Drift Compression Experiments on MBE-4 and Related Emittance Growth Phenomena*

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Abstract

We have recently conducted a series of experiments on the MBE-4 heavy ion accelerator in which a velocity tilt was placed on the beam in the first accelerating section beyond the injector, followed by drift compression over the remaining 11 meters. Depending upon the magnitude of the velocity tilt and the accompanying mismatch in the focusing lattice, emittance growth was observed, manifested by "butterfly" shapes in x - x' phase space. We discuss various analytical limits on ion beam compression and relate them to these experiments and also to a driver for a heavy ion fusion reactor. We also present numerical simulations which investigate various aspects of compression and consequent emittance growth.

I. Introduction

MBE-4 is a multiple beam, heavy ion accelerator at LBL designed to study the physics of space-charge dominated beams and scaling thereof to a heavy ion fusion "driver". One of the major requirements for a driver is smooth and controlled compression of the heavy ion beam from a typical duration at the injector (E ~ 2 - 10 MeV) of ~10 μsec to a duration at accelerator exit (E ~ 5 - 10 GeV) of ~100 ns. In general, this temporal compression will involve a smaller, but not insignificant increase in line charge density also. There is an additional ~10x compression at nearly constant energy between the accelerator and target to bring the pulse duration to the wanted 10 ns.

In the last year, we have conducted a number of compression experiments on MBE-4 both at constant energy (i.e. drift compression) and with steady acceleration [1] from the injector energy of 185 kV to final energies of ~800 kV. In both cases, the compression is achieved via a head-to-tail velocity tilt on the beam. Of particular concern is the behavior of the ion beam transverse phase space during the longitudinal compression. Our results suggest that very strong compression ratios (≥6:1) lead to substantial emittance growth for MBE-4 beams while smaller ratios (≤3:1) generally cause little or no emittance growth. As explained in §IV, the key physics appears to be the interaction between a highly compressed and thus radially large beam and the external nonlinear dodecapole focusing forces and internal, nonlinear space charge fields.

II. Experimental Set-up

For the drift compression experiments described here, MBE-4 was used in a single beam mode with the 185-kV injector producing a 2.5-μs duration, 8 mA current pulse of Cs+. As the beam leaves the injector, it passes through a "matching zone" composed of a set of individual quadrupoles used for transition onto the synchopated FODO focusing lattice of the main accelerator. Within this matching zone, there is a set plate that absorbs the outer (and most badly aberrated) portions of the beam, reducing the current by a factor of two. In general, the matching is imperfect and the beam's radial profile shows low level hollowing oscillations between lattice period 0 (≡LP0) and LP10. The electrostatic quadrupole voltages were set to produce a single particle phase advance σ of 72° per lattice period; the space-charge depressed tune σ was in the range 7 - 10°.

The first four accelerating gaps were timed so that a nearly linear velocity tilt (ranging from 0 to ≥12%) was put on the beam. We used a numerical code SLIDE[1] to determine the timing and amplitude of the accelerating pulses. No further acceleration fields were applied downstream and thus the beam energy remained constant apart from the work done against the longitudinal space charge field. An energy analyzer (normally positioned at LP5) measured the temporal variation of the beam's energy with a 0.5% energy and <20-ns temporal resolution. At every five lattice points along the accelerator, a two-slit emittance scanner determined the x - x' projection of the beam's transverse phase space. In general, the x resolution of ≈1.0 mm was much smaller than the typical beam radius of 5-10 mm, while the angular resolution of 0.7 mrad was comparable to the projected RMS width. The emittance scans were programmed to trace out a parallelogram in phase space with a tilt equal to that corresponding to the temporal center of the beam's phase space ellipsoid.

III. Results

Our initial drift compression experiments were with a quite vigorous acceleration schedule which resulted in a nearly 5:1 current increase by LP15, and 7.4:1 by LP20. The RMS emittance also tripled and butterfly shapes were evident in the phase space data. We then ran a number of less vigorous compression schedules to determine the sensitivity and behavior of the emittance increase.

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Table 1. Emittance (ε) and beam radius (a) measurements for drift compression experiments

<table>
<thead>
<tr>
<th>$I_{max}/I_0$</th>
<th>$ε_{max}/ε_{rms}$</th>
<th>$a_{max}/a_0$</th>
<th>$ε_{max}^2/ε_{rms}^2$</th>
<th>$N_{max}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1:1</td>
<td>0.9-1.1</td>
<td>1.0-1.2</td>
<td>1.1-1.2</td>
<td>–</td>
</tr>
<tr>
<td>1.5:1</td>
<td>0.9-1.1</td>
<td>0.9-1.0</td>
<td>1.0</td>
<td>≥LP20</td>
</tr>
<tr>
<td>3.7:1</td>
<td>1.3-1.6</td>
<td>1.6</td>
<td>1.0-1.2</td>
<td>LP25</td>
</tr>
<tr>
<td>7.4:1</td>
<td>≥2.8-3.2</td>
<td>≥1.7-2.0</td>
<td>≥2.1</td>
<td>LP20</td>
</tr>
</tbody>
</table>

Table 1 presents various measured quantities summarizing the results of the different compression schedules. The "microscopic" emittance $ε^μ$ is defined to be the phase space area (not necessarily contiguous) occupied by the most intense 80% fraction of the beam current. This quantity is readily extracted from the 2D map produced by the emittance scanner. $ε^μ$ is expected to be a more "conserved" quantity than $ε_{rms}$ in cases such as a simple "S" distortion of the $x - x'$ phase space. $N_{max}$ is the lattice period at which maximum compression was measured. For the 7.4:1 compression data, it appears that portions of the beam extended outside the maximum range scanned in $z$, ±20 mm (the clear quadrupole aperture is 27.4 mm).

In Fig. 1 we plot $ε(z)$ for various longitudinal slices of the beam pulse in the 3.7:1 compression experiment. The slices are labeled by their charge-weighted positions in the beam with the presumption that no longitudinal overtaking has occurred. Two observations are of note:
1. Slices with little compression ($I/I_0 ≤ 2.7$) suffered little emittance growth and those in free expansion near the head and tail may actually have "cooled off" with increasing $z$.
2. The mid-pulse slices with significant emittance growth by LP25 showed little growth at earlier positions in $z$ where the compression was ≤ 3.0.

This first observation is also true for the 7.4:1 compression data although the sparsity of observation points (LP0-LP20 only) prevents one from drawing firm conclusions concerning the final state of the beam. Moreover, both high compression cases show that the "microscopic" transverse phase space areas of the beam increased far less than did the RMS measures. For the 3.7:1 case, the relative increase in $ε^μ$ is essentially unchanged from that measured for the simple 1:1 drift case. Figure 2, which plots phase space contours of a central beam slice at LP25, shows why this is so: the phase space ellipse has developed "S"-arms which account for the increase in RMS emittance but do not result in an actual phase space dilution. By contrast, Fig. 3, which plots phase space contours for the central slice of the 7.4:1 compression case, shows a "butterfly" or bow tie shape in its wings. The butterfly wings include both a major portion (≥ 30%) of the current at a given instant in time, and persist for the great majority of longitudinal slices measured at LP20. In this case, $x - x'$ phase space has been truly diluted and no simple system of lenses will reverse this degradation.

![Figure 1: RMS emittance measurements for various longitudinal slices in the 3.7:1 drift compression data. The first number refers to the charge-weighted position in the beam (i.e. head=0, mid-pulse=0.5, tail=1) while the second refers to the maximum compression measured for a given longitudinal slice.](image)

### IV. Analysis and Discussion

After discovering the butterfly shapes in the high compression phase space data, some of us suspected that longitudinal overtaking might be occurring within the beam near the LP15-LP20 region. Supporting this view was an observed rapid temporal change in the phase space ellipse tilt, exceeding 90° in a time half that of the current pulse FWHM. Perhaps the butterfly shapes were actually the superposition of two beams of different energies whose integrated phase advance differed by ~ 90°. However, the SLIDE numerical code, which has been quite successful in predicting current waveforms for MBE-4 compressed beams, did not suggest problems with overtaking. Furthermore, energy analyzer scans taken at LP15 and LP20 do not show obvious double-valued behavior that would be a sign of longitudinal overtaking.

A related possibility is that while no longitudinal overtaking per se occurred, the longitudinal beam compression might have become so great in the 7.4:1 case that the longitudinal variation in the $z$-integrated phase advance for mismatch oscillations has become significant (≥ 1 radian) over a quadrupole aperture radius $b$. If so, this allows "communication" via space charge fields between slices of different mismatch phase and might lead to relatively rapid phase mixing. For our case of $σ ≤ σ_{o}$, we estimate the
maximum phase advance variation as

$$\Delta \phi = \int_{LPS}^{LP20} \sqrt{2} \sigma_0 dN \left| \frac{d\beta}{dt} \right| \frac{b}{\beta_0 c} \approx 0.6 \text{ radians}.$$ 

Here $N$ measures $z$ in lattice periods. Given the relatively rapid (in $z$) reversal of the beam’s compression which limits the communication time, it is unclear whether this effect and the magnitude of mismatch oscillations are sufficient to produce transverse phase space shapes such as Fig. 3.

A second suggested mechanism for emittance growth was the interaction of a compressed (and thus “fat”) beam with the small ($\approx 10\%$ relative to the quadrupole at the electrode surface) nonlinear dodecapole forces present in the MBE-4 lattice. Previous experiments [2] on MBE-4 have shown that dodecapole-induced phase-mixing for off-axis beams can lead to significant emittance growth. In the present experiments, however, the beam remained within $\approx \pm 0.5 \text{mm}$ of the axis, so simple centroid damping is ruled out. Particle simulation code runs [2] suggest that dodecapole-induced emittance growth will occur for centered, drift-compressed beams with the predicted magnitude sufficient to explain the 3.7:1 compression data. The simulation results are not as clear-cut for the 7:4:1 case, due to difficulties such as particle loss to the walls (which possibly occurred in the experiment also). D. Grote at LLNL (private communication), however, has produced butterfly shapes in a 3D simulation of MBE4 compression including dodecapole forces. Space charge non-uniformities, which appear to be quite important for $z \geq LP10$ in the 7:4:1 data, probably also affected the evolution of the transverse phase space. Such non-uniformities (both symmetric and non-symmetric) can present strong, nonlinear perturbations for highly space-charged depressed beams such as MBE 4.

Summarizing, strong (7:4:1) and rapid compression ($d\lambda/\sigma_0 dN \geq 0.1\lambda$) of the MBE-4 beam led to large emittance growth. We believe that the cause is interaction of the outer portions of the beam with nonlinear dodecapole focusing forces and possibly non-uniform space charge distributions. Weaker (3:7:1) and less rapid compression ($d\lambda/\sigma_0 dN \leq 0.05\lambda$) showed little or no RMS emittance growth. Most HIF driver designs have $d\lambda/\sigma_0 dN \leq 0.005\lambda$ within the accelerator. Hence, non-adiabatic, rapid compression problems, if they occur at all, are far more likely in the final focus section rather than within the accelerator.

References
