

# ***Ultra-low Charge, Ultra-high Brightness Frontiers of Photoinjectors: Challenges and Perspectives***

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37<sup>th</sup> FEL Conference (FEL 2015)  
August 25, 2015 - Daejeon, Korea



- Pursuing better beams - FEL, UED/UEM
- Generation of higher brightness
- Characterizing these extreme beams
- Better machines and new science
- Summary and outlook

# Pushing Science frontiers with electron beams

SLAC

Linac Coherent Light Source



1.7 km

Team: Transmission Electron  
Aberration-corrected Microscope



3 m

# Pushing Science frontiers with electron beams

SLAC

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1.7 km

**TEAM:** Transmission Electron  
Aberration-corrected Microscope



*Enabled by EXTREME, but very different electron beams*



3 m





# Pushing Science frontiers with electron beams

## Linac Coherent Light Source

### e-beams for XFEL

> ~10 GeV beam energy

$\Delta E/E \sim 1 \times 10^{-4}$

$10^8$ - $10^9$  e- per pulse

kA beam current

extremely short – 10 fs

flat photocathode

control the collective effects

1.7 km

## Team: Transmission Electron Aberration-corrected Microscope

### e-beams for TEM/STEM

< ~300 keV beam energy

$\Delta E/E < 1 \times 10^{-6}$

$10^6$ - $10^9$  e- per image

pA beam current

extremely narrow – 50 pm

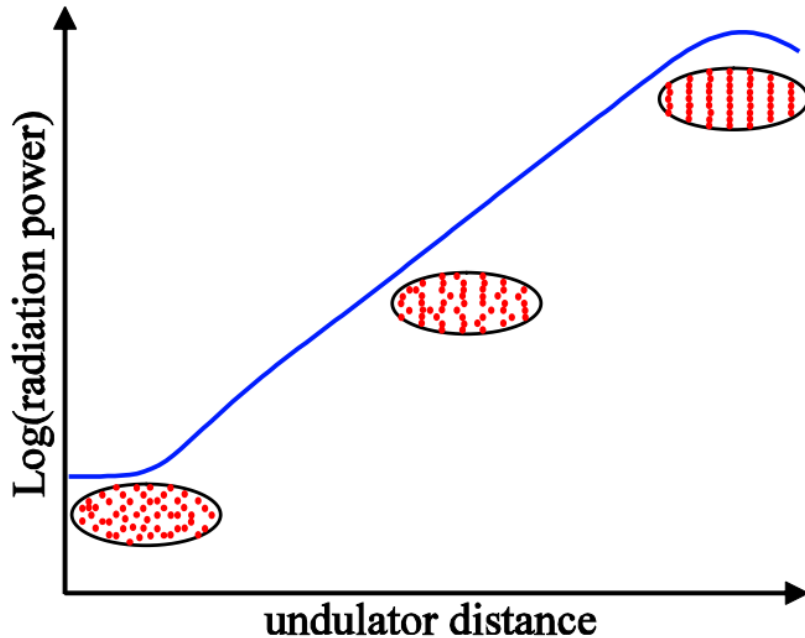
tip field-emission source

optics, with aberration correction

3 m

# FEL requirement on e-beams

SASE FEL: high gain & trans. coherence



1D gain length  $L_G^{1D} = \frac{\lambda_u}{4\pi\sqrt{3}\rho}$

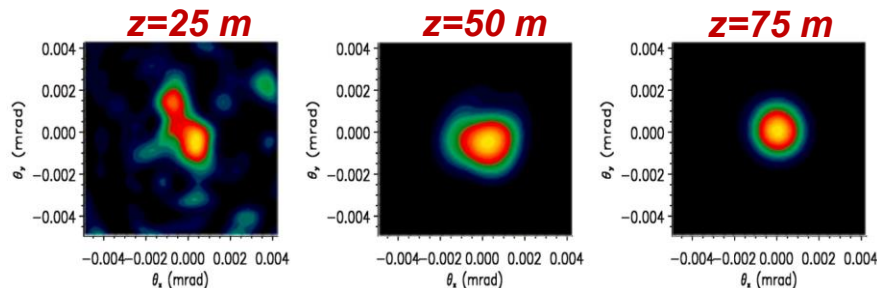
Saturation power  $P_{\text{sat}} \approx \rho P_e$

Pierce parameter  $\rho = \left[ \frac{1}{16} \frac{I_e}{I_A} \frac{K_0^2 [\text{JJ}]^2}{\gamma_0^3 \sigma_x^2 k_u^2} \right]^{1/3}$

Geometric emittance  $\frac{\epsilon_n}{\gamma_0} \leq \frac{\lambda}{4\pi}$

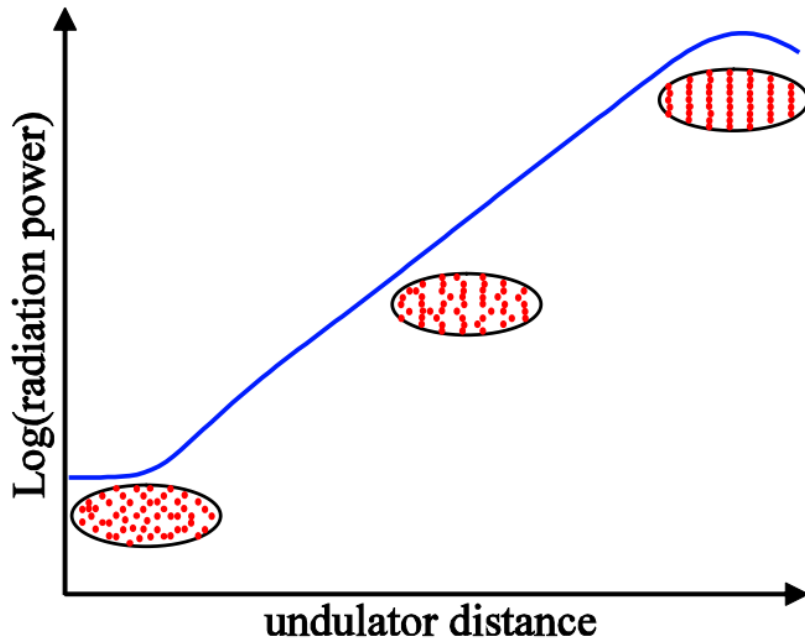
energy spread  $\sigma_\eta \ll \rho$

**LCLS transverse profile at**

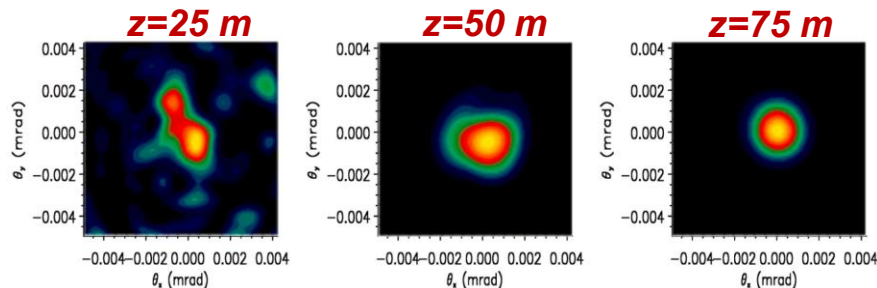


# FEL requirement on e-beams

SASE FEL: high gain & trans. coherence



**LCLS transverse profile at**



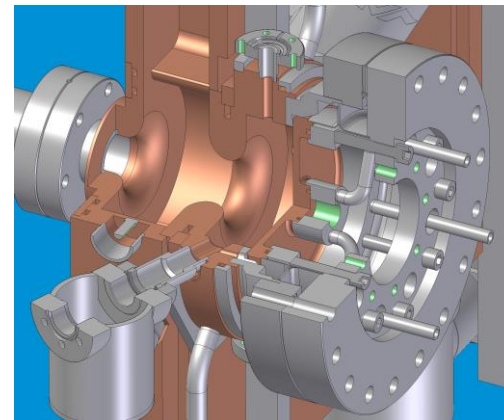
1D gain length  $L_G^{1D} = \frac{\lambda_u}{4\pi\sqrt{3}\rho}$

Saturation power  $P_{\text{sat}} \approx \rho P_e$

Pierce parameter  $\rho = \left[ \frac{1 \text{ } I_e K_0^2 [\text{JJ}]^2}{16 I_A \gamma_0^3 \sigma_x^2 k_u^2} \right]^{1/3}$

Geometric emittance  $\frac{\epsilon_n}{\gamma_0} \leq \frac{\lambda}{4\pi}$

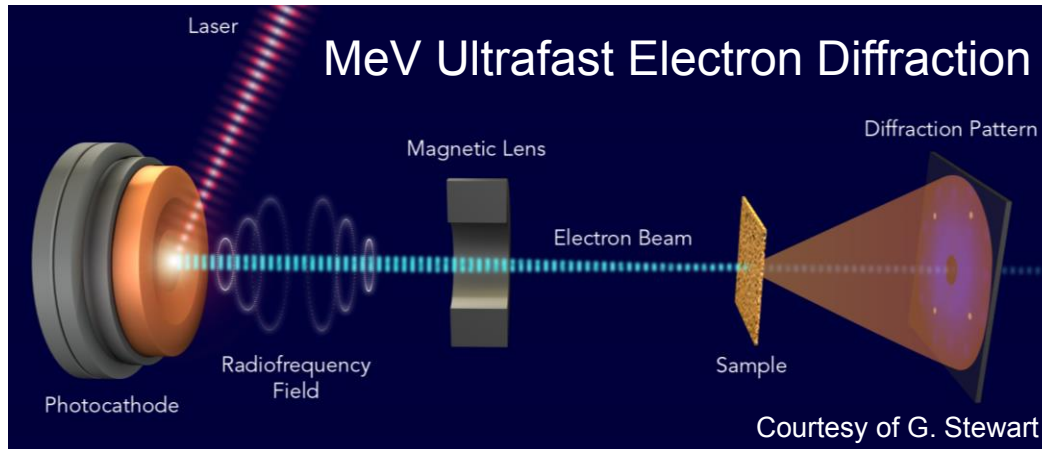
energy spread  $\sigma_\eta \ll \rho$



*Photoinjectors deliver required e-beams for FEL*

Cut-away view of the LCLS gun. Courtesy of E. Jongewaard

# RF photoinjector-based MeV UED and UEM

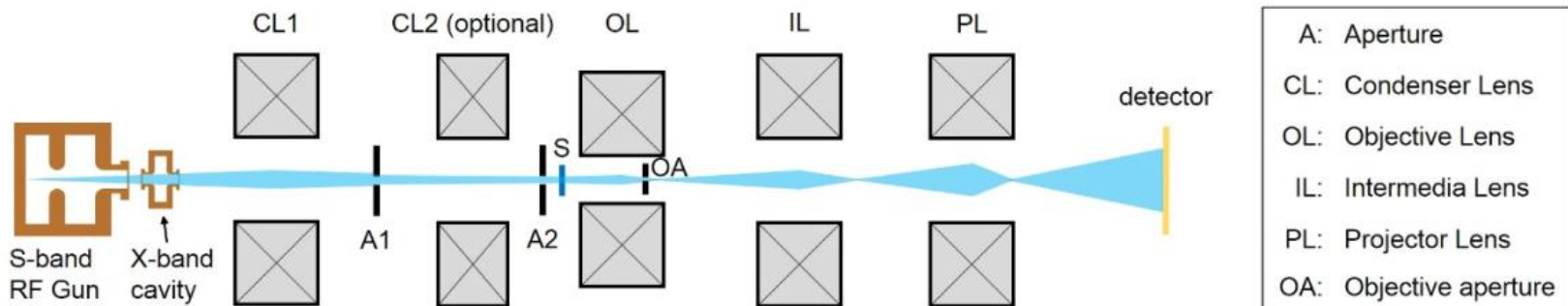


- ultralow charge ( $< \sim 1$  pC)
- ultralow emittance ( $< \sim 10$  nm)
- directly serve ultrafast science
- R&D at SLAC, UCLA, Tsinghua, Osaka, BNL, DESY, LBL, Shanghai Jiaotong, SFTC, KAERI

*X. J. Wang et al., PAC'03, p. 420.*

*P. Musumeci and R. K. Li, in ICFA Newsletter No. 59 (2013)*

## MeV Ultrafast Electron Microscope



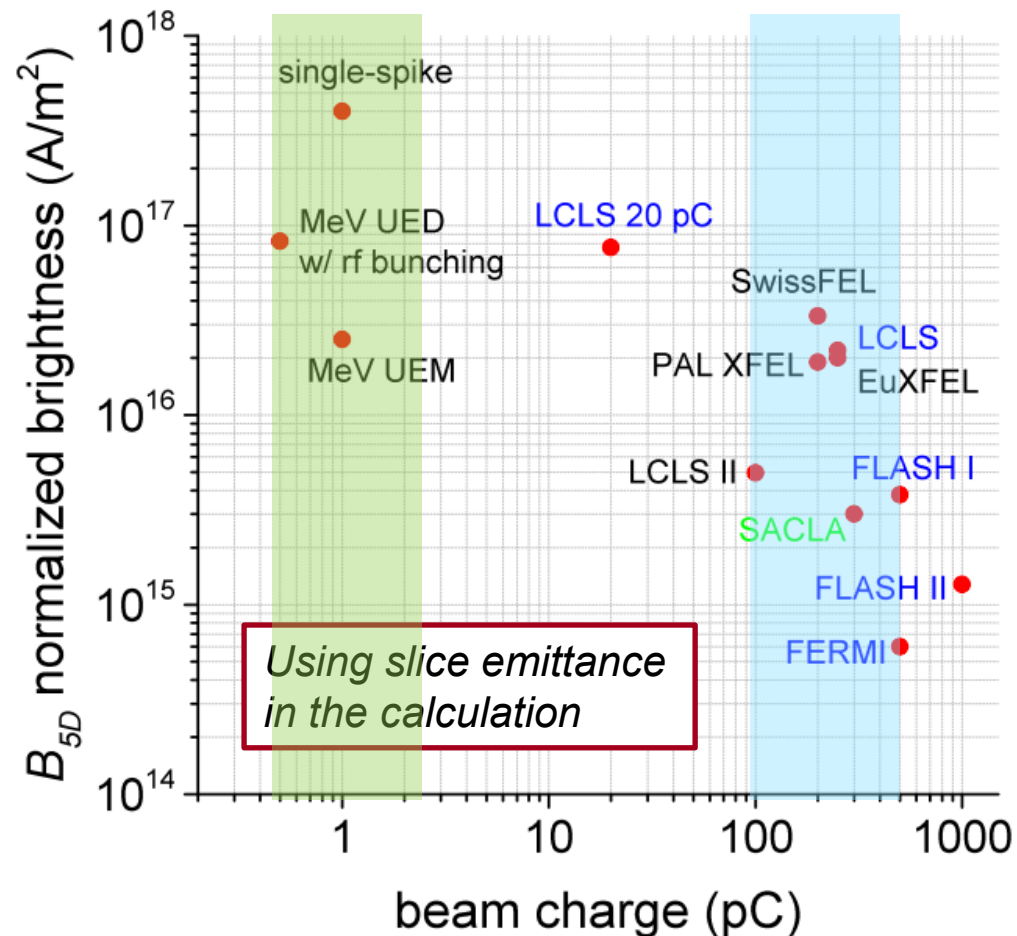


# Beam brightness from photoinjectors

- 5-D normalized beam brightness

$$B_{5D} = \frac{I}{\epsilon_{n,x}\epsilon_{n,y}}$$

- Most XFELs driven by photoinjectors
- Photoinjectors deliver excellent transverse emittance, as well as longitudinal emittance
- Most facilities operate at 0.1 – 0.5 nC
- Higher  $B_{5D}$  at lower charge, but beam diagnosis becomes more challenging
- Many new techniques for low charge (<1 pC) developed for UED and UEM



*S. Di Mitri and M. Cornacchia, Phys. Rep. 539, 1 (2014).*

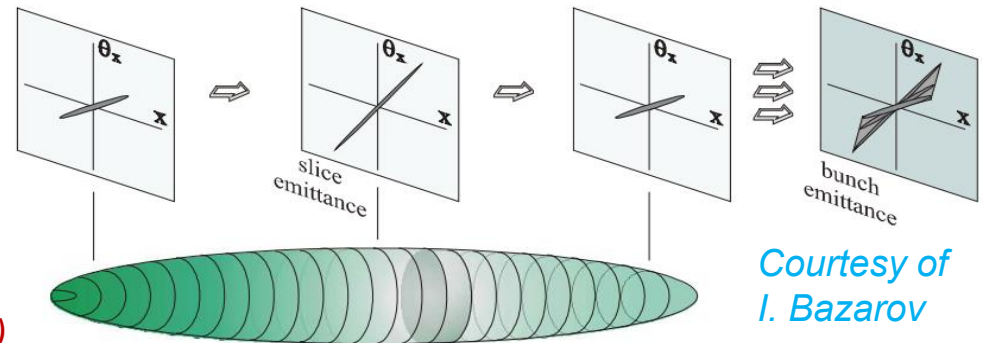
# emittance of low charge electron beams

- Project and slice emittance

- $\epsilon_{\text{rf}}$ ,  $\epsilon_{\text{optics}}$ ,  $\epsilon_{\text{sc}}$ ,  $\epsilon_{\text{intri}}$ , ...

*B. E. Carlsten, NIMA 285, 313 (1989)*

*Serafini & Rosenzweig, PRE 55, 7565 (1997)*



- $\epsilon_{\text{rf}}$ , mainly projected emittance, is much reduced for smaller beam dimensions

$$\epsilon_{\text{rf}} = \frac{eE_0}{2\sqrt{2}mc^2} \sigma_x^2 \sigma_\phi^2, \quad \langle \phi \rangle = 90^\circ$$

*K.-J. Kim, NIMA 275, 201 (1989)*

- $\epsilon_{\text{optics}}$  - chromatic and spherical aberrations

- Chromatic: different  $x - x'$  slope for different slice energy
- Spherical: nonlinearity in slice  $x - x'$  distribution – might be corrected

*An Engineering Guide to Photoinjectors, T. Rao and D. H. Dowell, Eds.*

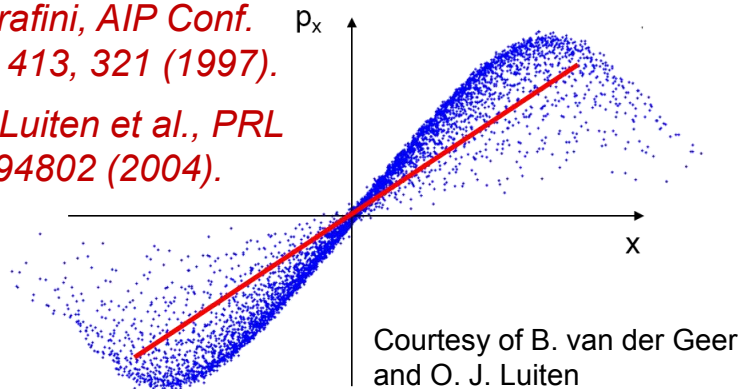
# Beam shaping – low charge but still high charge density

SLAC

- Uniformly filled ellipsoidal is ideal – linear SC forces and phase-space

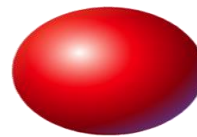
*L. Serafini, AIP Conf. Proc. 413, 321 (1997).*

*O. J. Luiten et al., PRL 93, 094802 (2004).*



**Thermal-emittance-limited beam!**

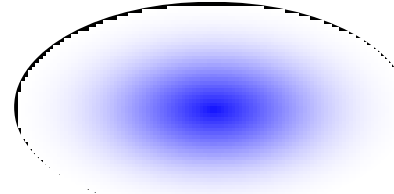
**Uniformly filled ellipsoidal bunch**



Space charge forces:

- Linear
- Slice-independent

**Gaussian bunch**

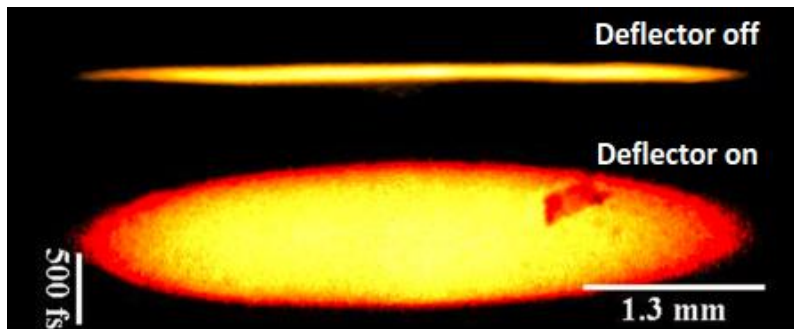


Space charge forces:

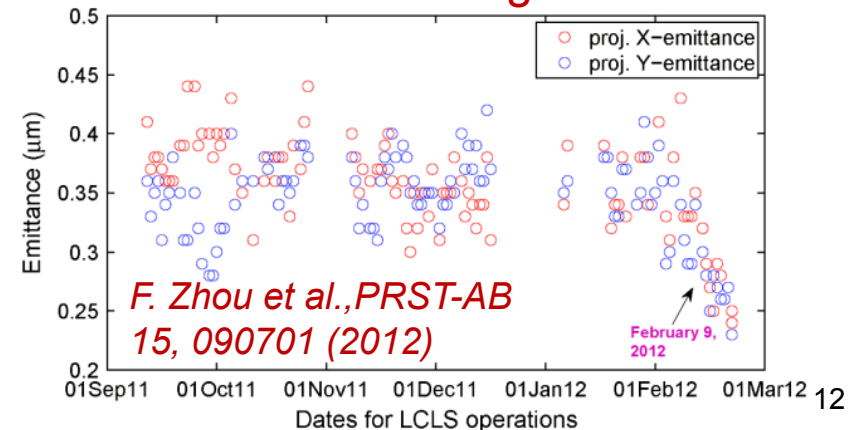
- Non-linear
- Slice-dependent

- Practical and robust in experiment – *transverse shaping of ultrashort laser*

**Useful even for longer UV laser**



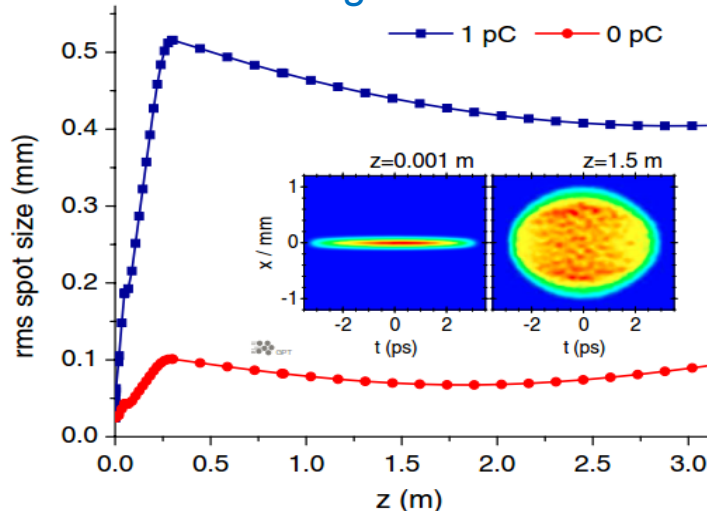
*P. Musumeci et al., PRL 100, 244801 (2008)*



# Cigar-shape beams

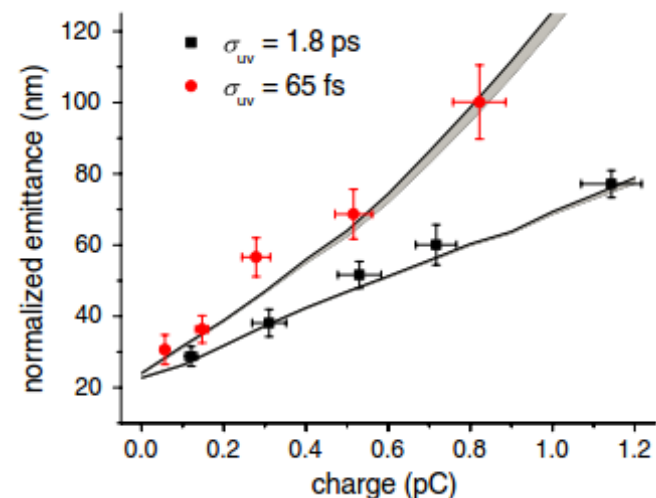
- Pancake regime: relatively large initial spot size and intrinsic emittance
- Cigar regime – an alternative way to generate 3D ellipsoid beam
  - Tiny laser spot (10s of  $\mu\text{m}$ ) on the cathode, hence very low  $\epsilon_{\text{intri}}$
  - Long (several ps), parabolic laser temporal profile
  - Transverse SC expansion creates ellipsoidal beam, again

Transverse SC expansion, & frozen longitudinal motion.



*R. K. Li et al., PRST-AB 15, 090702 (2012)*

Ideal regime for ultralow charge, nm-emittance beams!

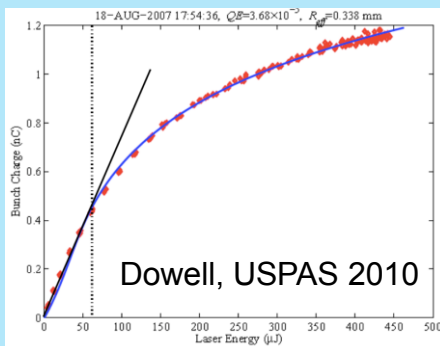


# Space charge limit in emission

- pancake

Maximum surface charge density set by the extraction field

$$\frac{Q}{\pi R^2} < \epsilon_0 E_0$$

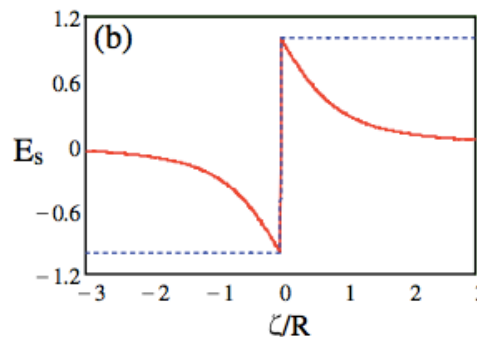
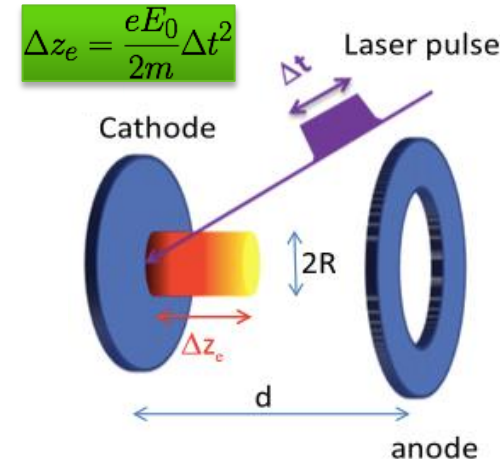


Courtesy of P. Musumeci

$R > \Delta z_e$  pancake regime

$R < \Delta z_e$  cigar regime

$$\Delta z_e = \frac{eE_0}{2m} \Delta t^2$$

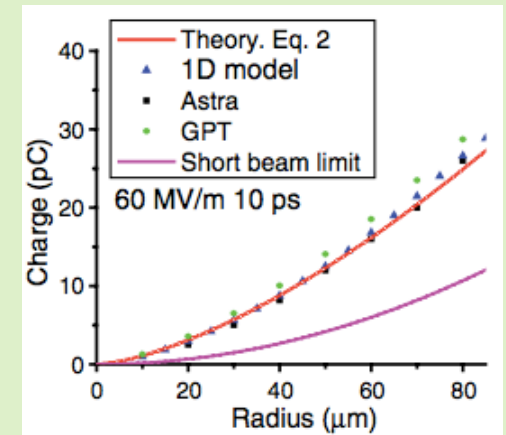


— Finite transverse dimensions  
 - - - Infinite transverse dimensions

- cigar

Only charge within a radius distance from the cathode contributes to space charge field

$$Q = J_{CL} \pi R^2 \propto \frac{V^{\frac{3}{2}}}{d^2} R^2 \propto (E_0 R)^{\frac{3}{2}}$$

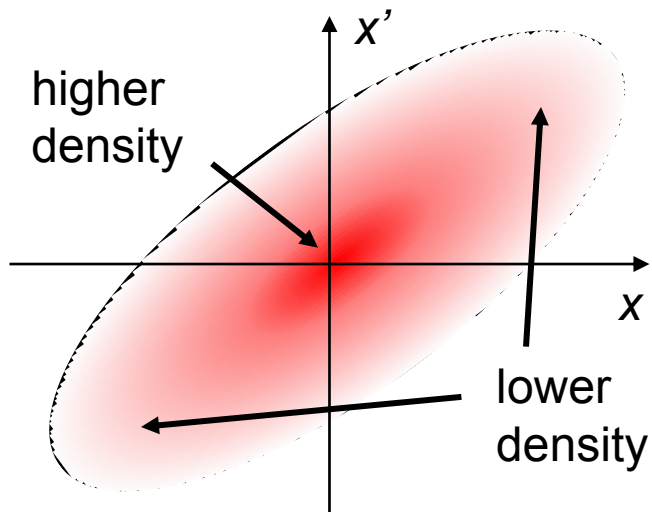


*D. Filippetto et al., PRST-AB 17, 024201 (2014)*



# Collimation can improve the brightness

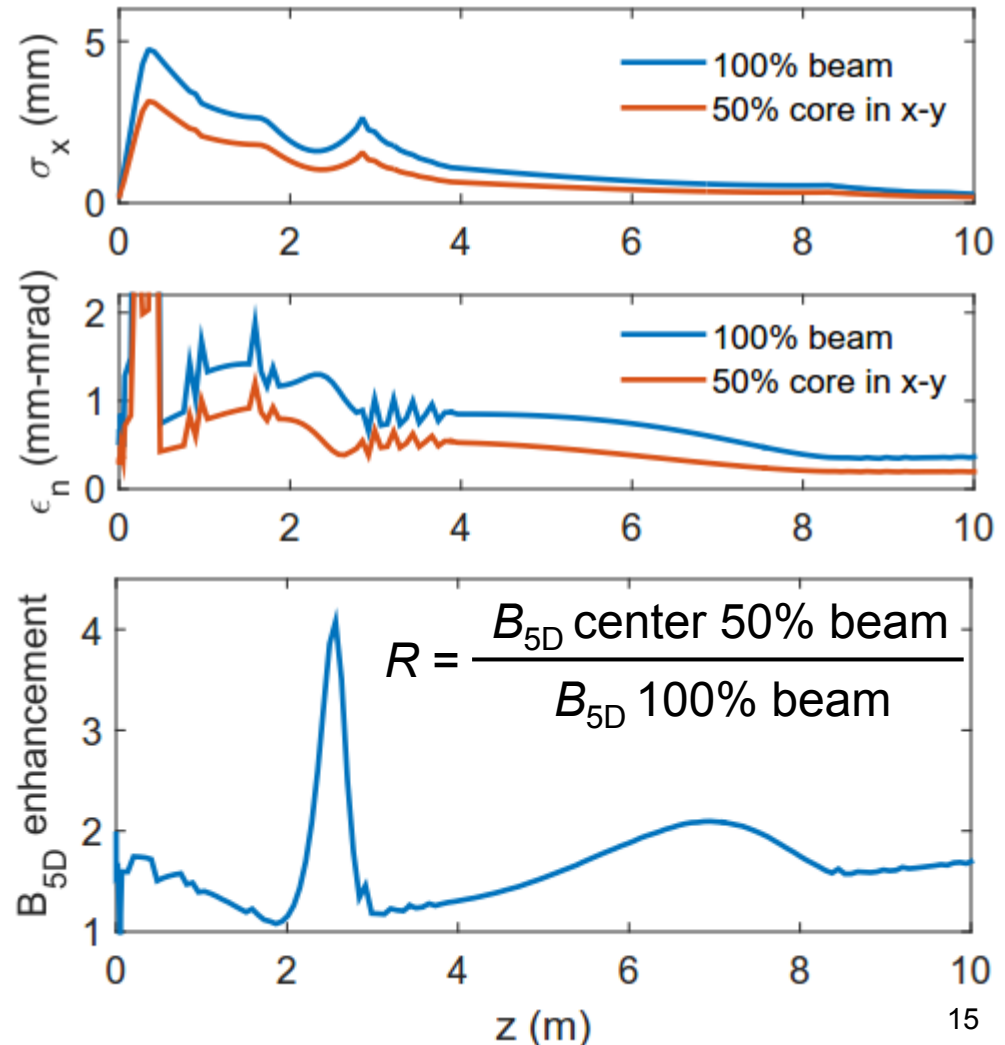
- Part of the beam (always) has higher phase-space density



- outside electrons help maintain the high density in the core

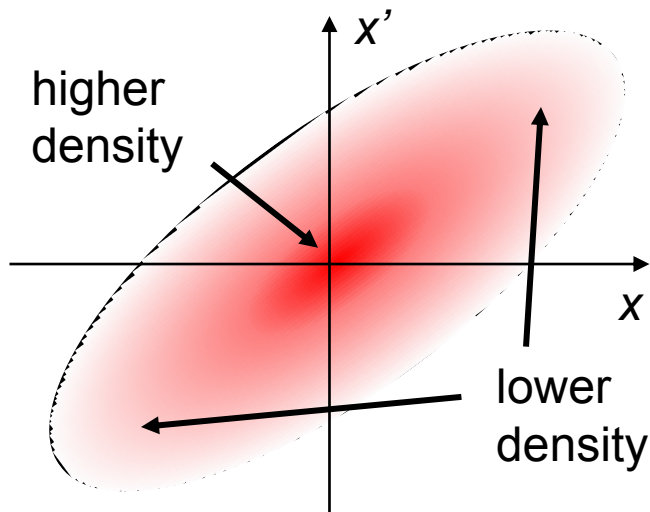
'Good' and 'bad' electrons? The bad ones make the others better.

a circular collimator pick the center 50% beam



# Collimation can improve the brightness

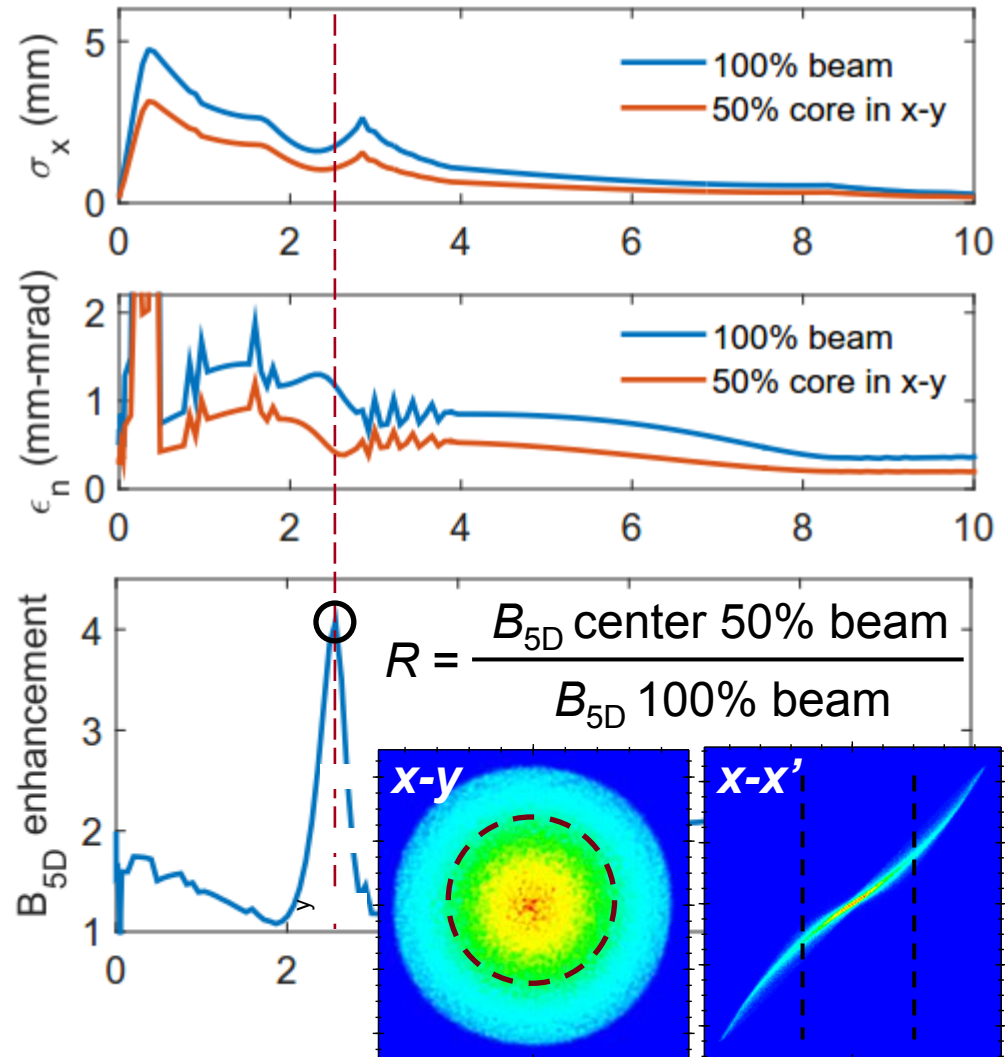
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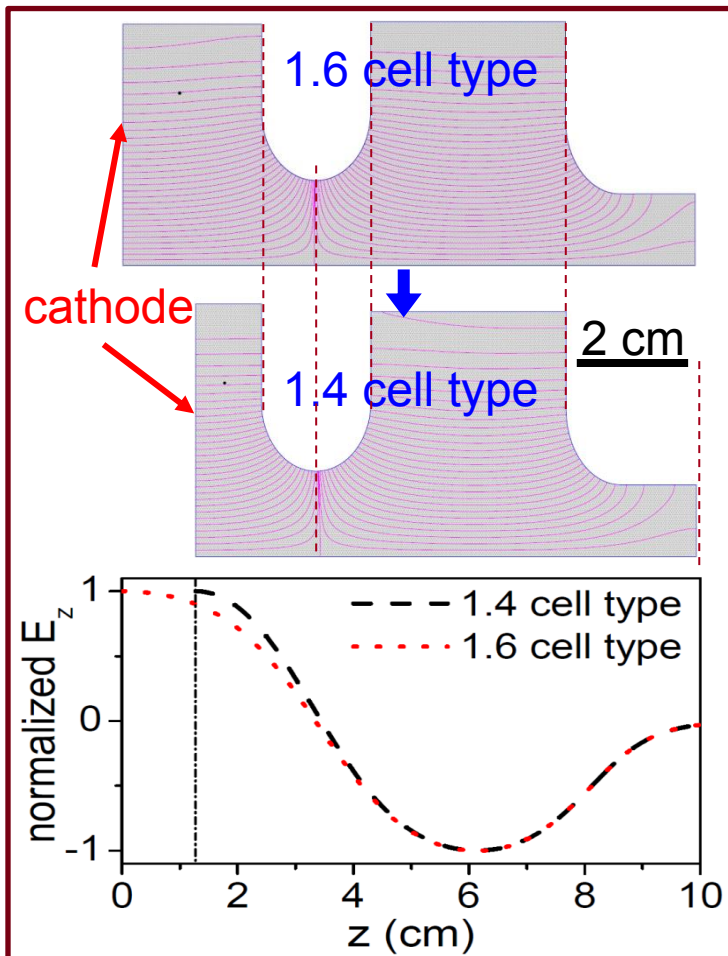
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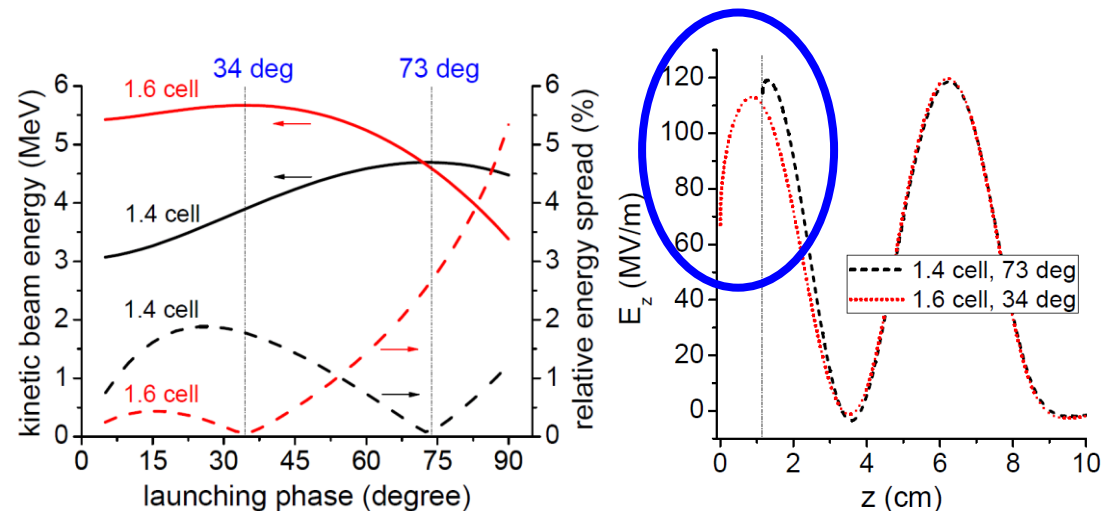
# Higher extraction field - new gun geometry

- Brightness depends on  $E_0$ :  $B_{5D} \propto E_0$  (pancake) and  $B_{5D} \propto E_0^{3/2}$  (cigar)
- Higher  $E_0$  allows more emission, also suppresses SC induced emittance growth



- 1.6 cell to 1.4 cell shifts the launching phase from  $30^\circ$  to  $70^\circ$ . Note  $\sin(70^\circ) = 0.94$ .
- $E_0$  roughly x2 times higher

*R. K. Li and P. Musumeci, PRApplied 2, 024003 (2014)*



# Intrinsic emittance

Thermal emittance  $\epsilon_n = \sigma_x \sqrt{\frac{\hbar\omega - \phi_{\text{eff}}}{3mc^2}}$

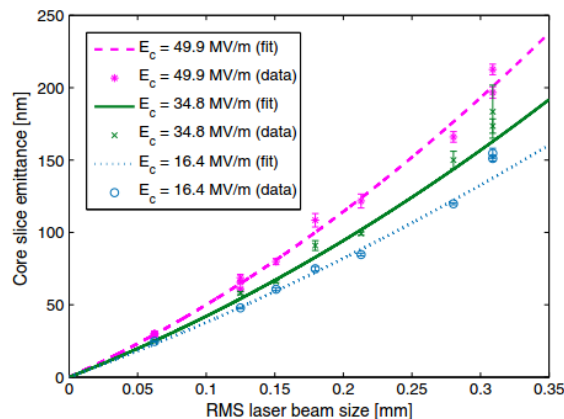
Quantum efficiency  $\text{QE}(\omega) \propto (\hbar\omega - \phi_{\text{eff}})^2$

Minimizing  $\hbar\omega - \phi_{\text{eff}}$  can reduce  $\epsilon_n$ ,  
but at the cost of QE

*Dowell and Schmerge, PRST-AB 12, 074201 (2009)*

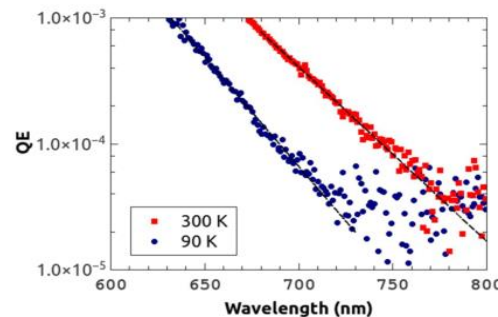
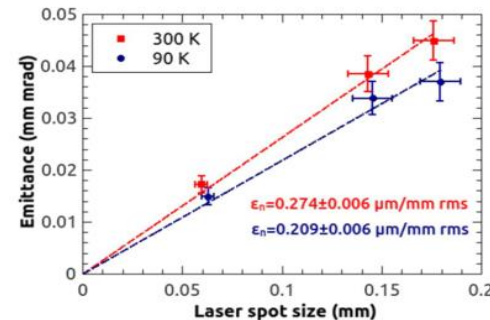
**Cu: 0.35 mm-mrad/mm**  
w/ mid- $10^{-5}$  QE @ PSI

Cathode field MV/m	Quadratic component nm/mm <sup>2</sup>	$\epsilon_{\text{int}}/\sigma_l$ nm/mm
49.9	$724 \pm 84$	$428 \pm 16$
34.8	$505 \pm 137$	$370 \pm 25$
16.4	$321 \pm 105$	$346 \pm 25$



*Prat, PRST-AB 18, 063401 (2015)*

**Cs<sub>3</sub>Sb: 0.21 mm-mrad/mm**  
w/  $7 \times 10^{-5}$  QE @ Cornell



*Cultrera, arXiv:1504.05920*

- Effects of surface roughness
- Tuning extraction field and photon energy independently
- Limitation due to laser damage of the cathode and demand on laser power
- Explore more exotic emission mechanism
- Don't forget the temporal response of the photo-emission

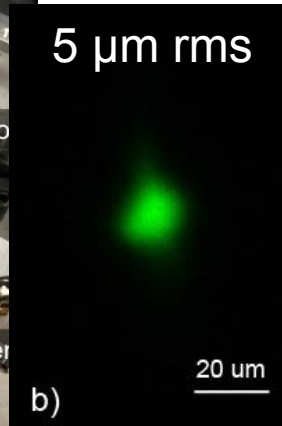
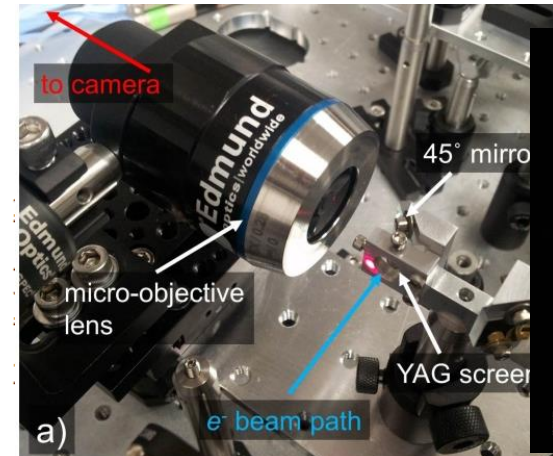
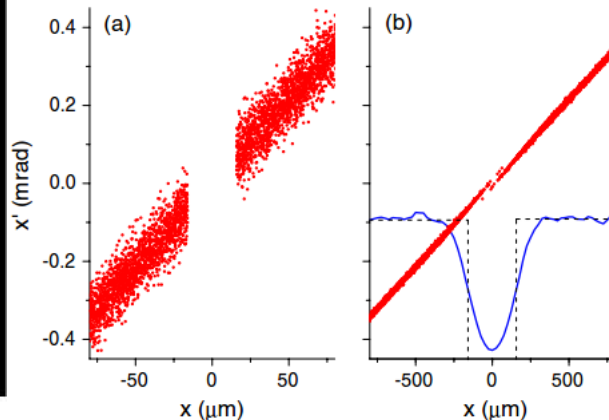
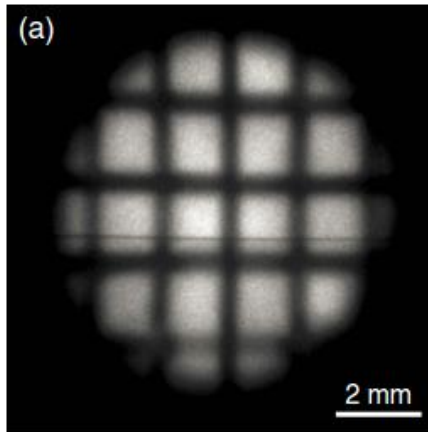
# Measure nanometer emittance

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Knife-edge, single-shot emittance measurement

*R. K. Li et al., PRST-AB 15, 090702 (2012)*

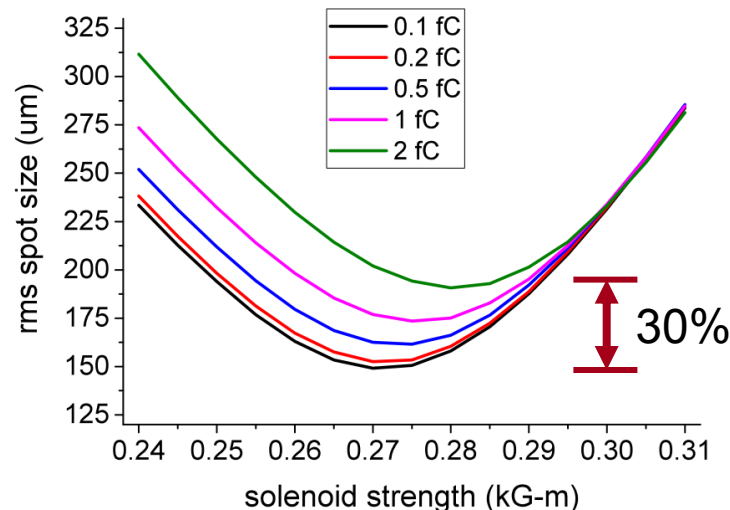
Relies on high spatial-resolution measurement of low charge beams



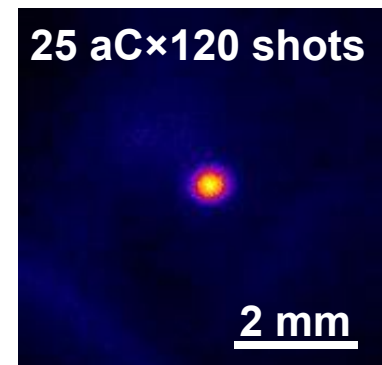
- Low charge  $\neq$  low charge density
- SC effects should be carefully evaluated

$$R_0 = \frac{I\sigma_0^2}{2I_0\gamma\epsilon_n^2}$$

*S. G. Anderson et al., PRST-AB 5, 014201 (2002)*



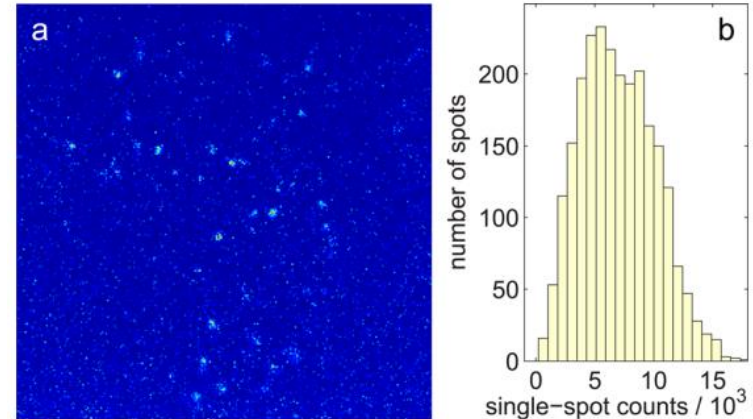
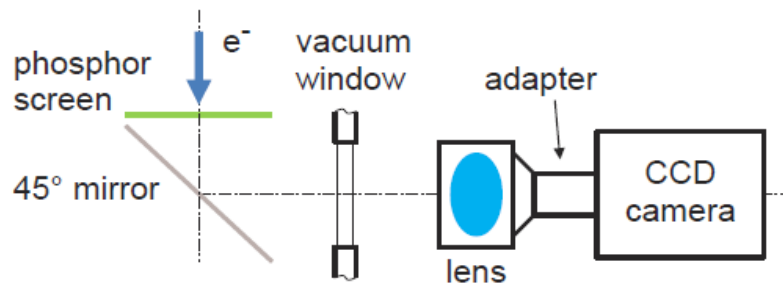
Solenoid scan using very low charge





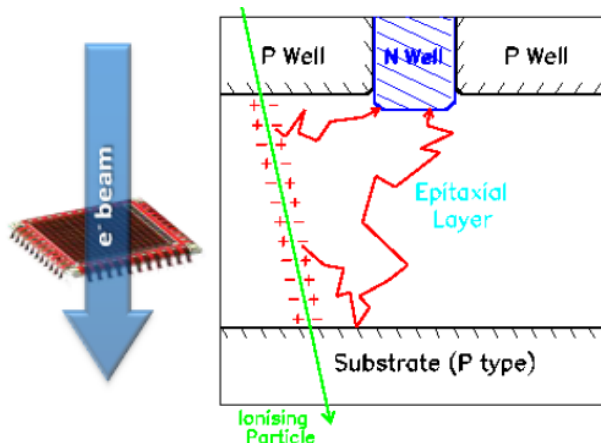
# Imaging single electrons

Optimized phosphor screen + high collection optics + Electron Multiplying CCD (EMCCD).  
Achieved Single electron detection capability !



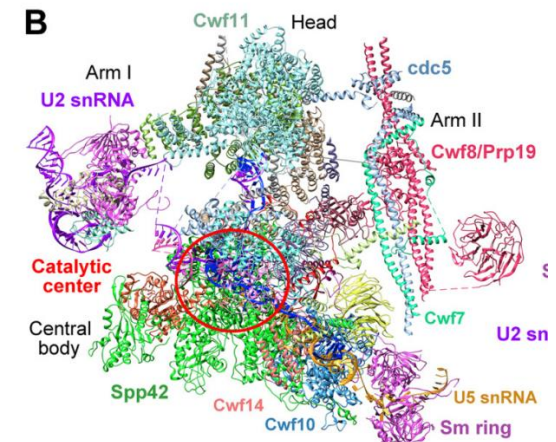
*R. K. Li et al., J. Appl. Phys. 110, 074512 (2011)*

## CMOS direct detection detector



- Single e- sensitivity
- Excellent PSF (<10  $\mu\text{m}$ )
- Fast readout (>400 fps)
- Radiation hard (yrs lifetime) at 300 keV
- Commercialized for electron microscope

## Revolutionary impact on cryo-EM

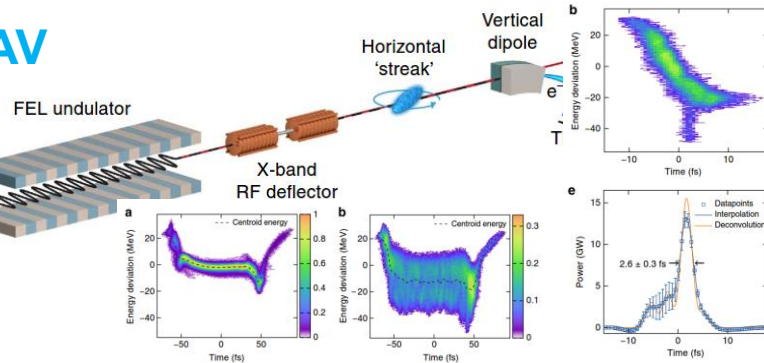


*Y. G. Shi et al., 10.1126/science.aac7629*

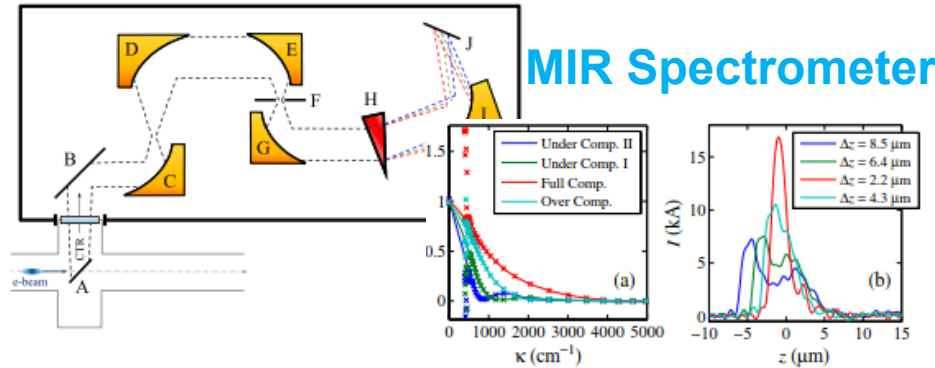
# Femtosecond bunch length measurement

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XTCMV



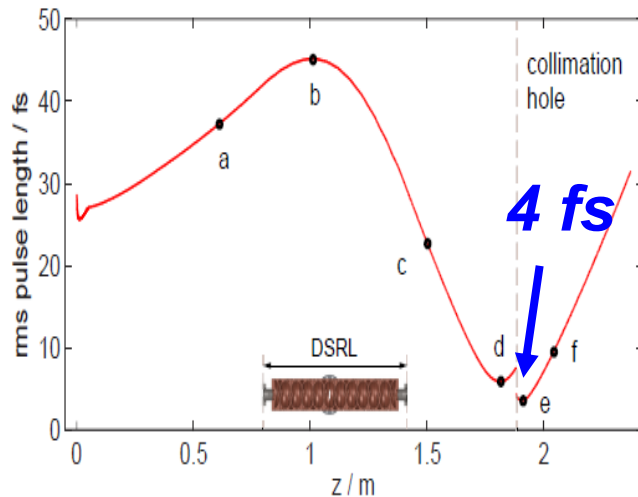
C. Behrens et al., Nature Commun. 5, 3762 (2014)



T. J. Maxwell et al., PRL 111, 184801 (2013).

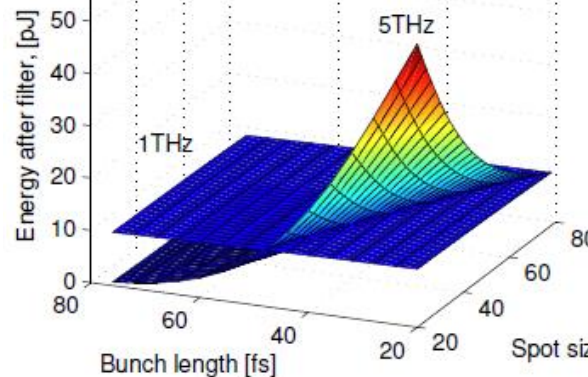
How to measure only 5 MeV, <10 fs beams?

- 10 fs UED, external injection
- Beam is only short within a few cm



R. K. Li et al., JAP 110, 074512 (2011)

Study the spectrum of CTR  
(Compare 1 THz and 5 THz)

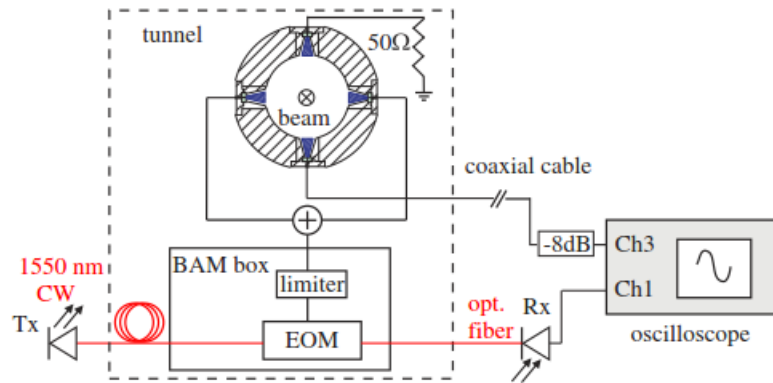


- Use Bolometer
- Strong dependence on transverse spot size
- Can be limited by rf phase and amplitude jitter

X. H. Lu et al., PRST-AB 8, 032802 (2015)

# Time-of-Arrival monitor

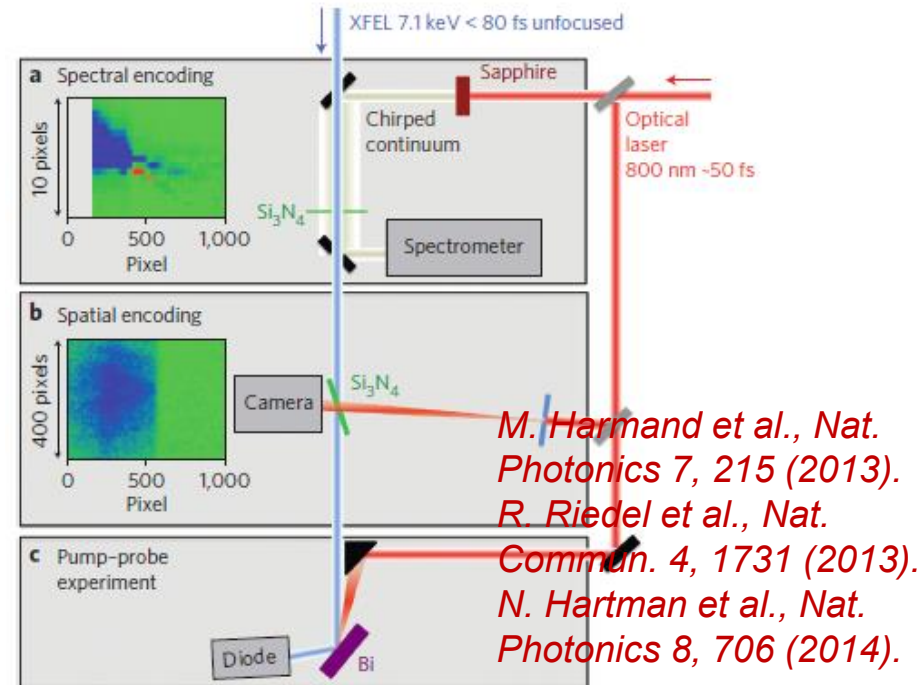
## Bunch arrival-time monitor (BAM)



*A. Angelovski et al., PRST-AB18, 012801 (2015)*

- sub-10 fs for 20 pC beams (FLASH, ELBE, and SwissFEL), similar BAM at LCLS
- Cone-shape can be optimized for lower beam charge (REGAE)
- But, there is extra jitter due to the regen and user laser

## Optical cross-correlation



- Direct measurement between pump (optical laser) and probe (x-ray).
- Same principle could work for e-beams. (Cesar & Musumeci et al.)

# Using ultralow charge beams for FELs

FELs enabled by a few pC, <30 nm-rad, kA electron beams

- Single-spike SASE  $\sigma_z \sim 2L_c$ : sub-fs pulses
- Compact FELs

## 1 pC-case studies



Contents lists available at ScienceDirect  
**Nuclear Instruments and Physics Research**  
journal homepage: [www.elsevier.com/locate/nima](http://www.elsevier.com/locate/nima)



Contents lists available at ScienceDirect

**Nuclear Instruments and Methods in Physics Research A**

journal homepage: [www.elsevier.com/locate/nima](http://www.elsevier.com/locate/nima)



### Development of ultra-short pulse, single coherent spike for SASE X-ray FELs

S. Reiche<sup>\*</sup>, P. Musumeci, C. Pellegrini, J.B. Rosenzweig

*UCLA, Department of Physics and Astronomy, 405 Hilgard Ave., Los Angeles, CA 90095, USA*

### Generation of ultra-short, high brightness electron beams for SASE FEL operation

J.B. Rosenzweig<sup>a,\*</sup>, D. Alesini<sup>c</sup>, G. Andonian<sup>a</sup>, M. Boscolo<sup>c</sup>, M. Dunning<sup>a</sup>, L. Faillace<sup>b,c</sup>, M. Ferrario<sup>c</sup>, A. Fukusawa<sup>a</sup>, L. Giannessi<sup>e</sup>, E. Hemsing<sup>a</sup>, G. Marcus<sup>a</sup>, A. Marinelli<sup>b,c</sup>, P. Musumeci<sup>a</sup>, B. O'Shea<sup>a</sup>, L. Palumbo<sup>b,c</sup>, C. Pellegrini<sup>a</sup>, V. Petrillo<sup>d</sup>, S. Reiche<sup>a</sup>, C. Ronsivalle<sup>e</sup>, B. Spataro<sup>c</sup>, C. Vaccarezza<sup>c</sup>

<sup>a</sup>UCLA Department of Physics and Astronomy, 405 Hilgard Ave., Los Angeles, CA 90095, USA

<sup>b</sup>Università degli Studi di Roma La Sapienza, Via Antonia Scarpa 14, Rome 00161, Italy

<sup>c</sup>INFN-LNF, via E. Fermi, 40-00044 Frascati, Rome, Italy

<sup>d</sup>INFN-Milano, Via Celoria 16, 20133 Milan, Italy

<sup>e</sup>ENEA, via E. Fermi, 00044 Frascati, Rome, Italy

*Y. Ding's talk, TUA01, Generating Femtosecond to Sub-Femtosecond X-Ray Pulses at Free Electron Lasers*

- more challenging diagnostics and beam control (especially at existing facilities)
- Consider collective effects: wakefields, LSC, CSR ( $\delta_{\text{CSR}} \propto I\sigma_z^{-1/3}$ )

# Acknowledgement

- Many of the works done at UCLA with P. Musumeci
- Colleagues at SLAC, UCLA, and Tsinghua University
- Y. Ding, V. Dolgashev, P. Emma, D. Filippetto, J. Frisch, Z. Huang, T. Maxwell, T. Raubenheimer, J. Rosenzweig, J. Schmerge, C. X. Tang, T. Vecchione, L. Wang, X. J. Wang, and F. Zhou for helpful discussions
- Work supported by U.S. Department of Energy



- We can produce ultrahigh brightness with ultralow beam charge
- Charge density still high – require beam shaping and collimation
- Control the photoemission process – emittance and current density
- New techniques/detectors to measure these beams – both in x-y and in time
- Energy spread not discussed here but critical for micro-bunching and harmonic-generation for FELs, and chromatic effects in UEMs
- Merging FEL and TEM beams – ultrafast, ultra-narrow and ultra-stable
- *High brightness, high precision frontier of photoinjectors*
- Understand and control each e- nicely, and use it for good science.

***Thank you for your attention!***