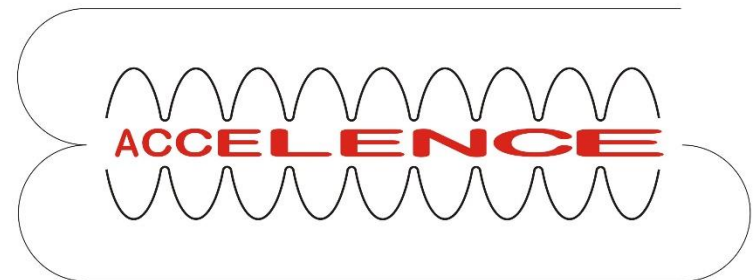


System Identification Procedures for Resonance Frequency Control of SC Cavities



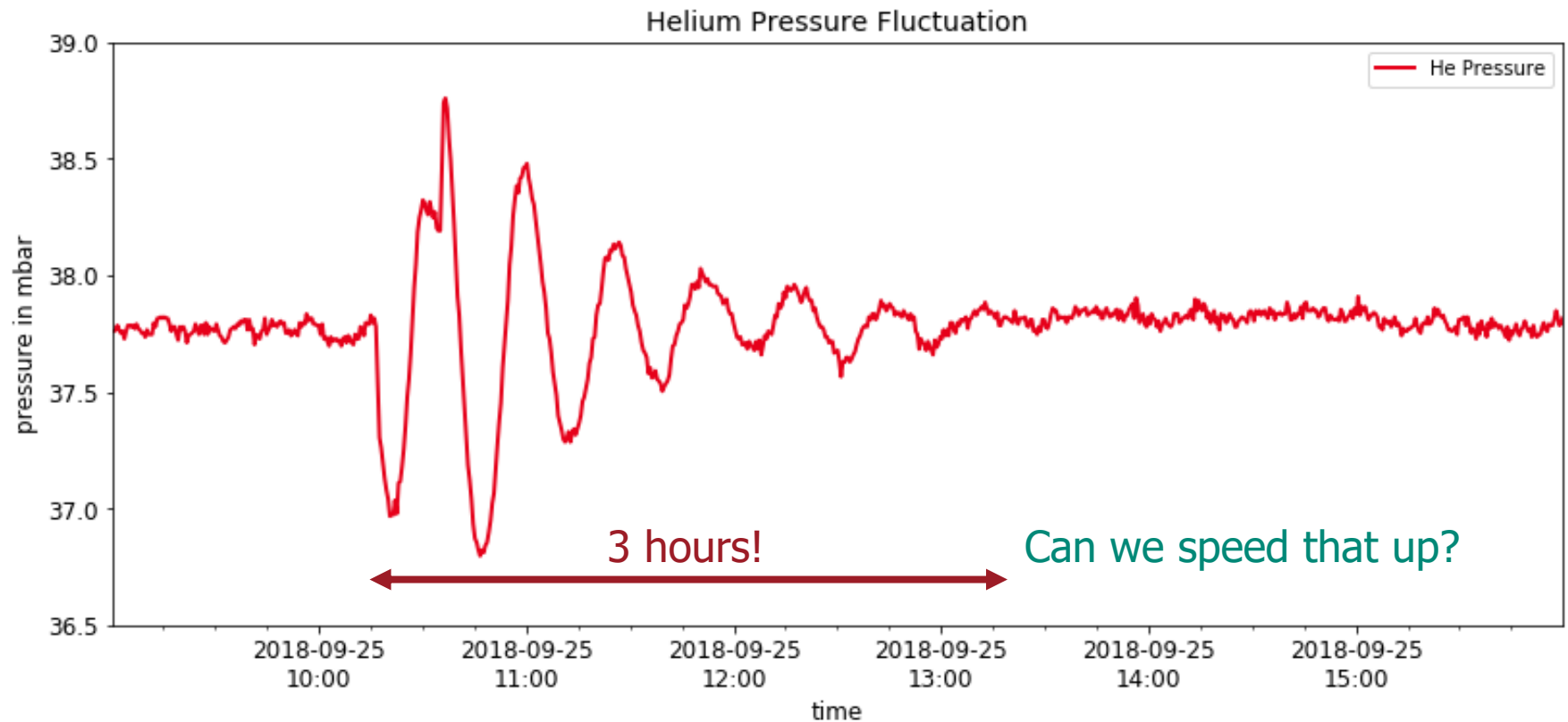
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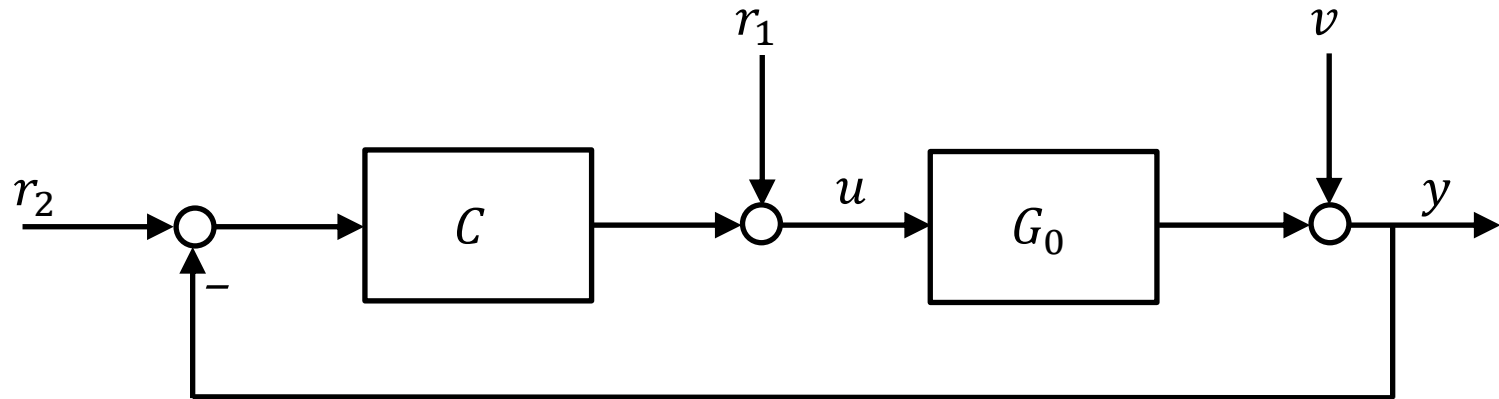
Gefördert durch die DFG im Rahmen des GRK 2128

Motivation: Helium Pressure Fluctuations



- Motivation
- Setup to be considered
- Closed-Loop System Identification
- Optimization of the Control Parameters
- Measurements with the new Control Parameters
- Summary & Outlook

Setup to be considered



$$r(k) = r_1(k) + C(z)r_2(k)$$

$$v(k) = H_0(z)e(k) \text{ (filtered white noise)}$$

$$S_0 = (1 + G_0 C)^{-1} \text{ (sensitivity function)}$$

$$y(k) = S_0 G_0 r(k) + S_0 H_0 e(k)$$

$$u(k) = S_0 r(k) - S_0 C H_0 e(k)$$

- note: nonparametric (spectral) estimate of G_0 :
 - open loop: $\hat{G}(e^{j\omega}) = \frac{\hat{\Phi}_y}{\hat{\Phi}_u}$ (using the spectra)
 - closed loop: $\hat{G}(e^{j\omega}) = \frac{\hat{\Phi}_{yr}}{\hat{\Phi}_{ur}}$ (using the cross-spectra)

Closed-Loop System Identification:

Direct Identification – *Open-Loop Like*

- controller output $u_i \longrightarrow$ plant output y_i (C can be unknown)
- transfer function $G(z) = \frac{b_{n-1}z^{-1} + \dots + b_0z^{-n}}{1 + a_{n-1}z^{-1} + \dots + a_0z^{-n}} = \frac{B(z^{-1})}{A(z^{-1})}$ (order n known)
- parameters a_i, b_i combined into θ
- equation error $\tilde{\epsilon}_k(\theta) = y_k - G(z, \theta)u_k$
- least-squares problem: minimize $J = \tilde{\epsilon}_k^T \tilde{\epsilon}_k$
- bias-free and consistent in the ARX-case, i.e. $H(z) = \frac{1}{A(z^{-1})}$ and if u_i and v_i are uncorrelated (not the case in closed-loop operation, but can be mitigated with good SNR at u_i , i.e. large excitation r_i)

Closed-Loop System Identification:

Direct Identification – *Prediction Error Method*

- controller output $u_i \longrightarrow$ plant output y_i (C can be unknown)
- transfer function $G(z) = \frac{b_{n-1}z^{-1} + \dots + b_0z^{-n}}{1 + a_{n-1}z^{-1} + \dots + a_0z^{-n}} = \frac{B(z^{-1})}{A(z^{-1})}$ ($H(z)$ analog)
- parameters a_i, b_i, \dots combined into θ
- *prediction* error $\epsilon_k(\theta) = H^{-1}(z, \theta)(y_k - G(z, \theta)u_k)$
- least-squares problem: minimize $V = \epsilon_k^T \epsilon_k$
- bias-free and consistent if the *whole true system* $S := (G_0, H_0)$ is present in the model set $\mathcal{M} := \{(G(z, \theta), H(z, \theta)), \theta \in \Theta\}$
 - ➔ accurately specified noise model needed! (good SNR at u_i also reduces the bias)
- not suitable for reduced-order (approximate) model identification

Closed-Loop System Identification:

Indirect Identification

General Idea

- identify $G_{cl} = \frac{G_0}{1+G_0 \cdot C}$ ($r \longrightarrow y$)
from $y(k) = G_{cl}r(k) + H_{cl}e(k)$
with open-loop techniques
(r and e are uncorrelated!)
- solve \hat{G}_{cl} for \hat{G}_0
(e.g. using knowledge of C)
→ typically results in high-order estimates!
- may use specialized parametrizations
 - *coprime factorization* (lower order)
 - *dual Youla-Kučera* (stabilization of \hat{G}_0 with C guaranteed)

Two-Stage Method

- rewrite the system equations:
$$y(k) = G_0 u_r(k) + S_0 v(k)$$
$$u(k) = u_r(k) - S_0 C v(k)$$
$$u_r(k) := S_0 r(k)$$
- 1. identify $S_0 = \frac{1}{(1+G_0 C)}$ ($r_1 \longrightarrow u$)
from $u(k) = S(z, \beta) r_1(k) + W e(k)$
with PEM and simulate “noise-free”
input $\hat{u}_r(k) = S(z, \hat{\beta}) r_1(k)$
- 2. apply a LS criterion to the PE
$$\epsilon_k(\theta) = K^{-1}(z, \theta)(y_k - G(z, \theta) \hat{u}_r)$$

→ $\hat{G}_0 = G(z, \hat{\theta})$, $\hat{H}_0 = K(z, \hat{\theta}) S^{-1}(z, \hat{\beta})$
- free choice of order of $G(z, \theta)$!
- knowledge of C not required

Closed-Loop System Identification:

Indirect Identification – “PT2 & PID” assumption

- identified system is the closed-loop system $G_{cl} = \frac{G_{He} \cdot C}{1 + G_{He} \cdot C} \quad (r_2 \longrightarrow y)$

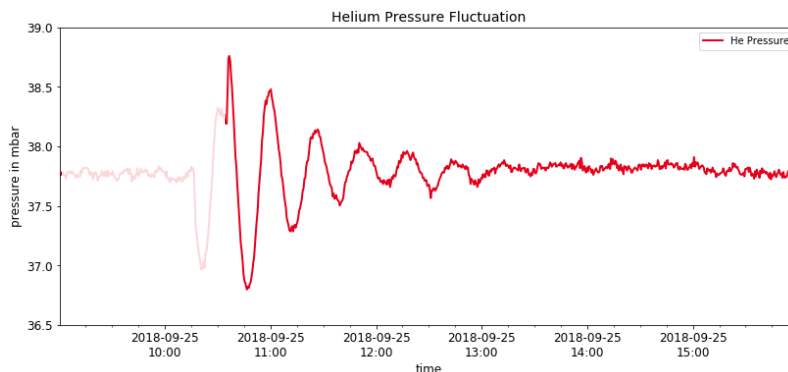
with the controller $C(s) = P + \frac{1}{Is} + Ds$

- extract the He-pressure system TF G_{He} :

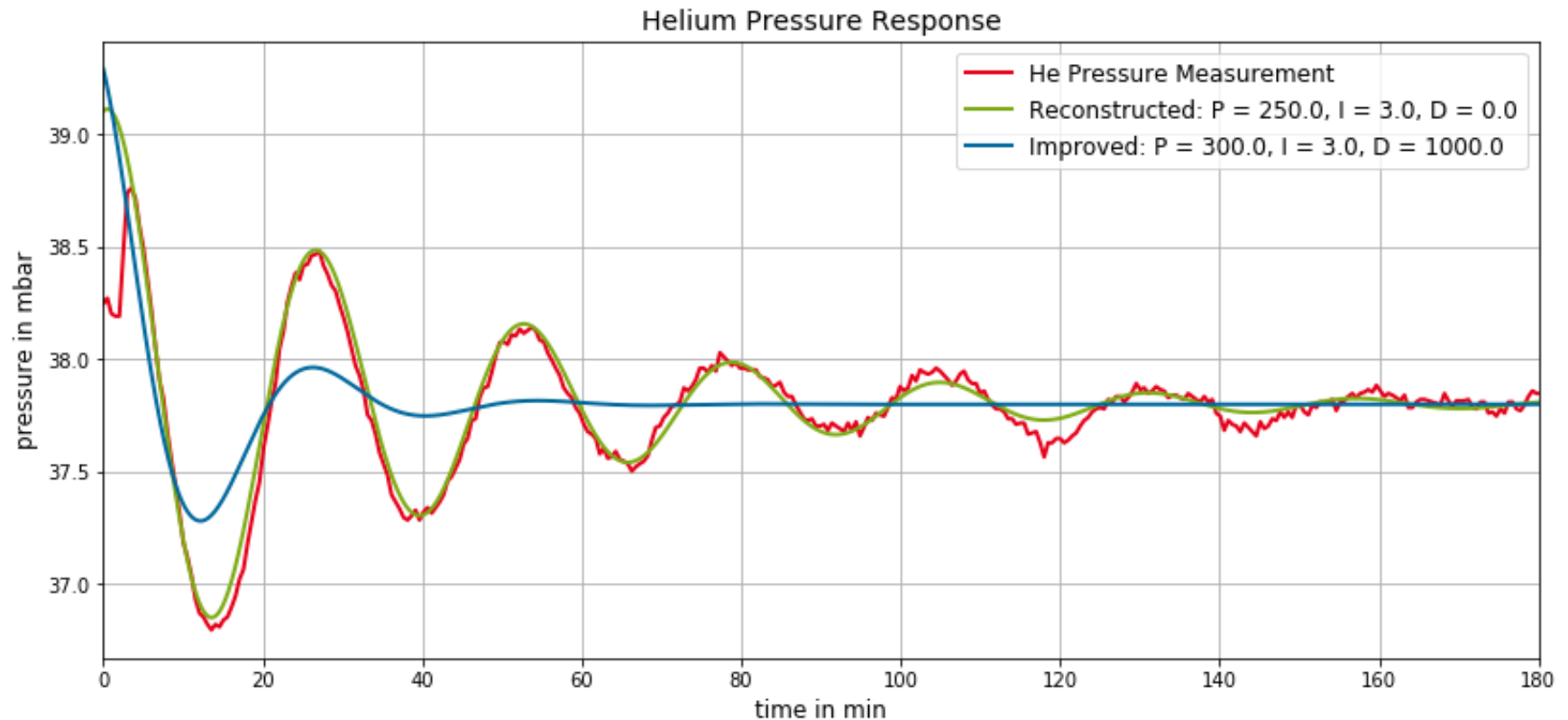
$$G_{He} = \frac{G_{cl}}{C(1 - G_{cl})} = \frac{3.988 \cdot 10^{-5} s}{s^2 - 9.034 \cdot 10^{-3} s + 2.901 \cdot 10^{-6}}$$

additional zero to
reproduce jumps
(already introduced
in G_{cl})

PT2 behaviour



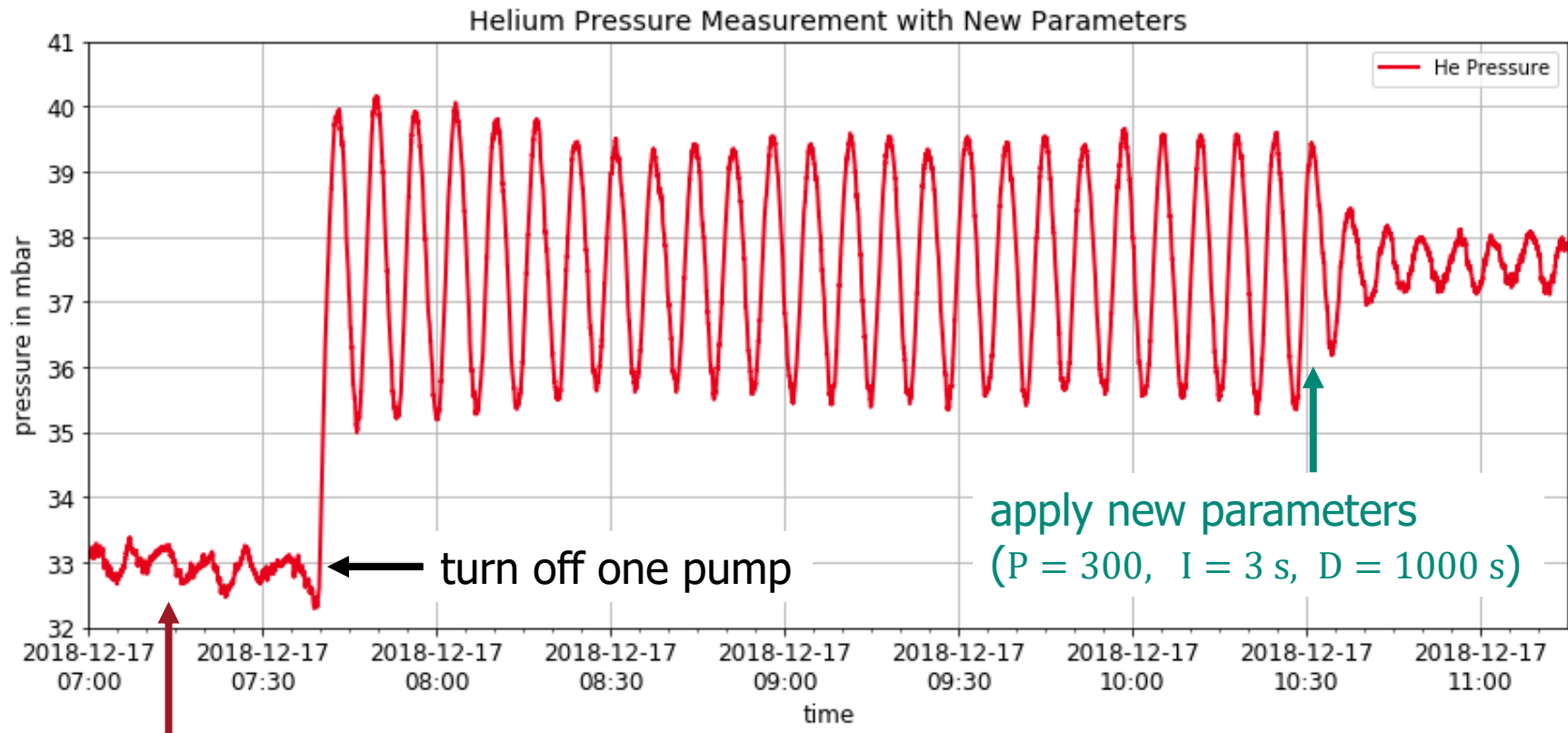
Optimization of the Control Parameters



Measurements with the new Control Parameters

- testing of new parameters ($P = 300$, $I = 3$ s, $D = 1000$ s)
 - measurements taken on 17. December 2018, between 07:30 am and 11:00 am
 - new parameters applied at 10:31 am
- some difficulties:
 - Christmas shut-down preparation in progress
 - He-level quite low, three of four pumps run at idle and He-pressure is nevertheless well below its set-point
 - need to turn one more pump off

Measurements with the new Control Parameters



He-level very low, three pumps run at idle (old parameters: $P = 250$, $I = 3$ s, $D = 0$ s)

Summary & Outlook

- different system identification procedures for model-based controller tuning during closed-loop operation were presented
 - direct method $(u \longrightarrow y) \rightarrow$ prediction error (least squares)
 - indirect method $(r \longrightarrow y) \rightarrow$ two-stage (via $G_{cl} = S_0 G_0$)
 - first test with improved control parameters gave promising results
-
- comparison of different estimation techniques for validation and benchmarking are yet to be done
 - further investigations with different excitation signals r are planned
 - methods shall be applied to more LLRF components



THANK YOU FOR YOUR ATTENTION!