## FEL COHERENCE BELOW THE SHOT-NOISE LIMIT AND ITS FUNDAMENTAL LIMITS

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# **SASE (Noise) Minimization**

#### New Concept:

 Is it possible to enhance coherence and relax seeding power requirements for XUV FELs by reducing the input noise?

#### •What are the fundamental limits of FEL coherence?

#### Notes:

•The velocity and current shot noise terms <u>are</u> correlated at the entrance to the wiggler!

•In the art of microwave tubes, schemes for low-noise e-beam tube amplifiers were developed.

•Electron beam noise dynamics in the optical regime was observed in SLAC and Bessy.

# Small Signal FEL Model (Linear Response)

$$n(\underline{\mathbf{r}}, \mathbf{t}) = n_0(\underline{\mathbf{r}}) + \operatorname{Re}\left[\widetilde{\mathbf{n}}^{\,\omega}(\underline{\mathbf{r}}_{\perp}, z)e^{-i\omega t}\right]$$
$$\underline{v}(\underline{\mathbf{r}}, t) = \underline{v}_0(\underline{\mathbf{r}}) + \operatorname{Re}\left[\underline{\widetilde{v}}^{\,\omega}(\underline{\mathbf{r}}_{\perp}, z)e^{-i\omega t}\right]$$
$$J(\mathbf{r}, t) = J_0(\mathbf{r}) + \operatorname{Re}\left[\underline{\widetilde{\mathbf{J}}}^{\,\omega}(\mathbf{r}_{\perp}, z)e^{-i\omega t}\right]$$

$$\underline{\underline{E}}(\underline{\underline{r}},t) = \operatorname{Re}\left[\sum_{q} C_{q}^{\omega}(z) \underline{\underline{\widetilde{E}}}_{q}(\underline{\underline{r}}_{\perp}) e^{ik_{z_{q}}z - i\omega t}\right]$$

$$\underline{\underline{\widetilde{H}}}_{q}(z) = \text{Laplace}^{-1}(\underline{\underline{\widetilde{H}}}_{q}(s))$$

$$\begin{bmatrix} C_q^{\omega}(z) \\ \tilde{i}^{\omega}(z) \\ \tilde{v}_z^{\omega}(z) \end{bmatrix} = \sum_{j=1}^3 e^{i\delta k_j z} \cdot \begin{bmatrix} h_j^{EE} & h_j^{Ei} & h_j^{Ev} \\ h_j^{iE} & h_j^{ii} & h_j^{iv} \\ h_j^{vE} & h_j^{vi} & h_j^{iv} \end{bmatrix} \cdot \begin{bmatrix} C_q^{\omega}(0) \cdot e^{ik_z z} \\ \tilde{i}^{\omega}(0) \cdot e^{i(k_z + k_w) z} \\ \tilde{v}_z^{\omega}(0) \cdot e^{i(k_z + k_w) z} \end{bmatrix}$$

$$\partial k_{j} \left( \partial k_{j} - \theta - \theta_{pr} \right) \left( \partial k_{j} - \theta + \theta_{pr} \right) + \Gamma^{3} = 0$$

$$\underline{\underline{h}}_{j} = \operatorname{Res}\left[\underline{\underline{H}}(s)\right]\Big|_{s=i\partial k_{j}}$$

E. Dyunin, A. Gover, NIM A 593, 49 (2008)

# **Coherent Start-Up**

$$P(L) = \left| C_q^{\omega}(L) \right|^2 = \left| \widetilde{H}^{EE}(\omega) \cdot C_q^{\omega}(0) + \widetilde{H}^{Ei}(\omega) \cdot \widetilde{i}^{\omega}(0) + \widetilde{H}^{Ev}(\omega) \cdot \widetilde{v}_z^{\omega}(0) \right|^2$$

FEL Amplifier (Seed Radiation Injection):

$$P(L) = \left| \widetilde{H}^{EE}(\omega) \right|^2 \left| C_q^{\omega}(0) \right|^2 = G(\theta(\omega)) P(0)$$

Pre-bunched (superradiant) FEL\*:

$$P(L) = \left| \widetilde{H}^{Ei}(\omega) \cdot \widetilde{i}^{\omega}(0) + \widetilde{H}^{Ev}(\omega) \cdot \widetilde{v}_{z}^{\omega}(0) \right|^{2}$$

\*I.Schnitzer, A.Gover, NIM, A237, 124 (1984),

M. Arbel, A. Gover, PRL, 86, 2561 (2001), A. Gover, PR-ST-AB, 8, 030701 (2005)

# **Incoherent Start-Up**





#### **Spontaneous emission & SASE:**

$$\left\langle \frac{dP(L)}{d\omega} \right\rangle_{beam-noise} = \frac{2}{\pi} \frac{\left\langle \left| \widetilde{H}^{Ei}(\omega) \cdot \widetilde{i}^{\omega}(0) + \widetilde{H}^{Ev}(\omega) \cdot \widetilde{v}_{z}^{\omega}(0) \right|^{2} \right\rangle_{T}}{T}$$

## SEED-Radiation vs. Current Shot-Noise (SASE)





$$(s_{pb} = \frac{1}{32A_{em}} \sqrt{\frac{\mu}{\varepsilon}} \left(\frac{a_w}{\gamma\beta_z}\right)^2)$$

### **Coherent power dominates FILTERED SASE power:**





#### **VISA**

	$\Delta \omega_{\rm HG} \cong 2.5 \ { m THz}$	$\Delta \omega_{\rm s} \cong 1  {\rm THz}$
P <sub>s</sub> (0) >	0.5 W	0.2 W

# **SASE Power Control**



# Dynamics of beam plasma longitudinal oscillation in a drift region (moving frame)



 $(\theta_{pr} = \omega_{pr}' / v_{z0})$ 

# Dynamics of random beam plasma longitudinal oscillation in a drift section (moving frame)

$$z = \pi/2\theta_{\rm pr}$$



E-Beam Plasma oscillation dynamics in the non-radiating Sections: acceleration and drift sections.



#### Quarter Period Plasma Oscillation in a Drift Section

For 
$$L = \pi/2\theta_{prd}$$
:  
$$\underline{\underline{M}} = \begin{pmatrix} 0 & -i/W_d \\ -iW_d & 0 \end{pmatrix} e^{i\phi_b}$$

$$If \quad \widetilde{V}(0) = 0,$$
  
then:  $\widetilde{I}(L) = 0$   
 $\widetilde{V}(L) = -ie^{i\phi_b}W_d\widetilde{I}(0)$ 

#### **Accelerator + FEL**



$$\begin{split} \widetilde{H}_{\text{TOT}}^{\text{Ei}} &= \widetilde{H}_{\text{FEL}}^{\text{Ei}} \widetilde{M}_{\text{acc}}^{\text{ii}} + \widetilde{H}_{\text{FEL}}^{\text{EV}} \widetilde{M}_{\text{acc}}^{\text{Vi}} \\ \widetilde{H}_{\text{TOT}}^{\text{EV}} &= \widetilde{H}_{\text{FEL}}^{\text{Ei}} \widetilde{M}_{\text{acc}}^{\text{iV}} + \widetilde{H}_{\text{FEL}}^{\text{EV}} \widetilde{M}_{\text{acc}}^{\text{VV}} \end{split}$$

#### With drift section preceding the FEL $(\phi_p = \theta_{prd} L_d)$

$$\textbf{FEL:} \quad A \equiv \left| \frac{\widetilde{H}_{FEL}^{EV}}{\widetilde{H}_{FEL}^{Ei}} \right| = \frac{\omega_0 e I_b}{\Gamma \gamma_{z0}^2 \gamma_0 m v_{z0}^2}$$

Effective beam noise input powers at FEL entrance:

Current transfer Velocity transfer <<1  

$$\left(\frac{dP}{d\omega}\right)_{in}^{i} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^{2}} eI_{b} \left| \cos \phi_{p} - i e^{i\pi/3} AW_{d} \sin \phi_{p} \right|^{2}$$

$$\left(\frac{dP}{d\omega}\right)_{in}^{V} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^{2}} \left(\frac{\delta E_{ef}}{W_{d}}\right)^{2} \left| \sin \phi_{p} + i e^{i\pi/3} AW_{d} \cos \phi_{p} \right|^{2}$$

$$\left(\frac{dP}{d\omega}\right)_{in}^{iV} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^2} \delta E_{ef} \frac{\delta v_c}{v_{c0}} A$$

FEL:  
Out  
With drift section preceding the FEL 
$$(\phi_p = \theta_{prd} L_d)$$
  
 $A \equiv \left| \frac{\widetilde{H}_{FEL}^{EV}}{\widetilde{H}_{FEL}^{Ei}} \right| = \frac{\omega_0 e I_b}{\Gamma \gamma_{z0}^2 \gamma_0 m v_{z0}^2}$ 
0

#### **Effective beam noise input powers at FEL entrance:**

$$\left( \frac{dP}{d\omega} \right)_{in}^{i} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^{2}} eI_{b}$$

$$\left( \frac{dP}{d\omega} \right)_{in}^{V} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^{2}} eI_{b}$$

$$\left(\frac{dP}{d\omega}\right)_{in}^{V} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^2} eI_b \cdot \left(\frac{\omega_0 OV_{z0}}{\Gamma V_{z0}^2}\right)$$

$$\left(\frac{dP}{d\omega}\right)_{in}^{iV} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^2} eI_b \cdot \left(\frac{\omega_0 \delta v_{z0}}{\Gamma v_{z0}^2}\right) \left(\frac{\delta v_{zc}}{v_{z0c}}\right)$$

#### Minimum beam - noise:



$$K_{v} \equiv 2r_{p} \frac{\gamma_{z0}^{2} \gamma_{0} \beta_{z0}^{3}}{\gamma_{z0d}^{2} \gamma_{0d} \beta_{z0d}^{3}} (A_{e} k \Gamma) \frac{\delta E/e}{\sqrt{\mu_{0}/\varepsilon_{0}} I_{b}}$$

#### **Fundamental Coherence Limits**

A Conservative of motion in a nondissipative e-beam transport section:

Minimum input noise:

$$\left(\frac{\mathrm{d}P_{\mathrm{in}}}{\mathrm{d}\omega}\right)_{\mathrm{min}} = \frac{\delta E_{\mathrm{c}}}{\pi} + \frac{1}{1 + \mathrm{e}^{-\hbar\omega/\mathrm{kT}}}$$

**Microwave/THz regime:** 

$$\left(\frac{dP_{in}}{d\omega}\right)_{min} = \frac{\delta E_{c}}{\pi} + k_{B}T \qquad \approx \frac{\delta E_{c}}{\pi}$$

 $\overline{\left|\breve{i}_{c}\right|^{2}} \overline{\left|\breve{V}_{c}\right|^{2}} = (\delta E_{c})^{2}$ 

**Optical regime:** 

$$\left(\frac{dP_{in}}{d\omega}\right)_{\min} = \frac{\delta E_c}{\pi} + \hbar\omega \qquad \approx \hbar\omega$$

# CONCLUSION

- It is possible to adjust the e-beam current shotnoise level by controlling the longitudinal plasma oscillation dynamics.
- This can be used to enhance FEL coherence and relax seeding power requirement.
- After elimination of shot noise, IR/XUV FEL coherence is ultimately limited by the quantum input noise  $dP/d\omega = \hbar\omega$ .
- Warning: Coherence enhancement is limited by transverse Coulomb scattering (Boersch effect)

$$\widetilde{H}_{TOT}^{Ei} = \widetilde{H}_{FEL}^{Ei}$$
  
 $\widetilde{H}_{TOT}^{EV} = \widetilde{H}_{FEL}^{EV}$ 

$$\underline{\underline{\mathbf{M}}} = \mathbf{I} \quad \left(\mathbf{z}_{c} = \mathbf{0}\right)$$

$$\left(\frac{dP}{d\omega}\right)_{in}^{i} = \frac{2}{\pi} \frac{s_{pb}}{\Gamma^{2}} eI_{b}$$
$$\left(\frac{dP}{d\omega}\right)_{in}^{V} = \left(\frac{\omega_{0} \delta V_{z0}}{\Gamma V_{z0}^{2}}\right)^{2} \left(\frac{dP}{d\omega}\right)_{in}^{i}$$

$$\left(\frac{dP}{d\omega}\right)_{in}^{iV} = \left(\frac{\omega_0 \delta v_{z0}}{\Gamma v_{z0}^2}\right) \left(\frac{\delta v_{zc}}{v_{z0c}}\right) \left(\frac{dP}{d\omega}\right)_{in}^{i}$$

**Current shot-noise** 

**Velocity shot-noise** 

Kinetic power noise

$$\left(\frac{\mathrm{dP}}{\mathrm{d}\omega}\right)_{\mathrm{in}}^{\mathrm{E}} = \frac{\hbar\omega}{1 - \mathrm{e}^{-\hbar\omega/\mathrm{k}_{\mathrm{B}}\mathrm{T}}} \cong \hbar\omega$$

**Spontaneous/black-body** 

#### At the cathode or Virtual cathode

$$\begin{split} \text{Current shot noise:} & \overline{\left|i\right|^2} = eI_b \\ \text{Velocity Noise:} & \overline{\left|\overline{V}\right|^2} = \left(mc^2\delta\gamma_{ef}\right)^2 \\ \text{Kinetic power:} & \overline{i}\,\overline{V}^* = mc^2\left(\delta v_{zc} / v_{zc}\right)^2 \\ \delta E_{eff} &\equiv \gamma_{z0c}^2\gamma_{0c}v_{z0c}\delta v_{zc}mc^2 \qquad \left(=k_bT_c\right) \\ v_{z0c} &\equiv \left\langle v_{zj} \right\rangle_j \\ \left(\delta v_{zc}\right)^2 &\equiv \left\langle \left(v_{zj} - v_{z0c}\right)^2 \right\rangle_j \end{split}$$

## **Effective Input noise**

$$\left(\frac{\mathrm{dP}}{\mathrm{d}\omega}\right)_{\mathrm{in}}^{\mathrm{eff}} = \left(\frac{\mathrm{dP}(\mathrm{L}_{\mathrm{w}})}{\mathrm{d}\omega}\right)_{\mathrm{incoh}} / \left|\widetilde{\mathbf{H}}_{\mathrm{FEL}}^{\mathrm{EE}}\right|^2$$

**Coherence condition:** 

$$\left[P_{s}(0)\right]_{coh} >> \left(\frac{dp}{d\omega}\right)_{in}^{eff} \Delta \omega$$

## **Laplace Transform:**

$$\int_{0}^{\infty} f(z)e^{-sz}dz = L\{f(z)\} = \overline{f}(s)$$

$$\begin{bmatrix} \overline{C}_{q}(s) \\ \overline{i}(s + ik_{z} + ik_{w}) \\ \overline{v}_{z}(s + ik_{z} + ik_{w}) \end{bmatrix} = \overline{\underline{H}}_{q}(s) \begin{bmatrix} C_{q}(0) \\ \widetilde{i}(0) \\ \widetilde{v}_{z}(0) \end{bmatrix}$$

$$\underline{\overline{H}}_{q}(s) = \frac{1}{\Delta} \begin{bmatrix} (s - i\theta)^{2} + \theta_{pr}^{2} & \sqrt{s_{pb}}(s - i\theta) & -I_{b}\sqrt{s_{pb}}\frac{ik_{z}}{v_{0z}} \\ \sqrt{1/s_{pb}}iQ/I_{b} & \frac{s(s - i\theta)}{I_{b}} & \frac{-isk_{z}}{v_{0z}} \\ -\sqrt{1/s_{pb}}Q(s - i\theta)/I_{b} & \frac{-Q}{I_{b}} & s(s - i\theta)\frac{k_{z}}{v_{0z}} \end{bmatrix}$$

$$\theta = \frac{\omega}{v_{0_z}} - k_z - k_w \qquad \Delta = s \left( (s - i\theta)^2 + \theta_{pr}^2 \right) - iQ$$

$$s_{pb} = \frac{\sqrt{\mu_0/\varepsilon_0}}{32} \cdot \left(\frac{a_w}{\gamma\beta_z}\right)^2 \cdot \frac{1}{A_{em}} \qquad \qquad Q = \frac{\pi}{2} \cdot \frac{a_w^2}{\gamma^3 \gamma_z^2 \beta_z^5} \cdot \frac{I_b}{I_A} \frac{k_z}{A_{em}} A_{JJ}^2 = \Gamma^3 = (2k_w \rho)^3$$

## **High Gain Tenuous Beam Regime**

$$\underline{\widetilde{H}}_{q}(z) = \underline{\widetilde{h}}_{1} e^{-(1+i/\sqrt{3})(\omega-\omega_{0})^{2}/(2\Delta\omega_{HG}^{2})} e^{\frac{1}{2}\Gamma L(\sqrt{3}+i)} e^{-i\pi/12}$$



## Experimental Verification of Space Charge and Transit Time Reduction of Noise in Electron Beams\*



## Current Pre-bunching vs. Shot-Noise (SASE)

**µ-Pre-bunching:** 
$$P_{pb}(L,\omega) = \left| \widetilde{H}^{Ei}(\omega) \right|^2 \cdot \left| \widetilde{i}_{in}(\omega) \right|^2$$

**Shot noise:**  $\frac{dP_{noise}^{i}(L,\omega)}{d\omega} = \frac{2}{\pi} \frac{1}{9} e^{\sqrt{3}\Gamma L} \cdot S_{pb} \frac{e}{I_{b}\Gamma^{2}}$ 

$$P_{pb} > \frac{dP_{noise}^{i}}{d\omega} \Delta \omega_{HG} \implies \left| \tilde{i}_{in} (\omega_{0}) \right| > \sqrt{\frac{3^{3/4} e \lambda_{w}}{2\pi} \sqrt{\frac{\Gamma}{L}} \omega_{0} I_{b}} \right|$$

(VISA:  $i^{\omega} > 0.01\%$  from beam current  $I_b$ )

## **Relativistic Extention of Chu's Kinetic Voltage**

$$V(z,t) = -\frac{mc^2}{e} [\gamma(z,t)-1] =$$

$$= V_0(z) + \operatorname{Re}\left(\widetilde{V}(z)e^{-i\omega t}\right)$$

$$V_0(z) = -\frac{mc^2}{e} [\gamma_0(z)-1]$$

$$\widetilde{V}(z) = \frac{dV_0(z)}{dV_{z0}} \widetilde{v}_z(z) =$$

$$= -\left[\gamma_{z0}^2(z)\gamma_0(z)v_{z0}(z)m/e\right]\widetilde{v}_z(z)$$

#### Non – Dissipative section

$$\begin{split} & \left(\widetilde{i}(z) \atop \widetilde{V}(z)\right) = \left(\widetilde{i}(z) \atop \widetilde{U}(z)\right) e^{i\phi_{b}(z)} \\ & \varphi_{b}(z) = \omega \int_{0}^{z} dz' / v_{z0}(z') \\ & \left(\frac{d}{dz} \widetilde{U}(z) = \frac{1}{i\omega\epsilon_{0}A_{e}} \widetilde{i}(z) \right) \\ & \left(\frac{d}{dz} \widetilde{U}(z) = i\omega\epsilon_{0}A_{e}\theta_{pr}^{2}(z)\widetilde{U}(z)\right) \\ & \theta_{pr}(z) = \omega_{pr}'(z) / v_{z0}(z) \qquad \omega_{pr}' = r_{p} \left[ e^{2}n_{0} / \epsilon_{0}m\gamma_{0}\gamma_{z0}^{2} \right]^{1/2} \\ & \left(\frac{d^{2}}{dz^{2}} \widetilde{U}(z) - \theta_{pr}^{2}(z)\widetilde{U}(z) = 0\right) \end{split}$$



#### **Non – Dissipative section**

**Extended Chu's Kinetic power thm:** 

$$\operatorname{Re}\left[\widetilde{i}(L)\widetilde{V}(L)^{*}\right] = \operatorname{Re}\left[\widetilde{i}(0)\widetilde{V}(0)^{*}\right]$$



## E-Beam Plasma Dynamics in the non-radiating Sections: acceleration and drift sections

**Relativistic Extension of Chu's Kinetic Voltage:** 

$$V(z,t) = -\frac{mc^2}{e} [\gamma(z,t) - 1] =$$
$$= V_0(z) + \operatorname{Re}(\widetilde{V}(z)e^{-i\omega t})$$

$$\widetilde{V}(z) = \left[ \gamma_{z0}^{2}(z) \gamma_{0}(z) v_{z0}(z) m / e \right] \widetilde{v}_{z}(z)$$