# Extreme Compression of Heavy-Ion Beam Pulses: Experiments and Modeling



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...and the rest of the HIFS-VNL Team...

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#### 0) MOTIVATION AND ISSUES

- a) Overview
- b) Plasma neutralization-assisted focusing of space-charge-dominated beams

## 1) LONGITUDINAL COMPRESSION: ACCELERATION GAP EFFECTS

- a) Finite-size gap and voltage waveform
- b) Non-zero initial beam temperature (emittance)
- c) Initial pulse length  $t_{p}$ , intended fractional tilt *f*, and initial beam energy
- d) Comparison: theoretical models, particle-in-cell simulation, and experiment

#### 2) TIME-DEPENDENT TRANSVERSE DEFOCUSING EFFECT OF THE ACCELERATION GAP

- a) Description of the effect
- b) The "over-focusing" technique for simultaneous transverse and longitudinal compression

## 3) SIMULTANEOUS FOCUSING USING A STRONG FINAL-FOCUS SOLENOID

- a) Focal plane aberration due to static magnetic field and beam velocity tilt
- b) Supersonic cathodic-arc plasma injection into the high-field region from the low-field end
- c) Collective excitations during the beam-plasma interaction for  $n_b > n_p$



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### Relevant research for many areas:

- Nonneutral plasma physics
  - Theoretical techniques, space-charge effects
- High-energy and nuclear physics
  - Accelerator physics and technology
- High-energy-density plasma physics
  - Warm-dense-matter studies
- Magnetic fusion plasma physics
  - Beam-plasma interaction, diagnostics
- Advanced nonlinear dynamics
  - Chaos, collective processes
- Advanced computing
  - PIC/hybrid approaches, parallel computation

### **Example parameters at target:**

4 GeV beam energy, ~16 beams

I ONGITUDINAL COMPRESSION: ~10 kA / beam, 10 ns pulses

### TRANSVERSE COMPRESSION. few mm radius

**TRANSPORT**/



Key scientific issues

- Development of high-current, compact ion sources and injectors
- Accelerate beams to large energies (HIF: GeV) at high intensities and currents (tens of kAs)
- Transport intense beams and transversely focus to small spot size (< 2 mm)</li>
- Longitudinally focus (compress in time) to short pulse widths (< 10 ns)
- Optimize targets robust to beam aiming errors
- Develop attractive chamber concepts



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Key physics issues affecting high-intensity ion beam propagation

- Quality of injected beam
- Emittance growth
- Beam-plasma instabilities
- Transport and focusing (transverse and longitudinal), and associated aberration
- Beam charge and current neutralization effects
- Ionization of beam and background gas
- Stray electron behavior
- Multiple beam effects

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Neutralized Transport Experiment (NTX) at LBNL



\*P. K. Roy, et. al., *Nucl. Instrum. Methods Phys. Res. A* 544, 225 (2005).
 \*E. Henestroza, et. al., *Phys. Rev. ST Accel. Beams* 7, 083501 (2004).
 \*P. K. Roy, et. al., *Phys. Plasmas* 11, 2890 (2004).









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The upgrade of NTX is the Neutralized Drift Compression Experiment (NDCX). Issues to study: fundamental limits of longitudinal compression, understand non-ideal experimental aspects, provide theory and simulation



#### Particle-in-cell simulations (LSP code): Used to model downstream end

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The upgrade of NTX is the Neutralized Drift Compression Experiment (NDCX). Issues to study: fundamental limits of longitudinal compression, understand non-ideal experimental aspects, provide theory and simulation



Used to model downstream end

Current compression ratio  $I_b^{max}/I_0$ Full-width, half-maximum pulse length  $t_{fwhm}$ 



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#### Ideal waveform (dV/dt < 0) depends on:

Initial beam energy:  $E_0 = 400 \text{ keV}$ Initial pulse length:  $t_p = 300 \text{ ns}$ Intended fractional tilt:  $f = 0.5 = \Delta v/v_0$ 

Resulting drift length:  $L_d = 78.7$  cm





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The actual  $\Delta z = 3$  cm acceleration gap on NDCX













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## Longitudinal compression demonstrates $\sqrt{T_b}$ dependence





# Longitudinal compression demonstrates $\sqrt{T_{h}}$ dependence



The gap and "realistic" waveform add an effective ~45%  $T_{\parallel}$  increase to the initial  $T_{b}$ of the beam pulse, for these parameters, due to the reduction of the achieved f\* from the intended value f.

The geometrical constant [accounting for the acceleration gap and V(t) effects] depends on the gap geometry and V(t) compared to the pulse length and energy of the beam (as well as other beam parameters)

Comparison: infinitely thin gap and 3 cm gap





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Voltage waveform parameter variation in  $t_p$  with fixed f and  $E_0$ 







Color-coded results (initial  $T_b = 0.2 \text{ eV}$ ) at longitudinal focus

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Table 4.3: Longitudinal compression dependence on intended fractional tilt f for  $E_0 = 400$  keV,  $T_b = 0.2$  eV, and  $t_p = 300$  ns.

f	$I_b^{max}/I_0$	$t_{fwhm}$	$L_d$ [Eq. (4.20)]	$t^{foc}$ (PIC)	$z^{foc}$ (PIC)
0.3	210	1.4  ns	$136.8~\mathrm{cm}$	$1359.8 \ {\rm ns}$	+117.3 cm
0.4	260	1.0  ns	$100.7~\mathrm{cm}$	$1109.0 \ {\rm ns}$	+81.5 cm
0.5	320	0.7  ns	$78.7~\mathrm{cm}$	$959.0 \ \mathrm{ns}$	+59.5  cm
0.6	360	0.6  ns	$63.7~\mathrm{cm}$	859.1  ns	+44.5  cm
0.7	380	0.5  ns	$52.6 \mathrm{~cm}$	$787.8 \ \mathrm{ns}$	+33.5  cm
~ f <sup>C</sup> f ≤ ~ f <sup>C</sup> f ≧	<sup>0.82</sup> for ≤ 0.5, <sup>0.51</sup> for ≥ 0.5	~	f <sup>-1.23</sup>		

Color-coded results (initial  $T_b = 0.2 \text{ eV}$ ) at longitudinal focus







Beam energy accuracy in laboratory known to be approximately ≤ ±5%











Table 4.5: Longitudinal compression dependence on initial linear increase or decrease in  $E_b(t)$  by  $\pm 2\%$  with  $T_b = 0.2$  eV for  $E_0 = 400$  keV,  $t_p = 300$  ns, and f = 0.5.

			/ 1	, ,
$E_b$	$I_b^{max}/I_0$	$t_{fwhm}$	$t^{foc}$ (PIC)	$z^{foc}$ (PIC)
$400-392~{\rm keV}$	250	$0.8 \ \mathrm{ns}$	961.5  ns	+59.3 cm
$400 \ \mathrm{keV}$	320	$0.7 \ \mathrm{ns}$	$959.0 \ \mathrm{ns}$	+59.5  cm
$400-408~{\rm keV}$	250	$0.8 \ \mathrm{ns}$	$953.9~\mathrm{ns}$	$+59.3 \mathrm{~cm}$

22% decrease from optimal

Unintentional experimental beam energy inaccuracies, whether constant in time or not, will not reach the optimum compression compared to the desired  $E_0$  for the specific V(t) under consideration.





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[dV(t)/dt < 0] portion of the waveform

All particles participating in the axial velocity tilt receive a net divergence to their trajectories



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At peak





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The simplest compensation method is to transversely "over-focus" the beam







Over-focusing required to recover sufficient main pulse contrast and achieve desired  $r_b^{foc}(t)$ 



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A final-focus solenoid transversely *re-focuses* the longitudinally compressing beam, *controls* the transverse focal length, and *reduces* the amount of n<sub>p</sub> needed upstream.

Here,  $B_z = 150 \text{ kG}$  (~140 kG at focus),  $I^{\text{sol}} = 20 \text{ cm}$ ,  $r^{\text{sol}} = 3.8 \text{ cm}$ 

Amount of transverse compression sensitively depends on strength and positioning of solenoid





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Amount of transverse compression sensitively depends on strength and positioning of solenoid

An axial velocity tilt contributes to focusing aberration within a final-focus solenoid

Lower-energy head nominally focuses earlier in space and time Higher-energy tail nominally focuses later in space and time

$$-F_{\theta} = +q [(+v_z) \times (-B_r[r,z])] = -m_i (d\mathbf{v}/dt)_{\theta}$$
$$-F_r = +q [(-v_{\theta}) \times (+B_z[r,z])] = -m_i (d\mathbf{v}/dt)_r$$

Aberration depends on radius: beam particles entering the solenoid with same z (and E) but larger r acquire more  $-v_{\theta}$  and will not focus at same location









Consider three cases of  $J_{z}(t)$  and  $r_{b}(t)$  entering the chosen static final-focus solenoid



Can reduce aberration by allowing faster particles (arriving later) to have larger r<sub>b</sub> entering the solenoid

Slower head particles: desire weaker -Br to focus later t / longer z Faster tail particles: desire stronger -Br to focus earlier t / shorter z

yes Rationale for Case #2:  $-B_r(r) \sim r^1$  for most r < r<sup>sol</sup> and v<sub>7</sub> tilt approx. linear (expecting it to minimize aberration)

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The defocusing effect provides a *beneficial*<sup>\*</sup>  $r_b(t)$  for simultaneous focusing using final-focus solenoids relative to constant  $r_b$  cases

	Table 6.2: Compression	dependence on	initial $r_b(t$	) profile	using a	$150 \ \mathrm{kG}$	solenoid.
--	------------------------	---------------	-----------------	-----------	---------	---------------------	-----------

	,	Longitudinal		Transverse		J	~ 8 GW cm <sup>-2</sup> on-axis peak, and total $J_z$ compression > 10 <sup>6</sup>
	1 cm, linear increase	400	0.6  ns	$7.7 \ {\rm J} \ {\rm cm}^{-2}$	0.008  cm	-	compression recovered
	2 cm, linear increase	290	1.0  ns	$5.0 \ {\rm J} \ {\rm cm}^{-2}$	$0.010~{\rm cm}$		Nominal longitudinal
*	1  cm, triangular	375	$0.7 \ \mathrm{ns}$	$5.3 \ { m J} \ { m cm}^{-2}$	$0.010~{\rm cm}$		
*	2 cm, triangular	275	$0.8 \ \mathrm{ns}$	$3.8 \ {\rm J} \ {\rm cm}^{-2}$	$0.010~{\rm cm}$		
	1 cm, constant	310	$0.8 \ \mathrm{ns}$	$2.3 \ {\rm J} \ {\rm cm}^{-2}$	$0.011~\mathrm{cm}$		
	2  cm,  constant	100	2.7  ns	$1.1 \ {\rm J} \ {\rm cm}^{-2}$	$0.011 \mathrm{~cm}$	]	
	Initial $r_b(t)$	$I_b^{max}/I_0$	$t_{fwhm}$	$E_{dep}^{peak}$	$r_{b}^{foc} (1/e)$		





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3D filtered cathodic-arc plasma source geometry and magnetic field topology



Can supersonic cathodic-arc *Al*<sup>+</sup> plasma be injected into the high-field region?

> Variable solenoid strength:  $B_v \sim 2 \text{ kG}$  (guide field for creating plasma column)



**cccc** 

Does the magnetic mirroring effect prevent the plasma flow?



3D filtered cathodic-arc plasma source geometry and magnetic field topology





Injection into 53 kG final-focus solenoid with filter coils operating at 0.45 kG.

v<sub>y</sub> <sup>i</sup> ~ 1.44 cm/μs (~29 eV, measured)

 $\rm T_i \sim 3~eV$  and  $\rm T_e \sim 10~eV$  is an ion mach speed  $\rm M_i \sim 1.7$ 

 $J_{p0} \sim 2 \text{ A cm}^{-2}$  in each filter coil (~10<sup>13</sup> cm<sup>-3</sup> peak)

Plasma density  $\{y,z\}$  isocontours through x = 0 slices







Coordinate switched back: -y direction now +z direction, again

Assumption (from reduced dimensionality):  $J_z^i \sim 0.3, 0.6, 1.2, 2.4 \text{ A cm}^{-2}$  with constant  $n_p \sim 10^{12} \text{ cm}^{-3}$  at injection plane

 $\begin{array}{l} \textbf{4 cases:} \\ \textbf{v}_z{}^i = -1.5, \ -3, \ -6, \ -12 \ cm/\mu s \\ \textbf{T}_i \sim 1 \ eV \ and \ \textbf{T}_e \sim 5 \ eV \\ (M_i \sim 2.7, \ 5.5, \ 11, \ 22) \end{array}$ 





Coordinate switched back: -y direction now +z direction, again

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> 4 cases:  $v_z^i = -1.5, -3, -6, -12 \text{ cm/}\mu\text{s}$   $T_i \sim 1 \text{ eV} \text{ and } T_e \sim 5 \text{ eV}$  $(M_i \sim 2.7, 5.5, 11, 22)$











#### Poster tomorrow: P. K. Roy [ THPAS006 ]





Compression of plasma measured Preliminary experiment and simulation comparison 4.00E+12 6 - EXP (40 kG, \*1.5cm/us) 3.0E+12 Solenoid OFF.1.5tm/us 2D (40 kG, 1.5 cm/us) Solenoid ON 1.5 m/us Solenoid ON, 6 c l/us 3D (53 kG, 1.44 cm/us) 3.50E+12 Solenoid off,6 cm 2D (r=0.5cm) 5 2.5E+12 Velocity 1.5 cm/u /elocity6 cm/us 3.00E+12 Velocity (cm/us 2.0E+12 Density (1/cm<sup>3</sup>) Solenoid Target 2.50E+12 Plane n\_p (cc) Center line 2.00E+12 1.5E+12 1.50E+12 1.0E+12 2 1.00E+12 5.0E+11 1 5.00E+11 0.0E+00 0.00E+005 10 15 20 -5 0 -10 10 15 20 -10 Z (cm) Axial position (cm)

Poster tomorrow: P. K. Roy [THPAS006]



Poster tomorrow: P. K. Roy [THPAS006] Preliminary experiment and simulation comparison Compression of plasma measured 4.00E+12 EXP (40 kG, \*1.5cm/us) Solenoid OFF.1.5 3.0E+12 icm/us 2D (40 kG. 1.5 cm/us) Solenoid ON. 1. n/us Solenoid ON,6 c /us 3D (53 kG, 1.44 cm/us) 3.50E+12 olenoid off.6 cm 2D (r=0.5cm) 5 2.5E+12 /elocity 1.5 cm/u elocity 6 cm/us 3.00E+12 /elocity (cm/us 2.0E+12 Density (1/cm<sup>3</sup>) Solenoid 2.50E+12 Target n\_p (cc) Plane Center line 2.00E+12 1.5E+12 1.50E+12 1.0E+12 2 1.00E+12 5.0E+11 5.00E+11 0.0E+00 0.00E+00 10 5 15 20 -10 -5 0 15 20 -10 10 Z (cm) Axial position (cm)

#### n<sub>p</sub> isocontours in presence of final-focus solenoid



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Compression of plasma measured Preliminary experiment and simulation comparison 4.00E+12 ← EXP (40 kG, \*1.5cm/us) Solenoid OFF.1.5 3.0E+12 mlus 2D (40 kG. 1.5 cm/us) Solenoid ON 1 Solenoid ON. 6 lus 3D (53 kG, 1.44 cm/us) 3.50E+12 olenoid off 6 cr 2D (r=0.5cm) 5 2.5E+12 /elocity 1.5 cm/u elocity 6 cm/us 3.00E+12 /elocity (cm/us 2.0E+12 Density (1/cm<sup>3</sup>) Solenoid 2.50E+12 Target n\_p (cc) Plane Center line 2.00E+12 1.5E+12 1.50E+12 2 1.0E+12 1.00E+125.0E+11 5.00E+11 0.0E+00 0.00E+00 5 10 15 20 -10 n 15 -10 10 20 Z (cm) Axial position (cm) Preliminary simultaneous compression comparison Experiment Simulation, in presence n<sub>p</sub> isocontours in presence of final-focus solenoid (B<sub>7</sub> ~ 50 kG) of simulated "realistic" plasma profiles (left) 10 mm number/cu-cm 10.70 FEPS electron density CAPS electron density 13.43 10.33 12.99 (supersonic plasma 0.015 (main drift length plasma) 9.960 12.54 injection for focal plane) oules/sq-cm 9.590 12.10 9.220 11.66 0.010 (cm) (cm) 8.850 11.22 Sol. Sol.

0.005

0.1

0.2

R (cm)

0.3

0.4

DEBKELEV I

Poster tomorrow: P. K. Roy [THPAS006]

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380

390

400 Z (cm)

410

420

430

œ

10.77

10.33

9.886

9.443

9.000

410

420

430

400

Z (cm)

œ

380

390

8,480

8.110 7.740

7.370

7.000



0) MOTIVATION AND ISSUES

- a) Overview
- b) Plasma neutralization-assisted focusing of space-charge-dominated beams

## 1) LONGITUDINAL COMPRESSION: ACCELERATION GAP EFFECTS

- a) Finite-size gap and voltage waveform
- b) Non-zero initial beam temperature (emittance)
- c) Initial pulse length  $t_p$ , intended fractional tilt *f*, and initial beam energy
- d) Comparison: theoretical models, particle-in-cell simulation, and experiment

2) TIME-DEPENDENT TRANSVERSE DEFOCUSING EFFECT OF THE ACCELERATION GAP

- a) Description of the effect
- b) The "over-focusing" technique for simultaneous transverse and longitudinal compression

## 3) SIMULTANEOUS FOCUSING USING A STRONG FINAL-FOCUS SOLENOID

- a) Focal plane aberration due to static magnetic field and beam velocity tilt
- b) Supersonic cathodic-arc plasma injection into the high-field region from the low-field end

c) Collective excitations during the beam-plasma interaction for  $n_b > n_p$ 



PIC simulations show the beam-plasma interaction during simultaneous compression in cases with  $n_b < n_p$  and  $n_b > n_p$  in a 150 kG solenoid.



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Higher n\_ps are required in the presence of a strong B field (c/ $\!\omega_{pe}$  >>  $\rho_{Le}$ ). The  $L_{\rm b}$  can decrease to  $O(r_{\rm b})$  at focus [and  $O(c/\omega_{\rm pe})$ ] so that charge neutralization is harder to provide for a given amount of plasma.





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Cumulative energy deposition profiles through the intended simultaneous focal plane





## Charge and current quasi-neutrality lost when $n_b > n_p$

The n<sub>b</sub> can approach the n<sub>p</sub>, especially near the focal plane, and the assumption of charge and current neutralization may become invalid, leading to large perturbations in the plasma and field properties.

Strong final-focus solenoid present here, cold background carbon plasma modeled





## Charge and current quasi-neutrality lost when $n_b > n_p$

0.3

0.1

0.0

-0.1

10

5

0

-5

-10

с**ш-**3 0.2

ğ

kV cm⁻¹

The  $n_{\rm h}$  can approach the  $n_{\rm n}$ , especially near the focal plane, and the assumption of charge and current neutralization may become invalid, leading to large perturbations in the plasma and field properties.

Strong final-focus solenoid present here, cold background carbon plasma modeled





 $\delta n/n_p \sim 1$ 

225

230

Z (cm)





≳

cm<sup>-2</sup> 40

∢ 0

10

60

20

-20

ρ

 $\mathsf{E}_{\mathsf{z}}$ 

235



Er

 $J_z$ 

Once the beam stagnates, the plasma supports strong electrostatic and electromagnetic excitations, due to large perturbations created during stagnation of the ion beam.



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\*A. B. Sefkow, et al., Nucl. Instrum. Meth. Phys. Res. A 577, 288 (2007).

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Observed frequency:  $\omega \sim 8 \times 10^8 \text{ rad s}^{-1}$ Range:  $[\Omega_{ci} < \omega_{pi} < \omega << \omega_{pe} < \Omega_{ce}]$ Carbon plasma:  $m_e / m_i \sim 4.5 \times 10^{-5}$ k  $\perp \mathbf{B}_{sol}$  with  $k_{\perp} \sim 6.3 \times 10^3 \text{ m}^{-1}$ , with a small component satisfying  $k_{\parallel} / k_{\perp} \sim 4 \times 10^{-3}$ .

Electrostatic lower hybrid oscillation:  $\omega^2 \sim \frac{1}{2}(\omega_{pe}^2 + \Omega_{ce}^2) - \frac{1}{2}[(\omega_{pe}^2 + \Omega_{ce}^2)^2 - 4\omega_{pe}^2 \Omega_{ce}^2 \cos^2\theta]^{1/2}$ 



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4. Simulations show current density compression by factors from 10<sup>3</sup> to over 10<sup>6</sup>, depending on the strength and focal length of the focusing elements. Final-focus solenoids can be used for extreme simultaneous compression, and high-density supersonic plasma has been simulated and measured to partially penetrate strong solenoids for beam neutralization purposes.

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5. Beam-plasma interaction simulations near the simultaneous focal plane illustrate compression stagnation for  $n_{beam} > n_{plasma}$  and that the plasma supports collective excitations in the background plasma with an external B field.



The **physics foundation** of <u>simultaneous transverse</u> and longitudinal focusing of intense charge bunches in

the Neutralized Drift Compression eXperiment (NDCX)

will provide key insights for the next-step heavy ion beam experiments. The ultimate goal is the development of heavy ion drivers for warm-dense-matter, high-energy-density, and heavy ion fusion applications.

