<u>Coherence of E-Beam</u> <u>Radiation Sources and FEL –</u> <u>A Theoretical/Tutorial Overview</u>

Avi Gover – Tel-Aviv Univ The FEL Knowledge Center for Radiation Sources and Applications

FEL Prize talk –Berlin 2006







Dedication

Zofia Piotrowska (1909-1997) Josef Pietrowski (1906-1989)

Righteous among nations



Sharing the FEL prize with the Piotrowski family Gdansk, Poland, Sept. 2005 –



Maxwell Equation

$$\nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t} - \mu_0 \frac{\partial \mathbf{M}}{\partial t}$$
$$\nabla \times \mathbf{H} = \varepsilon \frac{\partial \mathbf{E}}{\partial t} + \mathbf{J} + \frac{\partial \mathbf{P}}{\partial t}$$



Particulate Radiation Sources $J = \sum_{j=1}^{N} - ev_{j}\delta(r - r_{j}(t))$ $P = \sum_{j=1}^{N} p_{j}\delta(r - r_{j}(t)) \qquad M = \sum_{j=1}^{N} \mu_{j}\delta(r - r_{j}(t))$



Excitation of Modes by Particulate Charges

$$C_{q}^{out}(\omega) - C_{q}^{in}(\omega) = \sum_{j=1}^{N} \Delta C_{q_{j}} =$$
$$= -\frac{1}{4} \sum_{j=1}^{N} \left\{ -e \int_{-\infty}^{\infty} v_{j}(t) \cdot \widetilde{E}_{q}^{*}(\mathbf{r}_{j}(t)) e^{i\omega t} dt \right\}$$

$$\mathbf{C}_{q}^{\text{out}}(\boldsymbol{\omega}) = \mathbf{C}_{q}^{\text{in}}(\boldsymbol{\omega}) + \Delta \mathbf{C}_{qe}^{(0)}(\boldsymbol{\omega}) \sum_{j=1}^{N} \mathbf{e}^{i\boldsymbol{\omega} t_{o_{j}}} + \sum_{j=1}^{N} \Delta \mathbf{C}_{q_{j}}^{\text{st}}$$

$$\mathbf{P}_{q} = \left| \mathbf{C}_{q}^{\text{out}}(\omega) \right|^{2} \qquad \text{OR} \qquad \frac{\mathrm{dW}_{q}}{\mathrm{d}\omega} = \frac{2}{\pi} \left| \mathbf{C}_{q}^{\text{out}}(\omega) \right|^{2}$$

$$C_{q}^{out}(\omega) = \frac{dW_{q}^{out}}{d\omega} = \frac{2}{\pi} \left\langle \left| C_{q}^{out}(\omega) \right|^{2} \right\rangle_{j}$$

$$\frac{DEFINITIONS}{DEFINITIONS}$$
• Spontaneous emission = Shot noise emission:

$$C_{q}^{in}(\omega) = 0 , \quad \left\langle \left| \sum_{j=1}^{N} e^{i\omega t_{oj}} \right|^{2} \right\rangle_{j} = N$$
• Superradiant* emission = Coherent emission:

$$C_{q}^{in}(\omega) = 0 , \quad \left\langle \left| \sum_{j=1}^{N} e^{i\omega t_{oj}} \right|^{2} \right\rangle_{j} = N^{2}$$
• Stimulated emission:

$$C_{q}^{in}(\omega) \neq 0 , \quad \sum_{j=1}^{N} \Delta C_{qj}^{st} \propto C_{q}$$

(!In the sense of Dicke (Classical*

$$\overline{\underline{\mathbf{X}}}$$

Radiation Emission Schemes

- Undulator Synchrotron
- Smith-Purcell
- Cerenkov Radiation
- Transition Radiation
- Cyclotron Resonant Emission (CRE)

UNDULATOR /SYNCHROTRON RADIATION SCHEMES



Excitation of Modes by <u>a Single</u> Electron

$$\mathbf{C}_{q}^{\text{out}}(\omega) = -\frac{1}{4} \left\{ - e \int_{-\infty}^{\infty} \mathbf{V}_{j}(t) \cdot \widetilde{\mathbf{E}}_{q}^{*}(\mathbf{r}_{j}(t)) e^{i\omega t} dt \right\}$$

$$\mathbf{v}_{j}(t) = \operatorname{Re}\left\{\widetilde{\mathbf{v}}_{w}e^{-ik_{w}z_{j}(t)}\right\} + v_{z}\hat{\mathbf{e}}_{z}$$

Single Electron Emission Frequency Domain

$$C_{qj}^{\text{out}}(\omega) = e \frac{\mathbf{v}_{w} \cdot \mathbf{E}_{q}^{*}(\mathbf{r}_{\perp 0})}{8v_{z}} L \operatorname{sinc}(\theta L/2) e^{i\theta L/2} e^{i\omega t_{0j}}$$

$$\theta(\omega) \equiv \left(\frac{\omega_{0}}{v_{z}} - k_{z}(\omega_{0}) - k_{w}\right) = (\omega - \omega_{0})t_{sl} \equiv 2\pi \frac{\omega - \omega_{0}}{\Delta \omega}$$

Where:

$$\theta(\omega_{0}) = \frac{\omega_{0}}{v_{z}} - k_{z}(\omega_{0}) - k_{w} = 0 \quad \text{- Synchronism}$$
$$t_{sl} = \frac{2\pi}{\Delta \omega} = \frac{L}{v_{z}} - \frac{L}{v_{g}} \quad \text{- Slippage}$$



Superradiance and Spontaneous Emission from a Single Bunch of N Electrons

 $\frac{\operatorname{Spontaneous}(\mathbf{t}_{oj} \operatorname{random}):}{\left\langle \frac{\mathrm{dW}_{q}(\omega)}{\mathrm{d}\omega} \right\rangle_{\mathrm{SP}}} = \frac{2}{\pi} \left| C_{qe}^{0}(\omega) \right|^{2} \cdot \mathrm{N}$ $\frac{\operatorname{Superradiant}(||\mathbf{t}_{oj}-\mathbf{t}_{0}|| < 2\pi/\omega):}{\left\langle \frac{\mathrm{dW}_{q}(\omega)}{\mathrm{d}\omega} \right\rangle_{\mathrm{GP}}} = \frac{2}{\pi} \left| C_{qe}^{0}(\omega) \right|^{2} \cdot \mathrm{N}^{2}$



 $\operatorname{sinc}^{2}(\omega - \omega_{0}) / \Delta \omega$

Where:
$$|\mathbf{C}_{qe}^{0}(\omega)|^{2} = \left| e \frac{\widetilde{\mathbf{v}}_{w} \cdot \mathbf{E}_{q}^{*}(\mathbf{r}_{\perp 0})}{8\mathbf{v}_{z}} \mathbf{L} \right|^{2} \operatorname{sinc}^{2}(\theta \mathbf{L}/2)$$



A Train of Bunches (Macro-Pulse)



$$\sum_{j=1}^{N} e^{i\omega t_{0j}} = \sum_{k=1}^{N_{P}} \sum_{j=1}^{N_{bk}} e^{i\omega t_{0j}} =$$

$$\mathbf{N} \cdot \mathbf{M}_{\mathbf{b}}(\omega) \cdot \mathbf{M}_{\mathbf{M}}(\omega) \cdot \mathbf{e}^{i\omega t_{0}}$$

:Bunch form factor

$$\mathbf{M}_{b}(\omega) = \frac{1}{N_{b}} \sum_{J=1}^{N_{b}} e^{i\omega t_{0j}} = \int_{-\pi/\omega_{b}}^{\pi/\omega_{b}} f(t_{0}) e^{i\omega t_{0}'} dt_{0}' = \mathsf{F} \{ f(t_{0}') \}$$

:Macro-pulse form factor

$$M_{M}(\omega) = \frac{1}{N_{p}} \sum_{k=1}^{N_{p}} e^{i\omega t_{0_{k}}} = \frac{\sin(N_{p}\pi\omega / \omega_{b})}{N_{p}\sin(\pi\omega / \omega_{b})}$$





Mesured Multi-bunch Coherent Smith-Purcell Linewidth



Stimulated Emission







Oscillation Build-Up in a RF-LINAC FEL Oscillator





RF-Linac FEL Oscillator at Steady-state <u>Time Domain</u>

In a resonator : $\omega_b = \omega_{RF} = 2\pi/t_{rt}$



RF-Linac FEL <u>Oscillator</u> Frequency Domain ($\omega_{\rm b} < \Delta \omega$)

In a resonator : $\omega_b = \omega_{RF} = 2\pi/t_{rt}$

Ostilkatjost atteilskatur ptioness



<u>**RF-Linac FEL Oscillator → Mode Locked Laser!</u>**</u>



Spectrogram of Quasi-CW FEL: Mode Competition → Single Mode Operation



(A. Abramovich et al, P.R.L. 82, p.5257 (1999

Schawlow - Towns Relation

What happens in CW FEL $(T_p \rightarrow \infty)$? $\Delta \omega = 0$?



Fluctuating phasor phase" model for Saturated Laser linewidth"

(A. Gover A. Amir, L. Elias, Phys. Rev. A35 (1987)

Intrinsic Linewidth Conditions

Maser
$$\Delta v_{\text{maser}} = 2\pi kT \frac{(\Delta v_{\text{sp}})^2}{P_{\text{gen}}}$$
Gordon, Zeiger, Townes
PR, 99, 1264 (1955)Laser $\Delta v_{\text{laser}} = 2\pi hv \frac{(\Delta v_{\frac{1}{2}})^2}{P_{\text{gen}}}$ Schawlow and Townes
PR, 112, 1490 (1958)FEL $\Delta v_{\text{FEL}} = \frac{(\Delta v_{\frac{1}{2}})^2}{I_0/e}$ A. Gover A. Amir, L. Elias,
PR-A, 35, 164 (1987)

FEL IN THE HIGH GAIN REGIME

Pierce Linear Response Model (Cubic Equation)

$$\mathbf{C}_{q}(\mathbf{L},\boldsymbol{\omega}) = \mathbf{H}^{E}(\boldsymbol{\omega}) \mathbf{C}_{q}(\boldsymbol{\omega},\mathbf{0}) + \mathbf{H}^{v}(\boldsymbol{\omega}) \mathbf{v}(\boldsymbol{\omega},\mathbf{0}) + \mathbf{H}^{I}(\boldsymbol{\omega}) \mathbf{I}(\boldsymbol{\omega},\mathbf{0})$$

$$H^{E}(\omega) = \sum_{j=1}^{3} \operatorname{Res}\left(\frac{\left(\delta k - i\theta\right)^{2} + \theta_{pr}^{2}}{\Delta}\right) \qquad \left(P_{b} = \frac{I_{b}^{2}\sqrt{\frac{\mu_{0}}{\varepsilon_{0}}}}{32} \cdot \left(\frac{a_{w}}{\gamma\beta_{z}}\right)^{2} \cdot \frac{L^{2}}{A_{em}}\right)$$
$$H^{v}(\omega) = \sum_{j=1}^{3} \operatorname{Res}\left(\frac{ik_{z}L/v_{0z}}{\Delta} \cdot P_{b}^{\frac{1}{2}}\right) \qquad \left(P_{b} = \frac{I_{b}^{2}\sqrt{\frac{\mu_{0}}{\varepsilon_{0}}}}{32} \cdot \left(\frac{a_{w}}{\gamma\beta_{z}}\right)^{2} \cdot \frac{L^{2}}{A_{em}}\right)$$
$$H^{I}(\omega) = \sum_{j=1}^{3} \operatorname{Res}\left(\frac{\left(\delta k - i\theta\right)}{\Delta} \cdot P_{b}^{\frac{1}{2}}/I_{b}\right)$$

$$\Delta = \delta k (\delta k - \theta - \theta_{pr}) (\delta k - \theta + \theta_{pr}) + \Gamma^{3}$$

High Gain FEL / PB-FEL

$$P_{q}(L, \omega_{0}) = \frac{1}{9} e^{\sqrt{3}\Gamma L} \cdot \left| \widetilde{C}_{q}(0, \omega_{0}) \right|^{2} - FEL \text{ Amplifier}$$

$$P_{q}(L, \omega_{0}) = \frac{1}{9} e^{\sqrt{3}\Gamma L} \cdot \left| \widetilde{C}_{q}(0, \omega_{0}) \right|^{2} - I - PB-FEL$$

$$P^{pb-v}(L, \omega_0) = \frac{1}{9} e^{\sqrt{3}\Gamma L} \cdot P_b \left(\frac{k_z L}{V_{0z}(\Gamma L)^2}\right)^2 \left|\widetilde{V}_z(0, \omega_0)\right|^2 - v - PB-FEL$$

P

Axial Velocity Distribution of the E-beam Density <u>Averaged over Time</u>



Current modulation



Velocity modulation



Current Fluctuations (Shot Noise)



Velocity Fluctuations



SASE-FEL



SASE (shot noise amplification):

$$\frac{\mathrm{d}\mathbf{P}_{q}^{\mathrm{I}}}{\mathrm{d}\omega} = \frac{2}{\pi} \frac{1}{9} e^{\sqrt{3}\Gamma \mathrm{L}} \cdot \mathbf{P}_{b} \left(\frac{1}{\mathrm{I}_{b}\Gamma \mathrm{L}}\right)^{2} \frac{\left\langle \left| \mathbf{\tilde{I}}(0,\omega) \right|^{2} \right\rangle}{\mathrm{T}}$$



Velocity Fluctuations:

$$\frac{\mathrm{d}\mathbf{P}_{q}^{v}}{\mathrm{d}\omega} = \frac{2}{\pi} \frac{1}{9} e^{\sqrt{3}\Gamma L} \cdot \mathbf{P}_{b} \left(\frac{\mathbf{k}_{z} L}{\mathbf{V}_{0z} (\Gamma L)^{2}}\right)^{2} \frac{\left\langle \left| \breve{\mathbf{v}}_{z}(0,\omega) \right|^{2} \right\rangle}{T}$$

$$\left(\frac{\left\langle \left|\widetilde{\mathbf{V}}_{z}(0,\omega)\right|^{2}\right\rangle}{\mathrm{T}} = \frac{\mathrm{e}}{\mathrm{I}_{\mathrm{b}}}\left|\delta\mathbf{V}\right|^{2}\right)$$

SASE-FEL Velocity Spread Noise vs. Current Shot-noise

$$:\mathbf{I} - \mathbf{SHOT} \qquad \frac{\mathrm{d}\mathbf{P}^{\mathrm{I}}(\mathrm{L},\omega)}{\mathrm{d}\omega} = \frac{2}{\pi} \frac{1}{9} e^{\sqrt{3}\Gamma \mathrm{L}} \cdot \mathbf{P}_{\mathrm{b}} \frac{\mathrm{e}}{\mathrm{I}_{\mathrm{b}}(\Gamma \mathrm{L})^{2}}$$
$$:\mathbf{V} - \mathbf{SHOT} \qquad \frac{\mathrm{d}\mathbf{P}^{\mathrm{v}}(\mathrm{L},\omega)}{\mathrm{d}\omega} = \frac{2}{\pi} \frac{1}{9} e^{\sqrt{3}\Gamma \mathrm{L}} \cdot \mathbf{P}_{\mathrm{b}} \left(\frac{\mathrm{k}_{z}\mathrm{L}}{\mathrm{v}_{0z}(\Gamma \mathrm{L})^{2}}\right)^{2} \frac{\mathrm{e}|\delta \mathrm{v}|^{2}}{\mathrm{I}_{\mathrm{b}}}$$

Dominance of current shot noise:

$$\frac{\mathrm{d} \mathbf{P}_{\mathbf{q}}^{\mathrm{I}}}{\mathrm{d} \boldsymbol{\omega}} > \frac{\mathrm{d} \mathbf{P}_{\mathbf{q}}^{\mathrm{v}}}{\mathrm{d} \boldsymbol{\omega}} \qquad \Rightarrow \qquad$$

$$\frac{\delta v_z}{v_{oz}} < \frac{\Gamma}{k_z}$$

SASE – COHERENCE and SPIKING

SASE FEL Frequency Domain



 $< I(\omega) >$

Single-shot spectrum. The width of the peaks is inversely proportional to the electron bunch duration T_b . The average of many SASE pulses. The width is proportional to the gain bandwidth. $\sigma_{\omega} = \sqrt{\pi} / T$

S. Krinski and R.L.Gluckstern, PRST-AB, 6, 050701, 2003

Spiking" in SASE FEL" <u>Time Domain</u>



Time (a.u)

Intensity spiking in the time domain (arbitrary units). The width of the peaks is characterized by the SASE coherence time

$$T_{\rm coh} = \sqrt{\pi} \sigma_{\omega}$$

S. Krinski and R.L.Gluckstern, PRST-AB, 6, 050701, 2003

SASE FEL – <u>Frequency Domain</u>

:Quadratic expansion of the exponent

$$\widetilde{H}^{1}(z, \omega) \cong \frac{P_{b}}{3I_{b}\Gamma z} e^{-i\pi/12} e^{(\sqrt{3}+i)\Gamma z/2} e^{-(1+i/\sqrt{3})(\omega-\omega_{0})^{2}/2(\Delta \omega_{HG})^{2}} e^{ikz}$$

$$\left|\widetilde{H}^{1}(z, \omega)\right|^{2} \qquad \qquad \left(\Delta \omega_{HG} = \frac{3^{3/4}}{2\pi} \lambda_{w} \sqrt{\frac{\Gamma}{L}} \omega_{0}\right)$$

High Gain Impulse Response Function

$$E(z,t) = \operatorname{Re}\left\{ \left| P_{b} \Delta \omega_{HG} / 3^{3/4} \sqrt{2\pi \left(i + \sqrt{3}\right)} I_{b} \Gamma z \right| \cdot \exp\left[-i\pi /12 + (\sqrt{3} + i)\Gamma z / 2 \right] \cdot \exp\left[i \left[\omega_{0} \left(t - \frac{z}{c} - t_{0}\right) - (\Delta \omega_{HG})^{2} \left(t - \frac{z}{c} - t_{0}\right)^{2} / 8 \right] - (\Delta \omega_{HG})^{2} \left(t - \frac{z}{c} - t_{0}\right)^{2} / 8 \right] \right\}$$



SASE FEL – <u>Time Domain</u>



How to Make SASE FEL Coherent?

• Seed Radiation Injection (Amplification).

• Filtering and Re-injection.

• Sub-Harmonic micro-Bunching.

• High Gain High Harmonic Generation.

?What is Required

Seed radiation injection:

Find coherent or filtered sources in the SASE emission frequency range.

Seed power: larger than the current and velocity shot noises.

Sub-harmonic µ-bunching:

Find a sub-harmonic μ -bunching scheme that produces periodic short micro-perturbation over a uniform current during the LINAC micro-bunch.

Harmonic bunching power: larger than the current and velocity shot noise.

SASE-FEL

SEED-Radiation Power vs. Current Shot-noise



Seed <u>SPECTRAL</u>: power dominates

$$P_{s}(0) > P_{b} \frac{e}{I_{b}(\Gamma L)^{2}} \Delta \omega_{s}$$

SASE-FEL

SEED-Radiation Power vs. Current Shot-noise



Seed *TOTAL*: power dominates

$$P^{\text{seed}} = \frac{dP^{\text{I}}}{d\omega} \bigoplus \bigoplus$$

$$P_{s}(0) > P_{b} \frac{e}{I_{b}(\Gamma L)^{2}} \Delta \omega_{HG}$$

High Harmonic μ-Pre-bunching <u>vs.</u> Current Shot-noise SASE-FEL

µ-Pre-bunching: $P(L, \omega) = \left| \widetilde{H}^{I}(\omega) \right|^{2} \cdot \left| \widetilde{I}_{in}(\omega) \right|^{2}$

Shot noise: <u>d</u>

$$\frac{\mathrm{d}\mathbf{P}^{\mathrm{I}}(\mathrm{L},\omega)}{\mathrm{d}\omega} = \frac{2}{\pi} \frac{1}{9} \mathrm{e}^{\sqrt{3}\Gamma \mathrm{L}} \cdot \mathrm{P}_{\mathrm{b}} \frac{\mathrm{e}}{\mathrm{I}_{\mathrm{b}}(\Gamma \mathrm{L})^{2}}$$

$$\mathbf{P}_{b} > \frac{\mathbf{d}\mathbf{P}^{\mathrm{I}}}{\mathbf{d}\boldsymbol{\omega}} \Delta \boldsymbol{\omega}_{\mathrm{HG}} \Rightarrow \left| \widetilde{I}_{in}(\boldsymbol{\omega}_{0}) \right| > \sqrt{\frac{3^{3/4} e \lambda_{w}}{2\pi}} \sqrt{\frac{\Gamma}{L}} \boldsymbol{\omega}_{0} I_{b}$$

SASE FEL – <u>Time Domain</u>



Sub-Harmonic µ-Pre-Bunching



Sub-Harmonic µ-Pre-Bunching



Subharmonic prebunching





Conclusion

•A General modal excitation model for radiation from particulate charges was applied to analyze the coherence properties of e-beam radiation sources.

•The formulation is applied to characterize superradiant sources, FEL amplifier, oscillator and SASE devices.

•conditions for turning X-UV SASE FELs into coherent radiation sources were derived. It is likely that only partial coherence will be attainable, and statistical averaging over bunches will be needed in spectroscopic applications.

THANKS TO EGOR DYUNIN FOR HELPING TO PREPARE THE PRESENTATION

Dicke's Superradiance



 $I = (r+m)(r-m+1)I_0$

$$r = 1/2, 1, 3/2....N/2$$

m = -r....0....r

N Electric or magnetic dipoles prepared by means of a " $\pi/2$ pulse"

R. H. Dicke, PR, **93**, 99 (1954); A. Gover, "Spin-Flip" PR-ST <u>9</u>, 060703 (2006)

Superposition of Radiation Wavepackets in the Complex C_α Plane



"Spontaneous Emission"





Superradiant Emission* *R. H. Dicke, PR, 93, 99 (1954)



CSR

G.L. Carr et al, "High power THz radiation...", Nature <u>420</u>, 153 (2002)



Radiation Patern in Free Space (Plane Waves)



 $q = (\mathbf{k}_{\perp}, \sigma) = (k \sin \Theta \cos \Psi, k \sin \theta \sin \Psi, \sigma)$

The Synchronizm Condition Solutions



Stimulated Emission









- A. Gover, "Superradiant and stimulated superradiant emission in prebunched electron-beam radiators part I: Formulation", Phys. Rev. ST– AB, **8**, 030701 (2005).
- A. Gover, E. Dyunin, Y. Lurie, Y. Pinhasi, M. V. Krongauz, "Superradiant... part II : Radiation enhancement schemes", Phys. Rev. ST-AB, **8**, 030702 (2005).
- A. Gover, "Superradiant Spin-Flip Radiative Emission of a Spin-Polarized Free Electron Beam", Phys. Rev. Lett. 96, 124801 (2006).