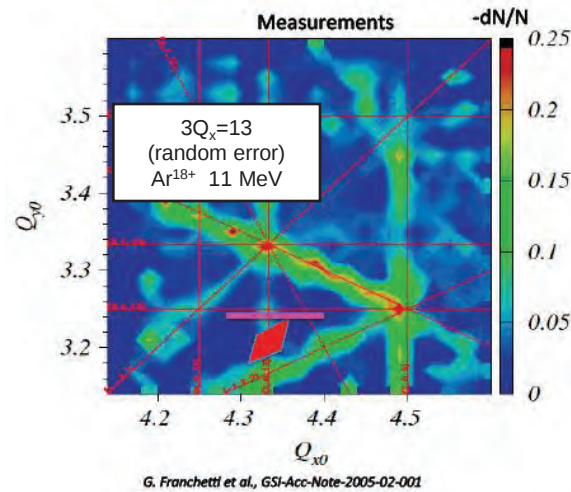
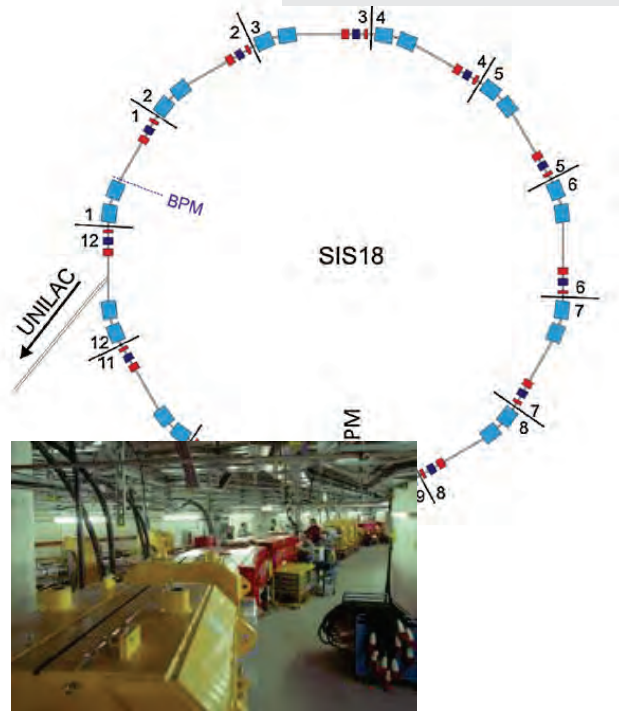
frozen synchrotron motion  
- no mixing (decoherence) -

# SIS 18 nonlinear resonance study (2007-10)

**Expectation: Sufficient basis to predict beam loss in SIS100 of FAIR?**

Dedicated experimental campaign SIS317 using random sextupole errors

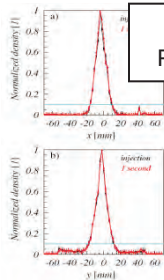
*G. Franchetti et al., PR-STAB 13 (2010)*



Application in FAIR:

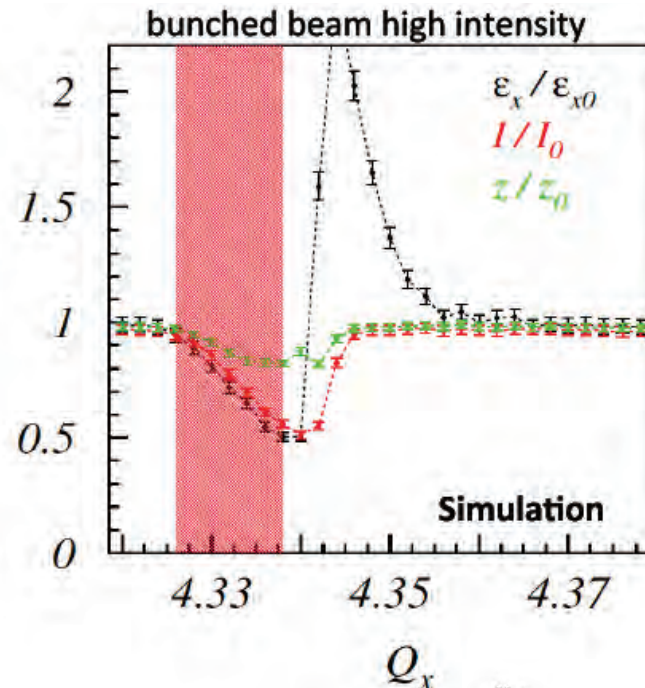
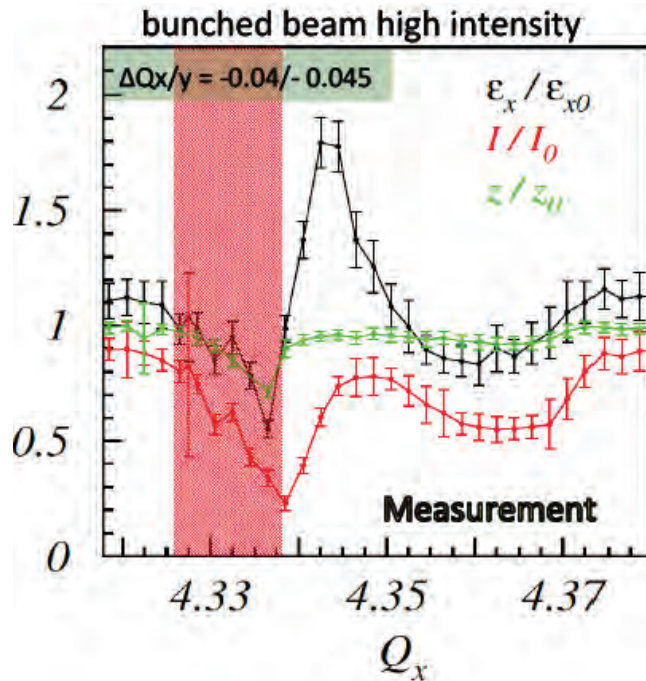
→ bunch into bucket transfer of SIS 100

- 1 sec holding
- ~ few % loss



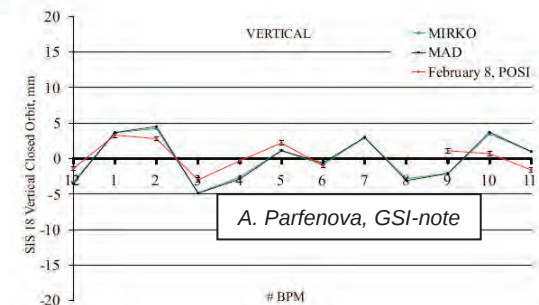
emittance evolution:  
RGM – non-destructive

# Validation of emittance growth and beam loss experiment – simulation $10^5$ turns ("frozen" space charge)



## Origin of discrepancy:

- factor ~2 underestimation of loss (similar to CERN-PS of 2002)
- poor representation of closed orbit → error strengths?
- sextupole strength only "indirectly determined" through low intensity loss?
- does lack of self-consistency matter?



# SNS –Ring (2006...2010):

## Comparison of Experiment with ORBIT for coasting beam transverse instability (kicker)

1. Design phase of SNS: ORBIT compared with analytical model (code verification)
2. Comparison: measured impedance – experimentally determined from growth rates (code validation)

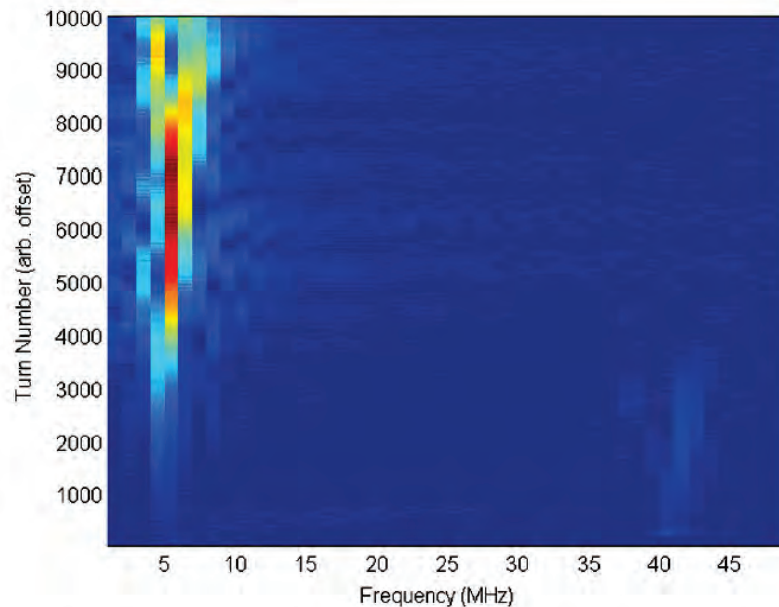
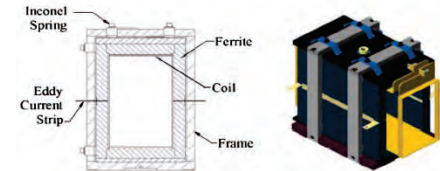


FIG. 7. Evolution of experimental turn-by-turn vertical harmonic spectrum of the extraction kicker instability.

simulated somewhat broader

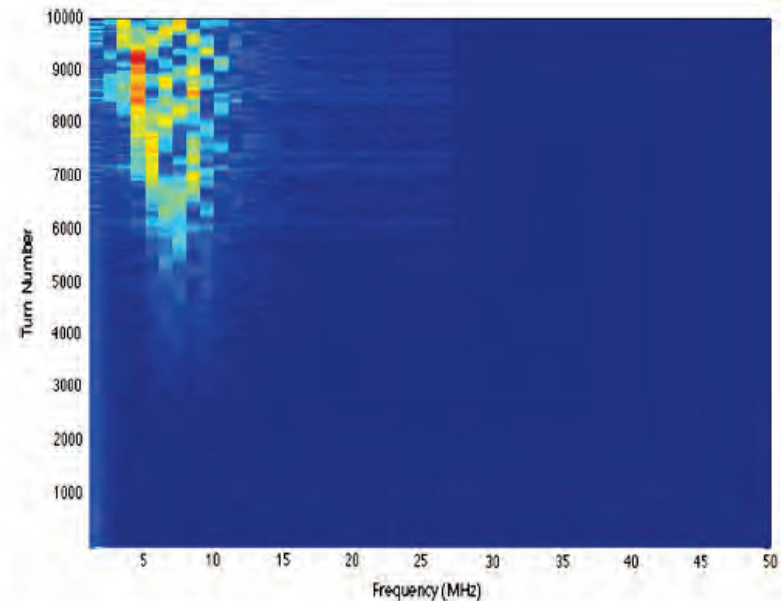


FIG. 9. Evolution of simulated turn-by-turn vertical harmonic spectrum of the extraction kicker instability.

# Impedances

Estimates of kicker impedance  
scaled from smallest kicker measurements (Hahn, 2004)

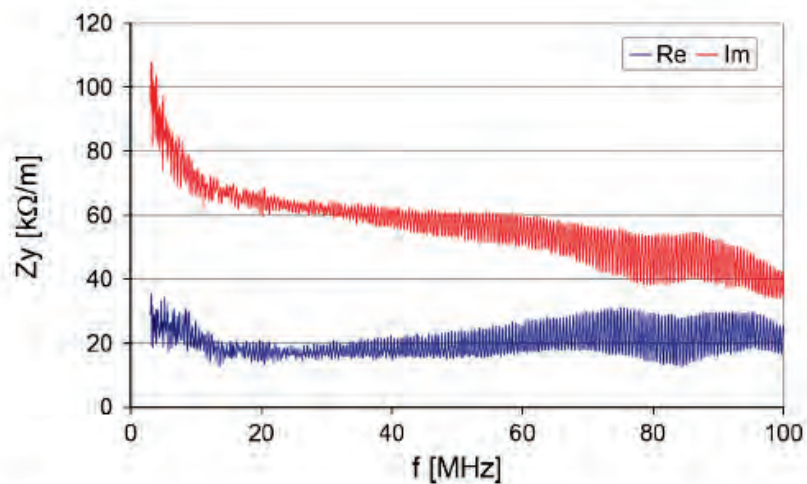


FIG. 2. Estimated coupling impedance of the SNS extraction kicker system, from Ref. [5].

Impedance simulations 2002/2003  
used for ORBIT simulations

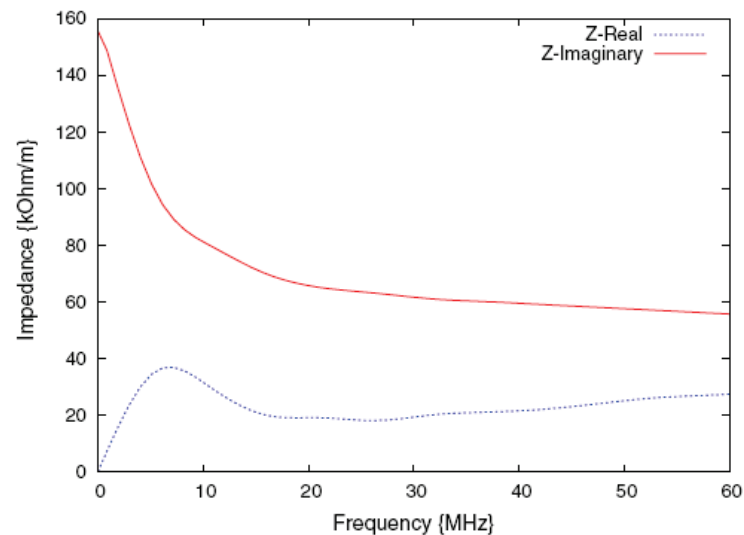


FIG. 3. Impedance of the SNS extraction kicker system used in simulations, based on Refs. [14,15].

# SNS –Ring cont'd

1. Benchmarking of impedances at  $n=12$ :
  - a. observed 1036 turns growth time for  $n=12$
  - b. derived 28 k $\Omega$ /m from observed growth time (assumed averaged  $\beta_{s0} = 6\text{m}$ ); agrees well with measured earlier 30 k $\Omega$ /m in laboratory
2. In ORBIT impedance from earlier measurement placed at  $\beta_{s0} = 9.3\text{ m}$ 
  - a. simulation growth compares well with  $T=1036$  from measurement

$$\text{Re}(Z) = \frac{2\gamma\beta^2 E_0}{\tau\beta_{s0} I}$$

exponential growth time for  $n=12$  in ORBIT consistent with measured growth time of **1036 turns (red line)**

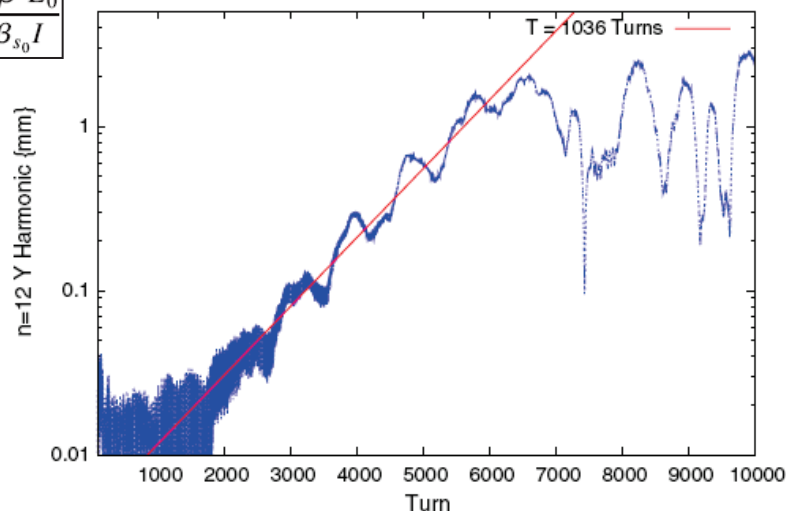


FIG. 8. Vertical  $n = 12$  harmonic (in blue) versus turn number in the ORBIT extraction kicker instability simulation. The red line depicts an exponential growth time of 1036 turns.

## No account of:

- a. beam distribution
- b. Landau damping
- c. nonlinear regime

source: J.A. Holmes et al, PRSTAB 2011

# Spectral information and high intensity

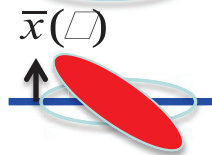
- could open a new window for direct benchmarking of space charge

Recent work at SIS18 / PATRIC code

- initial transverse kick
- → need many synchrotron periods
- close interpretation by simulation

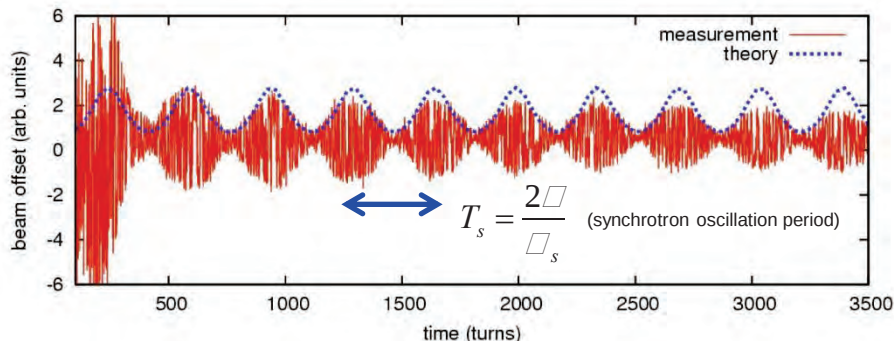
$$\bar{x} = \text{const.}$$

k=0:  initial transverse offset (kick)

k=1: 

head-tail oscillations

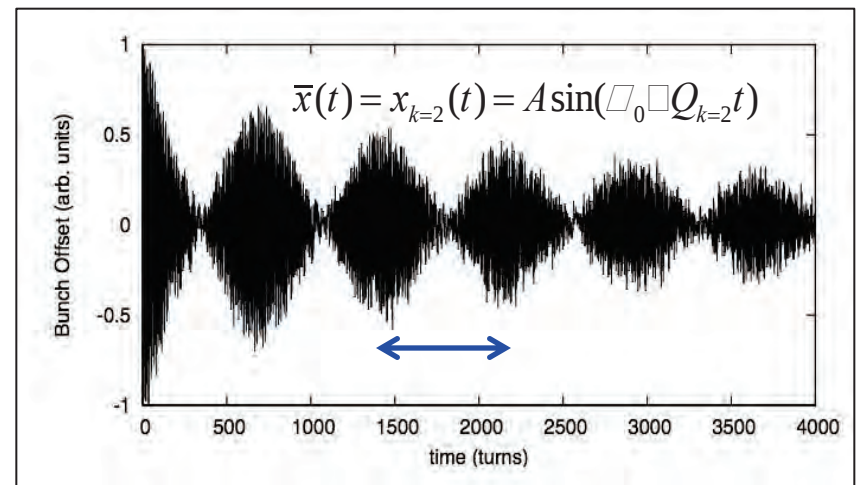
Transverse de-coherence of head-tail oscillations (low intensity beams)



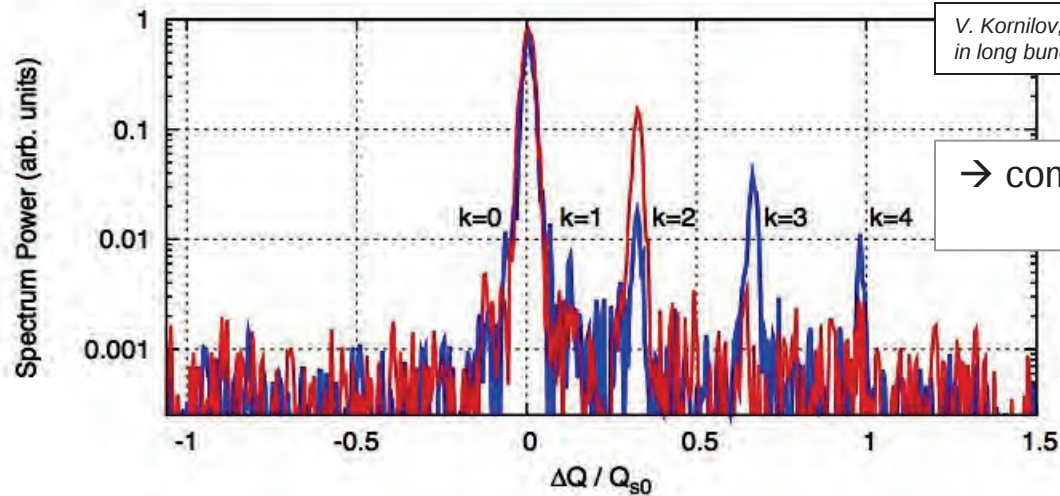
source: V. Kornilov

FFT → tune spectrum

Measurement with 'long' Ar<sup>18+</sup> bunches



# Head-tail decoherence spectra + Landau damping

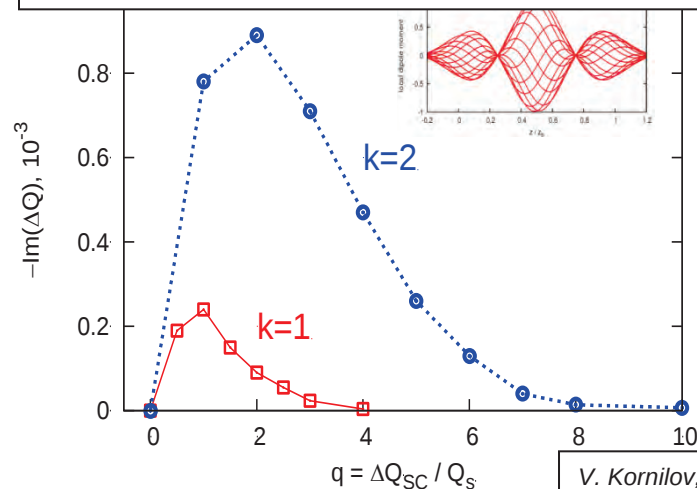


V. Kornilov, O. Boine-Frankenheim, *Transverse decoherence and coherent spectra in long bunches with space charge*, submitted to Phys. Rev. ST-AB

→ compare eigenfrequencies with simulation spectra  
good collaboration diagnostics - theory!

Theory: A. Burov, *Phys. Rev ST-AB* (2009)  
V. Balbekov, *Phys. Rev. ST-AB* (2009)

Damping rate of head-tail modes from PATRIC simulation



Landau damping reflects

- space charge
- bunch length

Further factors entering:

- distribution function
- impedances
- → could become strongest test of simulation model vs. real model - needs work!

V. Kornilov, O. Boine-F., *Phys. Rev. ST-AB* (2010)

# Conclusions

- ❑ Point-wise progress has been achieved – "big" steps still to come
  - Verification of codes with idealized accelerator models in good shape → resolution of codes (grids, N) "good enough"
  - Good validation of some "intrinsic! physics mechanisms
  - Validation with real accelerators only slowly progressing
- ❑ Some common issues in linac and ring code benchmarking
  - Benchmarking not for single point in parameter space – need a significant knob!
  - Real lattice model is a problem – all kinds of errors needed
  - Closer interaction code developers – operation + diagnostics
- ❑ Differences linacs -rings
  - Linac space charge calculations "good enough"
  - in rings a problem for  $10^5 \dots 10^6$  turns: "frozen-in" s.c. or self-consistent 2.5 D or 3D ?
  - initial distribution an issue in linacs (diagnostics problem)
  - distinction incoherent - coherent space charge not always clear (→ self-consistent?)  
- important for rings
- ❑ Future standards for benchmarking (linacs and rings)
  - accurate code model of real machine to support correction schemes
  - assist optimization of real machine

**Direction quite clear, but still a long way to go!**